



Request to Sublet Work

(Program Certification - Check all that apply)

Disadvantaged Business (DBE) Veteran Owned Business (VBE) Woman Owned Business (WBE)
Federal Small Business (FSBE) State Small Business (SBE) Minority Owned Business (MBE)

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|------------------|-------------------------|-----------------------|
| Prime Contractor | Statewide Vendor Number | State Contract Number |
| Project Title | | Request Number |

Approval is Requested to Sublet the Following Described Work to:

| | | |
|--|-----------------------------------|--|
| Lower Tier Subcontractor Subcontractor Lower Tier Subcontract/Subcontract Name | Unified Business Identifier (UBI) | Statewide Vendor Number or Federal Employer I.D. Number |
| Address | | Telephone Number |
| City | State | Zip Code |
| If Lower Tier Subcontractor, Name of Corresponding Sub. | | Request to Sublet # of Corresponding Sub |

| Item No. | Partial or Complete | Item Description | Work Description (if partial) | Amount |
|----------|---------------------|------------------|-------------------------------|--------|
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Total

I understand and will ensure that the subcontractor will comply fully with the plans and specifications under which this work is being performed.

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|--|---|------|
| | Prime Contractor Representative and Title | Date |
|--|---|------|

Department of Transportation Use Only

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|---------------------------|--|
| Percent of Total Contract | Program Certification Verification |
| This Request _____ % | Debarred/Registration Verification (LNI) |
| Previous Requests _____ % | Comments: |
| Sublet to Date _____ % | |

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|--|------|
| Project Engineer or Designee Approved | Date |
|--|------|

Request to Sublet Work Supplemental Sheet

| Item No. | Partial or Complete | Item Description | Work Description (if partial) | Amount |
|--------------|---------------------|------------------|-------------------------------|--------|
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Instructions for DOT form 421-012

Program Certification: Check all the boxes that apply and that can be verified through OMWBE and/or WEBS

Prime Contractor: Enter the business name of the Prime Contractor for this project.

Statewide Vendor Number: Enter the Prime Contractor's Statewide Vendor Number.

State Contract Number: Enter the Contract number assigned to the project.

Project Title: Enter the name of the project

Request Number: Enter the sequential number of the request.

Lower Tier Subcontractor or Subcontractor boxes*: Click the box to indicate if the request is for a subcontractor or a lower tier subcontractor.

Lower Tier Subcontract/Subcontract name: Enter the business name of the subcontractor or lower tier subcontractor.

Unified Business Identifier (UBI): Enter the UBI number for the subcontractor or lower tier subcontractor.

Federal Employer I.D. Number: Enter the Federal Employer ID Number for the subcontractor or lower tier subcontractor.

Address: Enter the address for the subcontractor or lower tier subcontractor, including city, state and zip code.

Telephone Number: Enter the telephone number for the subcontractor or lower tier subcontractor.

Estimated Starting Date: Enter the anticipated date when the subcontractor or lower tier subcontractor will be on-site to perform work.

If Lower Tier Subcontractor, Name of Corresponding Sub.: If the lower tier subcontractor box was marked above, enter the business name of the subcontractor that hired your company.

Fed ID of Corresponding Sub: If the lower tier subcontractor box was marked above, enter the Federal Employer ID Number for the subcontractor that hired the lower tier.

Request to Sublet # of Corresponding Sub: If the lower tier subcontractor box was marked above, enter the Request to Sublet number for the subcontractor that hired the lower tier.

Item No: Enter the bid item number(s) that will be sublet. This number is shown in the first column of original contract proposal and in the first column of the summary of quantities found in the original contract plans.

Partial or Complete: Choose partial from the drop down list if your company will only be performing a portion of the work associated with the bid item. Choose complete if the company will be performing all of the work associated with the bid item.

Item Description: Enter the bid item name corresponding with the Bid Item No previously entered on the form. This information is located in the second column of the original contract proposal and in the seventh column (titled ITEM) of the summary of quantities found in the original contract plans.

Work Description (if partial): Provide a brief description of the type of work being performed on the project.

Amount: Enter the dollar amount equivalent to the work the subcontractor is expected to perform.

Prime Contractor Signature and Date: The Prime Contractor signs the document using an electronic signature (can be typed or a copy of their signature applied to the document) and enters the date.