

*State of Washington
Department of Transportation
Notice to Consultants
Evaluate Risk-Based Asset Management Systems for WSDOT*

The Washington State Department of Transportation (WSDOT) solicits interest from consultants who wish to be evaluated and considered to Evaluate Risk-Based Asset Management Systems for WSDOT. One (1) agreement will be awarded. The agreement will be for approximately two (2) years in duration with the option for WSDOT to extend it for additional time and money if necessary. The Agreement amount will be approximately \$250,000.00.

WSDOT reserves the right to amend terms of this “Request for Qualifications” (RFQ) to circulate various addenda, or to withdraw the RFQ at any time, regardless of how much time and effort consultants have spent on their responses.

Project Description

WSDOT lacks coherent asset management plans agency-wide and is not successful communicating asset management financial information to decision makers. The goal would be to begin to incorporate risk-based asset management for our entire critical infrastructure.

Risk-based Asset Management seeks to achieve an optimum life cycle management of assets through consideration of risk to service provision, with risk generally being defined as the product of ‘probability of failure’ and ‘consequence of failure’. For WSDOT to use risk-based asset management, we need to know what infrastructure we have, what state it is in, the ways in which it might fail, the probability of failing, predictive performance curves and the effects of the failure. This will lead to the risk of any asset and thus be able to compare one with another to determine the optimum strategy for the infrastructure network rather than the individual asset.

Performance models can be determined from historical data, expert opinion and/or engineering analysis, but must be based on parameters that we can assess. In addition, the performance features that are monitored must relate to at least one failure mode, must be visible (easily inspected), gradable, and should be mutually exclusive if at all possible.

We need to investigate the tools already developed by Chris Champion and the Institute for Public Works Engineering Australia/Asia (IPWEA), to both educate department members and members of the decision making political class, on the needs for asset management and the stewardship responsibilities toward asset management. In addition to investigation of the IPWEA system, we should evaluate other asset management tools.

Through this project, WSDOT will:

1. Evaluate the IPWEA system and other systems that encourage risk-based asset management;
2. Determine which model works for WSDOT and begin to incorporate the system; and
3. For the IPWEA system, at a minimum, incorporate IPWEA training for educating decision makers on their stewardship responsibilities to asset management.

D/SBE and M/WBE Participation

WSDOT has an overall Disadvantaged Business Enterprise (DBE) Goal. The DBE goal for participation will be obtained through a combination race-neutral/race-conscious means as outlined in WSDOT's "Disadvantaged Business Enterprise Program Plan".

DBE goals on federally assisted projects over \$250,000.00 will be set utilizing the criteria outlined in the DBE Program Plan. In addition WSDOT also has a voluntary Small Business Enterprise (SBE) goal. In the absence of setting a DBE goal, a voluntary SBE goal will be established.

WSDOT also has a voluntary Minority Business Enterprise, Women Business Enterprise (M/WBE) and Veteran owned business goal on projects over \$250,000.00 funded with State only dollars.

WSDOT reserves the right to consider State only funded projects under \$250,000.00 for voluntary M/WBE and Veteran owned business participation consideration.

This project is funded with:

- Federal Funds and will have a goal of:
 - DBE Goal - _____ of the total Agreement amount (see attached "[DBE Participation Specification](#)" requirements); or
 - Voluntary SBE Goal – ten percent (10%) of the total Agreement amount (see attached "[Voluntary SBE Participation Specification](#)" requirements).

- State Funds Only and will have voluntary goals of:
 - MBE Goal - _____ of the total Agreement amount;
 - WBE Goal - _____ of the total Agreement amount; and
 - Veteran owned business Goal – _____ of the total Agreement amount (see attached "[Voluntary M/WBE & Veteran Owned Business Participation Specification](#)" requirements.)

All projects under \$250,000.00 are encouraged to include D/SBE or M/WBE and Veteran owned business participation. WSDOT encourages disadvantaged, small, minority, and women-owned consultant firms to respond to this RFQ.

Evaluation Criteria

Pursuant to state and Federal regulations, a qualifications-based selection process will be used to select consultants for each of these areas of expertise. The following information and criteria will be used to evaluate and rank responses:

1. Qualifications/Expertise of Firms on Team;
2. Qualifications of Proposed Project Manager;
3. Key Team Members Qualifications (Prime Consultant and Sub-Consultants);

4. Firm's Project Management System (Prime Consultant Only);
5. Project Delivery Approach; and
6. References/Past Performances (Prime Consultant Only.)

The link to the definitions and point value for each of the proposed criteria may be found on the first page of this advertisement web site.

WSDOT reserves the right to ask for additional qualifying information, conduct interviews and/or select the highest scoring consultant(s) from the written qualification packets received as a result of this RFQ.

Note: It is imperative that the consultant reviews the definitions of the scoring criteria. We have included requirements and/or limitations for the information that is being requested.

Submittals

Consultants are invited to submit their Statement of Qualifications (SOQ) at their own cost. WSDOT assumes no obligation of any kind for expenses incurred by any respondent to this solicitation. The submittal must be submitted as separate Adobe Reader compatible (pdf) files and formatted as follows:

- Submitted as an 8.5" x 11" sheet, single sided only, and with text (font) size no smaller than 12 points; and
- If charts and/or graphs are utilized text (font) size must be no smaller than 8 points.

Your SOQ must be broken into two (2) separate packets. Your SOQ "Packet A" must consist of:

- Your responses to scoring Criteria 1 through 5; and
- Packet "A" is limited to 30 pages, single sided only, not including the front and back cover.

Your SOQ "Packet B" must consist of:

- Your letter of transmittal;
- Your response to scoring criteria 6 (Performance Evaluations must be included in this packet);
- Your documentation to be compliant with the D/SBE or M/WBE and Veteran owned business participation requirements. Please note that this documentation is required to adhere to the relevant specifications or your SOQ will be deemed non-responsive:
 - DBE Participation:
 - [DBE Utilization Plan](#);
 - [DBE Written Confirmation](#) forms; and
 - Good Faith Effort documentation, if applicable.

If there is a voluntary goal for either SBE Participation or M/WBE and Veteran owned business Participation, the following documentation will not be required as part of this RFQ but is required to be submitted by the firm awarded the project, prior to execution of the agreement and before any work commences:

- SBE Participation:
 - [Voluntary SBE Participation Plan Guidelines](#).
- M/WBE and Veteran owned business Participation:
 - [Voluntary M/WBE & Veteran Owned Business Participation Plan Guidelines](#).

- Your Consultant Information forms for both the Prime Consultant and all proposed Sub-Consultants; and
- Packet “B” has no page number limitations.

The SOQ shall meet the following requirements or will be deemed non-responsive and will not be eligible for consideration of this work:

- Title of the RFQ and your firm clearly identified on the cover of the submittal Packets “A” and “B”, and the letter of transmittal;
- SOQ broken into “Packet A” and “Packet B” (two (2) separate documents) as indicated above;
- Responsive to all evaluation criteria;
- Inclusion of complete D/SBE or M/WBE and Veteran owned business participation documentation requirements;
- Meeting page limitations and font size requirements; and
- Meeting submittal deadline submission date and time.

Faxed submittals will not be accepted. Submittals must arrive at the following email address no later than 4:00 p.m. PST on Tuesday, December 15, 2015.

Submittal email address: CSOSubmittals@wsdot.wa.gov

Note: Submitters may want to consider setting your email to automatically receive a “Delivery/Read Receipt” for confirmation purposes, as WSDOT will not respond with notification of receipt.

Multiple emails are acceptable due to file size limitations of 10mb per email.

The Consultant, with regard to the work performed during the resulting agreement, shall not discriminate on the grounds of race, color, sex, or national origin in the selection and retention of sub-consultants, including procurement of materials and leases of equipment. The Consultant shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR Section 21.

The agreement for services is subject to provisions of Executive Order 11246 (Affirmative Action to Ensure Equal Employment Opportunity) and to the provisions of the Department of Transportation Regulations 49 CFR 26 (Disadvantaged Business Enterprise.)

Protest Procedures

A. Form and Substance

All protests regarding any contents or portion of this RFQ must be submitted to WSDOT Headquarters Consultant Services Office (CSO) as soon as possible after the Proposer/protestant becomes aware of the reason(s) for the protest. All protests must be in writing and signed by the Proposer/protestant or an authorized agent. Such writing must state all facts and arguments on which the Proposer/protestant is relying as the basis for its action. Such Proposer/protestant shall also attach, or supply on demand by CSO, any relevant exhibits referenced in the writing. Copies of all protests and exhibits shall be mailed or delivered by the Proposer/protestant to the Proposer against whom the protest is made (if any) at the same time such protest and exhibits are submitted to CSO. All protests shall be directed to:

Manager, Consultant Services Office
Washington State Department of Transportation
310 Maple Park Avenue SE
PO Box 47323
Olympia, WA 98504-7323
Phone: 360-705-7106
Fax: 360-705-6838

B. Pre-Selection Protests

To allow sufficient response time, all pre-selection protests (i.e., prior to CSO's official selection of the successful proposal(s)) must be received by CSO no later than 3:00 p.m. PST of the second business day after the Final Proposal Due Date. If the protest is mailed after the Final Proposal Due Date, and before the pre-selection protest deadline, the Proposer/protestant shall immediately notify CSO's Manager by telephone, or some other means of rapid communication, that a protest has been made.

CSO shall consider all the facts available to it, and issue a decision in writing within five (5) business days after receipt of the protest, unless more time is needed. The Proposer/protestant and the Proposer(s) against whom the protest is made will be notified if a longer time is necessary and, if the additional time required affects the Final Proposal Due Date or the selection date, all Proposers shall be notified.

CSO's decision shall be final and conclusive. Selection of the successful Proposer, if any, will be postponed until after CSO has issued its decision.

C. Post-Selection Protests

CSO shall notify all unsuccessful Proposers of CSO's selection decision. To allow sufficient response time, all post-selection protests must be received by CSO no later than 3:00 p.m. PST of the second business day after receipt of a Non-Selection Notice. If the protest is mailed before the post selection protest deadline, the Proposer/protestant shall immediately notify CSO's Manager by telephone, or some other means of rapid communication, that a protest has been made.

CSO shall consider all the facts available to it, and issue a decision in writing within five (5) business days after receipt of the protest, unless more time is needed. The Proposer/protestant and the Proposer(s) against whom the protest is made will be notified if a longer time is necessary and, if the additional time required affects the Award Date, all Proposers will be notified.

CSO's decision shall be conclusive unless appeal from it is taken by an aggrieved firm to the Superior Court of Thurston County within five (5) calendar days after receiving notice of CSO's decision on the protest. The court shall hear any such appeal on CSO's administrative record for the project. The court may affirm CSO's decision, or it may reverse the decision if it determines the action of CSO was arbitrary and capricious.

Post-selection protests which do not comply with the above-specified procedures will not be considered.

D. Post-Debrief Protests

To allow sufficient response time, all post-debrief protests must be received by CSO no later than 3:00 p.m. PST of the second (2nd) business day following the debrief. If the protest is mailed before the Post-Debrief protest deadline, the Proposer/protestant shall immediately notify CSO's Manager by telephone, or some other means of rapid communication, that a protest has been made.

CSO shall consider all the facts available to it, and issue a decision in writing within five (5) business days after receipt of the protest, unless more time is needed. The Proposer/protestant and the Proposer(s) against whom the protest is made will be notified if a longer time is necessary and, if the additional time required affects the Final Proposal Due Date or the selection date, all Proposers shall be notified.

CSO's decision shall be final and conclusive. Selection of the successful Proposer, if any, will be postponed until after CSO has issued its decision

System for Award Management (SAM) Excluded Parties Records

- A. Per federal regulations, CSO is required to ensure, to the best of its knowledge and belief, that none of the principals, affiliates, third party Contractors and subcontractors are suspended, debarred, ineligible or voluntarily excluded from participation in federally assisted transactions or procurements. Federal regulations require CSO to review records of excluded parties in the federal System for Award Management (SAM) before entering into any third party Contracts exceeding \$25,000.00.
- B. Prior to award of a federally funded Contract, CSO will search the SAM system to ensure that excluded parties do not participate in covered transactions. A copy of the SAM search page evidencing such search will be retained in the Contract file.
- C. To learn more about the federal SAM, go to www.sam.gov/portal/public/SAM/.

Public Records

The SOQ's received as a result of this RFQ and the resulting score sheets will be posted to CSOs web page following resolution of any Post-Debrief protests.

To the extent consistent with chapter 42.56 RCW, the Public Disclosure Act, WSDOT shall maintain the confidentiality of Consultant's information marked confidential or proprietary. If a request is made to view Consultant's proprietary information, WSDOT will notify Consultant of the request and of the date that the records will be released to the requester unless Consultant obtains a court order enjoining that disclosure. If Consultant fails to obtain the court order enjoining disclosure, WSDOT will release the requested information on the date specified.

WSDOT's sole responsibility shall be limited to maintaining the above data in a secure area and to notify Consultant of any request(s) for disclosure for so long as WSDOT retains Consultant's information in WSDOT records per state law. Failure to so label such materials or failure to timely respond after notice of request for public disclosure has been given shall be deemed a waiver by Consultant of any claim that such materials are exempt from disclosure. WSDOT reserves the right, if it deems action to be in the best interest of WSDOT, to reject any and all submittals or to waive any

irregularities or informalities therein. Any incomplete, false or misleading information provided by or through the Consultant shall be grounds for non-consideration. If submittals are rejected, WSDOT further reserves the right to investigate and negotiate with the next ranked Consultant in order of ranking or to reject all Consultants and re-solicit for additional firms.

Any questions regarding this RFQ should be directed to WSDOT's Headquarters Consultant Services Office at 360-705-7104.

Americans with Disabilities Act (ADA) Information

This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free 1-800-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by the Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person, who believes his /her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinators: Eastern Washington at 509-324-6018; or Western Washington at 360-705-7082.

Dates of publication in the Seattle Daily Journal of Commerce: Tuesday, November 3, 2015 and Tuesday, November 10, 2015.

Submittal Due Date and Time: 4:00 p.m. PST on Tuesday, December 15, 2015.