Appendix H

AWV Replacement Project excerpted entry in the Puget Sound Regional Council Metropolitan Transportation Program (Transportation 2040)
<table>
<thead>
<tr>
<th>Title</th>
<th>T2040 ID</th>
<th>From (or at)</th>
<th>To</th>
<th>On</th>
<th>Description</th>
<th>Lead Sponsor</th>
<th>Est. Cost (2008$) **</th>
<th>Status***</th>
<th>Complete</th>
<th>TIP Proj(s)</th>
<th>Corridor(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SR 99: S. King Street to Roy Street – Central Waterfront Viaduct Replacement</td>
<td>4281</td>
<td>S King St</td>
<td>Roy St</td>
<td>SR 99</td>
<td>The proposed bored tunnel would be constructed under downtown Seattle between the vicinity of S. King Street and Roy Street to replace the seismically vulnerable Alaskan Way Viaduct along the central waterfront. The proposed bored tunnel would move SR 99 to a below-ground alignment under downtown Seattle and would bypass the existing Battery Street Tunnel. The project includes removal of the existing viaduct structure and decommissioning of Battery Street Tunnel.</td>
<td>WSDOT</td>
<td>$2,060,000,000</td>
<td>Approved</td>
<td>2016</td>
<td>WDU03-33</td>
<td>North King South King</td>
</tr>
<tr>
<td>SR 99/Viaduct Surface Restoration &amp; Construction Transit Center</td>
<td>4282</td>
<td>S King Street</td>
<td>Roy Street</td>
<td>SR 99</td>
<td>Restoration and improvements of Surface Street Alaskan Way following the demolition of the Alaskan Way Viaduct Structure. The project includes an allowance for construction mitigation to replace the loss of parking stalls from under the existing structure.</td>
<td>WSDOT</td>
<td>$190,000,000</td>
<td>ROW</td>
<td>Conditionally Approved</td>
<td>2018</td>
<td>North King South King</td>
</tr>
<tr>
<td>SR 99</td>
<td>4092</td>
<td>Battery Street Tunnel</td>
<td>N 105th St</td>
<td>SR 99</td>
<td>Add business, access and transit lanes throughout this segment of the corridor. Project 1743 handles next segment to the north within Seattle CL.</td>
<td>Seattle</td>
<td>$91,000,000</td>
<td>Candidate</td>
<td>2025</td>
<td></td>
<td>North King</td>
</tr>
<tr>
<td>SR 99</td>
<td>1743</td>
<td>N 105th St</td>
<td>N 145th St (Seattle - NCL)</td>
<td>SR 99</td>
<td>Add business, access and transit lanes throughout this segment of the corridor.</td>
<td>Seattle</td>
<td>$48,000,000</td>
<td>Candidate</td>
<td>2020</td>
<td>SEA-127</td>
<td>North King</td>
</tr>
<tr>
<td>SR 99--Shoreline--North Segment</td>
<td>4277</td>
<td>N 165th St</td>
<td>N 185th St</td>
<td>SR 99 (Aurora Ave N)</td>
<td>This corridor improvement will include access management, sidewalks, pedestrian crossings, landscaping and urban amenities, intersection improvements, congestion reduction, and Business Access and Transit (BAT) lanes. The improvements will occur on Aurora Avenue N (SR 99) between N 165th Street and N 185th Street. Partners include: WSDOT, King County Metro, and TIB.</td>
<td>Shoreline</td>
<td>$40,000,000</td>
<td>Approved</td>
<td>2011</td>
<td>SL-1B</td>
<td>North King</td>
</tr>
<tr>
<td>Aurora Avenue North Multi-Modal Corridor Project (N 185th St to N 192nd St)</td>
<td>3569</td>
<td>N 185th St</td>
<td>N 192nd St</td>
<td>SR 99</td>
<td>The corridor improvement will include access management, sidewalks, pedestrian crossings, landscaping and urban amenities, intersection improvements, congestion reduction and Business Access and Transit (BAT) lanes. The improvements will occur on Aurora Avenue N (SR 99) between N 185th Street and N 192nd Street. Partners include: WSDOT, King Count Metro and TIB.</td>
<td>Shoreline</td>
<td>$13,000,000</td>
<td>Approved</td>
<td>2011</td>
<td>SL-1B</td>
<td>North King</td>
</tr>
<tr>
<td>Aurora Avenue North Multi-Modal Corridor Project (N 192nd St to N 205th St)</td>
<td>4283</td>
<td>N 192nd St</td>
<td>N 205th St/244th St SW</td>
<td>SR 99</td>
<td>The corridor improvement will include access management, sidewalks, pedestrian crossings, landscaping and urban amenities, intersection improvements, congestion reduction and Business Access and Transit (BAT) lanes. The improvements will occur on Aurora Avenue N (SR 99) between N 192nd Street and N 205th Street. Partners include: WSDOT, King Count Metro and TIB.</td>
<td>Shoreline</td>
<td>$38,000,000</td>
<td>ROW</td>
<td>Conditionally Approved</td>
<td>2013</td>
<td></td>
</tr>
</tbody>
</table>
Appendix I

WSDOT Design-Build Methodology Summary
WSDOT Design-Build Methodology

To speed delivery, promote innovative approaches, and secure early price certainty, the Washington State Department of Transportation (WSDOT) can employ a design-build approach to design and construct a project. This process is described in WSDOT’s guidebook for design-build projects.¹

In design-build methodology, WSDOT focuses on describing performance rather than on how to obtain that performance. WSDOT identifies a conceptual plan and completes the design to approximately a 15 percent level. This conceptual plan is put out for development of a design-build proposal. Each design-build team evaluates the conceptual plan and develops a proposal. Each proposal includes a technical proposal and price proposal that reflects the product that the design-builder commits to deliver to meet WSDOT’s objectives. WSDOT then chooses the design-builder with the best combination of technical proposal and price.

The contract is a single contract between WSDOT and the design-builder for design and construction services to provide a finished product. The design-builder completes the design, with WSDOT’s involvement in the design process. Because each bidder will have a different design approach to address the identified project need, this Initial Financial Plan will not discuss the cost of specific design components.

After selection of a design-builder and execution of the contract, WSDOT performs administrative functions and the design-builder performs design, construction, quality control (QC), and quality assurance (QA) functions. WSDOT’s quality verification (QV) role during contract execution ensures that the products being developed by the design-builder are in conformance with contract requirements.

The QC/QA Program is a critical component of the design and construction of the project. The focus of WSDOT’s QA program is on product compliance with contract documents, verification of the design-builder’s QC measures, and meeting Federal quality requirements. QA activities focus on monitoring contract execution with respect to a negotiated Quality Control Plan. WSDOT provides the quality verification and independent testing. Contract Provisions require that the QC/QA Program submitted with the proposal be brought into conformance prior to execution of the contract.

Appendix J

WSDOT Design-Bid-Build Methodology Summary
WSDOT Design-Bid-Build Methodology

The design-bid-build project development process and contracting format used by the Washington State Department of Transportation can result in lower risk ranges than design-build projects because design engineering (Plans, Specifications, and Estimates (PS&E)), environmental clearances and permitting work is complete prior to award of the construction contract.

To reduce risk as part of the process WSDOT conducts Value Engineering (VE) studies at appropriate stages of design, as required by the Federal Highway Administration, and incorporates the results of those studies in the design process when possible. In order to lower risk for design choices and project costs, WSDOT employs a process called Cost Estimate Validation Process® (CEVP®) or Cost Risk Assessment (CRA) as part of its program and project level cost risk assessments between the 15 to 90 percent design levels. This process is identified in WSDOT project management and cost risk documents.

For more information on the WSDOT design process, see the WSDOT Design Manual at the following link:

http://www.wsdot.wa.gov/Publications/Manuals/M22-01.htm
Appendix Ka

Memorandum of Agreement, funding commitment between WSDOT and Port of Seattle (GCA 6444)

Appendix Kb

Memorandum of Agreement, funding commitment between WSDOT and Port of Seattle (GCB 1140)
MEMORANDUM OF AGREEMENT
NO. GCA 6444
ALASKAN WAY VIADUCT AND
SEAWALL REPLACEMENT PROGRAM
BORED TUNNEL ALTERNATIVE

This agreement ("Agreement") for the Alaskan Way Viaduct and Seawall Replacement Program ("AWVSRP") is made and entered into between the State of Washington ("State") and the Port of Seattle ("Port"), collectively the "Parties" and individually the "Party."

WHEREAS, in the 1950s, the City of Seattle ("City") and the Washington State Department of Transportation jointly designed and built the Alaskan Way Viaduct ("Viaduct") to accommodate passenger and freight mobility into the foreseeable future; and

WHEREAS, the central waterfront section of the Viaduct is a critical north-south transportation facility of regional, state and national significance, one of two limited access routes through Seattle’s urban core carrying more than 100,000 vehicles daily; and

WHEREAS, the Duwamish and Interbay industrial areas in Seattle are served by the SR 99 corridor and constitute a significant portion of Seattle’s maritime and industrial sector which accounts for more than 120,000 jobs and an estimated $28.5 billion in annual revenue city-wide; and

WHEREAS, in 2001 the Nisqually earthquake damaged the Viaduct and Seawall; and

WHEREAS, the Viaduct and Seawall are at risk of sudden and catastrophic failure in an earthquake and are nearing the end of their useful lives; and

WHEREAS, a failure to maintain the Viaduct capacity would result in unacceptable congestion for freight and other traffic within the harbor and industrial areas; and

WHEREAS, in March 2007, the Washington State Governor, the King County Executive, and the Mayor of Seattle pledged to advance a series of key SR 99 projects (Moving Forward Projects) that will facilitate the removal and/or repair of key portions of SR 99, which are Yesler Way Vicinity Stabilization Project, Electrical Line Relocation, Battery Street Tunnel Fire and Life Safety Upgrades, SR 99 Lenora to Battery Street Tunnel Improvements, the SR 99 South Holgate Street to South King Street Viaduct Replacement Project, and Transit Enhancements and Other Improvements; and

WHEREAS, in 2008 the State and its partners agreed to guiding principles for replacing the Viaduct: improve public safety; provide efficient movement of people and goods now and in the future; maintain or improve downtown Seattle, regional, Port and state economies; enhance Seattle’s waterfront, downtown and adjacent neighborhoods as a place for people; create solutions that are fiscally responsible; and improve the health of the environment; and

WHEREAS, in 2008 the State and its partners considered public comment from 16 meetings of a stakeholder advisory committee made up of representatives from business, labor, environmental,
and neighborhood interests and more than one thousand public comments collected during quarterly public meetings; and more than 50 community briefings; and

WHEREAS, on December 15, 2008 the Port of Seattle Commission (Port Commission) cited the advantages of a sub-surface option and approved a motion calling for further study of a sub-surface option coupled with surface and transit improvements; and

WHEREAS, in January 2009, the Governor of Washington state, the Mayor of Seattle and the King County Executive jointly recommended replacing the Viaduct with a bored tunnel beneath downtown Seattle in conjunction with improvements in surface streets and transit service, and Port of Seattle CEO, Tay Yoshitani, endorsed the deep-bore tunnel concept; and

WHEREAS, the Washington State Legislature passed ESSB 5768 and the Governor signed the bill into law designating and funding the Bored Tunnel Program as the replacement for the Viaduct; and

WHEREAS, in October 2009, the City and the State entered into a Memorandum of Agreement agreeing to principles to proceed with the AWVSRP; and

WHEREAS, the State and the Port are committed to a replacement for the Viaduct that will improve transportation access to and through the waterfront, including access for over eight million annual ferry riders, ensure connectivity between the Interbay, Ballard and Duwamish industrial areas and Seattle-Tacoma International Airport, including a corridor for oversized vehicles, provide access to port cargo, fishing and cruise facilities, minimize construction disruption, and increase opportunities for the public and freight to access the shoreline and waterfront; and

WHEREAS the Port’s international trade, aviation, economic development, tourism and passenger terminal activities are vital to the economic growth of the region and the state, supporting nearly 194,000 jobs in the region, and the State and the Port support infrastructure improvements necessary to achieve growth in trade and jobs and increase our region’s competitiveness in global markets; and

WHEREAS the Viaduct corridor is crucial to the region’s freight mobility because it provides for 1.5 million freight trips annually by grade-separation of through traffic, rail lines and industrial corridors near the Port’s marine terminals, which support the movement of $30 billion in international and domestic cargo through the Port each year; and

WHEREAS the improvements to the surface street system in the vicinity of the corridor segment from S. Holgate Street to King Street are designed to increase access to Terminal 46 and other port waterfront facilities; and

WHEREAS the Port is funding projects that are part of or complement the AWVSRP and which will provide capacity for future growth and improved safety, including the East Marginal Way Overpass, Spokane Street widening, Duwamish Intelligent Transportation System (ITS) and the SR 519 South Seattle Intermodal Access Project Phase 2; and
WHEREAS, the parties recognize the uniqueness of the City’s Mercer Corridor West Project (Mercer Corridor West) in providing access for freight, cruise buses and public transit, and the need to sustain north-south mobility in the period following completion of the tunnel and during construction of waterfront street improvements.

NOW, THEREFORE, the Parties agree to the following principles to proceed with the AWVSRP:

I. GENERAL PRINCIPLES:

A. The Port supports the proposed AWVSRP with the bored tunnel alternative and related system improvements, as the design which affords essential transportation capacity, significant environmental benefits, and minimizes construction-related disruption on the waterfront.

B. The Port recognizes the economic importance of an efficient SR 99 roadway network with complementary system improvements for the effective movement of freight and goods locally, nationally and internationally.

C. The Port and State will continue to work collaboratively toward the successful completion of the AWVSRP.

D. Complementary system upgrades to the transportation system will be completed, including SR 519, Spokane Street Widening, Mercer Corridor from Interstate 5 to Elliott Avenue, East Marginal Way Overpass, North Argo Access, Duwamish Intelligent Transportation Systems, Seawall Replacement (or rehabilitation), and transit enhancements, to support the priorities of the efficient movement of freight, cruise-related traffic and public transit.

E. The State and the Port will work together to review funding plans by the City and King County for their implementation of the aforementioned complementary elements of the AWVSRP.

II. RESPONSIBILITIES:

A. STATE:

1. The State shall endeavor to open the bored tunnel for operation by the end of 2015.

2. The AWVSRP will be designed to provide functionality equal to or better than what is available today to facilitate efficient movement of freight and other traffic on the west side corridors of the Seattle transportation system from the Duwamish neighborhood to Ballard-Interbay and protect access to fishing, cruise and other Port facilities. Of critical importance is the ability of the 15th/Elliott and Mercer corridors to provide sufficient capacity for the purposes listed above.

3. The design of the north and south portals and their connection to the street system shall be designed to accommodate freight movements and provide access for buses serving the port’s cruise facilities. The State will coordinate with the Port prior to making any changes to the design elements reviewed by the Port under II B below.
4. The State shall work to minimize and mitigate its construction impacts on Port activities, customers and tenants, and will coordinate with the Port and its tenants to ensure productive operations during construction.

5. The central waterfront segment from Pine Street to Colman Dock will have two lanes in each direction plus a turning lane; the segment south of Colman Dock will have three lanes in each direction plus a turning lane.

B. PORT:

1. Port staff shall participate in timely review and comment of the State’s design elements of the tunnel and north and south portals and the Central Waterfront surface street to ensure adequate connection to freight and cruise facilities.

2. Port staff shall participate in the State’s planning for construction mitigation and maintenance of traffic.

III. FUNDING:

A. STATE: As defined by ESSB 5768, the total state contribution for AWVSRP is $2.4 billion in state funds and no more than $400 million in toll revenue for a total state contribution of $2.8 billion toward the following state program elements:

1. The proposed bored tunnel from north of S. Royal Brougham Way to Harrison Street; and

2. Surface street connection from S. King Street along Alaskan Way to Elliott and Western avenues, ending at Battery Street, including replacement of the Marion Street pedestrian overpass; viaduct removal; Battery Street Tunnel decommissioning; and

3. Completion of the Moving Forward Projects; and


B. PORT: To the extent feasible and authorized by the Port Commission, the Port shall fund or procure funding within the life of the project not to exceed $300 million toward the state’s program elements, except as described in Section 4 below:

1. Funding must be for elements that will improve transportation access to and through the waterfront; ensure connectivity for freight and cruise-related vehicles between Interbay, Ballard and Duwamish industrial areas, Interstate 5 and Interstate 90 and Seattle-Tacoma International Airport; provide access for port cargo, fishing and cruise facilities; minimize construction disruption; and increase opportunities for the public and freight to access the shoreline and waterfront.

2. The Port will take steps to obtain funding as described herein while retaining at all times the strategic financial capability to meet its overarching public obligations: maintaining current assets; responding to emerging customer or market demands; continuing significant environmental remediation and restoration projects; and maintaining sufficient transportation access in and around its facilities.

3. The Port and State acknowledge that contributions will be made during the life of the AWVSRP but no funds are being authorized by the Port Commission upon approval of this Agreement. The Parties intend to request authorization from the Port Commission for a portion of the Port’s contribution to AWVSRP as early as possible in 2010.
4. The sum of $25 million will be counted toward the Port’s $300 million contribution to the AWVSRP as follows:
   a. Up to $19 million for existing or recently completed Port funding commitments on transportation projects related to the SR 99 system (such as the East Marginal Way Overpass, SR 519 Phase 2, the Spokane Street Viaduct, and the Duwamish ITS).
   b. The remaining $6 million will be allocated to those projects complementary to the AWVSRP, such as Mercer Corridor West, as negotiated by the Parties. Allocation of the $6 million under this subsection will be based upon valid data and traffic analysis agreed to by the Parties.

5. A funding plan describing the specific timing and amounts of the Port’s contribution over the life of the AWVSRP will be developed by the Parties. It is understood that the majority of the Port’s contribution will occur in the years 2016-2018.

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement as of the last day and year written below.

PORT OF SEATTLE
By: _______________________________
Print: William Bryant
Title: President, Seattle Port Comm.
Date: 4-12-2010

STATE OF WASHINGTON
By: _______________________________
Print: CHRISTINE GREGOIRE
Title: GOVERNOR
Date: 4-12-2010

PORT OF SEATTLE
By: _______________________________
Print: YOSH TAN
Title: CEO
Date: 4-12-2010

APPROVED AS TO FORM:
By (print)
Signature
Assistant Attorney General
Date: 4-5-2010

GCA 6444
MEMORANDUM
August 26, 2013

TO: Todd Trepanier
WSDOT Public Transportation

FROM: Susan Cruise, Assistant Attorney General
Transportation & Public Construction Division, MS 40113

SUBJECT: GCB 1140 SR 99 Alaskan Way Viaduct Replacement Program Funding Agreement between WSDOT and Port of Seattle

I have signed and approved as to form the attached two original GCB 1140 SR 99 Alaskan Way Viaduct Replacement Program Funding Agreements between WSDOT and Port of Seattle.

Thanks for all your assistance!

SC: caa
Attachments
THIS AGREEMENT ("Agreement") for the Alaskan Way Viaduct Replacement Program ("PROGRAM") is made and entered into between the State of Washington, hereinafter the "STATE," and the Port of Seattle hereinafter the "PORT," collectively the "Parties" and individually the "Party."

WHEREAS, in the 1950s, the City of Seattle ("City") and the Washington State Department of Transportation jointly designed and built the Alaskan Way Viaduct to accommodate passenger and freight mobility into the foreseeable future; and

WHEREAS, the central waterfront section of the Alaskan Way Viaduct passes through and is adjacent to downtown Seattle’s urban core and the Seattle waterfront; and

WHEREAS, the Duwamish and Ballard-Interbay industrial areas in Seattle are served by the SR 99 corridor and constitute a significant portion of Seattle’s maritime and industrial sector jobs and annual city-wide revenue; and

WHEREAS, in 2001 the Nisqually earthquake damaged the Alaskan Way Viaduct; and

WHEREAS, the Alaskan Way Viaduct is at risk of sudden and catastrophic failure in an earthquake and is nearing the end of its useful life; and

WHEREAS, a failure to maintain the Alaskan Way Viaduct capacity would result in unacceptable congestion for freight and other traffic within and between Seattle’s harbor and industrial areas; and

WHEREAS, in March 2007, the Washington State Governor, the King County Executive, and the Mayor of Seattle pledged to advance a series of key SR 99 projects (Moving Forward Projects) that will facilitate the removal and/or repair of key portions of SR 99, including the Yesler Way Vicinity Stabilization Project, the Electrical Line Relocation project, Battery Street Tunnel Fire and Life Safety Upgrades, SR 99 Lenora to Battery Street Tunnel Improvements, the SR 99 South Holgate Street to South King Street Viaduct Replacement Project, Transit Enhancements and Other Improvements; and

WHEREAS, in January 2009, the Governor of Washington State, the Mayor of Seattle and the King County Executive jointly recommended replacing the Alaskan Way Viaduct with a bored tunnel beneath downtown Seattle in conjunction with improvements in surface streets and transit service, and Port of Seattle Chief Executive Officer, Tay Yoshitani, endorsed the deep-bore tunnel concept; and
WHEREAS, the PROGRAM includes system-wide projects led by the Washington State Department of Transportation (such as Holgate to King viaduct replacement, Atlantic Street overcrossing, SR519 Phase 2, New Alaskan Way, Connection to Elliott Avenue/Western Avenue, SR 99 Bored Tunnel, new connections at the north and south portals), King County (such as South End Transit, North End Transit and other transit investments), the City (such as Spokane Street Viaduct, Mercer Corridor, Central seawall replacement and First Avenue Street car study) and the PORT (East Marginal Way Grade Separation), anticipated to total $4.2 billion; and

WHEREAS, the Washington State Legislature passed ESSB 5768 and the Governor signed the bill into law designating and funding the SR 99 Bored Tunnel Project as the replacement for the Viaduct; and

WHEREAS, the STATE executed a design-build contract with Seattle Tunnel Partners in January 2011 for the design and construction of the SR 99 Bored Tunnel Project as a replacement for the Alaskan Way Viaduct as part of the PROGRAM; and

WHEREAS, the STATE issued a Supplemental Draft Environmental Impact Statement meeting the requirements of the National Environmental Policy Act and State Environmental Policy Act in 2010, issued a Final Supplemental Environmental Impact Statement and Section 4(f) Evaluation in July 2011 and issued a Record of Decision in August 2011 for the SR 99 Bored Tunnel Project; and

WHEREAS, as outlined in the Parties’ prior Memorandum of Agreement No. GCA 6444; the STATE and the PORT are committed to a replacement for the Viaduct that will improve transportation access to and along the Seattle waterfront, including access for over eight million annual ferry riders; ensure connectivity and capacity between the Ballard-Interbay and Duwamish industrial areas and Seattle-Tacoma International Airport, including a corridor for oversized vehicles; provide access to port cargo, fishing and cruise facilities; minimize construction disruption; and increase opportunities for the public and freight to access the shoreline and waterfront; and

WHEREAS, the PORT’s international trade, aviation, economic development, tourism and passenger terminal activities are vital to the economic growth of the region and the state, supporting nearly 194,000 jobs in the region, and the STATE and the PORT support infrastructure improvements necessary to achieve growth in trade and jobs and increase the region’s competitiveness in global markets; and

WHEREAS, the Viaduct corridor is crucial to the region’s freight mobility because it provides for 1.5 million freight trips annually by grade-separation of through traffic, rail lines and industrial corridors near the Port’s marine terminals, which support the movement of $30 billion in international and domestic cargo through the Port each year; and
WHEREAS, the STATE has designed and is constructing improvements, also known as "AWV S Holgate to S King St Stage 3 - Atlantic St Bypass Project," to the surface street system in the vicinity of the corridor segment from S. Holgate Street to S. King Street that are designed to increase access to Terminal 46 and other port waterfront facilities. This project provides a new overcrossing at South Atlantic Street which will significantly improve freight hauling efficiency between the Port and Interstate 5 and Interstate 90, allowing trucks to bypass the frequent and lengthy railroad track blockages across South Atlantic Street; and

WHEREAS, the STATE's contractor is designing and will construct the SR 99 Tunnel Alternative, Tunnel Design Build (also known as, the SR 99 Bored Tunnel Project), and the PORT has reviewed its design scope and determined that, when completed, it will offer a direct benefit to the PORT by maintaining throughput capacity in the SR 99 corridor; keeping additional traffic off I-5; and minimizing construction disruption to regional traffic, industrial and maritime businesses, and PORT facilities; and

WHEREAS, the STATE and the City are designing and will construct other projects included in the PROGRAM that will maintain and improve traffic flow along the Seattle waterfront and between the Seattle waterfront and STATE highways; and,

WHEREAS the STATE has developed a plan for delivering the PROGRAM that includes: (i) the SR 99/Tunnel Alternative, South Access Connection Project that will facilitate freight movement to and from PORT container terminals along East Frontage Road, South Atlantic Street, South Royal Brougham Way, Alaskan Way South, East Marginal Way South, and South Dearborn Street; and (ii) the SR 99/Tunnel Alternative, North Access Connection Project that will ensure access to PORT cargo, fishing and cruise facilities via the tunnel or north end arterials, including Sixth Avenue North, North Republican Street, Dexter Avenue North, Aurora Avenue, and two-way North Mercer Street; and,

WHEREAS, the STATE has proposed that the PORT assist with the funding of the SR 99 Tunnel Alternative, Tunnel Design Build; the SR 99/Tunnel Alternative, South Access Connection Project; and the SR 99/Tunnel Alternative, North Access Connection Project hereinafter identified individually as the "PROJECT" and collectively as the "PROJECTS" and the PORT deems the PROJECTS to provide a direct benefit to the PORT; and

WHEREAS, the STATE will construct the PROJECTS using the design-build and design-bid-build methods of project delivery; and

WHEREAS, the Parties executed Memorandum of Agreement No. GCA 6444, Alaskan Way Viaduct and Seawall Replacement Program Bored Tunnel Alternative on April 12, 2010 in which the PORT agreed, to the extent feasible and authorized by the Port Commission, to fund or procure funding within the life of the PROGRAM not to exceed Three Hundred Million Dollars ($300,000,000) toward the STATE's PROGRAM elements with the majority of the PORT's contribution occurring between 2016 and 2018; and
WHEREAS, the PORT allocated Twenty-Five Million Dollars ($25,000,000) of up to Three Hundred Million Dollars ($300,000,000) for funding of transportation projects serving the SR 99 system; and

WHEREAS, the Parties agree that the PORT has contributed Nineteen Million Dollars ($19,000,000) of the Twenty-Five Million Dollars ($25,000,000) towards funding of the East Marginal Way Overpass, Spokane Street widening, Duwamish Intelligent Transportation System and the SR 519 South Seattle Intermodal Access Project Phase 2 projects that complement the PROGRAM and provide capacity for future growth and improved safety; and

WHEREAS, the STATE has requested that the PORT’s contribution toward funding of the PROJECTS begin on May 1, 2015; and

WHEREAS, the PORT would incur additional costs associated with issuing bonds prior to 2016; and

WHEREAS, the PORT has incurred additional costs associated with staffing requirements for assisting the STATE in developing the design, coordinating construction and other activities required to successfully complete the PROGRAM, including the PROJECTS; and

WHEREAS, the Parties wish to provide the terms and conditions of the PORT’s future contribution towards funding the PROJECTS;

NOW, THEREFORE, by virtue of RCW 53.08.330, RCW 53.08.340 and RCW 47.28.140 and in consideration of the terms, conditions, covenants and performances contained herein, or attached and incorporated and made a part hereof, the Parties agree as follows:

1.0 STATE RESPONSIBILITIES

1.1 The STATE will ensure that the PROGRAM will be designed and constructed to facilitate efficient movement of freight and other traffic on the west corridors of the Seattle transportation system between the Duwamish and Ballard-Interbay neighborhoods, including maintaining access to fishing, cruise and other PORT facilities. The STATE further agrees that, at a minimum, the reconstruction of the surface streets on the central waterfront segment of the PROGRAM will include two lanes in each direction with left turn lanes at intersections between Pine Street and the Colman Dock. The section south of Colman Dock will have at a minimum, two continuous general purpose lanes in each direction, at all times, and a continuous northbound ferry queuing lane that expands to two lanes between Main Street and Yesler Way.

1.2 The STATE will ensure that: (i) all environmental review and documentation has been successfully completed and approved prior to construction of the tunnel, north portal,
south portal and central waterfront surface street projects; (ii) designs of PROJECTS are consistent with the STATE's and PORT's needs and provide the PORT with the direct benefit that has been committed to by the Parties; (iii) the PORT has the opportunity to review and comment on channelization plans prior to construction of each project in the PROGRAM; (iv) it coordinates with the PORT prior to making any changes to channelization plans that have been reviewed and commented on by the PORT; (v) all costs of the PROJECTS funded with proceeds from PORT issued bonds meet the requirements of the Internal Revenue Service ("IRS") for the use of tax exempt bond proceeds; and (vi) that the PORT continues as a member of Maintenance of Traffic Task Forces associated with construction of the PROJECTS.

2.0 PORT RESPONSIBILITIES

2.1 The PORT agrees to contribute Two Hundred Seventy-five Million Dollars ($275,000,000) solely towards the costs of constructing the PROJECTS, less Seven Million Three Hundred Thousand Dollars ($7,300,000) representing PORT costs for work performed by PORT staff that is related to the PROGRAM, including the PROJECTS, plus additional PORT costs associated with issuing bonds earlier than 2016 to meet its obligations under Section 3.0, for a total PORT contribution not to exceed Two Hundred and Sixty-seven Million, Seven Hundred Thousand Dollars ($267,700,000).

2.2 Subject to this Section 2, the PORT agrees to fund construction for the PROJECTS, including mobilization, temporary erosion and sedimentation control, earthwork, pavement, drainage, structures, and utilities in the amounts and according to the schedule as described in Section 3.0 - Payment.

2.3 In addition to the total PORT contribution set forth in Section 2.1, the STATE may, by no later than 2018, request an additional PORT contribution of up to Six Million Dollars ($6,000,000) to assist in funding transportation projects identified by the STATE as serving the SR 99 system. The Parties acknowledge and agree that PORT shall determine in its sole and absolute discretion whether and how much to contribute under this Section and, if so, how it will allocate any such contribution among the projects identified by the STATE. Any additional PORT contribution under this Section 2.3 shall be documented by attaching the minutes of the Port Commission authorizing such contribution as Supplement 1 to this Agreement, thereby incorporating it herein without further amendment.

2.4 The Port shall follow all requirements, included but not limited to I.R.S. regulations, associated with bond proceeds arbitrage.

3.0 PAYMENT

3.1 Subject to Section 2, the PORT agrees to make payments to the STATE in the amounts and according to the schedule set forth below:

GCB 1140
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AWV Replacement Project Federal Financial Plan 2014 Annual Update Appendices  page 218
a. Upon receiving the STATE’s request for payment no later than April 1, 2015, the PORT shall make a lump sum payment of One Hundred Twenty Million Dollars ($120,000,000) no later than May 1, 2015.

b. Upon receiving the STATE’s request for payment no later than April 1, 2016, the PORT shall make a lump sum payment of One Hundred and Forty-seven Million Dollars ($147,700,000) no later than May 1, 2016.

3.2 All requests for payment by the STATE under Section 3.1 shall include copies of contractor progress payments that support reimbursement to the STATE for actual construction costs incurred for the PROJECTS.

3.3 In the event the PORT commits to an additional contribution under Section 2.3, all requests for payment by the STATE therefor shall include copies of contractor progress payments that support reimbursement to the STATE for actual construction costs incurred for the project(s) identified in Supplement 1 to this Agreement.

4.0 MODIFICATIONS TO FUNDING OR SCOPE OF THE PROJECTS AND AMENDMENT

4.1 The Parties may adjust the PORT’s contributions to the Projects under Section 2.0 by written amendment reflecting changes to the Port’s timing and contribution, except as otherwise set forth in Section 2.3. In fulfilling its obligations under this Agreement, the Port will at all times retain its duty to act in the best interests of the Port.

4.2 Either Party may request changes to the provisions contained in this Agreement. Such changes shall be mutually agreed upon and incorporated by written amendment to this Agreement, except as otherwise set forth in Section 2.3. No variation or alteration of the terms of this Agreement shall be valid unless made in writing and signed by authorized representatives of the Parties hereto, except as otherwise set forth in Section 2.3.

5.0 NOTIFICATION

5.1 Any notice required or permitted to be given pursuant to this Agreement shall be in writing, and shall be sent postage prepaid by U.S. Mail, return receipt requested, to the following addresses unless otherwise indicated by the Parties to this Agreement:

To the STATE: Linea Laird, P.E.
Program Administrator
Washington State Dept. of Transportation
999 Third Avenue, Suite 2424
Seattle, WA 98104

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6.0 RECORDS RETENTION AND AUDIT

6.1 The PORT or its designee shall have the right to inspect, audit and/or copy records supporting or pertaining to the PORT’s funding assistance to the PROGRAM and accounting thereof for the purpose of determining anything that is of consequence to this Agreement.

6.2 The STATE shall retain the records pertaining to or supporting the PORT’s funding assistance to the PROGRAM for the periods required below. The STATE shall also ensure that relevant wage, payroll and cost records of all contractors, subcontractors and suppliers at all tiers shall be retained and open to similar inspection or audit for the periods required below:

   a. During the progress of work related to the PROJECTS funded by the PORT;

   b. For a period of not less than six (6) years after the PORT’s final payment to the STATE under this Agreement; provided that if tax exempt bonds are used to provide funding assistance to the PROGRAM, the STATE shall retain records necessary to address an IRS audit for the period required under applicable IRS regulations; and

   c. If any claim, audit, or litigation arising out of, in connection with, or related to this Agreement is initiated, all documents and records shall be retained until such claim, audit or litigation involving the records is resolved or completed, whichever occurs later.

6.4 The STATE, its contractors, subcontractors and suppliers shall make a good faith effort to cooperate with the PORT and its designees when the PORT gives notice of its need to inspect or audit records referenced in this Section 6. Cooperation shall include assistance as may be reasonably required in the course of inspection or audit, including reasonable access to personnel with knowledge of the contents of the records being inspected or audited so that the information in the records is properly understood by the persons performing the inspection or audit. Cooperation shall also include establishing a specific mutually agreeable timetable for making the records available for inspection by the PORT and its designee. Unless otherwise agreed, if the STATE, its contractor, subcontractors and suppliers cannot make at least some of the relevant records available for inspection within twenty-eight (28) calendar days of the PORT’s written request, cooperation will necessarily entail providing the PORT with a reasonable explanation for the delay in production of records.
7.0 DISPUTE AVOIDANCE AND RESOLUTION

7.1 Designated Representatives:

PORT: Anne Porter
     Capital Project Manager V
     Port of Seattle
     Capital Development Division
     P. O. Box 1209
     Seattle, WA 98104
     206-787-3133

STATE: Todd V. Trepanier
       Deputy Administrator - Operation
       Alaskan Way Viaduct Replacement Program
       999 Third Avenue, Suite 2200
       Seattle, WA 98104
       206-805-2813

7.2 The designated representatives herein under section 7.1, shall use their best efforts to resolve disputes between the Parties. If the designated representatives are unable to resolve a dispute, then the responsible project managers or directors for each Party shall review the matter and meet as soon as possible, but in no case later than ten (10) business days after request by either Party, to attempt to resolve it. If the project managers or directors are unable to resolve the dispute, the matter shall be reviewed by the department director or Chief Executive Officer of each Party or his or her designee. The department director or Chief Executive Officer of each Party shall meet within ten (10) business days of request by either Party after exhaustion of the previous procedural steps. If after that meeting, the Parties are still not able to resolve the dispute, the Parties shall submit the dispute to mediation. Representatives of each party with authority to settle the claim, or in the case of the PORT, if Commission approval is required, management authority to recommend settlement of the claim directly to Commission, shall participate in the mediation. The mediator’s fee will be divided equally among the parties participating in the mediation. The Parties agree to exhaust each of these procedural steps before seeking to resolve disputes in a court of law or any other forum.

8.0 EFFECTIVENESS AND DURATION

8.1 This Agreement is effective upon execution by both Parties and will remain in effect until final completion of all Parties’ obligations contained or referenced in this Agreement, unless otherwise amended or terminated.
9.0 INDEMNIFICATION AND HOLD HARMLESS

9.1 Each of the Parties shall protect, defend, indemnify, and save harmless the other Party, its officers, officials, employees, and agents, while acting within the scope of their employment as such, from any and all costs, claims, judgment, and/or awards of damages, arising out of, or in any way resulting from, each of the Party’s own negligent acts or omissions. The STATE shall also protect, defend, indemnify and save harmless the PORT, its officers, officials, employees and agents (“PORT indemnified parties”), from any and all costs, claims, judgment and/or awards of damages, arising out of, or in any way resulting from the design, permitting, or construction of the PROJECTS or the PROGRAM. In no event shall the PORT have any maintenance or repair obligation with respect to Alaskan Way, or facilities lying in public right-of-way along Alaskan Way, or arising out of or related to the PROGRAM or the PROJECTS. No Party will be required to indemnify, defend, or save harmless the other Party, its officers, officials, employees and agents if the claim, suit, or action for injuries, death, or damages is caused by the sole negligence of the other Party. Where such claims, suits, or actions result from the concurrent negligence of the Parties, the indemnity provisions provided herein shall be valid and enforceable only to the extent of a Party’s own negligence.

Each of the Parties agrees that its obligations under this Section extend to any claim, demand and/or cause of action brought by, or on behalf of, any of its employees or agents. For this purpose, each of the Parties, by mutual negotiation, hereby waives, with respect to each of the other Party only, any immunity that would otherwise be available against such claims under the Industrial Insurance provision of Title 51 RCW.

9.2. In the event of legal action challenging the PORT’s legal authority to provide funding assistance to the PROGRAM or the PROJECTS, the PORT, in its sole discretion, may defend such legal action or may tender the defense to the STATE within ten (10) business days after the service of such legal action. Failure to tender the legal action to the STATE as provided herein shall constitute a waiver of PORT’s right, if any, to seek indemnity for the claims asserted therein. In the event that the PORT chooses to tender to the STATE the defense of such legal action, and, to the extent permitted by law, the STATE shall defend, pay the cost of such defense, save harmless, and indemnify the PORT indemnified parties from any and all costs, claims, judgment and/or awards of damages, arising out of, or in any way resulting from, such legal action.

9.3 The indemnification, hold harmless, and/or waiver obligations described in this section shall survive the termination of this Agreement. In any action to enforce the provisions of the Section, the prevailing Party shall be entitled to recover its reasonable attorney’s fees and costs incurred from the other Party.

10.0 VENUE

10.1 This Agreement shall be deemed to be made in the County of King, State of Washington, and the legal rights and obligations of the STATE and PORT shall be
determined in accordance with the laws of the State of Washington. All legal actions in connection with this Agreement shall be brought in the County of King, State of Washington.

11.0 RELATIONSHIP OF PORT AND STATE

11.1 Nothing contained herein shall be deemed or construed as creating the relationship of principal and agent, partnership, or joint venture partners between the STATE and the PORT.

12.0 AUTHORIZED SIGNATURES

12.1 The undersigned acknowledge that they are authorized to execute this Agreement and bind their respective agencies to the obligations set forth herein.

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement as of the latest date written below.

PORT OF SEATTLE

By: Tay Yoshitani  
Chief Executive Officer  
Date: 8/14/13

STATE OF WASHINGTON  
DEPARTMENT OF TRANSPORTATION

By: Linea Laird, P.E.  
Program Administrator  
Alaskan Way Viaduct and Seawall Replacement Program  
Date: 8/27/13

APPROVED AS TO FORM:

By: Isabel R. Safra  
Deputy General Counsel  
Date: 8/12/13

APPROVED AS TO FORM:

By: Susan Cruise  
Assistant Attorney General  
Date: August 26, 2013
Appendix L

Memorandum of Agreement
Property, Environmental Remediation, Design Review, Permitting, and Construction Coordination Agreement between the State of Washington and the City of Seattle

(GCA 6486)

May 23, 2011
MEMORANDUM OF AGREEMENT
NO. GCA 6486
SR 99 ALASKAN WAY VIADUCT
PROPERTY, ENVIRONMENTAL REMEDIATION, DESIGN REVIEW,
PERMITTING, AND CONSTRUCTION COORDINATION
AGREEMENT
FOR SR 99 BORED TUNNEL PROJECT

THIS Property, Environmental Remediation, Design Review, Permitting, and
Construction Coordination Agreement, No. GCA 6486 for the SR 99 Bored Tunnel
Project ("Agreement" or "SDOT Agreement" or "GCA 6486 Agreement") is made and
entered into, as provided in RCW 39.34.080, RCW 47.12.040 and other applicable law,
between the Washington State Department of Transportation, hereinafter the "STATE,
and the City of Seattle hereinafter the "CITY" (managed by the Seattle Department of
Transportation, hereinafter "SDOT"), collectively the "PARTIES" and individually the
"PARTY.".

WHEREAS, the Alaskan Way Viaduct (AWV) and seawall are at risk of sudden and
catastrophic failure in an earthquake and are nearing the end of their useful lives; and

WHEREAS, the STATE and the Federal Highway Administration (FHWA), in
consultation with the CITY, are proposing improvements to State Route 99 (SR 99),
currently a non-limited access highway that includes the AWV; and

WHEREAS, in March 2007, the Governor, the King County Executive and the Mayor of
Seattle pledged to advance a series of key SR 99 projects (Moving Forward Projects) that
will facilitate the removal and/or repair of key portions of SR 99, which are: Yesler Way
Vicinity Stabilization Project, Electrical Line Relocation (formerly known as Electrical
Utility Relocation Phase 1 under agreement No. GCA 5680), Battery Street Tunnel Fire
and Life Safety Upgrades, SR 99 Lenora to Battery Street Tunnel Improvements, the SR
99 South Holgate Street to South King Street Viaduct Replacement Project, and Transit
Enhancements and Other Improvements; and

WHEREAS, in January 2009, the Governor, the King County Executive and the Mayor
of Seattle recommended replacement of the existing AWV structure in the central
waterfront area with a bored tunnel; and

WHEREAS, in October 2009 the Governor and the Mayor executed a Memorandum of
Agreement, GCA 6366, which described the basic roles and responsibilities for the
implementation of the Alaskan Way Viaduct and Seawall Replacement (AWVSR)
Program; and
WHEREAS, the AWVSR Program (PROGRAM) consists of a four-lane bored tunnel and improvements to City streets, the City waterfront and transit; and the Moving Forward Projects; and

WHEREAS, the PARTIES are entering into this Agreement on the assumption that the PROGRAM can and will be completed at or below the current WSDOT PROGRAM budget; and

WHEREAS, the PROJECT, the subject of this Agreement, is the part of the PROGRAM that replaces SR 99 from South Royal Brougham Street to Roy Street that consists of designing and constructing a four-lane bored tunnel from South King Street to Thomas Street, north and south tunnel portals and access streets; re-establishment of the City street grid in the vicinity of the portals and associated utility relocations; and

WHEREAS, Battery Street Tunnel decommissioning and Alaskan Way-Viaduct demolition will be addressed in a future agreement; and

WHEREAS, the CITY and STATE agree to work collaboratively toward the successful completion of the PROJECT and endeavor to open the tunnel by the end of 2015 and demolish the AWV in 2016; and

WHEREAS, the PROJECT is consistent with the City of Seattle’s adopted Comprehensive Plan; and

WHEREAS, review of the PROJECT pursuant to the State and City environmental policy laws is currently underway and the PARTIES recognize that changes in the alternative chosen would require a new agreement; and

WHEREAS, the CITY and the STATE will deliver the PROJECT within the financial commitments made in the Memorandum of Agreement, GCA 6366, executed by the PARTIES on October 24, 2009; and

WHEREAS, concurrently with this GCA 6486 Agreement, the STATE and CITY, through Seattle City Light (SCL), are entering into an agreement, UT 01476; and

WHEREAS, concurrently with this GCA 6486 Agreement, the STATE and CITY, through its Seattle Public Utilities Department (SPU), are entering into an agreement, UT 01474; and

WHEREAS, the PROJECT will in some instances require the use of existing CITY Street Right-of-Way; and

WHEREAS, the CITY will own and/or maintain significant infrastructure to be constructed as part of the PROJECT; and
WHEREAS, some portion of SR 99 is within the PROJECT and is a City street serving as part of a State Highway under RCW 47.24.010; and

WHEREAS, the PARTIES wish to establish protocols and procedures for property acquisition, environmental remediation, design review, permitting, and construction coordination to govern their relationship during the course of the PROJECT; and

WHEREAS, some or all of the work covered by this Agreement may be accomplished by executed "Task Order" documents.

NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performances contained herein, or attached and incorporated and made a part hereto,

IT IS MUTUALLY AGREED AS FOLLOWS:

1. DEFINITIONS

Words not otherwise defined, which have well-known technical or construction industry meanings, are used in accordance with such recognized meanings.

1.1 Approved Plans means the construction plans and provisions that evidence the CITY's determinations, made through the processes described in Sections 6 and 7 and Exhibit B of this Agreement, that the plans conform to the criteria established in this Agreement, UT 01474 and UT 01476; Approved Plans are included in the contract documents evidencing the agreement between the STATE and its contractors for construction of a given element of the PROJECT.

1.2 AWV means the Alaskan Way Viaduct structure on State Route 99, currently a non-limited-access highway over a portion of CITY Street Right-of-Way.

1.3 Business Days means Monday through Friday, inclusive, except for official City of Seattle and state holidays.

1.4 CITY means the City of Seattle, a Washington municipal corporation.

1.5 City Construction Project Engineer means the person designated by SDOT to act as the City's coordinator and primary representative in matters arising during the course of construction as set forth in this Agreement.

1.6 CITY Designated Representative means the CITY official listed in Section 25 of this Agreement.
1.7 **CITY Facilities** means SCL Facilities, SDOT Facilities, SPU Facilities and facilities impacted by, or constructed as part of, the PROJECT that are owned or will be owned by any other CITY agency.

1.8 **CITY Infrastructure** means the portions of SPU Facilities, SCL Facilities and City Street Right-of-Way improvements constructed or modified as part of the PROJECT to be owned, operated and maintained by the CITY.

1.9 **CITY Interest Property** means CITY Street Right-of-Way plus all other real property that the CITY owns or in which the CITY has a real property interest on the effective date of this Agreement, or in connection with the PROGRAM is to acquire ownership of or an interest in real property or a different utility-related right from the STATE, which includes, but is not limited to, Program Transfer Property. CITY Interest Property does not include real property acquired or to be acquired by the STATE for planned limited access facilities such as the bored tunnel, portals and access for which no real property interest or different utility-related right will be transferred to the CITY.

1.10 **City of Seattle** means CITY.

1.11 **City Standards** means all City of Seattle laws, rules, regulations and standards and all applicable federal and state laws, rules, regulations and standards, including but not limited to the following, except as otherwise provided in this Agreement, UT 01474 and UT 01476:

   1.11.1 The Seattle Municipal Code;
   1.11.2 The City of Seattle Standard Specifications for Road, Bridge and Municipal Construction;
   1.11.3 The City of Seattle Standard Plans for Municipal Construction;
   1.11.4 SDOT, SCL, DPD and SPU Director’s Rules, including the City of Seattle Right of Way Improvements Manual, 2005-22 and any revisions to the Manual;
   1.11.5 SCL Material Standards; and
   1.11.6 SCL Construction Guidelines.

1.12 **CITY Street Right-of-Way** means public street right-of-way under the jurisdiction of SDOT pursuant to Title 15 of the Seattle Municipal Code.

1.13 **Conflicting Facilities** means all SCL Facilities and all SPU Facilities identified by the STATE that have alignments intersecting or that directly conflict with the final configuration of the proposed SR 99 bored tunnel portals and tunnel portal excavations. Conflicting Facilities do not include any SPU Facilities or SCL Facilities that have been relocated to or installed or reconstructed in their present location by the STATE or by order of the STATE as part of the Moving Forward projects of the Program south of Dearborn Street.

1.14 **Contract Award** means the STATE’s written decision accepting a bid for construction of a Project.
1.15 Defective Work means design or construction work or materials that fail to comply with the Approved Plans, or CITY-approved modifications to the Approved Plans, or the laws, rules, regulations or standards as specified in this Agreement.

1.16 Deformation means any 3-dimensional displacement or combination of displacements. This definition includes but is not limited to the terms “tilt,” “strain,” “settlement,” “heave,” “lateral movement,” and related terminology that are common industry terminology for deformation in specific situations. Where such industry terminology is used for convenience herein, it does not imply that the broad definition of deformation has been limited.

1.17 Design-Bid-Build Contract means a project delivery method in which the STATE provides a complete design, advertises for bids, and awards a contract to the lowest responsive bidder who is responsible for completing the construction of the project.

1.18 Design-Build Contract means a project delivery method in which the STATE develops a conceptual design and requests proposals from pre-qualified contractors. The contract is awarded to the contractor with the best value responsive proposal. The contractor is responsible to complete the design and construct the project.

1.19 Design Builder means the entity with whom the STATE enters into a Design-Build Contract and who is responsible to complete the design and construct the project.

1.20 Design Submittal means plans, specifications, and design documentation representing design of a given project element in a Design-Build Contract.

1.21 DPD means the City of Seattle Department of Planning and Development.

1.22 Engineer of Record means the engineer licensed in the State of Washington who has been commissioned by the STATE as the prime engineer of the PROJECT, having overall responsibility for the adequacy of the design and the coordination of the design work of other engineers and whose professional seal is on the Approved Plans.

1.23 Environmental Compliance Assurance Procedure (ECAP) means procedures incorporated into the then-current WSDOT Construction Manual M41-01.05 (Section 1-2.2k(1)) and WSDOT Environmental Procedures Manual M31-11.05 (Sections 610 and 690), as modified by this Agreement, which provide guidance on compliance with Environmental Laws and environmental Remediation. The purpose of the ECAP is to recognize and eliminate environmental violations during the construction phase on STATE construction sites and to ensure prompt notification to STATE management and agencies. For purposes of the ECAP, violations are defined as actions that are not in compliance with environmental standards, permits, or laws.

1.24 Environmental Law(s) means any environmentally related local, state or federal law, regulation, ordinance or order (including without limitation any final order of any
court of competent jurisdiction of which the STATE has knowledge), now or hereafter in
effect including, but not limited to: the Federal Clean Air Act; the Federal Water
Pollution Control Act; the Federal Safe Drinking Water Act; the Federal Comprehensive
Environmental Response Compensation and Liability Act, as amended by the Superfund
Amendments and Reauthorization Act of 1986; the Federal Resource Conservation and
Recovery Act, as amended by the Solid and Hazardous Waste Amendments of 1984; the
Federal Occupational Safety and Health Act; the Federal Emergency Planning and Right-
to-Know Act of 1986; the Federal Hazardous Materials Transportation Control Act of
1980; the Federal Clean Water Act of 1977; the Federal Insecticide, Fungicide and
Rodenticide Act; the Federal Waste Management Recovery and Recycling Act; the
Washington Hazardous Waste Management Act; the Washington Hazardous Waste Fees
Act; Washington Model Toxics Control Act; the Washington Nuclear Energy and
Radiation Act; the Washington Radioactive Waste Storage and Transportation Act; the
Washington Underground Petroleum Storage Tanks Act; and any regulations
promulgated thereunder from time to time.

1.25 Final Design Submittal means plans, specifications, and design documentation
representing complete design of a given project element in a Design-Build Contract. The
Final Design Submittal addresses and incorporates review comments from the
Preliminary Design Submittal.

1.26 Final Plan Review Package means the Plan Review Package submitted to the
CITY that comprises the STATE's contract documents including contract addenda and
fully incorporates or otherwise addresses all CITY plan review comments and all
applicable conditions of the Street Use Permit.

1.27 Hazardous Substance(s) means any substance, or substance containing any
component, now or hereafter designated as a hazardous, dangerous, toxic or harmful
substance, material or waste, subject to regulation under any federal, state or local law,
regulation or ordinance relating to environmental protection, contamination or cleanup
including, but not limited to, those substances, materials and wastes listed in the United
States Department of Transportation Hazardous Materials Table (49 C.F.R. §172.101) or
by the United States Environmental Protection Agency as hazardous substances (40
C.F.R. pt. 302 and amendments thereto) or in the Washington Hazardous Waste
Management Act (Ch. 70.105 RCW) or the Washington Model Toxics Control Act (Chs.
70.105D RCW and 82.21 RCW), petroleum products and their derivatives, and such
other substances, materials and wastes as become regulated or subject to cleanup
authority under any Environmental Law.

1.28 Letter of Acceptance means the written document that signifies the CITY's
acceptance of CITY Infrastructure to be owned by the CITY, and shall signify the
STATE's transfer of CITY Infrastructure to be owned by the CITY. The Letter of
Acceptance will not transfer any interest in real property. The Letter of Acceptance shall
be jointly executed by the PARTIES. A Letter of Acceptance for SPU Facilities requires
SPU approval and a Letter of Acceptance for SCL Facilities requires SCL approval.
1.29 **Letter of Plan Approval** means the letter provided to the STATE by the CITY following the completion of the plan review process, signifying that the plans and specifications identified in the letter are the Approved Plans. A Letter of Plan Approval for SPU Facilities requires SPU approval and a Letter of Plan Approval for SCL Facilities requires SCL approval as part of the Procedures outlined in Exhibit B of this Agreement.

1.30 **MTCA** means the Washington Model Toxics Control Act (Chs. 70.105D RCW and 82.21 RCW).

1.31 **Plan Review Package** means clear and complete plans, specifications, and the necessary assumptions, studies, models and calculations upon which the design was based, and corrections previously requested by the CITY with respect to design-bid-build projects.

1.32 **100% Plan Review Package** means the Plan Review Package submitted to the CITY concurrent with STATE’s final internal review of the construction contract plans and contract provisions that shall evidence the agreement between the STATE and its contractors for construction of design-bid-build projects.

1.33 **Private Utilities** mean utility uses, excluding facilities owned and operated by the CITY, whether approved or not through franchise agreements and/or Street Use Permits by the CITY and governed and enforced through City Ordinance.

1.34 **Procedures** mean Design Review, Construction Management, Inspection and Record Drawing Procedures, attached as Exhibit B to GCA 6486.

1.35 **PROJECT** means the part of the PROGRAM that replaces SR 99 from South Royal Brougham Street to Roy Street and that consists of designing and constructing a four-lane bored tunnel from South King Street to Thomas Street, north and south tunnel portals and access streets, re-establishment of the City street grid in the vicinity of the portals (Battery Street Tunnel decommissioning and Alaskan Way Viaduct demolition will be addressed in a future agreement); and associated utility relocations. The PROJECT description is attached as Exhibit A.

1.36 **PROGRAM** means all the projects, collectively, implemented by the STATE and the CITY that remove and replace the AWV and seawall.

1.37 **Program Property** means all real property interests acquired and to be acquired by the STATE for the PROGRAM.

1.38 **Program Transfer Property** means all Program Property identified by the STATE and the CITY for transfer from the STATE to the CITY in fee simple.
1.39 **Project Property** means all real property interests acquired and to be acquired by the STATE and used for the PROJECT.

1.40 **Released for Construction Submittal** (RFC Submittal) means in a Design-Build Contract, plans and specifications for a given project element that are construction ready and have been certified by the Design-Builder as having met all contract requirements and received all approvals and permits. The Released for Construction Submittal addresses all review comments from the Preliminary and Final Design Submittals.

1.41 **Relocation Work** means the removal or abandonment of Conflicting Facilities maintenance of service for those facilities and the installation or reconstruction of Conflicting Facilities to their permanent and final location.

1.42 **Remediation** means the same as Remedy or Remedial Action defined in MTCA, which includes any action or expenditure consistent with the purposes of MTCA to identify, eliminate, or minimize any threat or potential threat posed by Hazardous Substances to human health or the environment including any investigative and monitoring activities with respect to any release or threatened release of a Hazardous Substance and any assessments to determine the risk or potential risk to human health or the environment.

1.43 **Round Table Meeting** means a meeting typically held five (5) weeks following the submittal of the 100% Plan Review Package to the CITY and STATE, and commonly attended by the STATE’s Project team and STATE reviewers to resolve and address STATE comments on the 100% Plan Review Package.

1.44 **SCL** means Seattle City Light.

1.45 **SCL Facilities** means the electrical facilities impacted by, or constructed as part of, the PROJECT that are owned or will be owned by the CITY.

1.46 **SDOT** means the Seattle Department of Transportation.

1.47 **SDOT Facilities** means the transportation facilities impacted by, or constructed as part of, the PROJECT that are owned or will be owned by the CITY.

1.48 **SPU** means Seattle Public Utilities.

1.49 **SPU Facilities** means the water, drainage and wastewater facilities impacted by, or constructed as part of, the PROJECT that are owned or will be owned by the CITY.

1.50 **STATE** means the Washington State Department of Transportation.

1.51 **STATE Designated Representative** means the STATE official listed in Section 25 of this Agreement.
STATE Project Engineer means the person appointed by the STATE to lead the PROJECT during design and/or construction or his or her designee.

Street Use Permit means written authorization secured by the STATE from the Director of SDOT for use of the CITY Street Right-of-Way pursuant to Title 15 of the Seattle Municipal Code.

Surplus Property means Program Property, excluding Program Transfer Property and other CITY Interest Property, that upon completion of the PROJECT has not been designated as part of the limited access or non-limited access right-of-way of State Route 99.

Task Force means a group consisting of STATE, CITY, contractor, and other stakeholder staff meeting regularly to review and reach decisions relating to a particular subject, e.g., traffic, structures.

Task Order means a document executed by the PARTIES under this Agreement authorizing work by one PARTY to be done on behalf of the other PARTY and that defines the scope and the obligations of the PARTIES for the given element of work. All terms and conditions of the Agreement shall apply to each Task Order.

UTILITY means City of Seattle Utility Departments, Seattle City Light and Seattle Public Utilities.

WSDOT means Washington State Department of Transportation.

2. GENERAL RESPONSIBILITIES

The PARTIES shall manage risk, produce design and conduct construction in a manner that maximizes cumulative public benefits and minimizes cumulative public costs as mutually agreed to by the PARTIES.

This Agreement in conjunction with UT 01474 and UT 01476 is prepared by the STATE and CITY, as provided in RCW 39.34.080, RCW 47.12.040 and other applicable law, to govern relationships between the PARTIES and establish each PARTY’s responsibilities regarding the PROJECT.

The PARTIES understand that environmental review of the proposed PROJECT is underway at the date of this Agreement and agree that only preliminary design work and other work outlined in 23 CFR 636.109(b)(2) may proceed under this Agreement prior to issuance of a Final SEPA/NEPA Environmental Impact Statement (FEIS) and federal Record of Decision (ROD). If an alternative other than the Proposed Bored Tunnel is selected, this Agreement will be terminated pursuant to the provisions of
Section 28 of this Agreement. If the Proposed Bored Tunnel is selected, the remaining work under this Agreement other than preliminary design work may proceed no sooner than after issuance of the ROD and only after WSDOT and the City Council each provide notice to the other that it wishes to proceed with the Agreement. WSDOT will provide Notice to Proceed 2, which authorizes final design and construction, to the Design Builder only after issuance of the ROD.

2.4 The PARTIES shall work collaboratively to resolve issues in a manner that endeavors to open the proposed bored tunnel to the public on schedule.

2.5 The design and construction of CITY Facilities, including repair, shall comply with City Standards.

2.6 Each PARTY shall provide the funding and resources necessary to fulfill the responsibility of that PARTY as established in this Agreement.

2.7 The PARTIES agree to work cooperatively with each other and make reasonable, good faith efforts to timely and expeditiously complete the PROJECT, as provided in this Agreement, including, but not limited to, the selection of a preferred SR 99 design alternative, development of preliminary engineering and final design and construction. In order to optimize design and minimize conflicts, the STATE shall coordinate design and construction of the various contracts making up the PROJECT with design of subsequent PROGRAM stages, and with construction of previous stages of the PROGRAM. The STATE shall be prepared to modify design of the contracts making up the PROJECT, the subsequent PROGRAM stage and/or previous stage if both PARTIES determine the modifications are necessary and reasonable, to minimize design conflicts.

2.8 The STATE is responsible for designing and constructing the PROJECT except for the CITY's responsibility to relocate Conflicting Facilities as provided in Section 2.10 of UT 01474 and UT 01476. The STATE is responsible for taking measures to minimize, limit, and mitigate damage to private property and CITY Facilities that may result from the PROJECT construction, including damage that may result from tunnel-induced Deformation. The STATE is responsible for remedying at its cost such damage should it occur.

2.9 The PARTIES agree that it is in the public interest for one PARTY, to implement portions of the other PARTY's PROJECT responsibilities. Therefore, this SDOT Agreement establishes a Task Order process for use by a PARTY to authorize the other PARTY to conduct work on its behalf and, as may be documented through each Task Order, to agree to reimburse the other PARTY for such services.

2.10 The PARTIES agree that the STATE is responsible for funding the design and construction of a re-located surface street within the Alaskan Way right-of-way from South King Street to Pine Street, a new surface street from the intersection of Pine Street and Alaskan Way to Battery Street connecting Alaskan Way to Elliot and Western
Avenues, the demolition of the existing Alaskan Way Viaduct, and Battery Street Tunnel decommissioning. These rights-of-way and surface streets will be designed to serve all anticipated users, including automobiles, transit, freight, bicycles and pedestrians. The CITY and STATE will jointly perform the design and construction of the Viaduct demolition. Additional details regarding the funding, design, and construction provisions for the street and Alaskan Way Viaduct demolition will be the subject of a future agreement.

2.11 The PARTIES agree that the PROGRAM will not be complete until the elements in Exhibit D are completed. The PARTIES agree that the current scope identified for certain elements of the PROGRAM is reflected in Exhibit D. Future mutual agreement of the PARTIES shall be required in order to reduce or substantially alter the scope outlined in Exhibit D. WSDOT shall provide the City with quarterly updates regarding the PROJECT and PROGRAM budget to ensure timely negotiation of scope issues.

2.12 The PARTIES recognize that the STATE proposes to toll the bored tunnel as part of the PROJECT, if the tunnel is selected as the preferred alternative. The STATE agrees to evaluate and work with the CITY (in advance of tolls being imposed, during toll implementation, and for a mutually agreeable period thereafter) to identify mitigation strategies for the effects that tolling may have with respect to diversion of vehicular traffic from the PROJECT onto CITY Streets. The STATE agrees that such evaluation and mitigation shall include effects on both vehicular traffic circulation on CITY streets as well as effects on CITY’s ability to achieve its “Complete Streets” policy goals articulated in CITY’s Resolution No. 30915, including but not limited to making CITY streets function well for bicycles, pedestrians, freight, transit and automobiles. Exhibit E contains the details of the Tolling Committee and is incorporated by reference herein.

3. PROPERTY ACQUISITION AND TRANSFER; SURPLUS PROPERTY

3.1 Acquisition

3.1.1 The STATE has or will acquire, at its expense, the Project Property. CITY responsibility for acquisition of real property interests or other utility-related property rights, if any, as set forth in Section 14.1 of UT 01474 and UT 01476.

3.1.2 The STATE is responsible, at its expense, for performance of all appraisals, appraisal review, title review, surveys, property investigation, relocation assistance and all other investigations and services in connection with the acquisition of the Project Property. For each parcel of Program Transfer Property, the STATE shall deliver to the CITY, as soon as practicable after a parcel is acquired and identified by the PARTIES as Program Transfer Property, all documents created, commissioned or received in connection with the STATE’s acquisition of such parcel. Such documents shall include, to the extent applicable, appraisals, appraisal reviews, title reports and all documentation concerning title encumbrances, title policies, surveys, geotechnical reports, purchase agreements, term sheets, options, leases, deeds, indemnities, and all other documents and information created, commissioned or received by the STATE.
3.1.3 The STATE is responsible for identification and investigation of Hazardous Substances on Program Property following procedures set in the WSDOT Environmental Procedures Manual M 31-11 and WSDOT Right of Way Manual M 26-01 that are in effect on the date of property acquisition. The STATE shall provide to SDOT’s Real Property and Environmental Manager, as soon as practicable after a parcel is identified by the PARTIES as Program Transfer Property, copies of all documentation of environmental investigation concerning the Program Transfer Property, remedial actions, reports, studies or other documentation, whether received by or prepared by or for the benefit of the STATE, including, but not limited to, (1) documents relating to due diligence and/or all appropriate inquiry, environmental assessments, and remedial, removal or cleanup activities related to the Program Transfer Property; (2) documents relating to allegations, orders, claims, regulatory demands, or losses relating to the alleged existence or migration of any Hazardous Substance from or on any parcel of Program Transfer Property; and (3) any alleged violation of any Environmental Law or other information relating to environmental condition of the Program Transfer Property.

3.2 Transfer.

3.2.1 Prior to the start of PROJECT construction, the STATE and the CITY agree to enter into a separate written agreement governing transfer of Program Transfer Property to the CITY. The agreement shall identify the Program Transfer Property and provide that each transfer to the CITY shall be by quit claim deed. The agreement shall also provide the following: timing of transfer, condition of title, protection for utilities in the event of future sale, the definitions of Hazardous Substance and Environmental Law contained in this SDOT Agreement, and the following release and indemnification provision:

“The STATE hereby releases and indemnifies, protects and holds harmless the City of Seattle and its officers, officials, employees, and agents working within the scope of their employment from all liability and claims (including but not limited to liability and claims for response and remediation costs, administrative costs, fines, charges, penalties, attorney fees and cost recovery or similar actions brought by a governmental or private party, including third party tort liability) arising, directly or indirectly, from any presence or release of any Hazardous Substance remaining within or transported from the real property in which an interest is transferred.”

The foregoing is not an exclusive list.

3.2.2 The PARTIES shall prepare and attach to the future agreement governing transfer of Program Transfer Property and this SDOT Agreement an exhibit containing a complete list of legal descriptions of the Program Transfer Property, which may be created and amended as necessary by the PARTIES’ Designated Representatives without other approval by the PARTIES.
description with map may be substituted for any legal description not yet
available at the time the PARTIES execute the future agreement governing
transfer of Program Transfer Property.

3.2.3 Whether or not any separate agreement or transfer document is made,
effective beginning on the date of transfer of each real property interest from the
STATE to the CITY in connection with the PROGRAM, the STATE shall release
and indemnify, protect and hold harmless the City of Seattle and its officers,
officials, employees, and agents working within the scope of their employment
from all liability and claims (including but not limited to liability and claims for
response and remediation costs, administrative costs, fines charges, penalties,
attorney fees and cost recovery or similar actions brought by a governmental or
private party, including third party tort liability) arising, directly or indirectly,
from any presence or release of any Hazardous Substance remaining within or
transported from the real property in which an interest is transferred.

3.3 Surplus Property. Prior to start of PROJECT construction, the STATE will
provide a preliminary list to the CITY of all properties that appear to be Surplus
Properties. Within two (2) years after final completion of the PROJECT, the STATE
shall initiate its disposal of all Surplus Property pursuant to the provisions of chapter
47.12 RCW and following the procedures in the WSDOT Right of Way Manual M 26-
01.02, dated August 2009, Chapter 11, Sections 11-7.1 – 11-7.4.2. Disposal includes any
of the disposal methods described in Chapter 11, Sections 11-7.1 – 11-7.4.2. The
timeline for the STATE'S initiation of disposal of Surplus Property may be extended, if
necessary, by the PARTIES’ Designated Representatives.

3.4 Survival. The obligations set forth in this Section 3 shall survive termination of
this SDOT Agreement unless otherwise expressly negotiated by the PARTIES and
memorialized by written amendment to this SDOT Agreement.

4. TASK ORDERS, PAYMENT AND ADMINISTRATION

4.1 Some or all of the work undertaken pursuant to this Agreement may be governed
by Task Orders. Task Orders shall be subject to the provisions of this Agreement.

4.1.1 Either PARTY may initiate a Task Order which will be jointly executed
by the PARTIES.

4.1.2 The PARTIES will prepare and execute Task Orders by contract package or
as otherwise agreed. All Task Orders shall be signed by the Designated
Representative of the initiating PARTY and deemed executed when counter-
signed by the Designated Representative of the other PARTY.

4.1.3 The general terms and conditions of this Agreement shall be applicable to
all Task Orders issued under this Agreement.
4.1.4 The form of each Task Order shall substantially conform to the Task Order Template attached as Exhibit C. Each Task Order shall contain a general description and scope of work, a schedule for completion, an itemized estimate of costs for the work, a cash flow projection and any provisions specific to the scope of work.

4.1.5 Each PARTY shall designate a manager for each Task Order. The designated Task Order managers are deemed to have the authority to modify the scope, schedule, and budget of the Task Order within the parameters of this Agreement.

4.2 Payment

4.2.1 The PARTIES shall not be obligated to reimburse any expenditure in excess of the maximum amount stated in each Task Order, unless the PARTIES have agreed to such additional reimbursements and the Task Order has been amended to describe the additional work in excess of the budgeted scope of work. The initiating PARTY shall promptly notify the other PARTY in writing as soon as it is known when the maximum funding obligation will be reached and shall also specify in writing its position regarding any remaining work covered by a Task Order which it believes was contained within the budgeted scope of work. Should its estimated costs on any Task Order exceed the amount authorized, the PARTY performing the work under the Task Order shall promptly notify the other PARTY in writing and shall specify in writing its position regarding why the estimated cost will be or has been exceeded.

4.2.2 The PARTIES shall negotiate the total authorized amount for each Task Order. Reimbursement will not be made for activities that are not covered in a Task Order. The PARTIES will establish a budget contingency for the estimated cost of the work covered under each Task Order as a part of the cost estimate for that Task Order.

5. ENVIRONMENTAL REMEDIATION DURING CONSTRUCTION

5.1 STATE Responsibilities. For CITY Interest Property the STATE shall be responsible for identification, investigation and Remediation of Hazardous Substances found within the limits of the PROJECT during its environmental due diligence of the Project Property and shall identify areas of known Hazardous Substances in conjunction with the Plan Review Packages and Design Submittals circulated for CITY review. In addition, the STATE shall be responsible for identification, investigation and Remediation of Hazardous Substances discovered during construction at CITY Interest Property. For CITY Interest Property, provisions for Remediation of known Hazardous Substances, approved Remediation plans, and provisions for Remediation of Hazardous Substances discovered during construction shall be included in the Plan Review Packages.
and Design Submittals circulated for CITY review. Nothing in this Agreement is intended to alter the legal obligations of the STATE with respect to hazardous substances that may remain in place after completion of the PROJECT except for release and indemnity provisions of this Agreement.

5.2 Environmental Remediation will be in accordance with Environmental Law. At CITY Interest Property, the STATE shall follow the Model Toxics Control Act (MTCA) and associated procedures approved by the Washington State Department of Ecology for Remedial Action, and the STATE shall undertake Remediation using environmental professional judgment that achieves an overall effectiveness comparable to the substantial equivalent of a Washington State Department of Ecology conducted or supervised Remedial Action appropriate to the specific site conditions and contaminants with no environmental restrictions or covenants unless agreed to by the CITY in writing. For CITY Interest Property, the STATE is not obligated to implement public notification and documentation procedures common to the substantial equivalent of a Washington State Department of Ecology conducted or supervised Remedial Action.

5.3 At CITY Interest Property, the STATE shall not use soil found to exceed MTCA Method A cleanup levels or that exhibits visual and/or olfactory indications of Hazardous Substance as earth fill or trench backfill within the PROJECT. There shall be no requirements or agreements affecting the CITY Street Right-of-Way or other CITY Interest Property concerning ongoing monitoring of soil or groundwater relating to Hazardous Substances unless agreed to by the CITY in writing prior to Remedial Action.

5.4 At or adjacent to CITY Interest Property, under certain circumstances, and in consultation with the CITY, the STATE may conduct additional Remediation of contaminated areas, including areas outside the limits of the PROJECT. These circumstances may include, but are not limited to:

5.4.1 Instances in which Remediation may be necessary to prevent adverse water quality impacts and/or to comply with other State and Federal permit conditions;

5.4.2 Instances that in the judgment of the STATE Project Engineer require immediate Remediation to protect public health and safety;

5.4.3 Where regulatory agencies with jurisdiction require additional Remediation;

5.4.4 Where additional Remediation is necessary to prevent recontamination of the limits of the PROJECT, address subsurface utility facilities located or planned within or near the limits of the PROJECT or within the Project Property, or address disturbance or exacerbation of existing contamination; and

5.4.5 Where additional Remediation is necessary to meet mutually acceptable risk management standards in accordance with STATE and CITY protocols.

5.5 All work at CITY Interest Property shall comply with the then-current WSDOT Environmental Procedures Manual M 31-11 and WSDOT Construction Manual M 41-
01, Environmental Law, and all applicable CITY regulations except as modified by this Agreement.

5.6 The STATE shall include the CITY in its ECAP when unanticipated contamination is found within the limits of the PROJECT at or adjacent to CITY Interest Property. Notification procedures will include notifying the CITY orally followed by written notification.

5.7 The STATE’s Project Engineer shall determine, in consultation with the CITY, Remediation of known and unanticipated Hazardous Substances at or adjacent to CITY Interest Property within the limits of the PROJECT. In instances where the CITY disputes the STATE’s plan(s) for Remediation in connection with CITY Interest Property, the CITY and STATE will resolve the dispute through the dispute resolution process in Section 23 of this Agreement.

5.8 The STATE shall prepare plans in consultation with the CITY for Remediation of known and unanticipated Hazardous Substances in connection with the CITY Street Right-of-Way and other CITY Interest Property, and shall obtain CITY concurrence prior to implementing Remedial Actions there. In instances where the CITY finds the STATE’s plans for Remediation of these areas unacceptable, the CITY or STATE may request resolution through the dispute resolution process in Section 23 of this Agreement.

5.9 Prior to the start of construction, and after the contractor has been selected, the STATE shall initiate and host an environmental preconstruction meeting. The STATE shall invite City of Seattle staff, STATE staff and the STATE contractor to discuss known contamination, environmental procedures, environmental Remediation and permit conditions that apply to CITY Interest Property in connection with the PROJECT.

5.10 The STATE shall obtain all required permits and approvals for Remediation at CITY Interest Property, except for permits or approvals that this Agreement, UT 01474, or UT 01476 otherwise obligates SPU or SCL to obtain for SPU or SCL Relocation Work.

5.11 Remediation work at or adjacent to CITY Interest Property shall not proceed in areas outside of the limits of the PROJECT unless the STATE has obtained written permission of the property owner and appropriate permits to work on property that is not part of the PROJECT. The STATE shall make reasonable efforts to obtain permission of the property owner. The STATE may utilize the assistance of the State Department of Ecology as provided in the MTCA regulations.

5.12 The STATE shall provide the CITY with copies of environmental close-out reports for Remediation activities at CITY Interest Property.

5.13 All costs associated with testing, handling, storing, removing, transporting, disposing, or treating Hazardous Substances that are excavated in connection with the
PROJECT relating to CITY Interest Property shall be paid by the STATE, with the exception of such costs incurred during and directly caused by Relocation Work which SPU or SCL is obligated to fund under the terms of this Agreement, UT 01474, or UT 01476. In addition, STATE shall be responsible for all costs associated with Remediation of any releases that are caused or exacerbated by its own employees or contractors. The STATE shall be identified as the generator for these Hazardous Substances.

5.14 The CITY shall provide to the STATE all records regarding any known areas where Hazardous Substances may be located at CITY Interest Property within the limits of the PROJECT, including but not limited to environmental investigation reports for properties located in the PROJECT. The reports shall be provided for the STATE's information only, shall not be relied upon by the STATE, and the CITY's provision of these records shall not constitute a representation or warranty as to the accuracy of the information contained in the reports.

5.15 The STATE shall provide to the CITY all records regarding any known areas where Hazardous Substances may be located at CITY Interest Property within the limits of the PROJECT and Project Property, including but not limited to environmental investigation reports for the Project Property. In addition, the STATE shall notify and provide information to the CITY regarding any contamination encountered during construction at or adjacent to CITY Interest Property. Reports provided by the STATE are for information only, and shall not be relied upon by the CITY, and the STATE's provision of these records shall not constitute a representation or warranty as to the accuracy of the information contained in the reports.

5.16 The STATE shall release and indemnify, protect, defend and hold harmless the City of Seattle and its officers, officials, employees, and agents, while acting within the scope of their employment, from all liability and claims (including but not limited to liability and claims for response and remediation costs, administrative costs, fines, charges, penalties, attorney fees and cost recovery or similar actions brought by a governmental or private party, including third party tort liability) arising, directly or indirectly, from any of the following: (1) any presence or release of any Hazardous Substance within or from the limits of the PROJECT, except for the presence of any Hazardous Substance as of the effective date of this Agreement within the portion of real property in which the City has a real property interest on that date or in which the City later acquires a real property interest for the purposes of the Program from an entity other than the STATE, and (2) the removal, transport or disposal in connection with the PROJECT of any Hazardous Substance for which the STATE or any person, contractor or other entity working on behalf of the STATE is a generator.

6. PERMITTING AND RIGHT-OF-WAY USE

6.1 The PARTIES shall apply for and obtain all necessary federal-, state- and CITY-issued permits and approvals for the work for which they are responsible prior to commencing work that requires such permits, including but not limited to all permits,
approvals or permission for exploratory investigations, testing, site preparations, demolition and construction.

6.2 The CITY authorizes the STATE to use CITY Street Right-of-Way for the PROJECT, subject to issuance and provisions of Street Use Permits and the conditions contained in this Agreement. The STATE's use of CITY Street Right-of-Way shall comply with the Seattle Municipal Code and all other applicable laws, including but not limited to the Shoreline Management Act, the National Environmental Policy Act and the State Environmental Policy Act.

6.3 The PARTIES agree that for the PROJECT, the PARTIES shall obtain Street Use Permits prior to undertaking work in the CITY Street Right-of-Way. The CITY shall provide for street use inspections pursuant to Title 15 of the Seattle Municipal Code, the Street Use Permit, and this Agreement.

6.4 The PARTIES agree to apply the conditions of the Street Use Permits issued for CITY Street Right-of-Way in connection with the PROJECT to PROJECT work outside CITY Street Right-of-Way if that work has a surface component and either is or will become CITY Street Right-of-Way or STATE right-of-way or Surplus Property upon completion of the PROJECT.

6.5 The PARTIES agree to abide by and comply with all requirements and conditions of the Street Use Permits. After a Street Use Permit is issued, the responsible PARTY will obtain Letters of Plan Approval for any subsequent revisions for amendments to design or to the Street Use Permit as set forth in the Procedures.

6.6 The Street Use Permits and Letters of Plan Approval are not a representation or assurance that the design or plans comply with applicable laws, regulations, ordinances or codes, nor shall the Street Use Permits or Letters of Plan Approval be construed to authorize any failure to comply with any of the foregoing.

6.7 The PARTIES will jointly order the relocation of any and all Private Utilities required for performance of the work on the PROJECT. The STATE shall manage the timely relocation of the Private Utilities. The STATE shall require its construction contractors to schedule and coordinate their activities with the relocation of Private Utilities. The PARTIES agree to perform their obligations under this provision, including, but not limited to, the CITY co-signing the relocation notices to the Private Utility owners and the CITY joining the STATE as an additional plaintiff in any litigation the STATE may need to pursue in order to require the Private Utilities to relocate. The STATE shall indemnify the CITY pursuant to Section 19 of this Agreement.

6.8 The PARTIES agree to establish alternative CITY regulatory process cost reimbursement in lieu of Use Fees as set forth in GCA 5739, Project Services Agreement and future amendments, as described in Section 10 of this Agreement.
7. DESIGN, PLAN REVIEW AND CHANGE MANAGEMENT

7.1 The PARTIES agree to work cooperatively with each other and shall make reasonable, good faith efforts to timely and expeditiously execute their respective roles and responsibilities related to the design and plan review and permitting called for in this Agreement.

7.2 This Agreement addresses design and plan review process for SDOT, SCL, and SPU and the process for issuance of SDOT Street Use Permits; it does not address plan review or permits issued by other departments of the City of Seattle.

7.3 Within the scope of this Agreement, the STATE agrees to consult with the CITY with regard to planning, design and construction of the PROJECT. The scope of the design and plan review by the CITY addressed by this Agreement is limited to the following elements:

7.3.1 CITY Infrastructure.
7.3.2 PROJECT work to the extent that it alters or impacts the configuration, condition or use of CITY property including CITY Facilities.
7.3.3 PROJECT work to the extent that it alters access to CITY Facilities.
7.3.4 PROJECT work in CITY Street Right-of-Way to the extent that it alters or impacts private property in a manner relevant to SMC Title 15.
7.3.5 PROJECT urban design as established in Section 8.
7.3.6 The temporary or permanent use or operation of CITY Street Right-of-Way for the PROJECT including maintenance of traffic.
7.3.7 Mitigation measures established by the STATE’s review and determination of PROJECT environmental impacts pursuant to state and City environmental policy laws.
7.3.8 Private Utilities within CITY Street Right-of-Way.
7.3.9 Transit facilities within CITY Street Right-of-Way.
7.3.10 As provided in Section 5 of this Agreement, evidence of the STATE’s environmental remediation-related commitments.

7.4 The CITY will conduct reviews of all stages of design to ascertain that the design of CITY Infrastructure and the design of PROJECT work and construction activity within CITY Street Right-of-Way comply with City Standards.

7.5 The PARTIES agree to prepare PROJECT designs, Plan Review Packages, and Design Submittals pursuant to the provisions established in this Agreement and the Procedures.

7.6 The PARTIES shall mutually prepare PROJECT schedules that afford the PARTIES adequate plan review and comment resolution periods sufficient to promote the quality of design consistent with the provisions of this Agreement.
7.7 The STATE shall address all CITY plan review comments from each stage of plan review and incorporate agreed comment resolution into subsequent plan review submittals.

7.8 The PARTIES shall provide sufficient staff and resources for timely preparation and review of the PROJECT designs.

7.9 The CITY shall not give direction to the STATE’s consultants or contractors during the design and review processes set forth in this Agreement and the Procedures.

7.10 Both PARTIES shall endeavor to identify and address issues as early as possible during the design process.

7.11 The STATE shall obtain the CITY’s design approval for all City Infrastructure, and regulatory approval for PROJECT work within City Street Right-of-Way prior to constructing such work.

7.12 Designs and construction provisions for CITY Infrastructure shall comply with City Standards.

7.13 The PARTIES agree that design of CITY Infrastructure shall consider long-term operation and maintenance costs and requirements, and minimize potential interruptions and disruptions to CITY UTILITY customers.

7.14 The STATE shall obtain the CITY’s approval prior to incorporating any deviations from City Standards into the design or construction of all CITY Infrastructure and CITY Facilities work.

7.15 The PARTIES agree that Approved Plans or Released for Construction Submittal for each component of the PROJECT shall be stamped by an engineer of record representing the PARTY preparing the Approved Plans pursuant to the requirements of state law.

7.16 The PARTIES shall first obtain the review and concurrence of the CITY prior to making or implementing revisions or deviations from the Approved Plans for any such revisions or deviations pertaining to elements listed in Section 7.3 of this Agreement.

7.17 The PARTIES acknowledge that the STATE may request the CITY to operate and maintain certain STATE-owned PROJECT facilities as may be established by separate agreement. The CITY shall, at the request of the STATE, review the design of such facilities to determine the compatibility of the design with the CITY’s existing operational capabilities, standard practices, equipment and other resources required to operate and maintain such facilities.
8. URBAN DESIGN

8.1 The STATE and CITY agree to work together to develop standards that will promote appropriate urban and architectural design of the PROJECT.

8.2 The STATE and CITY have prepared the Bored Tunnel Design Goals and Objectives which were submitted to the Seattle Design Commission on January 21, 2010, Building Design Principles, which were submitted to the Seattle Design Commission on February 18, 2010, and Project Guiding Principles for the Portal Areas, which were submitted to the Seattle Design Commission on March 18, 2010.

8.3 The STATE and CITY have developed Portal Area Design Guidelines based on these Bored Tunnel Design Goals and Objectives and Guiding Principles. The Portal Area Design Guidelines include:

- Functional highway, surface street and development configurations,
- Landscaping concepts,
- Architectural and urban design concepts for walls, bridges and tunnel portals,
- Design guidance for highway appurtenances (i.e., barrier type, light standards, sign support types, etc.),
- Conceptual designs for city streets, including sidewalks and plazas; and bicycle/pedestrian trails.

The Portal Area Design Guidelines were submitted to the Seattle Design Commission for review and comment. The final Portal Area Design Guidelines will be subject to final approval by SDOT. The Portal Area Design Guidelines will be used as the basis for the PROJECT design. The STATE agrees to develop a final design substantially in conformance with the Portal Area Design Guidelines.

8.4 The STATE has prepared Building Architectural Design Guidelines for the tunnel operations buildings based on the Building Design Principles. The tunnel operations buildings are physically part of and integrally related to the operation of the bored tunnel. The Building Architectural Design Guidelines were submitted to the Seattle Design Commission for review and comment. The final Building Architectural Design Guidelines will be subject to final approval by the SDOT. The Building Architectural Design Guidelines will be used as the basis for the PROJECT design. The STATE agrees to develop a final design substantially in conformance with the Building Architectural Design Guidelines.

8.5 The STATE agrees to create an Urban Design Task Force for the PROGRAM. The Urban Design Task Force shall include CITY, STATE and contractor representatives. This Urban Design Task Force will endeavor to resolve urban design and architectural issues.
8.6 The following items shall be presented to the Seattle Design Commission (SDC) in accordance with Chapter 3.58 of the Seattle Municipal Code:

8.6.1 Preliminary and final tunnel operations building designs that include building blocking, stacking, facade treatments, facade materials and elevations shall be prepared in accordance with the Building Architectural Design Guidelines.

8.6.2 For areas within the design-build contract, preliminary and final portal area designs prepared in accordance with the Portal Area Design Guidelines.

8.6.3 For areas outside the design/build contract, 30%, 60% and 90% portal area design plans prepared in accordance with the Portal Area Design Guidelines.

8.7 The STATE shall endeavor to develop Tunnel Operations Building and Portal Area designs that incorporate SDC recommendations. The CITY shall verify the STATE's incorporation of SDC recommendations through the CITY review processes set forth in Section 7 in this Agreement.

8.8 Urban design issues lacking mutual agreement by the PARTIES will be referred to dispute resolution as provided in Section 23 of this Agreement.

9. SCHEDULE

9.1 The PARTIES will work together to develop schedule(s) for PROJECT work performed by the STATE or CITY.

9.2 The STATE will be responsible for developing and updating its PROJECT schedule(s) that identifies milestones for performing the work associated with the PROJECT with CITY input.

10. FUNDING AND COMPENSATION

10.1 The STATE shall provide necessary funding for all PROJECT costs as referenced in this Agreement without reimbursement from the City of Seattle, except for the CITY, cost responsibilities established in this Agreement, in SCL Agreement UT01476, and in SPU Agreement UT 01474.

10.1.1 The STATE will reimburse SDOT for Project Services through the process provided for in Agreement GCA 5739, entitled Project Services Agreement for State Route 99 Alaskan Way Viaduct and Seawall Replacement Program and SR 519/I-90 Intermodal Access Project – I/C Improvements (“Project Services Agreement”), and as amended by the PARTIES to modify the process for the STATE's reimbursement of the CITY services and to extend the duration of the Project Services Agreement.

10.1.2 The categories of services that may be provided by the CITY are: project management, project controls and coordination, design review and...

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consultation, permit development and coordination, right of way services, and
services to support construction activities.

10.2 By entering into this Agreement, the CITY is not waiving its position that the
CITY and/or its citizens and property owners cannot be held responsible for any or all
cost overruns related to the portions of the PROJECT for which the STATE is
responsible.

11. PARTICIPATION BY DISADVANTAGED BUSINESS ENTERPRISES

11.1 The STATE and the CITY agree that it is good public policy to utilize the
services of Disadvantaged Business Enterprises in the construction of public works
projects, to the fullest extent permitted by law.

11.2 In furtherance of the foregoing public policy, the STATE agrees to include
Disadvantaged Business Enterprise (DBE) provisions in its construction contracts to the
extent required by federal law for projects associated with this Agreement.

12. MONITORING AND DEFORMATION MITIGATION

12.1 The STATE agrees to assess potential impacts of Deformation on private property
and CITY Facilities. Where the CITY has established deformation criteria for its
Facilities, the criteria will be used in the STATE’S analysis. Otherwise, criteria will be
derived using accepted engineering practice and shall be mutually agreed upon by the
CITY and STATE.

12.2 The CITY shall review the STATE’S estimate of susceptibility or vulnerability of
CITY Facilities to Deformation and provide comments and input. Such input shall be
provided to assist the STATE only, and shall not be interpreted as waiving or limiting in
any way the STATE’S responsibility for Deformation Mitigation Work as defined in UT
01474 and UT 01476.

12.3 The STATE agrees to develop a preliminary plan for Deformation mitigation.
PARTIES will work collaboratively to finalize and implement the Deformation
Mitigation Work as defined in UT 01474 and UT 01476. The CITY’s input shall be
provided to assist the STATE only, and shall not be interpreted as waiving or limiting in
any way the STATE’S responsibility for Deformation.

12.4 The STATE agrees to design and implement a comprehensive instrumentation
and monitoring program for open cut, cut-and-cover, and tunnel construction including
pre- and post-construction condition surveys and development of an action plan for
mitigating impacts of Deformation.

12.5 The STATE agrees to implement a construction monitoring Task Force
responsible for the planning and implementation of the instrumentation and monitoring
program and processing data, evaluating results, and developing recommendations to mitigate Deformation. The construction monitoring Task Force has authority to direct rapid and effective changes in construction to achieve Deformation mitigation.

12.6 The CITY shall advise the STATE and participate in construction monitoring and Deformation management activities when these activities pertain to CITY Facilities. The CITY shall provide the STATE all necessary access to CITY Facilities for the purposes of design or implementation of mitigation measures. The CITY may perform mitigation measures on behalf of the STATE in a manner and schedule that supports the STATE’S project requirements. The CITY’S advice, participation, and access shall be provided to assist the STATE, and shall not be interpreted as waiving or limiting in any way the STATE’S responsibility for Deformation.

13. MAINTENANCE OF TRAFFIC

13.1 The PARTIES agree that it is the goal of this PROJECT to maintain local motorized and non-motorized traffic in safe corridors through the PROJECT area while minimizing impact to the existing street system. To achieve this goal, the PARTIES shall formulate plans to maintain traffic flow during construction of the PROJECT and shall comply with Approved Plans and conditions of the Street Use Permits.

13.2 The PARTIES agree to develop an outreach plan specifically focused on maintenance-of-traffic issues. This outreach plan will provide for eliciting input from affected stakeholders in the vicinity of the PROJECT. Affected stakeholders shall be determined by the PARTIES.

13.3 The STATE agrees to create a maintenance-of-traffic (MOT) Task Force for the PROGRAM. The CITY agrees to be an active member on the MOT Task Force.

13.4 The CITY agrees to be a participant in all planning for haul routes, and all haul route traffic shall be regulated pursuant to the Street Use Permit and the provisions of this Agreement. Haul routes and times shall be approved by the CITY prior to the commencement of hauling, and all haul routes shall be along arterial streets designated as major truck streets and must comply with downtown traffic control zone restrictions as defined by the Seattle Municipal Code and implementing regulations.

14. CONSTRUCTION MANAGEMENT, INSPECTION, AND CONTRACT ADMINISTRATION

14.1 It is anticipated that the STATE will develop and issue multiple construction contracts to fulfill its PROJECT responsibilities. The STATE’S construction contracts will be conducted in accordance with current Washington State Department of Transportation contracting practices.
14.2 The STATE shall act as the sole authority in the administration of the STATE construction contracts. The STATE shall allow the CITY to consult with and make inquiries of the STATE Project Engineer or designee, attend meetings, and have access to all documentation concerning those portions of the PROJECT subject to CITY review as described in Section 7.3 of this Agreement. The CITY shall not provide direction, directly or indirectly, to the STATE’s consultant(s) or contractors. Except in the instances listed below, the CITY shall direct all communications to the STATE’s Project Engineer or designee, including communications regarding compliance with Street Use Permits, quality of construction, and contractor performance.

14.3 The STATE will manage any requests from the CITY that have contractual or scope-of-work impacts and will coordinate responses. The CITY may communicate with STATE’s consultants or contractors (1) where authorized to do so by the STATE’s Designated Representative; (2) to arrange for regulatory permitting and inspections made pursuant to permits issued by the CITY other than Street Use Permits, e.g. electrical permits or other permits obtained from the CITY by the consultant or contractor; and (3) for the Street Use Permits, if necessary because of a threat to health or safety.

14.4 The CITY will provide qualified staff and consultants during construction. CITY staff and consultants will communicate with the STATE Project Engineer or designee in evaluating the conformity of CITY Infrastructure with the Approved Plans or Released-for-Construction Submittal and will immediately notify the STATE Project Engineer or designee of any compliance issues. Notwithstanding any act or omission by the CITY pursuant to this subsection, the STATE shall not be relieved of any of its authority over, and responsibility for, the PROJECT, as provided for in Section 14.2 of this Agreement or elsewhere in this Agreement.

14.5 The PARTIES agree to follow the Procedures. The PARTIES may amend the Procedures by written mutual agreement executed by the PARTIES’ Designated Representatives without other approval by the PARTIES.

15. FINAL INSPECTION AND PROJECT ACCEPTANCE

15.1 The PARTIES agree to follow the Procedures. The PARTIES may amend the Procedures by written mutual agreement executed by the PARTIES’ Designated Representatives without other approval by the PARTIES.

15.2 Following the satisfactory completion of the pre-final and final inspection processes described in the Procedures, the CITY shall submit a written response notifying the STATE that CITY Infrastructure has been constructed in accordance with the Approved Plans or Released-for-Construction Submittal.

15.3 The CITY agrees, upon satisfactory completion of the PROJECT work successfully placing City Infrastructure into operation, transfer and acceptance of any real property on or in which CITY Infrastructure is located, and receipt from the STATE of
one color set of the Red-Line Plans, pursuant to Section 16, to deliver a Letter of Acceptance, subject to any Defective Work, damage or contractor claims caused by the negligent acts or omissions of the STATE.

15.4 The PARTIES will execute one Letter of Acceptance for each contract unless both PARTIES agree to phase CITY Infrastructure acceptance by those geographic areas or select portions of the PROJECT in which the STATE has completed all PROJECT work and has satisfied the requirements of Section 15.3. Roadway restoration will not be considered to be complete until all roadways are fully open to public vehicular and pedestrian use.

15.5 In instances where portions of CITY Infrastructure must be placed into the CITY’s use and operation prior to the execution of the Letter of Acceptance, and after the CITY has determined that these portions of CITY Infrastructure meet with the minimum inspection and testing requirements necessary for placing the CITY Infrastructure into use, the CITY will notify the STATE in writing that it is assuming responsibility for and cost of the interim use and operation of the CITY Infrastructure until the terms of Section 15.3 are satisfied and the PARTIES execute the Letter of Acceptance.

16. RED-LINES AND RECORD DRAWINGS

16.1 Each PARTY is responsible for preparing construction records for the portions of PROJECT work for which it is responsible under this Agreement. Except as otherwise established in this Agreement, the STATE shall document construction in general conformance with WSDOT’s Construction Manual, WSDOT manual M4-01 for PROJECT work that the STATE constructs including work performed on behalf of the CITY through a Task Order.

16.2 The STATE agrees to record the constructed configuration of PROJECT work that deviates from the Approved Plans as further established in the Procedures. This record shall be referred to as the red-line plans.

16.3 The STATE may choose to delegate preparation and maintenance of the red-line plans to its construction contractors. However, the STATE remains responsible for the quality, condition and completion of red-line plans. If the STATE chooses to delegate these responsibilities, the STATE’s construction contracts shall require contractors to provide the STATE and the CITY access to the red-line plans during the working hours established in the STATE contract.

16.4 Each PARTY shall prepare digital drawings showing the constructed configuration of the PROJECT work for which it is responsible under this Agreement (record drawings). Each PARTY shall provide the other PARTY with the record drawings for the portions of PROJECT work for which that PARTY is responsible under this Agreement within six (6) months after the PARTIES execute a Letter of Acceptance. The PARTIES shall prepare Record Drawings in conformance with the Procedures.
17. WARRANTIES

Warranty of Work

17.1 The STATE warrants for a minimum period of twelve (12) months that all CITY Infrastructure being accepted by the CITY for ownership, operation and maintenance: (1) meets with the requirements of the Approved Plans, and all CITY-approved modifications to the Approved Plans made during the course of construction; (2) is constructed in accordance with CITY-issued permits; (3) is free of defects in material and workmanship; and (4) is free of defects in design(s). The warranty of work shall apply to any corrective work required to address non-conforming and Defective Work that is discovered and communicated by the CITY to the STATE within the warranty period. The STATE’s warranty of work shall begin following the execution of the Letter of Acceptance of CITY Infrastructure or as otherwise provided in the STATE’s contract, whichever occurs later.

17.2 If within the warranty of work period, the CITY discovers and gives written notice to the STATE of non-conforming or Defective Work in the accepted CITY Infrastructure, the STATE shall promptly investigate the work the CITY believes is non-conforming or defective. The STATE shall promptly remedy non-conforming or Defective Work. Disagreements between the CITY and the STATE on what constitutes non-conforming or Defective Work shall be resolved using the dispute resolution process established in Section 23 of this Agreement. The STATE shall diligently prosecute the corrective work and shall procure materials using the fastest means available as necessary to minimize the loss of use and operation of the accepted CITY Infrastructure. Corrective work shall be completed within the time frame specified by the CITY and mutually agreed upon by the STATE.

17.3 If, during construction, the CITY encounters an emergency situation caused by non-conforming or Defective Work, it must immediately notify the STATE. The STATE will take immediate corrective action. If, after the warranty period begins, the CITY encounters an emergency situation caused by non-conforming or Defective Work, it may immediately correct it. Direct and indirect costs incurred by the CITY, attributable to correcting an emergency situation associated with non-conforming or Defective Work, shall be paid by the STATE to the CITY.

Transfer of Title and Warranty of Title

17.4 All right and title to the CITY Infrastructure accepted by the CITY will be transferred by the STATE to the CITY as of the date of the STATE’s signature acknowledging the CITY’s Letter of Acceptance pursuant to the provisions of Section 15. Neither the STATE nor its contractors shall hold a property right in any of the CITY Infrastructure accepted by the CITY for ownership, including the materials and equipment comprising the CITY Infrastructure.
17.5 The STATE shall warrant good and merchantable title to all materials, supplies, equipment and items installed or incorporated into the accepted CITY Infrastructure. The STATE shall further warrant that all CITY Infrastructure transferred to, and accepted by, the CITY is free from claims, liens and charges.

Manufacturers’ Warranties

17.6 The STATE shall provide to the CITY all manufacturers’ and suppliers’ guarantees and warranties furnished to the STATE’s contractor as a customary trade practice in connection with the contractor’s purchase of any equipment, materials, or items incorporated into the CITY Infrastructure. The STATE shall further warrant that it has the right to transfer such warranties and guarantees furnished to the STATE through its construction contract to the CITY and that such transfer shall not adversely affect such warranties and guarantees. These guarantees and warranties shall not relieve the STATE from its obligations under warranty of work.

Warranty Inspections

17.7 During the warranty period, the CITY shall have the right to inspect the accepted CITY Infrastructure for non-conforming and Defective Work, and will promptly report any such work to the STATE for remedy through corrective work. The CITY shall bear the cost of these inspections.

18. PUBLIC OUTREACH

18.1 The STATE agrees to lead and manage the public outreach effort for the PROJECT. In recognition of the CITY’s experience in working with the Seattle community, the STATE will solicit CITY input and work with the CITY in public outreach activities. The STATE will not publicly distribute outreach information, planning materials and documents without first soliciting the CITY’s review. However, the STATE shall be free to comply with any public records request received under Chapter 42.56 RCW for such materials, provided that prior to releasing any sensitive or confidential material, the STATE shall first provide written notice to the CITY in accordance with Section 27 of this Agreement and provisions in UT 01474 and UT 01476.

19. RISK ALLOCATION

19.1 Limits of Liability

19.1.1 No CITY Liability for Assistance, Inspection, Review, or Approvals. The review or approval of any of the STATE’s PROJECT plans or specifications, or the inspection of the STATE’s work, or any assistance provided to the STATE by the CITY is for the CITY’s sole benefit and shall not constitute an opinion or representation by the CITY as to any compliance with any law, ordinance, rule, or regulation or any adequacy
for other than the CITY’s own purposes; and such assistance, inspection, review or
approval shall not create or form the basis of any liability on the part of the CITY or any
of its officials, officers, employees, or agents for any injury, damage, or other liability
resulting from, or relating to, any inadequacy, error, or omission therein or any failure to
comply with applicable law, ordinance, rule, or regulation; and such assistance,
inspection, review, or approval shall not relieve the STATE of any of its obligations
under this Agreement, the SCL Agreement, UT 01476, and the SPU Agreement, UT
01474 or under applicable law.

19.1.2 No CITY Liability for Delay, Consequential, or Liquidated Damages. The
CITY shall not be liable in damages for any failure to act within any time limits
established by law or for any other delay to the STATE or the STATE’s contractors, nor
shall the CITY have any liability for consequential or liquidated damages, and, to the
maximum extent allowed by law, the STATE shall protect, defend, indemnify, and save
harmless the CITY, and its officials, officers, employees, and agents, from any and all
costs, claims, demands, judgments, damages, or liability of any kind caused by, resulting
from, relating to, or connected to delays. The PARTIES agree that this Agreement, the
SCL Agreement, UT 01476, and the SPU Agreement, UT 01474, are not to be construed
as being construction agreements.

19.1.3 No CITY Liability for Third Party Claims of Diminution in Value of
Property. The CITY shall not be liable in damages for any third party claims alleging
diminution in value of property, including, but not limited to, claims of elimination or
impairment of rights to light and air and quiet enjoyment, or alleging a taking of property
rights, nor shall the CITY have any liability for related consequential or liquidated
damages, and, to the maximum extent allowed by law, the STATE shall protect, defend,
indemnify, and save harmless the CITY, and its officials, officers, employees, and agents,
from any and all costs, claims, demands, judgments, damages, or liability of any kind caused by, resulting from, relating to, or connected to the third party claims of diminution
in value of property arising out of the PROJECT.

19.1.4 STATE Contractor’s Bonds. The STATE shall require its construction
contractors to provide performance bonds to the STATE and to maintain those bonds at
all times pertinent to the respective contractor’s obligations under its contracts—Such
bonds shall be executed by an approved Surety that is registered with the Washington
State Insurance Commissioner, and that appears on the current Authorized Insurance List
in the State of Washington published by the Office of the Insurance Commissioner, and
that shall be conditioned upon the faithful performance of the contract by the contractor.
The STATE shall ensure faithful completion of the PROJECT by use of the STATE’s
contractor bonds or other means, and in the event any claim for payment is presented to
the CITY for any PROJECT work, the STATE upon timely notice and investigation,
resulting in STATE responsibility under this Agreement, the SCL Agreement, UT 01476,
or the SPU Agreement, UT 01474 shall promptly pay such claim.
19.2 General Indemnification.

19.2.1 Indemnity. To the extent permitted by law, the STATE shall protect, defend, indemnify, and save harmless the City of Seattle and its officers, officials, employees, and agents, while acting within the scope of their employment, from any and all costs, claims, demands, judgments, damages, or liability of any kind, including injuries to persons or damages to property, that arise out of, or in any way result from, or are connected to, or are due to any acts or omissions, or intentional misconduct, of the STATE or the STATE’s contractors, consultants, or agents including any and all claims and litigation arising out of, or resulting from, any state or federal environmental review process in any way relating to the PROJECT, and including any private utility relocations required for the STATE’s PROJECT work. The STATE’s obligations under this paragraph also extend to claims asserted by third PARTIES against the City of Seattle arising out of, or in any way resulting from, and including any claim and litigation arising out of, or resulting from, any state or federal environmental review process in any way related to the PROJECT, removal of the Alaskan Way Viaduct and Battery Street Tunnel decommissioning, and all of the foregoing protection, defense, indemnity and hold harmless obligations shall extend to claims asserted by state agencies other than the Washington State Department of Transportation.

19.2.2 The STATE further agrees that the City of Seattle shall have no liability to the STATE that in any way arises out of the City of Seattle’s decision making processes in agreeing to go forward with the PROJECT. The STATE shall not be required to indemnify, defend, or save harmless the City of Seattle if the claim, suit, or action for injuries, death, or damages is caused by the sole negligence of the City of Seattle. Where such claims, suits, or actions result from the concurrent negligence of the PARTIES, the indemnity provisions provided herein shall be valid and enforceable only to the extent of the STATE’s own negligence. In the event of any claims, demands, actions, or lawsuits, the STATE upon notice from the City of Seattle, shall assume all costs of defense thereof, including legal fees incurred by the City of Seattle, and of all resulting judgments that may be obtained against the City of Seattle, to the extent of the STATE’s liability. In the event that the City of Seattle incurs attorneys’ fees, costs, or other legal expenses to enforce the indemnity provisions of this Agreement, the SCL Agreement UT 01476, or the SPU Agreement, UT 01474, all such fees, costs, and expenses shall be recoverable by the City of Seattle. Environmental protection and indemnification, as provided elsewhere in this Agreement, shall be in addition to the foregoing general indemnification.

19.2.3 Indemnity. To the extent permitted by law, the City of Seattle shall protect, defend, indemnify, and save harmless the STATE and its officers, officials, employees, and agents, while acting within the scope of their employment, from any and all costs, claims, demands, judgments, damages, or liability of any kind, including
injuries to persons or damages to property, that arise out of, or in any way result from, or are connected to, or are due to any acts or omissions, or intentional misconduct, of the City of Seattle or the City of Seattle’s contractors, consultants, or agents. The City of Seattle shall not be required to indemnify, defend, or save harmless the STATE if the claim, suit, or action for injuries, death, or damages is caused by the sole negligence of the STATE. Where such claims, suits, or actions result from the concurrent negligence of the PARTIES, the indemnity provisions provided herein shall be valid and enforceable only to the extent of the City of Seattle’s own negligence. In the event of any claims, demands, actions, or lawsuits, the City of Seattle upon notice from the STATE, shall assume all costs of defense thereof, including legal fees incurred by the STATE, and of all resulting judgments that may be obtained against the STATE, to the extent of the City of Seattle’s liability. In the event that the STATE incurs attorneys’ fees, costs, or other legal expenses to enforce the indemnity provisions of this Agreement, the SCL Agreement, UT 01476, and the SPU Agreement, UT 01474, all such fees, costs, and expenses shall be recoverable by the STATE.

19.2.4 Title 51 RCW. Solely with respect to claims for indemnification under this Agreement, including environmental indemnification, the STATE and the City of Seattle waive, as to each other only, and expressly not for the benefit of their employees or third parties, their immunity under Title 51 RCW, the Industrial Insurance Act, and acknowledge that this waiver has been mutually negotiated by the PARTIES. The STATE and the City of Seattle agree that their respective indemnity obligations extend to any claim, demand, or cause of action brought by, or on behalf of, any of their respective employees or agents. The STATE agrees that in the event that any employee or agent of the STATE’s contractors, subcontractors, consultants, or agents asserts a claim against the City of Seattle, the STATE waives any right it may have to assert its Title 51 immunity as a defense against a City of Seattle claim to the STATE that otherwise would be covered by the STATE’s indemnity obligations to the City of Seattle.

19.2.5 Survival of Indemnification Obligations. Any liability of the STATE or the City of Seattle arising under any indemnity provision of this Agreement shall survive termination of this Agreement, whether or not any claim giving rise to such liability shall have accrued.

20. INSURANCE

20.1 The STATE shall require in writing that the STATE’s contractors, and each of their sub-contractors of any tier where not covered by contractor provided insurance, include “The City of Seattle” as an additional insured for primary and non-contributory limits of liability for Commercial General Liability, Commercial Automobile Liability and (if required) Contractor’s Pollution Liability as established in the construction contract documents, including Products and Completed Operations coverage following the completion of each PROJECT stage.

20.2 Insurance specifications for the design-build portion of the PROJECT are contained in Article 20 of the Proposed Bored Tunnel Design Build Contract (Insurance).
STATE standard insurance specification in Section I-07.18 (Public Liability and Property Damage Insurance, applicable to the design-bid-build construction contract documents protecting both the STATE and the CITY for any design-bid-build portions of the PROJECT, shall be amended for coverages, minimum limits of liability and/or terms and conditions as may be mutually agreed upon by the STATE and CITY.

The STATE’s contractors and subcontractors of any tier shall cause certification of insurance meeting the requirements herein to be issued to “The City of Seattle, Risk Management Division, P.O. Box 94669, Seattle, WA 98124-4669.” Such certification shall not be mailed, but shall be delivered electronically to fax number (206) 470-1279 or as an e-mail attachment in PDF format to riskmanagement@seattle.gov.

THIRD PARTY BENEFICIARY

The STATE shall require the STATE’s contractors, consultants, and designers and each of their subcontractors to perform the STATE’s work contemplated by this Agreement, the SCL Agreement, UT 01476, and the SPU Agreement, UT 01474 at no cost to the City of Seattle; and because a portion of the PROJECT will be conducted on CITY Street Right-of-Way and on or for the benefit of the City of Seattle, the contracts between the STATE and its contractors, consultants, and designers will include the following requirements:

(1) With respect to any and all of the City of Seattle’s interests, including, but not limited to, excavation, restoration, and traffic control responsibilities of the STATE, the STATE and the contractor will acknowledge that the City of Seattle is an intended third party beneficiary of the contracts; (2) the STATE and the contractor will include the City of Seattle as a named third party beneficiary of the STATE’s contracts; and (3) the STATE and the contractor will include the City of Seattle in the indemnification and insurance provisions contained in the STATE’s contracts. The STATE and CITY do not intend that this paragraph be interpreted to create any obligation, liability, or benefit to any third party, other than the STATE and the City of Seattle for purposes of design and construction of the PROJECT as described in this Agreement, the SCL Agreement, UT 01476, and the SPU Agreement, UT 01474.

LIENS

In the event that any City of Seattle-owned property interest becomes subject to any claims for mechanics’, artisans’ or materialmen’s liens, or other encumbrances chargeable to, or through, the STATE that the STATE does not contest in good faith, the STATE shall cause such lien, claim, or encumbrance to be discharged or released of record (by payment, posting of bond, court deposit, or other appropriate means), without cost to the City of Seattle, and shall indemnify the City of Seattle against all costs and
expenses (including attorneys’ fees) incurred in discharging and releasing such claim, lien, or encumbrance prior to completion of the PROJECT.

23. DISPUTE RESOLUTION

23.1 Good Faith. The CITY and the STATE shall make good faith efforts to resolve any dispute arising under or in connection with this Agreement. The dispute resolution process outlined in this Section applies to disputes arising under or in connection with the terms of this Agreement. In the event that the PARTIES cannot resolve a disagreement arising under or in connection with this Agreement, the PARTIES shall follow the dispute resolution steps set forth below.

23.2 Notice. A PARTY’s Designated Representative, as defined in Section 25 below, shall notify the other PARTY’s Designated Representative in writing of any problem or dispute that a PARTY believes needs resolution. The written notice shall include (a) a description of the issue to be resolved; (b) a description of the differences between the PARTIES on the issue; and (c) a summary of any steps taken to resolve the issue.

23.3 Meeting. Upon receipt of a written notice of request for dispute resolution, the project engineer/project manager for the PARTIES shall meet within ten (10) Business Days and attempt to resolve the dispute. Any resolution of the dispute requires the agreement of all Designated Representatives attending the meeting or who requested to attend the meeting.

23.4 Notice of Second Level Meeting. If the PARTIES have not resolved the dispute within five (5) Business Days after the meeting, at any time thereafter either PARTY may request that the dispute be elevated to the next level by notifying the other PARTY’s Designated Representative in writing, requesting that the dispute be raised to the Second Level Meeting as described in Subsection 23.5. The written notification shall include a) a description of the remaining issues to be resolved; b) a description of the differences between the PARTIES on the issues, c) a summary of the steps already taken to resolve the issues, and d) the resolution of any issues that were initially involved in the dispute.

23.5 Second Level Meeting. Upon receiving a written request that the dispute be elevated to the next level, a meeting shall be held within ten (10) Business Days between the project director of WSDOT and the appropriate CITY program manager(s) to resolve the dispute. Any resolution of the dispute requires the agreement of all Designated Representatives attending the meeting or who requested to attend the meeting.

23.6 Notice of Third Level Meeting. If the PARTIES have not resolved the dispute within five (5) Business Days after the Second Level Meeting as described in Subsection 23.5, at any time thereafter either PARTY may request that the dispute be elevated to the next level by notifying the other PARTY’s Designated Representative in writing, requesting that the dispute be raised to the Third Level Meeting as described in Subsection 23.7. The written notification shall include a) a description of the remaining
issues to be resolved; b) a description of the differences between the PARTIES on the
issues, c) a summary of the steps already taken to resolve the issue, and d) the resolution
of any issues that were initially involved in the dispute.

23.7 Third Level Meeting. Elevate to the Designated Representatives.

23.8 Court of Law. If the PARTIES have not resolved the dispute within five (5)
Business Days after the third level meeting, at any time thereafter either PARTY may
seek relief under this Agreement in a court of law. The PARTIES agree that they have no
right to relief in a court of law until they have completed the dispute resolution process
outlined in this Section 23.

23.9 A PARTY’s request to utilize this Section 23 dispute resolution Process is not
evidence that either PARTY is in breach of this Agreement, and does not relieve any
PARTY from complying with its obligations under this Agreement.

24. REMEDIES; ENFORCEMENT

Subject to the dispute resolution provisions in Section 23, the City of Seattle and the
STATE shall have, in addition to any remedies available at law or equity, the right to
demand specific performance of this Agreement, the SCL Agreement, UT 01476, and the
SPU Agreement, UT 01474.

25. DESIGNATED REPRESENTATIVES

The Designated Representative for each PARTY is as follows:

STATE:
Program Administrator
Alaskan Way Viaduct & Seawall Replacement Program
Washington State Department of Transportation
999 3rd Avenue, Suite 2424
Seattle, WA 98104

CITY:
SDOT Deputy Director
Seattle Department of Transportation
P.O. Box 34996
700 Fifth Avenue, Suite 3800
Seattle, WA 98124-4996

26. EFFECTIVENESS AND DURATION

26.1 This Agreement shall be effective as of the date the last PARTY signs and, unless
sooner terminated pursuant to the terms hereof, shall remain in effect until final
completion of all PARTIES' obligations contained or referred to in this Agreement, the SCL Agreement, UT 01476, and the SPU Agreement, UT 01474.

27. NOTICE

27.1 Except for the dispute resolution process in Section 23 above, for which notice shall be given to the officials listed in Section 25, all notices, demands, requests, consents and approvals that may be or are required to be given by either PARTY to the other PARTY shall be in writing and shall be deemed to have been duly given (i) upon actual receipt or refusal to accept delivery if delivered personally to the Designated Representative, (ii) upon actual receipt or refusal to accept delivery if sent by a nationally recognized overnight delivery service to the Designated Representative, or (iii) upon actual receipt if electronically transmitted to the Designated Representative with confirmation sent by another method specified in this Section 27. Notice of a change of Designated Representative or the address for the Designated Representative shall be given as provided in this Section 27.

28. TERMINATION AND SUSPENSION

28.1 This Agreement may be terminated pursuant to Section 2.3 or for other cause by either PARTY upon ninety (90) calendar days written notice. Said notice shall set forth the reasons for termination and the effective date of termination.

28.2 Termination of this Agreement, the SCL Agreement, UT 01476, or the SPU Agreement, UT 01474 shall not relieve the PARTIES of any obligations that are required to be performed prior to the date of termination, nor shall it relieve the PARTIES of any obligations that are intended to survive termination of this Agreement, the SCL Agreement, UT 01476, or the SPU Agreement, UT 01474. Furthermore, the PARTIES agree that, in the event the STATE exercises its right to terminate pursuant to this Section 28 or the STATE suspends the work or materially delays the work after construction of the PROJECT begins, then the STATE, at its cost and expense, shall modify the PROJECT, in consultation with the CITY, to provide for the restoration, continued service, operation, and maintenance of CITY Facilities, PROJECT infrastructure, CITY Street Right-of-Way, or any other CITY property and the STATE shall ensure that the modified PROJECT is completed. The STATE shall also ensure that all SPU and SCL utility services can continue to be provided by SPU and SCL either in substantially the same manner as occurred prior to the initiation of work, or in the manner intended by the proposed work, unless otherwise agreed to by the affected UTILITY.

29. CONFIDENTIALITY OF INFORMATION AND RECORDS

29.1 It is understood that certain information about CITY Facilities is deemed by the CITY to be sensitive and may be confidential under state or federal law. The STATE agrees that all documents and information collected from field activities known to include confidential information will be maintained in a locked file at the project office and access will be controlled by the STATE'S consultants. Furthermore, confidential
information will only be provided to the selected contractor in conformed documents following Contract Award if such information is considered necessary for construction. The CITY will provide clear written guidelines that specifically define the information that is deemed sensitive and/or confidential.

29.2 Should any of those confidential or sensitive documents become the subject of a request for public disclosure under Chapter 42.56 RCW, the STATE shall use its best efforts to immediately notify the CITY of such request and the date by which the STATE anticipates responding, which date shall in no event be less than fifteen (15) calendar days after STATE’s first notice of the disclosure request to the CITY. The CITY must then within a reasonable time of receipt of said notice in writing to the STATE (a) specifically identify each record, or part thereof, and (b) fully explain why such records(s) are exempt from disclosure under Chapter 42.56 RCW or any other law so that the STATE may respond to the records requester. The STATE shall withhold or redact those public records that the CITY reasonably claims are exempt from disclosure based upon the CITY’s information. The CITY at its sole expense may seek a judicial declaration or injunction with respect to the public records request. The CITY further agrees that it will, at its sole expense, defend the non-disclosure of that information it claims is exempt from disclosure and indemnify the STATE for any and all penalties assessed and costs that the STATE incurs, if any.

29.3 The provisions of this Section 29 shall survive the termination of this Agreement.

30. GENERAL PROVISIONS

30.1 This Agreement shall be effective independently from any and all permits that may be issued by the CITY.

30.2 Each PARTY shall ensure that its employees, agents, and contractors comply with the obligations of this Agreement.

30.3 The PARTIES shall not be deemed to be in default under this Agreement if performance is rendered impossible by war, riots, or civil disturbances, or by floods or other natural catastrophes beyond the PARTIES’ control; the unforeseeable unavailability of labor or materials; or labor stoppages or slowdowns or power outages exceeding back-up power supplies. This Agreement shall not be terminated or the PARTIES penalized for such noncompliance, provided that each PARTY takes immediate and diligent steps to bring itself back into compliance and to comply as soon as practicable under the circumstances without unduly endangering the health, safety, or integrity of the PARTY’s employees or property, or the health, safety, or integrity of the public, street rights-of-way, public property, or private property.

30.4 This Agreement including the definition of the PROJECT as more particularly described in the Project Description attached as Exhibit A may be amended only by a
written instrument, duly authorized by the CITY and the STATE, and executed by their duly authorized representatives.

30.5 No failure to exercise, and no delay in exercising, on the part of either PARTY hereto, any rights, power, or privilege hereunder shall operate as a waiver thereof, except as expressly provided herein.

30.6 This Agreement, together with GCA 6366, the SCL Agreement, UT 01476 and the SPU Agreement, UT 01474, with the attached Exhibits and the documents, terms and provisions incorporated in any of the foregoing, constitute the entire agreement of the PARTIES with respect to the PROJECT, and supersede any and all prior negotiations and understandings with respect hereto.

30.7 Section and subsection headings are intended as information only, and shall not be construed with the substance of the section or subsection they caption.

30.8 All exhibits or other attachments are by this reference hereby incorporated into this Agreement.

30.9 This Agreement may be executed in counterparts, each of which shall be deemed an original, and all counterparts together shall constitute but one and the same instrument.

30.10 The PARTIES acknowledge the right of each PARTY to exercise its police power pursuant to general law and applicable statutes for the protection of the health, safety, and welfare of its citizens and their properties. Nothing in this Agreement shall be construed as waiving or limiting the STATE’s or CITY’s rights to exercise its police power or to preclude or limit exercising any regulatory power in connection with this PROJECT.

30.11 This Agreement shall be interpreted, construed, and enforced in accordance with the laws of the State of Washington. The venue for any action under this Agreement shall be in the Superior Court for King County, Washington.

30.12 A judicial determination that any term, provision, condition, or other portion of this Agreement, whether in whole or in part, is inoperative, invalid, void, or unenforceable shall not affect the remaining terms, provisions, conditions, or other portions of this Agreement, whether in whole or in part, and the remaining terms, provisions, conditions, or other portions of this Agreement, whether in whole or in part, shall remain valid and enforceable to the fullest extent permitted by law.
IN WITNESS WHEREOF, the PARTIES hereto have executed this Agreement as of the
last date written below.

CITY OF SEATTLE

By: [Signature]

Title: City Clerk

Date: 5-23-11

WASHINGTON STATE

By: [Signature]

Title: Administrator, AWV System

Date: 1/28/2011

APPROVED AS TO FORM:

By: [Signature]

Title: Senior Assistant Attorney General

Date: 1-28-11
EXHIBIT A TO MEMORANDUM OF AGREEMENT NO. GCA 6486

Unless specifically defined otherwise in this document, the definitions set forth in GCA 6486 ("SDOT Agreement"), UT 01476 ("SCL Agreement") and UT 01474 ("SPU Agreement"; collectively, "Agreements") apply to terms used in this document.

The PROJECT replaces SR 99 from South Royal Brougham Street to Roy Street and consists of designing and constructing a four-lane tunnel from South King Street to Thomas Street, north and south tunnel portals and access streets, re-establishment of the City street grid in the vicinity of the portals, and associated utility relocations.

The PROJECT consists of the following features:

Utility Work:

- Removal and replacement of existing City electrical, communications, water, drainage and wastewater facilities and other privately owned utilities that directly conflict with the north and south tunnel portals and tunnel portal excavations.
- Utility services necessary for the operation of the tunnel and tunnel operations buildings.
- New Utility improvements.

Tunnel:

- A four-lane tunnel under the City from a south portal in the vicinity of Dearborn Street and Alaskan Way to a north portal in the vicinity of 6th Avenue North and Harrison Street.
- PROJECT work will include:
  - Approximately two miles of cut-and-cover and bored tunnel providing two travel lanes in each direction.
  - Tunnel portal structures and the shoring walls and excavation associated with portal construction.
  - Tunnel operations buildings at both the north and south portals to house tunnel egress, tunnel ventilation systems, and fire and life safety systems and controls.
  - Tunnel operations, intelligent transportation, and fire and life safety systems.
  - Monitoring of, and mitigation, for tunnel-induced Deformation, such as protecting utilities, and preparing structures for predicted tunnel-induced Deformation through engineered measures such as grouting and structural retrofit.
  - Repair of public and private property that may be damaged as a result of construction.

North Tunnel Access and Reconnection of the Surface Street Grid:

- SR 99 roadway and roadway structures connecting the tunnel to existing SR 99 in the vicinity of Aurora Avenue at Roy Street, associated on and off ramps, and City right of way in the vicinity of the north tunnel portal.
• PROJECT work will include:
  o Advance traffic management systems including capability for tolling.
  o Reconnect Aurora Avenue to the City street grid at Denny Way.
  o Improvements to existing City street right-of-way including cross-corridor connections of John, Thomas, and Harrison Streets.
  o New lanes, curbs, sidewalks, traffic signals, intelligent transportation systems and signage, landscaping and street lighting.
  o Improvements to Aurora Avenue from Denny Street to Harrison Street.
  o Storm drains and other utilities in the new City street right-of-way.

South Tunnel Access and Reconnection of the Surface Street Grid:

• Roadway and roadway structures connecting the tunnel south portal to SR 99 lanes being constructed as part of the Holgate to King Project in the vicinity of South Royal Brougham Way and improvements to City street right-of-way in the vicinity of the south tunnel portal.

• PROJECT work will include:
  o Removal of the south-end SR 99 temporary roadway detour built as part of Holgate to King Project.
  o Advance traffic management systems including capability for tolling.
  o New lanes, curbs, sidewalks, traffic signals, intelligent transportation systems and signage, landscaping and street lighting.
  o City street improvements including cross-corridor connections of S. Dearborn Street.
  o Restoration of 1st Avenue South from Royal Brougham Way to Railroad Way S.
  o Storm drains and other utilities in the new City street right-of-way.
  o Pedestrian plazas in the vicinity of the south tunnel portal.
  o Bicycle and pedestrian paths.

Other PROJECT work:

  o Environmental remediation.
  o Temporary sediment and erosion control.
  o Traffic control and detours.
  o Maintenance of utility service.
Design Review, Construction Management, Inspection, Record Drawing and Task Order Procedures

1. **Scope.** This document establishes implementing procedures called for in and otherwise necessitated by GCA 6486 (SDOT Agreement), UT 01476 (SCL Agreement) and UT 01474 (SPU Agreement).

   1.1. With respect to CITY regulatory authority, the scope of this document is limited to the issuance of SDOT Street Use Permits. References to CITY permits, standards, or regulatory authority or responsibility, including references that are not expressly limited, are not intended to extend beyond Street Use Permits or the standards, authority, or responsibility under SMC Title 15.

   1.2. Nothing in this document is intended, or shall be construed, to expand the scope of CITY responsibility regarding the PROJECT beyond the scope stated in the SDOT, SCL, and SPU Agreements.

   1.3. Within the scope described above, this document is intended to describe roles and procedural responsibilities, clarify expectations, and standardize business processes for the duration of the PROJECT. Due to the complexity of the PROJECT and adjacent PROGRAM elements, the STATE and the CITY recognize that unanticipated situations will arise that require modification of these procedures.

2. **Plan Review for Design and Permits**

   2.1. These Design and Plan Review procedures are based on the expectation that WSDOT is responsible for executing the PROJECT work either under WSDOT’s direct responsibilities for PROJECT elements or where the CITY has entered into a Task Order agreement for WSDOT assistance in executing the CITY’s responsibilities. In instances where the CITY executes PROJECT work, additional procedures may be needed to address design and construction coordination.

   2.2. In implementing the procedures, the goal of WSDOT and the CITY is to facilitate timely and expeditious completion of PROJECT designs that:

   - Meet PROJECT requirements and standards and commitments in the SDOT, SPU, and SCL Agreements;
• Comply with WSDOT procedural requirements in a timely manner;
• Fulfill CITY regulatory requirements set forth in Seattle Municipal Code (SMC) Title 15 in a timely manner;
• Achieve the PROJECT schedule;
• Allow construction to proceed in a timely manner;
• Minimize PROJECT scope growth; and
• Minimize impact on CITY Facilities.

2.3. WSDOT will take the lead in coordinating regular communications and design coordination meetings with the CITY, WSDOT’s consultants and contractors, and other utility owners.

2.4. WSDOT will prepare PROJECT designs affecting CITY Facilities in collaboration with SDOT, SCL, and SPU staff and agrees to seek and incorporate input from the CITY in the early stages of preliminary engineering, preparation of Plan Review Packages and Design Submittals, and throughout the PROJECT design and permitting process.

2.5. Design and construction of CITY Infrastructure will meet CITY Standards. Design of CITY Infrastructure will include consideration of long-term operation and maintenance costs, in addition to up-front design and construction costs.

2.6. The CITY will review all plans for work described in Section 7.3 of the SDOT Agreement GCA 6846.

2.7. WSDOT will coordinate and obtain written concurrence from the CITY on any requested deviation from CITY standards prior to the beginning of construction.

2.8. WSDOT and the CITY agree that WSDOT will submit plans for CITY Infrastructure prepared in accordance with SR 99 Alaskan Way Viaduct and Seawall Replacement CADD Manual, Revision 2.0, dated April 2010.

2.9. WSDOT will coordinate and obtain written concurrence from the CITY prior to implementing revisions or deviations from the Approved Plans.

2.10. The CITY will notify WSDOT in good faith when the CITY becomes aware of issues that may delay issuance of a Street Use Permit. Failure to provide such notice shall not provide grounds to challenge the issuance or non-issuance of a permit.


3.1. WSDOT will determine the project scope for a given design and contract package with CITY input. Changes to project scope will necessitate review by WSDOT AW VSR PROGRAM management in accordance with PROGRAM configuration management and change control procedures.
3.2. WSDOT and the CITY will collaborate to develop a target project delivery schedule to include WSDOT's Plan Review Package submittals to the CITY. WSDOT will notify the CITY of any proposed schedule modifications. If WSDOT determines that it cannot meet the anticipated dates, WSDOT will collaborate with the CITY’s Designated Representative to develop a revised submittal schedule as soon as possible after delay is known or anticipated.

3.3. WSDOT will notify the CITY’s Designated Representative fifteen (15) Business Days prior to the scheduled Plan Review Package scheduled transmittal to confirm that the Plan Review Package will be transmitted as scheduled or to establish a deferred date so that CITY staff can be appropriately scheduled for the review.

3.4. WSDOT will prepare and submit complete plans and supporting documentation to the CITY and provide corrections and additional information as needed by the CITY to allow CITY staff sufficient time to review the Street Use Permit application and the plans. The duration for review for each Plan Review Package is indicated in the tables below. Submittal of multiple Plan Review Packages to the CITY for concurrent review may increase the time required for review as indicated in the tables below, or as otherwise agreed by WSDOT and the CITY.

3.5. SDOT will coordinate CITY review of the Plan Review Packages to include receiving and distributing materials among CITY of Seattle reviewers, collating and tracking review comments, and working with other CITY departments to resolve conflicting comments or requirements.

3.6. WSDOT will submit a Street Use Permit application early during design development in order to define permit conditions for incorporation into contract documents. This application submittal will initiate the permit review and issuance process.

Table 1: Design-Bid-Build Review Periods

<table>
<thead>
<tr>
<th>Submittal Phase</th>
<th>CITY Review Period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number of Business Days per Number of Plan Review Packages Under Review*</td>
</tr>
<tr>
<td></td>
<td>One</td>
</tr>
<tr>
<td>30% Plan Review Package</td>
<td>15 days</td>
</tr>
<tr>
<td>Progress Plan Review Package</td>
<td>25 days</td>
</tr>
<tr>
<td>100% Plan Review Package</td>
<td>15 days</td>
</tr>
<tr>
<td>WSDOT Post-Advertisement Construction Contract Addenda Plan Review Package **</td>
<td>Varies – 3 to 20 days as noted below</td>
</tr>
<tr>
<td>Final Plan Review Package</td>
<td>15 days</td>
</tr>
</tbody>
</table>
* In the event that more than three Plan Review Packages and/or major PROGRAM-related documents are under review at the same time, WSDOT and CITY agree to negotiate a reasonable review time for the Plan Review Packages being submitted.

** Post-Advertisement addenda review time will be based on the volume of revisions to plan sheets and specifications affecting City Facilities follows:

Table 2: Addenda Review Periods

<table>
<thead>
<tr>
<th>Number of addenda added/revised plan sheets (excluding quantity tabs/structure notes)</th>
<th>CITY Review Period (Number of Business Days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 200</td>
<td>5</td>
</tr>
<tr>
<td>&lt; 400</td>
<td>8</td>
</tr>
<tr>
<td>&lt; 800</td>
<td>15</td>
</tr>
<tr>
<td>More than 800</td>
<td>20</td>
</tr>
</tbody>
</table>

3.7. The CITY’s design review and Street Use Permit processes will take place as follows:

3.7.1. The CITY review period begins on the Business Day following receipt by the CITY’s Designated Representative of the Plan Review Package and ends when the CITY’S final comment document is submitted to WSDOT electronically in a Microsoft Excel document format. The CITY is responsible to assign appropriate staff to review and provide comment within the established timeframes.

3.7.2. Following its review of the Progress Plan Review Package, SDOT will prepare and deliver to WSDOT draft Street Use Permit conditions. SDOT will update the draft conditions after completion of CITY’s review of each subsequent Plan Review Package to enable incorporation of the draft conditions into WSDOT’s construction contract documents.

3.7.3. WSDOT will deliver the Plan Review Packages as further described in this Exhibit. If the CITY receives a submittal from WSDOT that does not contain all the requirements of a Plan Review Package, the CITY will notify WSDOT that the submittal is incomplete. To expedite the process and to the extent possible, the CITY will attempt to begin review of an incomplete submittal. However, WSDOT will submit the information needed to complete the Plan Review Package as soon as possible and will highlight any changes made since submittal of the incomplete Plan Review Package. The CITY’S plan review period will not commence until the receipt of a complete Plan Review Package.
3.7.4. The CITY’s Designated Representative will work with the CITY departments to identify comments on the Plan Review Packages. The CITY departments will reconcile conflicting comments, and SDOT will incorporate the comments in a single document.

3.7.5. The CITY will assist WSDOT in determining appropriate responses to comments and resolution of concerns noted in its comments.

3.7.6. WSDOT will provide initial written responses to all comments within ten (10) Business Days of receiving the CITY’s comments to a Plan Review Package. All comments related to CITY Infrastructure shall be resolved to the CITY’s satisfaction and incorporated into the succeeding Plan Review Packages.

3.7.7. WSDOT will hold a comment resolution meeting with the CITY within ten (10) Business Days after WSDOT receives and responds to the CITY comments. Any unresolved comments will be forwarded to a comment resolution team composed of CITY and WSDOT staff. In the event the team cannot resolve all issues, they will be elevated to appropriate levels of management in accordance with the dispute resolution provisions of GCA 6486, UT 01474, and UT 01476.

3.8. WSDOT and the CITY agree to follow a process to facilitate both WSDOT’s compliance with both WSDOT procedures governing preparation of bid packages and SDOT procedures for issuing Street Use Permits. The process will include the following steps:

3.8.1. WSDOT will endeavor to resolve and address all CITY comments on previous Plan Review Packages to the CITY’s satisfaction prior to submittal of the 100% Plan Review Package. The CITY will be responsive to requests to meet and review the design approach to resolution. WSDOT agrees to resolve and address, to the CITY’s satisfaction, all CITY comments from previous Plan Review Packages that are related to CITY Infrastructure design.

3.8.2. The CITY will determine, following the receipt of the 100% Plan Review Package, whether all comments on the previous Plan Review Package have been addressed. At the conclusion of this determination, the CITY will notify WSDOT in writing either that the CITY’s comments have been resolved to the CITY’s satisfaction or that WSDOT has not addressed all the CITY’s comments to the CITY’s satisfaction. If the CITY notifies WSDOT that it has not addressed all CITY comments to the CITY’s satisfaction, the CITY will submit to WSDOT proposals for addressing the outstanding issues. WSDOT will engage CITY reviewers in resolution of the remaining review comments and, either party may elevate unresolved comments in
accordance with the dispute resolution provisions of GCA 6486, UT 01474, and UT 01476.

3.8.3. WSDOT will invite the CITY to participate in its Round Table Meeting to enable full discussion of the implications and consequences to CITY Facilities or compliance with SMC Title 15 of changes proposed by WSDOT to the 100% Plan Review Package. WSDOT will coordinate revisions made to the contract plans and provisions after WSDOT submits the 100% Plan Review Package.

3.8.4. SDOT will issue its Street Use Permit within five (5) Business Days following the Round Table Meeting if the CITY determines that the plans conform to the requirements of SMC Title 15. If any issues remain for resolution, the CITY will condition the Street Use Permit accordingly. WSDOT will engage CITY reviewers in resolution of review comments and, if resolution cannot be reached, either PARTY may elevate unresolved comments in accordance with the dispute resolution provisions of GCA 6486, UT 01474, and UT 01476.

3.8.5. If the Street Use Permit has not been issued within five (5) Business Days following the Round Table Meeting, the SDOT Director or his designee will review the cause of permit delay within one (1) Business Day, and meet with the STATE’s Program Administrator or his designee to discuss the issues and develop a course of action.

3.8.6. WSDOT will work with the CITY to ensure that all comments on the 100% Plan Review Package are adequately incorporated into WSDOT’s advertisement for bid, or are otherwise addressed to WSDOT’s and the CITY’s satisfaction and that all comments on the 100% Plan Review Package related to design of CITY Infrastructure are addressed to the CITY’s satisfaction. This process will include comment resolution with CITY reviewers, a meeting with WSDOT and CITY resolution teams, and, if resolution cannot be reached, elevation of unresolved comments in accordance with the dispute resolution provisions of GCA 6486, UT 01474, and UT 01476.

3.8.6.1. WSDOT will prepare and submit post-advertisement addenda to the CITY prior to releasing addenda to prospective bidders. Addenda will clearly delineate changes that have been made to the plans and specifications. The addenda review periods will be determined by the scope and complexity of the proposed addenda with review times generally as indicated in the tables above.

3.8.6.2. WSDOT will notify the CITY when the final addendum is issued to prospective bidders. This notice will constitute the Final Plan Review
Package submittal. The CITY will review the Final Plan Review Package to confirm whether WSDOT has adequately addressed the CITY plan review comments, whether all applicable conditions of the Street Use Permit have been addressed to the CITY's satisfaction, and whether plans conform to the requirements of the SMC Title 15. Prior to bid opening, and upon the CITY's determination that a Final Plan Review Package meets requirements, the CITY will issue to WSDOT a Letter of Plan Approval that:

- Identifies the plans and specifications that have been granted the CITY's regulatory approval for construction by the CITY, and
- Signifies that WSDOT has addressed the plan review comments.

No construction may take place until the Letter of Plan Approval has been issued by the CITY.

4. Procedures for Design-Build Contracts

4.1. The procedures that follow are intended to facilitate meeting requirements, standards, and objectives for the Design-Build portions of the PROJECT.

4.2. WSDOT agrees to work with the CITY in defining and meeting the design and construction standards for the PROJECT work affecting CITY Facilities. The CITY will provide clear design guidance for elements of the PROJECT to be owned, operated or maintained by the CITY. WSDOT will include CITY design and construction standards in WSDOT's Design-Build Contract documents for CITY Facilities.

4.3. WSDOT will apply for a Street Use Permit prior to issuance of the final Request for Proposals. The CITY may review and comment on the Final RFP.

4.4. As a requirement of its Design-Build Contract(s), the Design-Builder will organize Task Forces for design development, coordination, and management of various elements of the work. The Task Forces are a primary vehicle for coordination and will provide an opportunity for WSDOT and CITY staff to provide input to the design process. Task Force meetings will also be the primary means to keep reviewers up to date on design development. Over-the-shoulder reviews will be conducted to facilitate quicker turn-around of formal plan reviews. Dependent on the need for coordination with adjacent contracts, some of the Task Forces will be designated as “corridor-wide.” In addition to WSDOT and CITY staff, Task Force membership may include representatives from other stakeholders such as private utility owners, King County, the Port of Seattle, the stadiums, and adjacent contractors.

4.5. The CITY will participate in Task Forces affecting CITY Facilities and for the performance of the CITY's regulatory responsibilities. Based on current PROJECT planning, the CITY will participate in the following Task Forces:

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4.6. Task Forces will meet on a regular basis to solicit input, coordinate design and
construction activity, and assure dissemination of critical PROJECT information to all
members. The Design Builder or WSDOT will be the designated lead for meetings and
recording of meeting minutes. The Task Forces will work collaboratively to review and
provide guidance as the Design Builder develops Design Submittals.

4.7. WSDOT and the CITY recognize that regular attendance at Task Force meetings by
their respective staffs is necessary to discuss and agree upon resolutions of design
issues before more formal review processes begin in order to streamline later review
and minimize substantial comments when the Preliminary and Final Design plans are
submitted.

4.8. Attendance at over-the-shoulder review by CITY staff members will be determined by
the CITY Construction Project Engineer based in part upon the materials to be
reviewed. Whenever possible three (3) Business Days notice will be given to persons
who do not regularly attend Task Force meetings. The CITY will make every effort to
assign staff members to over-the-shoulder review meetings who are authorized to
make final decisions regarding compliance of the plans for CITY Facilities with the
CITY’s standards, specifications and permit requirements.

4.9. WSDOT’s Design Builder will submit a Quality Management Plan (QMP) that will
define the timing, content, and format of all design reviews. The QMP will also
include processes and procedures for how regularly scheduled Task Force meetings
will be used to support quality goals. These meetings, combined with over-the-
shoulder reviews, will be an integral part of the process to discuss and resolve design
issues outside of the formal review process and reduce the level of effort required to
conduct the formal review process. The QMP will define how over-the-shoulder
reviews will be conducted with Task Force members. Over-the-shoulder reviews are
in-progress reviews of the design and provide opportunities for WSDOT, the CITY,
and other stakeholders to provide comments and feedback on the design.

4.10. The design builder will be required to provide three submittals for each design element
as indicated below. These submittals are intended to meet the requirements of the
design and Street Use Permit plan review processes of both WSDOT and the CITY.
The CITY will review design elements affecting CITY Facilities and CITY interests,
and for the performance of the CITY's regulatory responsibilities, within the scope stated in this Agreement, UT 01476 (SCL Agreement) and UT 01474 (SPU Agreement).

4.10.1. **Preliminary Design Submittal.** The intent of the Preliminary Design Submittal is to provide a formal opportunity for WSDOT, the CITY, the Design Builder, various design team disciplines, and other approved PROJECT stakeholders to review the construction documents in order to provide input addressing whether the plans reflect Design Build Contract requirements for construction; whether design features are coordinated; and whether there are no fatal flaws within a given discipline or between disciplines. The contents of the Preliminary Design Submittal will vary by discipline as specified in the RFP or as mutually agreed by members of the applicable Task Force.

4.10.2. **Final Design Submittal.** The Final Design Submittal will be prepared when the design for a given element or area is near 100% complete. The Final Design Submittal includes plan sheets, specifications, technical memos, reports, calculations, and other pertinent data, as applicable and incorporates design changes or otherwise addresses CITY comments. As a result of the on-going discussion and resolution of design and construction issues through the regularly-scheduled Task Force meetings and over-the-shoulder reviews, it is anticipated that there will be very few revisions or changes at this stage. The Final Design Submittal will include all specifications, including but not limited to, all amendments to the WSDOT Standard Specifications for Road, Bridge and Municipal Construction, special provisions, technical requirements, and technical specifications, necessary to construct the work represented in the submittal. Following resolution of all comments, the Final Design Submittal may proceed through the written certification process described below in preparation for being released for construction.

4.10.3. **Released for Construction (RFC) Submittal.** At a minimum, the Design Builder will provide a preliminary and a final submittal of all plans and technical specifications and resolve all comments prior to being released for construction. Comments from the CITY concerning design of the CITY's stated requirements for CITY Infrastructure, and comments regarding compliance with SMC Title 15, will be resolved to the CITY's satisfaction. WSDOT will ensure that the RFC Submittal reflects all QA, QC, and design reviews required by the QMP and this Agreement, UT 01476 (SCL Agreement) and UT 01474 (SPU Agreement). WSDOT will also provide a written certification from its contractor to be used to verify to WSDOT and the City that all QA procedures have been completed to ensure that all review comments have been incorporated as agreed to during the comment resolution process among WSDOT, and the Design-Builder, and that the documents are ready to be released for construction. Each sheet of the plan...
set and the cover of each set of technical specifications in the RFC Submittal will carry the Professional Engineer’s stamp registered in the State of Washington and will be stamped "Released for Construction" by the contractor’s Design QA Manager.

4.10.4. WSDOT will provide hard copies and electronic files (in both CADD and PDF formats) of documents pertaining to CITY Facilities or the Street Use Permit as requested by the CITY’s Construction Project Engineer. The electronic drawing files will include copies of all sheet and reference files used in the RFC Submittal. All design submittals will conform to the AWVSRP Computer Aided Design & Drafting Manual. Construction will not begin until WSDOT has determined that all required government and private approvals have been obtained.

4.10.5. Design Review. The review period for the Preliminary and Final Design Submittals will be fourteen (14) calendar days from the Business Day following receipt by the CITY’s Construction Project Engineer of the Plan Review Package. The review period may be extended for submittals with overlapping review periods. The CITY will provide staff to provide guidance, review and comment on the Preliminary and Final Design Submittals for CITY Infrastructure, and work that impacts CITY Facilities and for work requiring a Street Use Permit as necessary to complete the reviews within the allotted period. Reviews may be required for the entire design or discrete portions of the design. Review comments will be submitted in a manner and form as requested and approved in the Design-Builder’s QMP and mutually agreed by WSDOT and the CITY. WSDOT and the CITY Construction Project Engineer will jointly determine the design elements to be reviewed by the CITY.

4.10.6. Comment Resolution. The Design-Builder will schedule and maintain minutes of all resolution meetings with WSDOT and CITY staff and other Task Force members as appropriate to document and resolve review comments. It is intended that all comments will be resolved at these meetings. The Design-Builder will incorporate comment resolutions in subsequent submittals and provide a spreadsheet explaining action taken on each comment. In the event WSDOT disagrees with any CITY comment, the CITY and WSDOT will make staff with decision making authority on the issue available at the earliest possible opportunity to resolve the matter. If resolution cannot be reached, unresolved comments will be elevated in accordance with the dispute resolution provisions of GCA 6486, UT 01474, and UT 01476.

4.10.7. Street Use Permit Issuance. Upon receipt of a Preliminary Design Submittal, SDOT will make a determination as to whether the proposed work package requires a Street Use Permit under the provisions of SMC Title 15, or Letter GCA 6486, Exhibit B

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of Plan Approval, and so notify WSDOT. SDOT will issue a Street Use Permit and Letter of Plan Approval for the initial RFC Submittal within three (3) days of receipt of the RFC Submittal if the CITY has determined that the plans for the PROJECT element conform to the requirements of SMC Title 15 and that WSDOT has resolved all CITY plan review comments. Upon receipt of the City-issued Street Use Permit and Letter of Plan Approval WSDOT will be authorized to proceed with construction subject to the terms and conditions of the permit.

4.10.8. If the Street Use Permit has not been issued within three (3) Business Days after receipt of the RFC Submittal, the SDOT Director or his designee will review the cause of permit delay within one (1) Business Day, and meet with the STATE’s Program Administrator or his designee to discuss the issues and develop a course of action.

4.10.9. Changes to RFC Submittal. WSDOT will diligently attempt to avoid the need for plan changes after issuance of a Street Use Permit or Letter of Plan Approval. In the event such changes occur, the CITY will undertake any additional review and permit re-issuance in as expedited a manner as practicable. WSDOT will require the Design-Builder’s QMP to address the process for implementing design changes, including field changes, on the RFC Submittal. Design changes will be subject to the QA and QC measures and procedures, commensurate with those applied to the original design or that portion of the PROJECT under consideration for change. WSDOT will obtain CITY concurrence for all design changes affecting CITY Facilities or permitted interests prior to implementation of the change.

4.10.10. WSDOT will require the Design Builder to document all revisions made to the Approved Plans and design documents during the construction phase of the PROJECT by preparing new, revised or supplemental documents (including plan sheets, technical specifications, calculations, reports, and narratives). The new, revised, and supplemental documents will meet all requirements for the original documents. Every revision will be assigned a number. The revision number will be assigned sequentially, with each change in a document or plan sheet identified by the revision number. The assigned number will be located both at the location of the change on the sheet and in the revision block of the document, along with an explanation of the change. Revised RFC Submittals will be reviewed by the CITY Project Construction Engineer, who will coordinate with CITY departments as required depending upon the nature of the changes and initiate amendment of the Street Use Permit if required, consistent with applicable law.

5. Construction Management, Inspection, and Acceptance Procedures
5.1. The following procedures govern construction management, inspection, and acceptance processes of CITY Facilities constructed by WSDOT for the PROJECT and address fulfillment of the CITY’s regulatory role under SMC Title 15. The procedures will be used for Design-Bid-Build Contract and Design-Build Contract project delivery methods.

5.2. WSDOT and the CITY agree to work cooperatively with each other and in good faith to implement these procedures to attempt to accomplish the following:

5.2.1. Enable timely and expeditious execution of the PROJECT in accordance with the agreed standards on schedule.
5.2.2. Facilitate thorough review of all stages of construction to ascertain that CITY Infrastructure constructed by WSDOT is in compliance with CITY policy and regulations, and standards and specifications.
5.2.3. Facilitate communications and activities pertaining to construction management, inspection and contract administration, including communications in the field, roles and responsibilities, review of proposed changes to Approved Plans and other submittals by the contractor or Design Builder, processes for pre-acceptance inspections, and acceptance of infrastructure.
5.2.4. Enable both WSDOT and the CITY to comply with all laws and procedures governing their actions.

5.3. WSDOT will develop, advertise and award multiple construction contracts to fulfill its PROJECT responsibilities. WSDOT’s construction contracts will be administered in accordance with the then-current Washington State Department of Transportation Standard Specifications for Road, Bridge, and Municipal Construction and WSDOT’s construction contract forms and documents.

5.4. WSDOT will construct CITY Infrastructure in the fulfillment of its PROJECT responsibilities and may also construct CITY Infrastructure on the CITY’s behalf by reimbursable Task Orders. Construction of CITY Infrastructure will conform to CITY laws, rules, regulations and standards.

5.5. WSDOT will designate STATE Project Engineers to administer its construction contracts for the PROJECT and to ensure work is constructed in accordance with the Approved Plans and the terms and conditions of the Street Use Permits and GCA 6486, UT 01474, and UT 01476. WSDOT may use consultant(s) in providing some or all of construction management services. The CITY may consult with and make inquiries of the STATE Project Engineer or designee, attend all meetings and have access to all documentation pertinent to CITY Facilities and performance of its regulatory responsibilities.

5.6. The CITY will provide a City Construction Project Engineer tasked to: (1) coordinate the activities of CITY inspectors, crews and consultants; (2) communicate with the
STATE Project Engineer regarding regulatory compliance, changes in design, the CITY’s participation in reviewing contractor submittals, and the use of CITY resources; (3) coordinate the final inspection and acceptance of CITY Infrastructure with representatives from CITY departments; and (4) report on construction progress and issues to CITY department managers.

5.7. The CITY will provide qualified staff and/or consultants to fulfill its inspection, construction, and administration responsibilities during construction. CITY staff will work under the general direction of the City Construction Project Engineer CITY crews, technical and inspection staff and consultants will work in an integrated manner with STATE Project Engineer staff to perform construction related tasks and evaluate conformity of construction of CITY Infrastructure with the Approved Plans. CITY inspectors and compliance officers will immediately notify the STATE Project Engineer or designee of any compliance issues.

5.8. For each PROJECT contract, WSDOT will provide the CITY with a detailed contract execution schedule that includes CITY Infrastructure work, and will coordinate with the CITY to schedule utility shutdowns, cut-overs, and other CITY crew work and inspections. At a minimum, schedule updates will be provided on a monthly basis. Schedule changes will be promptly communicated to the CITY as soon as they become known by WSDOT.

5.9. Contractor Submittals. Within thirty (30) days of contract execution, WSDOT will prepare or cause its contractor(s) to prepare and submit a preliminary Submittal Control Document for each construction contract for use by WSDOT and the CITY to plan and manage staffing requirements for review of contractor submittals relating to construction of CITY Infrastructure and fulfillment of CITY permit requirements. The Submittal Control Document will include material submittals pursuant to CITY material standards and the City of Seattle Standard Specifications for Road, Bridge and Municipal Construction. The Submittal Control Document is a construction management tool that will be expanded and elaborated as each contract progresses.

5.9.1. WSDOT will forward electronic copies of submittals for CITY review to the City Construction Project Engineer who will assign primary, and if appropriate, secondary CITY reviewers. Hard copies will be provided upon request.

5.9.2. For Design-Bid-Build components of the PROJECT, the City Construction Project Engineer will return City review comments on all documents included in the approved Submittal Control Document within ten (10) business days of the CITY’s receipt, unless the CITY of Seattle Standard Specifications for Road, Bridge and Municipal Construction allow for a longer review period, and respond in a timely manner to requests for information. The CITY will notify WSDOT if a submittal will require longer than ten (10) Business Days to review.

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5.9.3. For Design-Build components of the PROJECT, the CITY Construction Project Engineer will return CITY review comments within five (5) working days to WSDOT. WSDOT will track all submittals and discuss the status of active submittal reviews with the City Construction Project Engineer on a weekly basis. The City Construction Project Engineer will act as a liaison between WSDOT and the CITY departments in resolving issues regarding disposition of submittal comments.

5.9.4. CITY reviewers will send their comments on submittals to the City Construction Project Engineer. The City Construction Project Engineer will consolidate comments if necessary and send comments to WSDOT for dissemination back to contractors. For design submittals on Design-Build Contracts, comment responses will be provided to CITY reviewers along with the revised design for submittals that need to go through another round of review pursuant to Section 4 above.

5.9.5. The CITY is responsible for providing submittal review comments within the allotted time. If additional time is needed to respond, the City Construction Project Engineer will discuss this on a case-by-case basis, and obtain WSDOT's approval for a time extension in advance of the due date.

5.9.6. Pursuant to CITY review comments, the STATE Project Engineer will provide disposition instructions for all submittals to its contractors.

5.10. Access to SPU and SCL Facilities. WSDOT will provide the CITY with twenty-four (24) hour, seven (7) days a week, safe access to CITY Facilities in all construction and staging areas for the purpose of operation, maintenance, and emergency response. CITY staff will notify WSDOT in advance of their arrival on site except in the case of emergency. In the case of emergencies, safety practice dictates that CITY staff will make every effort to notify the STATE Project Engineer immediately upon entering a PROJECT construction site or staging area.

5.11. Testing and Inspection. WSDOT will develop (or in the case of Design-Build Contracts, require its contractor to develop) a quality management plan to include an inspection and test plan describing all the proposed quality assurance inspections and tests to be performed throughout the construction process. Activity-specific inspection and test plans will be prepared during the preparatory phase for each definable feature of work. WSDOT will provide the CITY with the opportunity to review the quality management plan. The CITY will review and comment on the inspection and test plan, and any other provisions regarding CITY Infrastructure.

5.11.1. WSDOT will form quality assurance or verification teams as appropriate for the contract type. The CITY will have representation on these teams. The quality team for each contract will hold meetings to review test and
inspection results and address and rectify issues relating to inspection, substandard material quality, adjustments needed for inadequate quality assurance and quality control processes, test results demonstrating that tolerance standards are not met, disparities between quality assurance and quality verification test data, future quality concerns, and any other issues raised by WSDOT and the CITY regarding quality of construction of CITY Infrastructure.

5.11.2. WSDOT will provide the CITY with timely notice prior to commencement and completion of all material stages of CITY Infrastructure work and will invite the CITY to inspect such work upon completion of any material stage. The CITY on-site inspector will be invited to the weekly construction meeting prior to any work being started on CITY Facilities. WSDOT will provide at least five (5) Business Days notice for each inspection. The CITY will submit a complete list of any concerns or deficiencies to WSDOT within ten (10) Business Days after the date of any inspection. WSDOT will timely address each comment or issue presented by the CITY to the CITY’s satisfaction. Both WSDOT and the CITY agree to act as expeditiously as possible to assure a timely resolution of any deficiencies.

5.11.3. Throughout construction of the PROJECT, CITY staff and consultants will assist the STATE Project Engineer in evaluating contract compliance of CITY Infrastructure built by WSDOT’s contractors. WSDOT will coordinate with the CITY to designate mandatory inspection points (hold points) for CITY Infrastructure. No work will proceed beyond a hold point until inspection has been performed or the option to inspect has been waived by a letter or e-mail from the City Construction Project Engineer to the STATE Project Engineer. WSDOT will provide notification to the CITY twenty-four (24) hours in advance of completion of work to be inspected by the CITY so that the CITY may perform inspection if desired.

5.11.4. The CITY will notify WSDOT promptly of any Defective Work observed by CITY inspectors.

5.11.5. Testing of CITY Infrastructure will conform to the requirements of the CITY Standard Specifications for Road, Bridge and Municipal Construction. The CITY may observe testing of materials and inspect installation of CITY Infrastructure and provide a written evaluation to the STATE Project Engineer regarding whether the materials or facilities tested meet with the requirements of the Approved Plans. WSDOT will endeavor to provide five (5) Business Days notice of all testing required by the Approved Plans, and the CITY will be provided a copy of certified test reports of materials or installation of CITY Infrastructure. The CITY will exercise its right to approve or reject construction or materials of CITY Infrastructure that are deficient, or that (1) do not meet with the requirements of the Approved
Plans; (2) are not constructed in accordance with CITY-issued permits; (3) have defects in material and workmanship; and/or (4) have defects in design(s).

5.11.6. Except as otherwise agreed, all deficiencies will be reported through the STATE Project Engineer to the respective contractor’s appropriate representative for resolution. Appropriate communications will be determined for each situation. CITY inspectors will not directly communicate with WSDOT’s contractors without the express authorization of the STATE Project Engineers except when public or worker safety is in question.

5.11.7. WSDOT will ensure that underground CITY Facilities are jointly inspected and any deficiencies corrected prior to final grading and placement of overlying permanent pavement.

5.12. Change Management. The following procedures will apply to work affecting CITY Facilities or work subject to CITY-issued Street Use Permits.

5.12.1. Changes necessitated by design deficiencies or unforeseen site conditions will be managed in accordance with WSDOT contracts and standard procedures. When changes are required to the Approved Plans, the STATE Project Engineer will consult with the City Construction Project Engineer to determine CITY review requirements. When CITY review is required, the City Construction Project Engineer will coordinate the timely review of the contract modification and supporting documentation. In any case, the STATE Project Engineer will obtain CITY approval prior to implementing any change order affecting CITY Facilities or work subject to CITY issued Street Use Permits.

5.12.2. Within three (3) Business Days of receiving a proposed change to Approved Plans for any CITY Infrastructure work, WSDOT or its contractor will transmit the scope for the proposed change to the CITY for review, comment, and written approval. Before executing the change order, in a non-emergency situation and unless otherwise agreed by WSDOT and the CITY, WSDOT will allow the CITY sufficient time to review, comment and approve or disapprove in writing changes to the Approved Plans. The CITY will assign any change a high priority and provide a timely response commensurate with the complexity of the proposed change.

5.12.3. The CITY may request additions and changes to the construction contract through WSDOT. WSDOT will comply with the requested changes provided that the changes are within the general scope of the PROJECT and comply with the PROJECT permits, State and/or Federal law and applicable rules, codes and/or regulations. WSDOT retains the right to reject requested changes if incorporating such changes could result in unwarranted additional
cost to the STATE or a delay in the PROJECT schedule. Such additions and changes may lead to change orders, or they may lead to Betterments or New Work. If the CITY and WSDOT agree to implement the change, the requesting CITY department and WSDOT will document the request in writing by completing and signing a concurrence letter. The CITY agrees to reimburse WSDOT for the costs associated with Betterments and additional New Work.

5.12.4. WSDOT will make available to the CITY all change order documentation that affects CITY Infrastructure.

5.13. Special Construction Considerations.

5.13.1. SCL. The following procedures apply specifically to SCL Facilities during construction.

5.13.1.1. Electrical Clearance Procedures. WSDOT contractors may need to obtain electrical clearances when it is necessary to de-energize electrical lines or system appurtenances. Individual clearance holders will be required to go through a training session based on SCL’s System Operation Center (SOC) guidelines to familiarize themselves with SCL requirements for holding and maintaining a clearance on the SCL electrical system. SCL will provide WSDOT’s contractor an outline of procedures and guidelines to follow at all times during the clearance and WSDOT will ensure that such guidelines and procedures are followed. Chief Dispatcher, Dana Wheelock or his designee at 206-706-0241, will be the contact for SCL. SCL’s Power Line Clearance Coordinator reserves the right to review the contractor crew’s qualifications and notify WSDOT. WSDOT will require the contractor to replace those subcontractors who do not meet qualifications required under state law.

5.13.1.2. Advance Notice of Service Outages. WSDOT will submit a request in writing, thirty (30) calendar days prior to any necessary outages specifying the electrical boundaries, the date the outage will begin and the date the facilities can be re-energized and put into/back into service. SCL will accommodate such requests unless prohibited by operational necessity, a previously scheduled outage conflicts with the outage requested by WSDOT, or emergency conditions prohibit the outage or limit the availability of crews. If denied, SCL will assist WSDOT in finding another outage window. If granted, SCL will outline any conditions related to such outage to WSDOT.

5.13.2. SPU. The following special considerations apply to construction work associated with SPU Facilities.
5.13.2.1. **Testing Specific to SPU Facilities.** SPU will perform periodic inspection on joint bonding installed on new water mains and test isolation couplings at connections of new water mains to existing water mains. SPU will also perform tests on all cathodic test stations on the new water mains for electrical continuity. SPU will obtain water samples from the new water mains after they have been chlorinated and flushed by a WSDOT contractor in accordance with City Standards and will perform tests on the water sample for purity.

5.13.2.2. **Water main connections.** SPU will perform the pipe work necessary to connect new water mains or relocated water mains to the existing water system pursuant to CITY Standard Plan No. 300. WSDOT will provide SPU with at least fourteen (14) calendar days notice prior to scheduling any SPU crew work and will provide longer notice to the extent possible through regular construction scheduling meetings. SPU will make every effort to complete the work within twenty-four (24) hours of the time WSDOT has requested the work to be done. WSDOT contractors will be required to perform site preparation and restoration work to support SPU crews, including the provision of traffic control.

5.13.2.3. **New drainage and wastewater system connections.** SPU will core drill and install all tees pursuant to CITY standard specification 7-17.3(2)C, Plugs and Connections. WSDOT will notify SPU fourteen (14) calendar days prior to the need for this work. SPU will make every effort to complete the work within twenty-four (24) hours of the time WSDOT has requested the work to be done. WSDOT contractors will be required to perform site preparation and restoration work to support SPU crews, including the provision of traffic control.

5.13.2.4. **Valve operation and water system shutdown.** SPU will perform all water valve operations, shutdowns, and disconnections of its water system to its affected customers and will notify these customers of such planned service interruptions.

5.14. **Acceptance.** WSDOT will notify the CITY upon completion of the construction of CITY Infrastructure and will invite the CITY to participate in a joint pre-final inspection of the completed work.

5.14.1. The CITY will timely inspect the completed CITY Infrastructure and will exercise its right to approve or reject construction or materials which are deficient, or which deviate from the Approved Plans or any CITY-approved revisions to the Approved Plans. The CITY will submit a written response within ten (10) Business Days of the date of the pre-final inspection, notifying WSDOT that CITY Infrastructure has been constructed in accordance with the Approved Plans, or rejecting the completed CITY...
Infrastructure. In the event that the completed CITY Infrastructure is rejected, such response will include written notice of any known deficiencies and Defective Work so that WSDOT can use the response in its preparation of a contract punch list.

5.14.2. WSDOT will address each deficiency identified by the CITY during the pre-final inspection and will resolve all deficiencies and Defective Work to comply with the Approved Plans, or any approved revisions to the Approved Plans. If disagreements arise between the CITY and WSDOT on what constitutes Defective Work or a deficiency or whether the CITY Infrastructure meets agreed upon requirements, the disagreement will be resolved using the dispute resolution provisions of GCA 6486, UT 01474, or UT 04176. The CITY will assist the STATE Project Engineer in determining appropriate remedies for each deficiency and for Defective Work. Both WSDOT and the CITY agree to act as expeditiously as possible to assure a timely resolution of deficiencies and Defective Work.

5.14.3. Once the STATE Project Engineer determines that WSDOT has remedied all deficiencies and Defective Work identified during the pre-final inspection, the STATE Project Engineer will invite the CITY to participate in a joint final inspection of the completed CITY Infrastructure. The CITY will submit a written response within ten (10) Business Days of the date of the final inspection notifying WSDOT that CITY Infrastructure has been constructed in accordance with the Approved Plans, or notifying WSDOT of any remaining deficiencies or Defective Work.

5.14.4. Acceptance of CITY Infrastructure may be executed in stages. Letters of Acceptance and notification of interim use and operation will be executed in accordance with Section 15, Final Inspection and Project Acceptance of GCA 6486.

6. Redlines and Record Drawings.

6.1. For PROJECT work that WSDOT constructs including work performed on behalf of the CITY through a Task Order, WSDOT shall maintain one set of Approved Plans as the official contract drawings and provisions to which WSDOT shall make drawings and notations in either red ink or red pencil to show the constructed configuration of all infrastructure that deviates from the design and contract requirements shown in the Approved Plans as typically recorded pursuant to WSDOT and City of Seattle standard practices. These documents shall be referred to as the red-line plans.

6.2. The red-line plans shall be kept current throughout construction with accurate and comprehensive information detailing the constructed configuration of the infrastructure. The red-line plans shall reflect the same level of detail as the Approved

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Plans, and shall provide the drawing accuracy necessary for the CITY and private utility purveyors to locate their respective utilities in accordance with State law.

6.3. The STATE Project Engineer and the City Construction Project Engineer shall jointly review the red-line plans monthly to evaluate whether the red-line plans reflect a current, accurate and comprehensive record of the constructed configuration of the infrastructure. If the STATE Project Engineer or the City Construction Project Engineer determines that the Red-Line Plans are not current, accurate or comprehensive, WSDOT shall immediately revise the red-line plans to remedy deficiencies.

6.4. Prior to placing CITY Infrastructure into service during the course of construction, WSDOT shall provide the CITY with color photocopies of portions of the red-line plans showing the constructed configuration of the CITY Infrastructure being placed into service.

6.5. WSDOT shall submit one color set of the completed red-line plans prior to the Parties executing a Letter of Acceptance provided for in Section 15 of GCA 6486.

6.6. All record drawings for CITY Infrastructure shall comply with the digital and graphical standards of the City of Seattle Inter-Departmental CADD Standards.

6.7. A transmittal of record drawings shall include two (2) full-scale bond copies plus the digital files meeting with the requirements established above.

7. **Task Order Invoicing and Payment**

7.1. **Invoicing**. The PARTIES shall invoice each other monthly based on work progress and cost expenditures. Invoices shall be submitted to the receiving PARTY within thirty (30) calendar days after the end of the month in which the work was performed, with the exception of CITY invoicing to the STATE which may occur within sixty (60) calendar days after the end of the month in which the work was performed.

7.1.1. Invoices shall include a reference to the Task Order under which the invoiced services were authorized, the billing period, and a summary of the work performed during the billing period, total value of the invoice, total amount invoiced to date, the budgeted amount, and amount remaining. Invoices will provide an appropriate level of supported detail for the agreed approach to reimbursement. Actual cost reimbursement will be by unit cost or time and materials.

7.1.1.1. In addition to requirements of section 7.1.1, unit cost reimbursement will include a schedule of values, percent complete for each bid item, total quantity for each bid item, itemized list of materials-on-hand quantities, and itemized indirect charges/rates as appropriate.
7.1.1.2. In addition to requirements of Section 7.1.1, for work performed on a time and materials basis, the invoice will include a list of personnel, and equipment employed to complete the invoiced work and the itemized hours and rates for each person and piece of equipment, itemized materials list with cost and quantity used, and itemized indirect charges/rates as appropriate.

7.1.1.3. Billings for non-salary costs, directly identifiable with the PROJECT, shall include an itemized listing of the charges. The PARTIES shall retain copies of original invoices, expense accounts, and miscellaneous supporting data and shall supply copies of the original supporting documents and/or accounting records to the PARTY upon request.

7.1.2. To ensure prompt payment, the PARTIES will mail via United States Postal Service invoices and appropriate supporting materials to the Designated Representatives as described in Section 25 of GCA 6486 or in the appropriate Task Order.

7.1.3. Invoices must be signed by an authorized representative of the issuing PARTY who shall verify that the invoice is accurate, the services have been purchased or the work has been performed, and that the costs shown have been reasonably incurred in accordance with this Agreement, UT 01476 (SCL Agreement) or UT 01474 (SPU Agreement).

7.2. Reimbursement. Monthly progress payments for reimbursable costs under this Agreement, UT 01476 (SCL Agreement) or UT 01474 (SPU Agreement), shall be made upon the completion and documentation of the work in support of invoices as described in Section 7.1 above. Within forty-five (45) calendar days after a PARTY’S receipt of any complete and accurate invoice, the invoiced PARTY shall remit the reimbursement. The PARTIES will work cooperatively to resolve issues related to the accuracy of these invoices so as to avoid any delay in payment. Any invoiced expenditure unsupported by appropriate documentation shall be identified in writing and not included in the reimbursement; provided, however, that the presence of unsupported items within an invoice shall not delay payment of those items that are supported by appropriate documentation. It is agreed that any partial payment under a Task Order will not constitute agreement as to the appropriateness of services and that, at the time of final audit; all required adjustments will be made and reflected in a final payment.

7.3. In addition, the PARTIES may require other financial documents to verify that the amounts invoiced are included within the budgeted scope of each Task Order, including, but not limited to, (1) work statements or payroll records, (2) invoices for materials and supplies, (3) statements from professionals for services rendered, (4) certifications by the PARTIES that materials and services are satisfactorily rendered,
and (5) itemized listings of the charges supported by copies of original bills, invoices, expense accounts, and miscellaneous supporting data retained by the PARTIES.

7.4. Monitoring and Reporting of Progress. The PARTIES are committed to working cooperatively and efficiently and will closely monitor the time required to complete work products consistent with the scope of work and budget for each Task Order. The PARTIES shall provide clear, accurate and detailed monthly progress reports to each other by the 20th of the succeeding month. The PARTIES shall further refine progress reporting, accounting and program management systems as they agree, in order to ensure useful and descriptive information that complements each PARTY'S project control system. The PARTY performing work authorized in a Task Order shall provide active, ongoing oversight to ensure that public funds are expended efficiently.

7.5. Reconciliation. The PARTIES agree to monitor and reconcile the actual versus estimated Task Order work and costs on a quarterly basis. The PARTIES will negotiate additional funding or a reduction in services relating to a Task Order to the extent that such work cannot be performed within the estimate of compensation and expense reimbursement due for the services delivered and work performed. Each PARTY will rely on information contained in the progress reports to identify changes in the work as reported on by the other PARTY in order to have the opportunity to take corrective action or clarify assumed work efforts.

7.6. The PARTIES agrees to submit a final invoice to the PARTY within ninety (90) calendar days after completion of a Task Order.

7.7. Availability of Records. All PROJECT records in support of all costs incurred and actual expenditures kept by the PARTIES shall be maintained in accordance with procedures prescribed by the Washington State Auditor's Office and the applicable Federal funding agencies. The records shall be open to inspection by the PARTIES and the Federal government during normal business hours, and shall be retained and made available for such inspection for a period of not less than six (6) years from the final payment of any federal aid funds to the PARTIES. Copies of said records shall be furnished to the PARTIES and/or the Federal government upon request. This requirement shall be included in all third-party contracts related to the work entered into by the CITY to fulfill the terms of this Agreement, UT 01476 (SCL Agreement) or UT 01474 (SPU Agreement).

7.8. Audit. If an audit is requested by the PARTIES or required by any applicable Federal agency requirements, the PARTIES agree to cooperate fully with any such audit and provide documentation as is requested in support of all costs.
MEMORANDUM OF AGREEMENT
NO. GCA 6486
SR 99 ALASKAN WAY Viaduct
PROPERTY, ENVIRONMENTAL REMEDIATION, DESIGN REVIEW,
PERMITTING, AND CONSTRUCTION COORDINATION
AGREEMENT
FOR SR 99 BORED TUNNEL PROJECT

EXHIBIT C
TASK ORDER TEMPLATE
Task Order

Task Order Title
[enter short title for reference]

Task Order Number
WSDOT-001 [example]
[Insert "Amendment" here if this TO is an amendment to a previous TO]

Requesting Agency
[enter name of agency requesting services]

Requesting Agency Account Number
[enter accounting numbers/codes]

Service Agency
[enter name of agency providing services]

Service Agency Account Number
[enter accounting numbers/codes]

Notice to Proceed Date
[enter start date]

Task Order Amount
$ [enter authorized task order amount]

Completion Date
[enter completion or termination date]

Task Order Provisions

1.0 The Requesting Agency and Service Agency shall issue, conduct and administer this Task Order in compliance with all the provisions of the following Memoranda of Agreement between the State of Washington Department of Transportation and the City of Seattle: GCA 6486, UT 01474 and UT 01476.

2.0 The provisions of this Task Order can only be revised through a mutually executed amendment to this Task Order.

3.0 Background

[Insert narrative on the need for this scope of services]
[If this Task Order amends a previous task order, explain the circumstances and need for amendment]
[Denote whether City services are in direct support of known WSDOT contract work and if so which WSDOT contract]
[Denote whether WSDOT services are intended to fulfill the City's obligations to the Project or are a betterment opportunity to improve City facilities in conjunction with the project]
[Reference all other relevant project contracts, task orders and work]

4.0 Scope of Services

[Provide a narrative defining the scope of services]
5.0 Schedule

[Insert schedule milestone dates including the required completion date]
[Reference any attached schedule]

6.0 Task Order Amount

[Reference and attach detailed estimates for the contract amount, as may be appropriate]

7.0 Assumptions and Exclusions

[Insert any assumptions and exclusions pertinent to the development of the scope of services, schedule, and/or task order amount]

8.0 Designated Representatives

WSDOT Representative & Phone Number:
City Representative & Phone Number:

In consideration of the provisions contained herein, or attached and incorporated and made part hereof, the Requesting Agency and the Service Agency have executed this Task Order as of the last date written below.

Requesting Agency
[enter agency name]
[enter name of agency signatory]
[enter title of agency signatory]

Date

Service Agency
[enter agency name]
[enter name of agency signatory]
[enter title of agency signatory]

Date
EXHIBIT D TO MEMORANDUM OF AGREEMENT NO. GCA 6486

1. Relocated surface street within existing City right-of-way between South King Street and Battery Street consisting of the following three segments: 1) Relocated and reconstructed Alaskan Way between King Street and Pike Street with the necessary elements to accommodate efficient and safe cross traffic movements; 2) a new surface street climbing the hill west of the Pike Place Market from the intersection of Pike Street and Alaskan Way to the intersection of Blanchard Street and Elliot Avenue, including a bridge crossing over the BNSF mainline; 3) final connections from Alaskan Way to Elliott and Western Avenues between Blanchard Street and Battery Street. These streets will be designed to serve all anticipated users, including automobiles, transit, freight, bicycles and pedestrians.

2. Demolition, salvage and recycling of the existing Alaskan Way Viaduct and access ramps between S King Street and the Battery Street tunnel;

3. Demolition of the on and off ramps to the existing viaduct at Columbia and Seneca Streets and associated restoration of Columbia and Seneca Streets between Alaskan Way and First Avenue.

4. Replacement, rehabilitation or protection-in-place of the Marion Street pedestrian bridge, as determined feasible, consistent with Item #1 above, and in consideration of the demolition method(s) of the Alaskan Way Viaduct in Item #2 above.

5. North and south tunnel ventilation buildings which will be designed in accordance with Section 8 – Urban Design, as stipulated in this agreement;

6. Re-establishment of the City street grid in the vicinity of the portals: John, Thomas and Harrison Streets between Dexter Avenue N and 6th Avenue N; Denny Way between Dexter Avenue N and 6th Avenue N; S. Dearborn Street between Alaskan Way and 1st Avenue S;

7. Battery Street Tunnel decommissioning, including any associated restoration of Battery Street between the Denny Way tunnel portal and Elliot Avenue that is necessary specifically due to the tunnel decommissioning method;

8. Total WSDOT budget allocated for PROGRAM elements listed in items 1 through 7 above is estimated at: $380 million.
Exhibit E

Advisory Committee on Tolling & Traffic Management

Charge: Make advisory recommendations to WSDOT, the Governor, the Legislature, the Transportation Commission, the Federal Highway Administration (FHWA), the Seattle City Council, and the Seattle Mayor on strategies for: (1) tolling the SR99 bored tunnel, (2) minimizing traffic diversion from the tunnel due to tolling, and (3) mitigating traffic diversion effects on city streets and I-5. These recommendations may be implemented by the State, City of Seattle, Port of Seattle, and/or King County as appropriate. Authority for tolling will require action by the State Legislature, while tolling rates are within the purview of the Transportation Commission.

Staffing: The Advisory Committee will be staffed by managers or policy level staff from WSDOT, SDOT, Port of Seattle, King County, and Council central staff. Staffing will be supported by technical staff from each of the agencies and/or consultant support. The role of staff will be to manage the Advisory Committee’s work plan, develop a schedule, frame issues, and review and format technical data for the Advisory Committee’s review. WSDOT and the City of Seattle will manage resources from the state’s Alaskan Way Viaduct and Seawall Replacement Program budget to cover mutually agreeable staffing and consultant costs to support the Advisory Committee. State and City will jointly facilitate these meetings.

Membership: The Advisory Committee will be comprised of up to 15 members. The Mayor; Seattle City Council; and WSDOT will each appoint one-third of the members. All members will be confirmed by Council. Advisory Committee membership should represent the following types of interests: Freight, retail, drivers, labor, bicycle and pedestrian interests, large employer, waterfront business, adjacent and affected neighborhoods, transit riders, low-income, and others.

Timeline: The Advisory Committee will begin work in March 2011, and it will submit its initial tolling and diversion minimization recommendations by June 2012. Interim milestones will be established by the staff in conjunction with the Advisory Committee members.

The Advisory Committee is expected to continue working to refine its analysis and recommendations through December 2015 (when the deep bored tunnel is scheduled to open to traffic and toll implementation begins). The Advisory Committee will continue its work for up to one year after tolling begins to review the effects of the implemented tolling and diversion minimization strategies and to make further recommendations.

Scope of Work:

The work of the Advisory Committee will take place through an iterative process of reviewing financial goals, assessing the impact of different tolling strategies on traffic using the SR 99 bored tunnel, and evaluating a range of strategies to minimize diversion. The tasks of the committee will include:
1. Review anticipated traffic impacts on city streets and I-5 for different tolling scenarios.

2. Explore ways to:
   a. Refine the tolling strategy for the SR 99 bored tunnel, including considering variable toll rate, and regional tolling and/or tolling of other state and city facilities.
   b. Reduce the level of toll revenue to the bored tunnel project by identifying alternative funding source(s).
   c. Optimize the tolling strategy for the SR 99 bored tunnel to balance accomplishing state funding goals while minimizing diversion of traffic.

3. Assess various strategies for minimizing and mitigating adverse effects of traffic diversion from tolled SR99 onto city streets through optimizing traffic flows and/or restricting or limiting traffic, including, but not limited to:
   a. Setting priorities for street use by time of day for various users (cars, trucks, bicycles, pedestrians, transit, parking consistent with City's complete streets policy goals;
   b. Identify opportunities for traffic calming, and other restrictions on certain modes of travel;
   c. Creating “transit first” policies through transit priority streets and other methods to improve transit speed and reliability;
   d. Using other traffic demand management measures;
   e. Funding enhanced transit services and vanpools.

4. Assess various strategies for minimizing and mitigating diversion of traffic onto I-5 and other state facilities through optimizing traffic flow and/or restricting or limiting traffic, including, but not limited to:
   a. Modifying I-5 operations, including the express lanes and on and off-ramps in the City;
   b. Extending the use of intelligent transportation systems on I-5 through the City.

5. Develop specific transportation plans for the north and south portal areas to more specifically identify street uses, traffic flows, and treatments. This work should also implement other recommendations of the Center City Strategy.
Appendix M

Engrossed Substitute Senate Bill (ESSB) 5768
Laws of 2009
CERTIFICATION OF ENROLLMENT

ENGROSSED SUBSTITUTE SENATE BILL 5768

Chapter 458, Laws of 2009

61st Legislature
2009 Regular Session

ALASKAN WAY VIADUCT REPLACEMENT PROJECT

EFFECTIVE DATE: 07/01/09

Passed by the Senate April 24, 2009
YEAS 39  NAYS 9

BRAD OWEN
President of the Senate

Passed by the House April 22, 2009
YEAS 53  NAYS 43

FRANK CHOPP
Speaker of the House of Representatives

CERTIFICATE

I, Thomas Hoemann, Secretary of the Senate of the State of Washington, do hereby certify that the attached is ENGROSSED SUBSTITUTE SENATE BILL 5768 as passed by the Senate and the House of Representatives on the dates hereon set forth.

THOMAS HOEMANN
Secretary

Approved May 12, 2009, 2:29 p.m.

FILED
May 13, 2009

CHRISTINE GREGOIRE
Governor of the State of Washington

Secretary of State
State of Washington
AN ACT Relating to identifying the final design for the state route number 99 Alaskan Way viaduct replacement project as a deep bore tunnel; adding a new section to chapter 47.01 RCW; creating a new section; providing an effective date; and declaring an emergency.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

NEW SECTION. Sec. 1. A new section is added to chapter 47.01 RCW to read as follows:

(1) The legislature finds that the replacement of the vulnerable state route number 99 Alaskan Way viaduct is a matter of urgency for the safety of Washington's traveling public and the needs of the transportation system in central Puget Sound. The state route number 99 Alaskan Way viaduct is susceptible to damage, closure, or catastrophic failure from earthquakes and tsunamis. Additionally, the viaduct serves as a vital route for freight and passenger vehicles through downtown Seattle.

Since 2001, the department has undertaken an extensive evaluation of multiple options to replace the Alaskan Way viaduct, including an initial evaluation of seventy-six conceptual alternatives and a more detailed analysis of five alternatives in 2004. In addition to a
substantial technical review, the department has also undertaken
considerable public outreach, which included consultation with a
stakeholder advisory committee that met sixteen times over a thirteen-
month period.

Therefore, it is the conclusion of the legislature that time is of
the essence, and that Washington state cannot wait for a disaster to
make it fully appreciate the urgency of the need to replace this
vulnerable structure. The state shall take the necessary steps to
expedite the environmental review and design processes to replace the
Alaskan Way viaduct with a deep bore tunnel under First Avenue from the
vicinity of the sports stadiums in Seattle to Aurora Avenue north of
the Battery Street tunnel. The tunnel must include four general
purpose lanes in a stacked formation.

(2) The state route number 99 Alaskan Way viaduct replacement
project finance plan must include state funding not to exceed two
billion four hundred million dollars and must also include no more than
four hundred million dollars in toll revenue. These funds must be used
solely to build a replacement tunnel, as described in subsection (1) of
this section, and to remove the existing state route number 99 Alaskan
Way viaduct. All costs associated with city utility relocations for
state work as described in this section must be borne by the city of
Seattle and provided in a manner that meets project construction
schedule requirements as determined by the department. State funding
is not authorized for any utility relocation costs, or for central
seawall or waterfront promenade improvements.

(3) The department shall provide updated cost estimates for
construction of the bored tunnel and also for the full Alaskan Way
viaduct replacement project to the legislature and governor by January
1, 2010. The department must also consult with independent tunnel
engineering experts to review the estimates and risk assumptions. The
department shall not enter into a design-build contract for
construction of the bored tunnel until the report in this section has
been submitted.

(4) Any contract the department enters into related to construction
of the deep bored tunnel must include incentives and penalties to
encourage on-time completion of the project and to minimize the
potential for cost overruns.
(5) It is important that the public and policymakers have accurate and timely access to information related to the Alaskan Way viaduct replacement project as it proceeds to, and during, construction of all aspects of the project, specifically including but not limited to information regarding costs, schedules, contracts, project status, and neighborhood impacts. Therefore it is the intent of the legislature that the state, city, and county departments of transportation establish a single source of accountability for integration, coordination, tracking, and information of all requisite components of the replacement project, which must include, at minimum:

(a) A master schedule of all subprojects included in the full replacement project or program; and

(b) A single point of contact for the public, media, stakeholders, and other interested parties.

(6)(a) The city and county departments of transportation shall be responsible for the cost, delivery, and associated risks of the project components for which each department is responsible, as outlined in the January 13, 2009, letter of agreement signed by the governor, city, and county.

(b) The state's contribution shall not exceed two billion four hundred million dollars. If costs exceed two billion four hundred million dollars, no more than four hundred million of the additional costs shall be financed with toll revenue. Any costs in excess of two billion eight hundred million dollars shall be borne by property owners in the Seattle area who benefit from replacement of the existing viaduct with the deep bore tunnel.

(7) Compression brakes may be used by authorized motor vehicles in the deep bore tunnel in a manner consistent with the requirements of RCW 46.37.395.

NEW SECTION. Sec. 2. The department of transportation must prepare a traffic and revenue study for a state route number 99 deep bore tunnel for the purpose of determining the facility's potential to generate toll revenue. The department shall regularly report to the transportation commission regarding the progress of the study for the purpose of guiding the commission's toll setting on the facility. The study must include the following information:
(1) An analysis of the potential diversion from state route number 99 to other parts of the transportation system resulting from tolls on the facility;
(2) An analysis of potential mitigation measures to offset or reduce diversion from state route number 99;
(3) A summary of the amount of revenue generated from tolling the deep bore tunnel; and
(4) An analysis of the impact of tolls on the performance of the facility.

The department must provide the results of the study to the governor and the legislature by January 2010.

NEW SECTION. Sec. 3. This act is necessary for the immediate preservation of the public peace, health, or safety, or support of the state government and its existing public institutions, and takes effect July 1, 2009.
Passed by the Senate April 24, 2009.
Passed by the House April 22, 2009.
Approved by the Governor May 12, 2009.
Filed in Office of Secretary of State May 13, 2009.
Appendix N

City of Seattle, City Council Resolution 31323
Resolution Number: 31323

A RESOLUTION concerning the Alaskan Way Viaduct and Seawall Replacement Program Advisory Committee on Tolling & Traffic Management; stating the Council's intent to convene the Committee to advise the City and the State on options and strategies to raise revenue and to minimize traffic diversion; and appointing some and confirming the membership of the Committee.

Status: Adopted
Date adopted by Full Council: September 19, 2011
Vote: 9-0

Date introduced/referred to committee: September 12, 2011
Committee: Transportation
Sponsor: RASMUSSEN
Committee Recommendation: Adopt
Date of Committee Recommendation: September 13, 2011
Committee Vote: 4 (Rasmussen, Godden, Licata, O'Brien) - 0

Fiscal Note: Fiscal Note to Resolution 31323

Text

RESOLUTION _______________

A RESOLUTION concerning the Alaskan Way Viaduct and Seawall Replacement Program Advisory Committee on Tolling & Traffic Management; stating the Council's intent to convene the Committee to advise the City and the State on options and strategies to raise revenue and to minimize traffic diversion; and appointing some and confirming the membership of the Committee.

WHEREAS, in the 1950s, the City of Seattle and the Washington State Department of Transportation jointly designed and built the Alaskan Way Viaduct to accommodate passenger and freight mobility into the foreseeable future; and

WHEREAS, in 2001 the Nisqually earthquake damaged the Alaskan Way Viaduct and Seawall; and

WHEREAS, the Alaskan Way Viaduct and Seawall are at risk of sudden and catastrophic failure in an earthquake and are nearing the end of their useful lives; and

WHEREAS, various studies have determined that it is not fiscally responsible to retrofit the viaduct, and that retrofitting would cause significant construction impacts; and

WHEREAS, the proposed Alaskan Way Viaduct and Seawall Replacement (AWVSR) Program consists of a four-lane bored tunnel and improvements to City streets, the waterfront, and transit, and the Moving Forward Projects; and
WHEREAS, in October 2009, the City Council passed and the Mayor signed Ordinance Number: 123133, which established the Bored Tunnel Alternative as the City's preferred alternative and which authorized a memorandum of agreement between the State of Washington and the City of Seattle; and

WHEREAS, that agreement contemplated that the State and City would negotiate further agreements detailing the State and City's relative rights and responsibilities in the State highway project; and

WHEREAS, in August 2010, the City Council passed Resolution Number: 31235, which expressed the City Council's intent to authorize additional agreements with the State if:

1) The State awarded a contract consistent with the Draft Design-Build Contract;

2) The State demonstrated it could complete all elements of Washington State Department of Transportation's (WSDOT) Program within the Program Budget;

3) The State provided the City with clear documentation identifying all changes between the Draft Design-Build Contract and the awarded construction contract; and

4) The State Legislature has not enacted legislation to overturn WSDOT's responsibility for Program costs, including cost overruns, as set out in the proposed agreements between the State and City; and

WHEREAS, those conditions have been met; and,

WHEREAS, Resolution 31235 also restated the City's policy that the State is solely responsible for all costs, including any cost overruns, related to implementing WSDOT's Program;

WHEREAS, Ordinance 123542 accepted Interlocal Agreements offered by WSDOT in order to protect the City's vital interests;

WHEREAS, Exhibit E to the interlocal agreement between SDOT and WSDOT (one of the Interlocal Agreements) calls for the establishment of an Advisory Committee on Tolling & Traffic Management to advise the state and city on strategies to toll the tunnel while minimizing traffic diversion and mitigating diversion impacts on City streets; and

WHEREAS, the State and City have published a completed Final Environmental Impact Statement (FEIS) identifying the Tolled Bored Tunnel as the preferred alternative; and

WHEREAS, and the Federal Highway Administration issued a Record of Decision approving the decision to construct the preferred alternative identified in the FEIS; NOW, THEREFORE,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SEATTLE, THE

MAYOR CONCURRING, THAT:

Section 1. The Council intends to convene the Alaskan Way Viaduct and Seawall Replacement Program (AWVSRP) Advisory Committee on Tolling & Traffic Management (ACTT) to advise the City and the State on options and strategies to raise revenue and to minimize traffic diversion.

Section 2. The City Council appoints the following five individuals to serve on ACTT who will carry out the tasks and duties as set out in Sections 4-7 of this Resolution:

1. Charley Royer
2. Henry Yates
3. Bob Davidson
4. Rob Johnson
5. Phil Fujii
The City Council hereby confirms the following five individuals who were appointed by the Mayor to serve on the ACTT to carry out the tasks and duties as set out in Sections 4-7 of this Resolution:

1. Anne Goodchild
2. Marcus Charles
3. Sharon Maeda
4. Peg Staehli
5. Tessa Gregor

The City Council hereby confirms the following five individuals who were appointed by Washington State Department of Transportation (WSDOT) to serve on the ACTT to carry out the tasks and duties as set out in Sections 4-7 of this Resolution:

1. Maud Daudon
2. Sung Yang
3. Claudia Balducci
4. Kurt Beckett
5. Rick Bender

Section 3. The ACTT will be staffed by managers or policy level staff from WSDOT, SDOT, Port of Seattle, King County, and Council central staff. Staffing will be supported by technical staff from each of the agencies and/or consultant support. The role of staff will be to manage the ACTT's work plan, develop a schedule, frame issues, and review and format technical data for the ACTT's review. WSDOT and the City of Seattle will manage resources from the state's AWVSRP budget to cover mutually agreeable staffing and consultant costs to support the ACTT. WSDOT and the City will jointly facilitate these meetings.

Section 4. The ACTT will make advisory recommendations to WSDOT, the Governor, the Legislature, the Transportation Commission, the Federal Highway Administration (FHWA), the Seattle City Council, and the Seattle Mayor on strategies for:

(1) tolling the SR99 bored tunnel;
(2) minimizing traffic diversion from the tunnel due to tolling; and
(3) mitigating traffic diversion effects on city streets and I-5.

These recommendations may be implemented by the State, City of Seattle, Port of Seattle, and/or King County as appropriate. Authority for tolling will require future action by the State Legislature, while tolling rates are within the purview of the Washington State Transportation Commission.

Section 5. The ACTT is expected to begin work in October 2011, and it will submit its initial tolling and diversion minimization recommendations by December 2012. Interim milestones will be established by the staff in conjunction with the ACTT members.

Section 6. The ACTT is expected to continue working to refine its analysis and recommendations through December 2015 (when the deep bored tunnel is anticipated to open to traffic and also when toll implementation begins). The ACTT will continue its work for up to one year after tolling begins to review the effects of the implemented tolling and diversion minimization strategies and to make further recommendations.

Section 7. The work of the ACTT will take place through an iterative process of reviewing financial goals, assessing the impact of different tolling strategies on traffic using the SR 99 bored tunnel, and evaluating a range of strategies to minimize diversion. The tasks of the committee will include:
A. Review anticipated traffic impacts on City streets and I-5 for different tolling scenarios.

B. Explore ways to:

1) Refine the tolling strategy for the SR 99 bored tunnel, including considering variable toll rate, and regional tolling and/or tolling of other state and city facilities.

2) Reduce the level of toll revenue to the bored tunnel project by identifying alternative funding source(s).

3) Optimize the tolling strategy for the SR 99 bored tunnel to balance accomplishing state funding goals while minimizing diversion of traffic.

C. Assess various strategies for minimizing and mitigating adverse effects of traffic diversion from tolled SR99 onto city streets through optimizing traffic flows and/or restricting or limiting traffic, including, but not limited to:

1) Setting priorities for street use by time of day for various users (cars, trucks, bicycles, pedestrians, transit, parking consistent with City's complete streets policy goals;

2) Identify opportunities for traffic calming, and other restrictions on certain modes of travel;

3) Creating "transit first" policies through transit priority streets and other methods to improve transit speed and reliability;

4) Using other traffic demand management measures;

5) Funding enhanced transit services and vanpools.

D. Assess various strategies for minimizing and mitigating diversion of traffic onto I-5 and other state facilities through optimizing traffic flow and/or restricting or limiting traffic, including, but not limited to:

1) Modifying I-5 operations, including the express lanes and on and off-ramps in the City;

2) Extending the use of intelligent transportation systems on I-5 through the City.

E. Develop specific transportation plans for the north and south portal areas to more specifically identify street uses, traffic flows, and treatments. This work should also implement other recommendations of the Center City Strategy.

Adopted by the City Council the ____ day of ____________________, 2011, and signed by me in open session in authentication of its adoption this_______ day of ____________________, 2011.

_________________________________
President ___________ of the City Council

THE MAYOR CONCURRING:

_____________________________________
Michael McGinn, Mayor

Filed by me this ____ day of ____________________, 2011.

____________________________________
City Clerk

(Seal)
Appendix O

Washington State Legislature
Substitute Senate Bill (SSB) 6444, Laws of Washington State 2012
CERTIFICATION OF ENROLLMENT

SUBSTITUTE SENATE BILL 6444

Chapter 83, Laws of 2012

62nd Legislature
2012 Regular Session

ALASKAN WAY VIADUCT REPLACEMENT--TOLL FACILITY

EFFECTIVE DATE: 06/07/12

Passed by the Senate February 11, 2012
YEAS 42 NAYS 5

BRAD OWEN
President of the Senate

Passed by the House March 3, 2012
YEAS 77 NAYS 19

FRANK CHOPP
Speaker of the House of Representatives

CERTIFICATE

I, Thomas Hoemann, Secretary of the Senate of the State of Washington, do hereby certify that the attached is SUBSTITUTE SENATE BILL 6444 as passed by the Senate and the House of Representatives on the dates hereon set forth.

THOMAS HOEMANN
Secretary

Approved March 23, 2012, 12:13 p.m.

CHRISTINE GREGOIRE
Governor of the State of Washington

FILED
March 23, 2012

Secretary of State
State of Washington
AN ACT Relating to eligible toll facilities; amending RCW 46.63.075 and 46.63.170; reenacting and amending RCW 43.84.092 and 46.16A.120; adding new sections to chapter 47.56 RCW; creating a new section; and repealing 2010 c 161 s 1126.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

NEW SECTION. Sec. 1. The legislature finds that there is an urgent need to replace the central waterfront section of state route number 99, known as the Alaskan Way viaduct, because the viaduct is vulnerable to closure, damage, or catastrophic failure as a result of earthquakes or other events. In 2009, the legislature determined that the finance plan for the Alaskan Way viaduct replacement project should include no more than four hundred million dollars in toll funding for the project.

Therefore, it is the intent of the legislature to authorize tolling on the Alaskan Way viaduct replacement project, both to help finance the Alaskan Way viaduct replacement project and to help maintain travel time, speed, and reliability on the portion of state route number 99 that would be replaced by this project.
NEW SECTION. Sec. 2. A new section is added to chapter 47.56 RCW under the subchapter heading "toll facilities created after July 1, 2008" to read as follows:

(1) The initial imposition of tolls on the portion of state route number 99 that is the deep bore tunnel under First Avenue from the vicinity of the sports stadiums in Seattle to Aurora Avenue north of the Battery Street tunnel is authorized, this portion of state route number 99 is designated an eligible toll facility, and toll revenue generated from this facility must only be expended as allowed under RCW 47.56.820.

(2) The toll imposed under this section must be charged only for travel on the portion of state route number 99 that is a deep bore tunnel.

(3) (a) In setting toll rates for the deep bore tunnel portion of state route number 99 pursuant to RCW 47.56.850, the tolling authority shall set a variable schedule of toll rates to maintain travel time, speed, and reliability on this facility and generate the necessary revenue as required under (b) of this subsection.

(b) The tolling authority may adjust the variable schedule of toll rates at least annually to reflect inflation as measured by the consumer price index to meet the redemption of bonds, to meet the obligations of the tolling authority under RCW 47.56.850, and interest payments on bonds and for those costs that are eligible under RCW 47.56.820.

NEW SECTION. Sec. 3. A new section is added to chapter 47.56 RCW under the subchapter heading "toll facilities created after July 1, 2008" to read as follows:

A special account to be known as the Alaskan Way viaduct replacement project account is created in the state treasury.

(1) Deposits to the account must include:

(a) All proceeds of bonds issued for construction of the Alaskan Way viaduct replacement project, including any capitalized interest;

(b) All of the tolls and other revenues received from the operation of the Alaskan Way viaduct replacement project as a toll facility, to be deposited at least monthly;

(c) Any interest that may be earned from the deposit or investment of those revenues;
(d) Notwithstanding RCW 47.12.063, proceeds from the sale of any surplus real property acquired for the purpose of building the Alaskan Way viaduct replacement project; and

(e) All damages, liquidated or otherwise, collected under any contract involving the construction of the Alaskan Way viaduct replacement project.

(2) Subject to the covenants made by the state in the bond proceedings authorizing the issuance and sale of bonds for the construction of the Alaskan Way viaduct replacement project, toll charges, other revenues, and interest received from the operation of the Alaskan Way viaduct replacement project as a toll facility may be used to:

(a) Pay any required costs allowed under RCW 47.56.820; and

(b) Repay amounts to the motor vehicle fund as required.

(3) When repaying the motor vehicle fund, the state treasurer shall transfer funds from the Alaskan Way viaduct replacement project account to the motor vehicle fund on or before each debt service date for bonds issued for the construction of the Alaskan Way viaduct replacement project in an amount sufficient to repay the motor vehicle fund for amounts transferred from that fund to the highway bond retirement fund to provide for any bond principal and interest due on that date. The state treasurer may establish subaccounts for the purpose of segregating toll charges, bond sale proceeds, and other revenues.

Sec. 4. RCW 43.84.092 and 2011 1st sp.s. c 16 s 6, 2011 1st sp.s. c 7 s 22, 2011 c 369 s 6, 2011 c 339 s 1, 2011 c 311 s 9, 2011 c 272 s 3, 2011 c 120 s 3, and 2011 c 83 s 7 are each reenacted and amended to read as follows:

(1) All earnings of investments of surplus balances in the state treasury shall be deposited to the treasury income account, which account is hereby established in the state treasury.

(2) The treasury income account shall be utilized to pay or receive funds associated with federal programs as required by the federal cash management improvement act of 1990. The treasury income account is subject in all respects to chapter 43.88 RCW, but no appropriation is required for refunds or allocations of interest earnings required by the cash management improvement act. Refunds of interest to the federal treasury required under the cash management improvement act
fall under RCW 43.88.180 and shall not require appropriation. The
office of financial management shall determine the amounts due to or
from the federal government pursuant to the cash management improvement
act. The office of financial management may direct transfers of funds
between accounts as deemed necessary to implement the provisions of the
cash management improvement act, and this subsection. Refunds or
allocations shall occur prior to the distributions of earnings set
forth in subsection (4) of this section.

(3) Except for the provisions of RCW 43.84.160, the treasury income
account may be utilized for the payment of purchased banking services
on behalf of treasury funds including, but not limited to, depository,
safekeeping, and disbursement functions for the state treasury and
affected state agencies. The treasury income account is subject in all
respects to chapter 43.88 RCW, but no appropriation is required for
payments to financial institutions. Payments shall occur prior to
distribution of earnings set forth in subsection (4) of this section.

(4) Monthly, the state treasurer shall distribute the earnings
credited to the treasury income account. The state treasurer shall
credit the general fund with all the earnings credited to the treasury
income account except:

(a) The following accounts and funds shall receive their
proportionate share of earnings based upon each account's and fund's
average daily balance for the period: The aeronautics account, the
aircraft search and rescue account, the Alaskan Way viaduct replacement
project account, the budget stabilization account, the capital vessel
replacement account, the capitol building construction account, the
Cedar River channel construction and operation account, the Central
Washington University capital projects account, the charitable,
educational, penal and reformatory institutions account, the cleanup
settlement account, the Columbia river basin water supply development
account, the Columbia river basin taxable bond water supply development
account, the Columbia river basin water supply revenue recovery
account, the common school construction fund, the county arterial
preservation account, the county criminal justice assistance account,
the county sales and use tax equalization account, the deferred
compensation administrative account, the deferred compensation
principal account, the department of licensing services account, the
department of retirement systems expense account, the developmental
disabilities community trust account, the drinking water assistance account, the drinking water assistance administrative account, the drinking water assistance repayment account, the Eastern Washington University capital projects account, the Interstate 405 express toll lanes operations account, the education construction fund, the education legacy trust account, the election account, the energy freedom account, the energy recovery act account, the essential rail assistance account, The Evergreen State College capital projects account, the federal forest revolving account, the ferry bond retirement fund, the freight congestion relief account, the freight mobility investment account, the freight mobility multimodal account, the grade crossing protective fund, the public health services account, the health system capacity account, the high capacity transportation account, the state higher education construction account, the higher education construction account, the highway bond retirement fund, the highway infrastructure account, the highway safety account, the high occupancy toll lanes operations account, the hospital safety net assessment fund, the industrial insurance premium refund account, the judges' retirement account, the judicial retirement administrative account, the judicial retirement principal account, the local leasehold excise tax account, the local real estate excise tax account, the local sales and use tax account, the marine resources stewardship trust account, the medical aid account, the mobile home park relocation fund, the motor vehicle fund, the motorcycle safety education account, the multiagency permitting team account, the multimodal transportation account, the municipal criminal justice assistance account, the municipal sales and use tax equalization account, the natural resources deposit account, the oyster reserve land account, the pension funding stabilization account, the perpetual surveillance and maintenance account, the public employees' retirement system plan 1 account, the public employees' retirement system combined plan 2 and plan 3 account, the public facilities construction loan revolving account beginning July 1, 2004, the public health supplemental account, the public transportation systems account, the public works assistance account, the Puget Sound capital construction account, the Puget Sound ferry operations account, the Puyallup tribal settlement account, the real estate appraiser commission account, the recreational vehicle account, the regional mobility grant program account, the resource management
cost account, the rural arterial trust account, the rural mobility grant program account, the rural Washington loan fund, the site closure account, the skilled nursing facility safety net trust fund, the small city pavement and sidewalk account, the special category C account, the special wildlife account, the state employees' insurance account, the state employees' insurance reserve account, the state investment board expense account, the state investment board commingled trust fund accounts, the state patrol highway account, the state route number 520 civil penalties account, the state route number 520 corridor account, the state wildlife account, the supplemental pension account, the Tacoma Narrows toll bridge account, the teachers' retirement system plan 1 account, the teachers' retirement system combined plan 2 and plan 3 account, the tobacco prevention and control account, the tobacco settlement account, the toll facility bond retirement account, the transportation 2003 account (nickel account), the transportation equipment fund, the transportation fund, the transportation improvement account, the transportation improvement board bond retirement account, the transportation infrastructure account, the transportation partnership account, the traumatic brain injury account, the tuition recovery trust fund, the University of Washington bond retirement fund, the University of Washington building account, the volunteer firefighters' and reserve officers' relief and pension principal fund, the volunteer firefighters' and reserve officers' administrative fund, the Washington judicial retirement system account, the Washington law enforcement officers' and firefighters' system plan 1 retirement account, the Washington law enforcement officers' and firefighters' system plan 2 retirement account, the Washington public safety employees' plan 2 retirement account, the Washington school employees' retirement system combined plan 2 and 3 account, the Washington state economic development commission account, the Washington state health insurance pool account, the Washington state patrol retirement account, the Washington State University building account, the Washington State University bond retirement fund, the water pollution control revolving fund, and the Western Washington University capital projects account. Earnings derived from investing balances of the agricultural permanent fund, the normal school permanent fund, the permanent common school fund, the scientific permanent fund, and the state university permanent fund shall be allocated to their respective beneficiary accounts.
(b) Any state agency that has independent authority over accounts or funds not statutorily required to be held in the state treasury that deposits funds into a fund or account in the state treasury pursuant to an agreement with the office of the state treasurer shall receive its proportionate share of earnings based upon each account's or fund's average daily balance for the period.

(5) In conformance with Article II, section 37 of the state Constitution, no treasury accounts or funds shall be allocated earnings without the specific affirmative directive of this section.

Sec. 5. RCW 46.16A.120 and 2011 c 375 s 9 and 2011 c 375 s 8 are each reenacted and amended to read as follows:

(1) Each court and government agency located in this state having jurisdiction over standing, stopping, and parking violations, the use of a photo toll system under RCW 46.63.160, the use of automated traffic safety cameras under RCW 46.63.170, and the use of automated school bus safety cameras under RCW 46.63.180 may forward to the department any outstanding:

(a) Standing, stopping, and parking violations;

(b) Civil penalties for toll nonpayment detected through the use of photo toll systems issued under RCW 46.63.160;

(c) Automated traffic safety camera infractions issued under RCW 46.63.030(1)(d); and

(d) Automated school bus safety camera infractions issued under RCW 46.63.160 (1)(e).

(2) Violations, civil penalties, and infractions described in subsection (1) of this section must be reported to the department in the manner described in RCW 46.20.270(3).

(3) The department shall:

(a) Record the violations, civil penalties, and infractions on the matching vehicle records; and

(b) Send notice approximately one hundred twenty days in advance of the current vehicle registration expiration date to the registered owner listing the dates and jurisdictions in which the violations, civil penalties, and infractions occurred, the amounts of unpaid fines and penalties, and the surcharge to be collected. Only those violations, civil penalties, and infractions received by the department one hundred twenty days or more before the current vehicle registration expiration date are subject to the requirements of this subsection.
expiration date will be included in the notice. Violations, civil penalties, and infractions received by the department later than one hundred twenty days before the current vehicle registration expiration date that are not satisfied will be delayed until the next vehicle registration expiration date.

(4) The department, county auditor or other agent, or subagent appointed by the director shall not renew a vehicle registration if there are any outstanding standing, stopping, and parking violations, and other civil penalties issued under RCW 46.63.160 for the vehicle unless:

(a) The outstanding standing, stopping, or parking violations and civil penalties were received by the department within one hundred twenty days before the current vehicle registration expiration;
(b) There is a change in registered ownership; or
(c) The registered owner presents proof of payment of each violation, civil penalty, and infraction provided in this section and the registered owner pays the surcharge required under RCW 46.17.030.

(5) The department shall:

(a) Forward a change in registered ownership information to the court or government agency who reported the outstanding violations, civil penalties, or infractions; and
(b) Remove the outstanding violations, civil penalties, and infractions from the vehicle record.

Sec. 6. RCW 46.63.075 and 2011 c 375 s 7 are each amended to read as follows:

(1) In a traffic infraction case involving an infraction detected through the use of an automated traffic safety camera under RCW 46.63.170 or detected through the use of an automated school bus safety camera under RCW 46.63.180, proof that the particular vehicle described in the notice of traffic infraction was in violation of any such provision of RCW 46.63.170 and 46.63.180, together with proof that the person named in the notice of traffic infraction was at the time of the violation the registered owner of the vehicle, constitutes in evidence a prima facie presumption that the registered owner of the vehicle was the person in control of the vehicle at the point where, and for the time during which, the violation occurred.
(2) This presumption may be overcome only if the registered owner states, under oath, in a written statement to the court or in testimony before the court that the vehicle involved was, at the time, stolen or in the care, custody, or control of some person other than the registered owner.

Sec. 7. RCW 46.63.170 and 2011 c 367 s 704 are each amended to read as follows:

(1) The use of automated traffic safety cameras for issuance of notices of infraction is subject to the following requirements:

(a) The appropriate local legislative authority must first enact an ordinance allowing for their use to detect one or more of the following: Stoplight, railroad crossing, or school speed zone violations. At a minimum, the local ordinance must contain the restrictions described in this section and provisions for public notice and signage. Cities and counties using automated traffic safety cameras before July 24, 2005, are subject to the restrictions described in this section, but are not required to enact an authorizing ordinance.

(b) Use of automated traffic safety cameras is restricted to two-arterial intersections, railroad crossings, and school speed zones only.

(c) During the 2011-2013 fiscal biennium, automated traffic safety cameras may be used to detect speed violations for the purposes of section 201(2), chapter 367, Laws of 2011 if the local legislative authority first enacts an ordinance authorizing the use of cameras to detect speed violations.

(d) Automated traffic safety cameras may only take pictures of the vehicle and vehicle license plate and only while an infraction is occurring. The picture must not reveal the face of the driver or of passengers in the vehicle.

(e) A notice of infraction must be mailed to the registered owner of the vehicle within fourteen days of the violation, or to the renter of a vehicle within fourteen days of establishing the renter's name and address under subsection (3)(a) of this section. The law enforcement officer issuing the notice of infraction shall include with it a certificate or facsimile thereof, based upon inspection of photographs, microphotographs, or electronic images produced by an automated traffic
safety camera, stating the facts supporting the notice of infraction. This certificate or facsimile is prima facie evidence of the facts contained in it and is admissible in a proceeding charging a violation under this chapter. The photographs, microphotographs, or electronic images evidencing the violation must be available for inspection and admission into evidence in a proceeding to adjudicate the liability for the infraction. A person receiving a notice of infraction based on evidence detected by an automated traffic safety camera may respond to the notice by mail.

(f) The registered owner of a vehicle is responsible for an infraction under RCW 46.63.030(1) unless the registered owner overcomes the presumption in RCW 46.63.075, or, in the case of a rental car business, satisfies the conditions under subsection (3) of this section. If appropriate under the circumstances, a renter identified under subsection (3)(a) of this section is responsible for an infraction.

(g) Notwithstanding any other provision of law, all photographs, microphotographs, or electronic images prepared under this section are for the exclusive use of law enforcement in the discharge of duties under this section and are not open to the public and may not be used in a court in a pending action or proceeding unless the action or proceeding relates to a violation under this section. No photograph, microphotograph, or electronic image may be used for any purpose other than enforcement of violations under this section nor retained longer than necessary to enforce this section.

(h) All locations where an automated traffic safety camera is used must be clearly marked by placing signs in locations that clearly indicate to a driver that he or she is entering a zone where traffic laws are enforced by an automated traffic safety camera.

(i) If a county or city has established an authorized automated traffic safety camera program under this section, the compensation paid to the manufacturer or vendor of the equipment used must be based only upon the value of the equipment and services provided or rendered in support of the system, and may not be based upon a portion of the fine or civil penalty imposed or the revenue generated by the equipment.

(2) Infractions detected through the use of automated traffic safety cameras are not part of the registered owner's driving record under RCW 46.52.101 and 46.52.120. Additionally, infractions generated
by the use of automated traffic safety cameras under this section shall
be processed in the same manner as parking infractions, including for
the purposes of RCW 3.50.100, 35.20.220, 46.16A.120, and 46.20.270(3).
However, the amount of the fine issued for an infraction generated
through the use of an automated traffic safety camera shall not exceed
the amount of a fine issued for other parking infractions within the
jurisdiction.

(3) If the registered owner of the vehicle is a rental car
business, the law enforcement agency shall, before a notice of
infraction being issued under this section, provide a written notice to
the rental car business that a notice of infraction may be issued to
the rental car business if the rental car business does not, within
eighteen days of receiving the written notice, provide to the issuing
agency by return mail:

(a) A statement under oath stating the name and known mailing
address of the individual driving or renting the vehicle when the
infraction occurred; or

(b) A statement under oath that the business is unable to determine
who was driving or renting the vehicle at the time the infraction
occurred because the vehicle was stolen at the time of the infraction.
A statement provided under this subsection must be accompanied by a
copy of a filed police report regarding the vehicle theft; or

(c) In lieu of identifying the vehicle operator, the rental car
business may pay the applicable penalty.

Timely mailing of this statement to the issuing law enforcement
agency relieves a rental car business of any liability under this
chapter for the notice of infraction.

(4) Nothing in this section prohibits a law enforcement officer
from issuing a notice of traffic infraction to a person in control of
a vehicle at the time a violation occurs under RCW 46.63.030(1) (a),
(b), or (c).

(5) For the purposes of this section, "automated traffic safety
camera" means a device that uses a vehicle sensor installed to work in
conjunction with an intersection traffic control system, a railroad
grade crossing control system, or a speed measuring device, and a
camera synchronized to automatically record one or more sequenced
photographs, microphotographs, or electronic images of the rear of a
motor vehicle at the time the vehicle fails to stop when facing a
steady red traffic control signal or an activated railroad grade
crossing control signal, or exceeds a speed limit in a school speed
zone as detected by a speed measuring device. During the 2011-2013
fiscal biennium, an automated traffic safety camera includes a camera
used to detect speed violations for the purposes of section 201(2),
chapter 367, Laws of 2011.

(6) During the 2011-2013 fiscal biennium, this section does not
apply to automated traffic safety cameras for the purposes of section

NEW SECTION.  Sec. 8.  2010 c 161 s 1126 is repealed.
Passed by the Senate February 11, 2012.
Passed by the House March 3, 2012.
Approved by the Governor March 23, 2012.
Filed in Office of Secretary of State March 23, 2012.
Appendix P

Supplemental Information
Two-Stage Construction Notice to Proceed for the Bored Tunnel Design-Build Contract
Appendix P
Two-Stage Construction Notice to Proceed for the Bored Tunnel Design-Build Contract

Based on the need to replace the viaduct as quickly as possible and reduce impacts during construction, the State proceeded with issuing a design-build contract in advance of the Final Environmental Impact Statement (FEIS) Record of Decision (ROD). The contract was awarded with a limited Notice to Proceed (NTP #1), which restricted work progress to producing preliminary engineering in support of the FEIS only. The full terms of the contract through a Notice to Proceed Number 2 (NTP #2) took effect immediately after the FEIS ROD, the federal Toll Agreement, and the Initial Financial Plan was issued in late August 2011. NTP #2 was issued in early September 2011.

With the contractor Notice to Proceed split into two stages and with an expedited schedule supplied by the Design-Build contractor to remove the existing viaduct, WSDOT committed to a few key points with FHWA.

1. In the timeframe between the award of the contract in January 2011 and completion of the EIS and Initial Financial Plan in August 2011, the Design-Builder would not engage in any activities beyond initial design development and efforts to support that initial design.

2. The Design-Builder would start construction related activities after FHWA of the Final EIS Record of Decision and FHWA acceptance of the Initial Financial Plan.

WSDOT complied with these two provisions.
Appendix Q

AWV Replacement Project Permit List
Appendix Q

AWV Replacement Project Permit List

Federal

- National Marine Fisheries Service and U.S. Fish and Wildlife Service – Section 7 Endangered Species Act (ESA) Consultation and Marine Mammal Protection Act Consultation
- National Marine Fisheries Service – Magnuson-Stevens Fishery Conservation and Management Act Consultation
- Federal Highway Administration, with concurrence from the Washington Department of Archaeological and Historic Preservation – National Historic Preservation Act Consultation (Section 106)
- U.S. Army Corps of Engineers Individual Section 404 Permit
- U.S. Army Corps of Engineers Section 10 Permit
- Federal Highway Administration Transportation Act Section 4(f) Approval
- U.S. Environmental Protection Agency/Federal Highway Administration Air Quality Conformity
- U.S. Environmental Protection Agency Federal Comprehensive Environmental Response, Compensation, and Liability Act Approval

State

- Puget Sound Clean Air Agency – Notice of Intent for Demolition Activities
- Washington Department of Archaeology and Historic Preservation – National Historic Preservation Act, Section 106 Historic Preservation Consultation (Federal nexus)
- Washington State Department of Ecology – Model Toxics Control Act, Removal of Underground Storage Tanks
- Washington State Department of Ecology – National Pollutant Discharge Elimination System (NPDES), Construction Stormwater General Permit
- Washington State Department of Ecology – Coastal Zone Management Act (CZMA), Consistency Certification
- Washington State Department of Ecology – Underground Injection Control Registration
- Washington State Department of Ecology – Notice of Intent for Installing, Modifying, or Removing Piezometers
- Washington State Department of Ecology – Notice of Intent for Installing, Modifying, or Removing Wells
- Washington State Department of Ecology – Chemical Treatment Letter of Approval
- Washington State Department of Ecology Clean Water Act Section 401 Certification
- Washington State Department of Natural Resources Aquatic Lands Use Approval/Lease
- Washington State Department of Fish and Wildlife Hydraulic Project Approval
- Governor’s Executive Order 05-05, Cultural Resource Review and Approval (non-Federal nexus)
Local

- King County – Industrial Wastewater Discharge Approval
- Seattle Department of Neighborhoods – Pioneer Square Historic District Certificate of Approval
- Seattle Department of Neighborhoods – Pike Place Market Historic District Certificate of Approval
- Seattle Department of Neighborhoods – Seattle Landmarks Certificate of Approval
- Seattle Department of Planning and Development – Master Use Permit
- Seattle Department of Planning and Development – Shoreline Substantial Development Permit/Conditional Use Permit and/or Variance
- Seattle Department of Planning and Development – Grading Permit\(^1\)
- Seattle Department of Planning and Development – Building Permit
- Seattle Department of Planning and Development – Demolition Permit
- Seattle Department of Planning and Development – Side Sewer Permit
- Seattle Department of Planning and Development – Noise Variance(s)
- Seattle Department of Planning and Development – Trade Permit(s)
- Seattle Department of Transportation – Street Use Permit
- Seattle Removal or Abandonment of Underground Storage Tanks
- Burlington Northern Right-of-Way Use Approval
- Seattle Public Utilities Stormwater and Drainage Control Approval
- Seattle City Light Approval of Utility Relocation
- Seattle City Light Substation Modification Approval Seattle City Light/Puget Sound Energy Electrical Transmission Outage Request
- WSDOT/SDOT Maintenance Agreement

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\(^1\) The City of Seattle and WSDOT are exempt from certain permits under some conditions. Even though this grading work would be exempt, the City would still perform a project review to ensure that the project meets City requirements for grading activities.
Appendix R

AWV Program (Corridor) Overview Description
Previously included in the 2011 Initial Financial Plan as Section 3
Appendix R
Context & Overview:
The SR 99 Alaskan Way Program and Its Components

This appendix discusses a brief background and history of the Alaskan Way Viaduct facility, and describes the Alaskan Way Viaduct Replacement Program and its component projects.

Background and History

The Alaskan Way Viaduct section of SR 99 has been a fixture of the downtown Seattle waterfront for over five decades. Today, SR 99 continues to be a main north-south route through the city, carrying one quarter of all north-south traffic (110,000 vehicles) through Seattle every day. However, its days are numbered. Time, daily wear and tear, salty marine air and some sizeable earthquakes have taken their toll on the structure.

Built in the 1950s, the Alaskan Way Viaduct is nearing the end of its useful life and does not meet today’s seismic design standards. The soils around the foundations of the structure consist of former tidal flats covered with wet, loose fill material subject to liquefaction. The Alaskan Way Seawall, which is also vulnerable to earthquakes, holds these soils in place along the majority of the viaduct corridor. Built in the 1930s, the Alaskan Way Seawall is in a state of disrepair and continuing deterioration. It also does not meet current seismic design standards.

In early 2001, a team of Washington State Department of Transportation (WSDOT) design and seismic experts began work to determine whether it was feasible and cost-effective to strengthen the Viaduct by retrofitting it. In the midst of this investigation, the 6.8 magnitude Nisqually earthquake shook the Puget Sound region. The earthquake damaged the Viaduct, forcing WSDOT to temporarily shut it down for emergency repairs.

Closure of the Viaduct following the 2001 Nisqually Earthquake resulted in extreme congestion on Interstate 5 (I-5) and in the downtown city street grid. The closure demonstrated that SR 99 through Seattle is a critical transportation link that needs to remain functional. WSDOT estimates that if the Viaduct is no longer usable, travel time through the downtown Seattle area will double.

Ongoing inspections have revealed the Viaduct has moved and settled, and the seawall’s timber relieving platform has been eaten away by tiny marine crustaceans called gribbles. The Nisqually
earthquake highlighted the fact that the viaduct and seawall are nearing the end of their useful lives, and it is time to replace them.

The 2006 Supplemental Draft Environmental Impact Statement (SDEIS) analyzed two alternatives—a refined cut-and-cover “Tunnel Alternative” and a modified rebuild alternative called the “Elevated Structure Alternative.” Since 2006, additional study and evaluation of other alternatives have taken place.

After continued public and agency debate over the alternatives included in the 2006 SDEIS, Governor Christine Gregoire called for an advisory vote to be held in the City of Seattle. The March 2007 ballot included an elevated alternative and a surface-tunnel hybrid alternative. The citizens voted against both alternatives.

After the March 2007 Seattle vote, Governor Gregoire, King County Executive Ron Sims, and City of Seattle Mayor Greg Nickels chose to “move forward” with critical safety and mobility improvement projects at the north and south ends of the Alaskan Way Viaduct, which included replacing the structure’s southern mile. These Moving Forward Projects could proceed while the executives worked together through a collaborative public process to develop a replacement solution for the Viaduct’s central waterfront section that would have broad consensus among the lead agencies, cooperating agencies, tribes and the public.

The Moving Forward Projects included:
- Column safety repairs on the existing viaduct in the Pioneer Square area;
- Electrical line relocation along the Viaduct’s South End;
- Replacement of the viaduct (SR 99) between South Holgate Street and South King Street in the South End;
- Battery Street Tunnel maintenance and repairs; and
- Transit enhancements and other improvements.

In December 2007, Governor Gregoire, King County Executive Sims, and Seattle Mayor Nickels committed to a collaborative effort, called the Partnership Process, to forge a solution for the viaduct’s central waterfront section that could be broadly supported and implemented. The Partnership Process included input from a 29-member Stakeholder Advisory Committee and Project Management Team.

After examining numerous below-ground, surface and above-ground options, WSDOT, King County, and the City of Seattle released the I-5/surface/transit hybrid alternative and elevated bypass hybrid alternative in December 2008 for public comment. These hybrids were selected because they were the lower cost options and provided mobility for people and goods, although in different ways. Based on support from the Stakeholder Advisory Committee and public for the bored tunnel option, the Governor, County Executive, and Mayor asked their departments of transportation to complete further analysis of it.

In January 2009, the Governor, County Executive, and Mayor recommended replacing the central waterfront portion of the Alaskan Way Viaduct with a single large-diameter bored tunnel.
The recommendation also included a new waterfront surface street and promenade, transit investments, a streetcar on First Avenue, a restored seawall and downtown city street improvements. Their recommendation was grounded in the potential for a bored tunnel and other improvements to meet the six guiding principles established as part of the Partnership Process; technical analysis; strong support of diverse interests; and the willingness of the partners, with the support of the Port of Seattle, to develop a funding program that supplements the State’s committed $2.8 billion. In fall 2009, the City of Seattle and the State executed a policy agreement formally aligning policies through ordinance with their action earlier in January. This agreement was further supported by an agreement between the City of Seattle and the State clarifying administrative procedures and practices for implementation of the preferred alternative.

In September 2009, the Alaskan Way Viaduct Replacement Project History Report was prepared to summarize the alternatives that have been studied since the program began in 2001 and to focus on the evaluation of alternatives through the Partnership Process and how the Bored Tunnel Alternative emerged. A copy of this report is included in the updated Project Management Plan.

In addition to the bored tunnel, WSDOT is the lead for removing the existing viaduct structure, decommissioning the Battery Street Tunnel and completing the Moving Forward Projects. King County is the lead for RapidRide enhancements, additional peak hour bus service and transit speed and reliability improvements. The City of Seattle is the lead for the utility relocations, the waterfront promenade, city street improvements and the First Avenue Streetcar. The City is also responsible for replacing the seawall and will lead independent environmental evaluations for most of the City efforts.

![Figure 2: AWV Program Summary Milestone Timeline](image-url)
Program Purpose and Need

The Alaskan Way Viaduct is seismically vulnerable and at the end of its useful life. To protect public safety and provide essential vehicle capacity to and through downtown Seattle, the Viaduct must be replaced. Because this facility is at risk of sudden and catastrophic failure in an earthquake, FHWA, WSDOT, and the City of Seattle seek to implement a replacement as soon as possible. Moving people and goods through downtown Seattle is vital to maintaining local, regional, and statewide economic health. FHWA, WSDOT, and the City of Seattle have identified the following purposes and needs that the Project should address.

The purpose of the proposed action is to provide a replacement transportation facility that addresses the following needs:

- Reduce the risk of catastrophic failure in an earthquake by providing a facility that meets current seismic safety standards;
- Improve traffic safety;
- Provide capacity for automobiles, freight, and transit to efficiently move people and goods to and through downtown Seattle;
- Provide linkages to the regional transportation system and to and from downtown Seattle and the local street system;
- Avoid major disruption of traffic patterns due to loss of capacity on SR 99; and
- Protect the integrity and viability of adjacent activities on the central waterfront and in downtown Seattle.

Moving Forward Projects Summary and Status

Column safety repairs on the existing viaduct in the Pioneer Square area between Columbia Street and Yesler Way were completed in April 2008. The Electrical Line Relocation Phase 1 construction contract along the viaduct’s South End was completed in December 2009. The Transit Enhancements and other improvements projects were established to mitigate traffic during construction of the Holgate to King Project as well as the Central Waterfront Traffic. These projects were managed by WSDOT, the City of Seattle, and King County. All of the projects are near completion and are functionally operational.7

The only “Major Project” (over $100 million) included in the Moving Forward Projects is the South End viaduct replacement between South Holgate Street and South King Street. The environmental assessment for Holgate to King was released in June 2008, and the Finding of No Significant Impact (FONSI) was signed by FHWA in February 2009.

A separate Project Management Plan and an Initial Financial Plan was prepared for Holgate to King Project. The Initial Financial Plan was approved by FHWA in June 2009. The first Annual update was submitted to FHWA on December 1, 2010. However, because the total project cost fell substantially below $500 million, but is still higher than $100 million, WSDOT will continue to develop Annual Updates, but they do not need to be approved by FHWA.
The Stage 1 construction contract to relocate utilities was substantially complete on May 28, 2010. The Stage 2 Heavy Civil Construction contract was also awarded in May 2010 and construction was completed in 2013. The Stage 3, South Atlantic Street Bypass, achieved Operational Completion in January 2014. The Holgate to King Project will complete the South End of the viaduct replacement.

**Replacement Project**

The Replacement Project is located in the middle and north end of the AWV Program area and be comprised of seven components.

1. Bored Tunnel Design-Build
2. North Access
3. North Surface Streets
4. South Access and South Access Drilled Shafts
5. Viaduct Demolition
6. Battery Street Tunnel Decommissioning
7. Mercer Street West (City of Seattle project)\(^1\)

Description of the Replacement Project is provided in the body of the report for this Annual Update.

\(^1\) Planning and implementation of the Mercer West project is the responsibility of the City of Seattle, of which the Dexter Avenue to 5th Avenue portion is described in the WSDOT Final Environmental Impact Statement (FEIS) and thus included in the Federal Financial Plan.
Appendix S

May 15, 2013

Mr. David Schumacher, Director  
Office of Financial Management  
PO Box 43113  
Olympia, WA  98504

Dear Mr. Schumacher:

During negotiations between the House and Senate on the 2013-15 and 2013 supplemental transportation budgets, a decision was made on the Alaskan Way Viaduct Replacement Project (Project #809936Z) to continue to require a total of $200 million in toll proceeds for the construction of the Alaskan Way Viaduct Replacement, consistent with the project list referenced in the 2012 supplemental transportation budget. However, due to a drafting error, LEAP Transportation Document 2013-1 dated April 23, 2013, incorrectly indicates an overall contribution of $165.2 million from toll proceeds on the project.

LEAP document 2013-1 should have shown a contribution of $82 million during the 2015-17 biennium from the Alaskan Way Replacement Toll Account, rather than $47.2 million, and an overall contribution to the project of $200 million, rather than $165.2 million. The difference is incorrectly displayed as Motor Vehicle Fund-Federal, and the project’s overall funding level is correct. We intend to correct this project list oversight at the next available opportunity. We apologize for the error.

Sincerely,

Senator Curtis King, Co-Chair  
Senate Transportation Committee

Senator Tracey Eide, Co-Chair  
Senate Transportation Committee

Representative Judy Clibborn, Chair  
House Transportation Committee
---------End of Replacement Project Financial Plan Appendices---------