



**Washington State
Department of Transportation**

Advertisement and Award Manual

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Engineering and Regional Operations Division
Contract Ad and Award Office

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Foreword

When and How to Use this Manual

Advertisement, award, and execution are the legal steps required to contract highway construction contracts or other public works. If work is to occur in the highway or right-of-way, rules for highway construction contracts apply including prequalification of contractors. If work is to occur outside the highway or right of way prequalification of contractors is not required and procedures must comply with the rules set forth in [RCW Chapter 39.04](#).

Emergency contracts are not necessarily subject to the requirements of this manual. If the work is emergency restoration and protection of highways under [RCW 47.28.170](#) refer to the *Emergency Relief Procedures Manual* M 3014. Projects to permanently repair damage due to an emergency after the highway has been restored to public travel are subject to the requirements of this manual.

This manual does not apply to procurement of goods and services under [RCW Chapter 39.26](#). When work will be performed by a contractor you must carefully consider whether the work is a public work or procured service. “Public Work” is defined in [RCW 39.04.010](#) and “Service” is defined in [RCW 39.26.010](#). Public work includes repair but not ordinary maintenance. If you need assistance to determine if your work is repair or ordinary maintenance you may contact Purchasing or Contract Ad & Award staff.

During Advertisement, award and execution of a contract, specific documents are required and certain rules must be followed. In addition, documentation of these activities is also required. This manual identifies those requirements and the various responsible organizational units.

Updating the manual is a continuing process and revisions are issued periodically. Questions, observations, and recommendations are invited.

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Director
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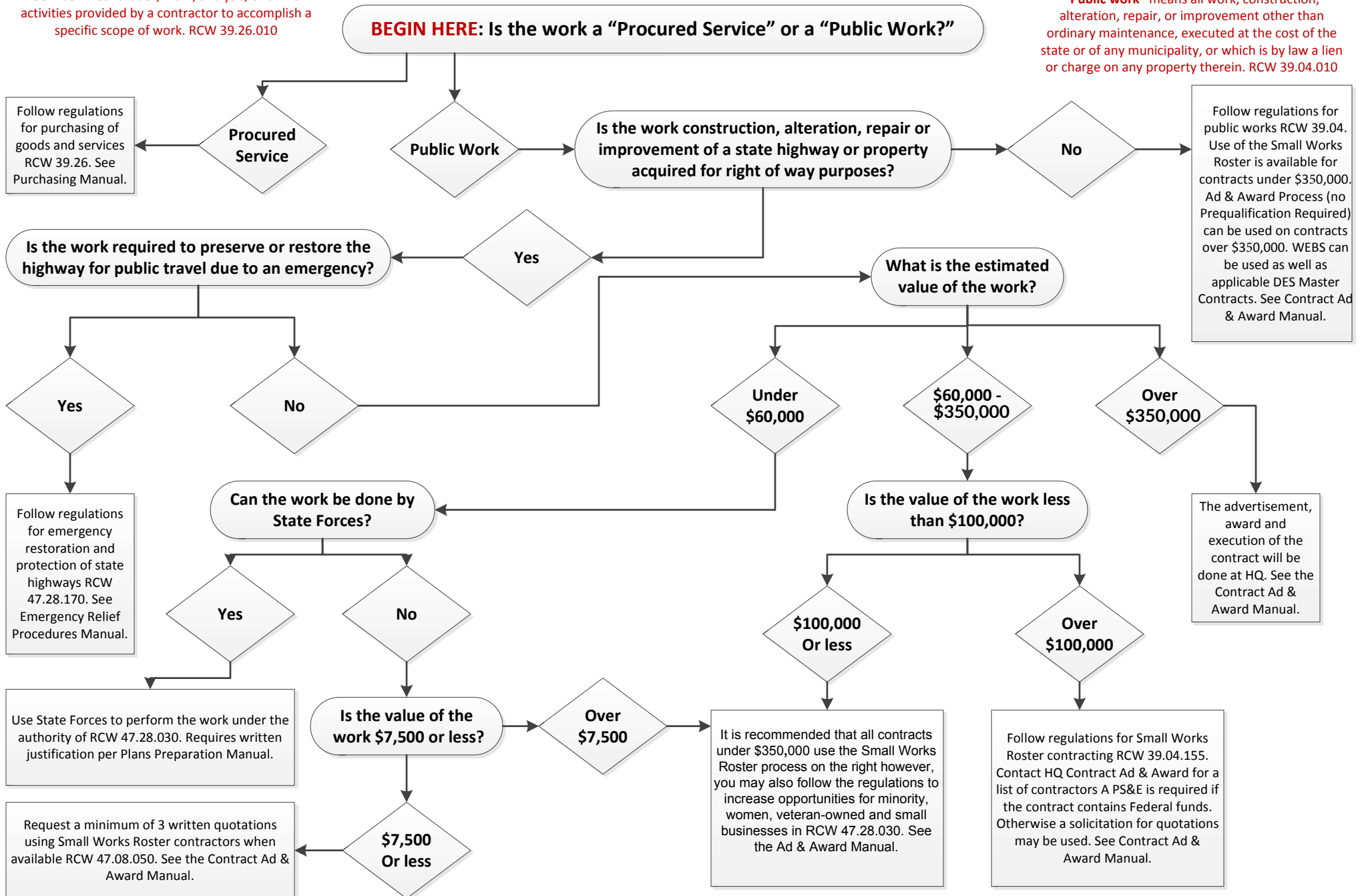
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OPTIONS FOR THE CONTRACTING OF WORK

"Service" means labor, work, analysis, or similar activities provided by a contractor to accomplish a specific scope of work. RCW 39.26.010

"Public work" means all work, construction, alteration, repair, or improvement other than ordinary maintenance, executed at the cost of the state or of any municipality, or which is by law a lien or charge on any property therein. RCW 39.04.010



Chapter 1 Contract Requirements

1-1 Contract Requirements

The contract will be prepared in accordance with the [Plans Preparation Manual](#) M 22-01. The contract will include, by reference, [Standard Specifications](#) M 41-10. All Amendments will be included and the General Special Provisions (GSP) will be used.

Following, is an overview of commonly used contract requirements that affect contract advertisement, bid opening, award or execution of the contract. This may not be a complete listing for all contracts or circumstances but is intended as a starting point.

1. **Transfer Provisions** – On projects that require a Construction Stormwater General Permit the permit will transferred from the state to the contractor. Include the Transfer Provisions, TESC Narrative, CSWGP and a partially completed Transfer of Coverage form with the advertisement package and the contract will not be executed until the contractor has returned the completed form to the agency with documents for execution.
2. **1-02.6 OPT15 Subcontractor List Not Required With Bid** – On contracts estimated to cost \$1,000,000 a subcontractor list is not required with the bid.
3. **1-02.6 OPT20 Alternate Bids** – The bidder will submit a bid for the base contract value and any or all alternates they choose to bid on. The successful bidder will be determined by the lowest total of an alternative plus the base bid.
4. **1-02.6 OPT21 Cumulative Alternates Bidding** – The bidder is required to submit a bid for the base contract value and all of the alternates. The bidder will be found non responsive if they fail to submit a bid for the base and all alternates. The amount of funds available will be announced at bid opening. The successful bidder will be the bidder submitting the lowest responsible bid for the greatest number of alternates listed in preference listed in order in the contract that is within the amount of funds available. If no bids are within the amount of funds available, the department may find additional funding but only to award the lowest base bid.
5. **1-02.6 OPT22 Progress Schedule Minimum Bid** – A minimum bid has been established and the contractor's bid shall equal or exceed that amount. If the contractor's bid is less than the minimum, the contracting agency will revise the bid amount to the minimum amount and recalculate the total bid amount and this total will be used as the award amount and to fix the amount of the contract bond.

6. **1-02.7 Bid Deposit** – Bid deposit in amount specified in contract must be received by the time specified in the contract in order for the bid to be considered responsive. Bid deposit must be made on the appropriate form or following guidelines in contract and cannot be conditioned or the bid will be found irregular in accordance with [Standard Specifications](#) Section 1-02.13. If the contract value is estimated at \$100,000 or less, a bid deposit is not required and [Standard Specifications](#) Section 1-02.07 may be amended at the discretion of the Regional Administrator ([RCW 47.28.070](#)).
7. **1-02.8 Non-Collusion Declaration and Lobbying Certification** – A Non-Collusion Declaration and a Certification for Federal-Aid Contracts is provided in the proposal form for all contracts including Federal Funds (exceeding \$100,000 for the Lobbying Certification). By signing the proposal, the bidder signed and agreed to the conditions and requirement of the Non-Collusion Declaration and the Certification for Federal-Aid Contracts. Failure to sign the proposal will result in rejection of the bid.
8. **1-02.9 OPT1 DBE Written Confirmation or GFE Not Required with Bid**
– On projects with a Condition of Award DBE goal, Written Confirmation Documents and Good Faith Effort Documentation may be submitted 48 hours after bid opening.
9. **1-03.4 Contract Bond** – The successful bidder shall provide an executed Contract Bond for the full contract amount within 20 days of the award date as specified in [Standard Specifications](#) Section 1-03.3 or the contracting agency may award the contract to the second lowest bidder in accordance with [Standard Specifications](#) Section 1-03.5. If the contract value is estimated at \$100,000 or less, a contract bond is not required and [Standard Specifications](#) Section 1-03.4 may be amended at the discretion of the Regional Administrator ([RCW 47.28.070](#)) When no contract bond is required, the special provisions must state: “The state may require that progress payments to the Contractor be based on the submittal of paid invoices to substantiate proof that disbursements have been made to laborers, material suppliers, mechanics, and subcontractors from previous partial payments. It is understood that, when requested, until such paid invoices have been submitted, the state will withhold further payment pending receipt of such invoices”(RCW [39.08](#), [RCW 47.28.030](#)).

10. **1.07-11 OPT3 Disadvantaged Business Enterprise (DBE) Condition of Award Participation** – For contracts with a Condition of Award DBE Goal, the contractor will submit a completed DBE Utilization Certification when required by the special provisions or their bid will be found non-responsive in accordance with [Standard Specifications](#) Section 1-02.13. For each and every DBE firm listed on the Utilization Certification they will submit written confirmation from the DBE firm that they are in agreement with the DBE participation or their bid will be found nonresponsive in accordance with [Standard Specifications](#) Section 1.02-13. The bidder will submit Good Faith Effort (GFE) documentation only in the event that they cannot solicit sufficient DBE participation. Failure to submit GFE documentation by the deadline specified in the contract will result in the bid being found non-responsive in accordance with [Standard Specifications](#) Section 1-02.13. Contracts with no DBE Goal, a Minority and Women's Business Enterprise Participation goal, or a voluntary Small Business Enterprise goal do not modify bid opening or award of contract procedures.
11. **1-07.18 Public Liability and Property Damage Insurance** – The successful bidder will provide documentation of insurance policies in compliance with the contract within 20 days of the award date as specified in [Standard Specifications](#) Section 1-03.3 or the contracting agency may award the contract to the second lowest bidder in accordance with [Standard Specifications](#) Section 1-03.5. The special provisions for reduced insurance requirements apply when the engineer's estimate for the contract (including sales tax) is \$500,000 or less. Projects with unique risks may have additional insurance amendments as determined by the Project Engineer, Administrative Risk Manager and the Railroad Liaison Engineer.

Please contact the HQ Contract Ad & Award for information about other special provisions that may impact contract advertisement, bid opening, award, or execution such as Buy American Requirements on Federal Transit Authority contracts or rapid award provisions.

2-1 **Decision to Advertise, Prequalify, Open Bids and/or Award at Headquarters or Region**

The regions are authorized to make the decision to use Headquarters (HQ) or regional staff for the advertisement and award processes but only if the contract is under \$350,000. Regions are encouraged to use the Small Works Roster ([Appendix 11](#)) maintained by HQ Ad & Award for all contracts under \$350,000 (including those under \$100,000) to the fullest extent possible. A list of contractors for a project and location may be obtained by emailing caa@wsdot.wa.gov. For the purpose of maximizing opportunities for Women, Minority, Disadvantaged or Small businesses, regions may also prequalify contractors for contracts under \$100,000 ([Appendix 4](#)) if they have been delegated the authority to do so by HQ Contract Ad & Award ([WAC 468-16-080](#) and [RCW 47.28.030](#)).

The regions will utilize HQ for prequalification, printing, advertisement, bid opening, and award on contracts over \$350,000 to maximize the efficient and effective use of resources. If the Small Works Roster is used, the region may decide whether to use HQ or regional staff for the advertisement, bid opening and award process but must use the list of pre-approved Small Works Roster contractors provided by the Ad & Award Office for the specific contract. Bids or quotations must be solicited from all contractors on the list.

2-2 **State Force Work**

Work may be done by state forces when the estimated costs thereof are less than sixty thousand dollars ([RCW 47.28.030](#)). Work may not be divided into units or classes of work in order to allow work to be performed by state forces ([RCW 47.28.035](#)).

2-3 **Plans, Specifications and Estimate and Standard Forms**

Highway contracts will consist of Plans, Specifications and an Estimate prepared in accordance with the [Plans Preparation Manual](#) M 22-31 ([RCW 47.28.040](#)). The contract will include, by reference [Standard Specifications](#) M 41-10. Small Works Roster contracts and contracts under \$7,500 may use a solicitation for quotations rather than a call for bids.

For contracts over \$1 million a Subcontract List (Form 271-015) must be included in the Bid Proposal ([RCW 39.30.060](#)). Bids shall be submitted upon the contract proposal form supplied by the department and in no other manner ([RCW 47.28.070](#)). If the contract contains Federal funds, the Bid Proposal will include all necessary Federal forms (23 CFR 635.112), Appendices [5](#) and [6](#).

2-4 Estimate, Bid Analysis, and Payment

All projects will utilize the Estimate and Bid Analysis System (EBASE); all payments will be made through the Contract Administration and Payment System (CAPS) (Standard Business Practice).

2-5 Advertisement

For highway or local agency construction projects over \$7,500, the call for bids must be published per the advertising criteria in [Appendix 2](#) unless the Small Works Roster is used ([RCW 47.28.050](#)). The call for bids must be published for a minimum of three weeks on a federal-aid project (23 CFR 635.112) or two weeks on a state funded project ([RCW 47.28.050](#)). Approval from the FHWA Field Engineer is required for advertisement periods less than 3 weeks. WSDOT will determine an appropriate advertising period for Federal aid contracts under \$350,000 utilizing the Small Works Roster process however a 1 week advertisement is the minimum (Use of the Small Works Roster for Federal-aid Construction Contracts).

2-6 Prequalification

If the contract is within the highway right of way, prequalification of bidders per [Standard Specifications](#) Section 1-02.1 is required ([RCW 47.28.070](#)). Prequalification is not required for contracts outside the highway right of way. If and when the contract estimate is \$100,000 or less (including sales tax) limited prequalification of prospective bidders may be conducted using the process below in order to maximize opportunities for Women, Minority, Disadvantaged or Small Business Enterprises ([RCW 47.28.030](#)) or in the case that the work is unusual and will require the Department to find specialty contractors.

Provide prospective bidders with a Contractor Prequalification Questionnaire and Affidavit for Contracts Under \$100,000 (DOT Form 272-063) in [Appendix 4](#) ([RCW 47.28.030](#), [WAC 468-16](#)).

The HQ Contract Ad & Award Office is authorized to approve or disapprove the limited prequalification. Further delegation is authorized. If the region has been authorized to maintain a roster of contractors prequalified using the Contractor Prequalification Questionnaire and Affidavit for Contracts Under \$100,000 (DOT Form 272-063 in [Appendix 4](#)), the form must be updated by each contractor once every three years, unless the contractor is also prequalified by the Contract Ad & Award Office. The Contract Ad & Award Manager is also authorized to approve or disapprove the limited prequalification on a contract specific basis ([WAC 468-16](#)) for contracts under \$100,000.

Financial information submitted for the purpose of prequalification cannot be disclosed publicly ([RCW 47.28.075](#)).

2-7 Specialty Items

When the project has work that is unique to highway construction and is of high value or requires specialized material and/or labor requiring a contractor not normally a prime contractor for a highway project (specialty work), the regions have the option to require prequalified contractors to supplement their experience before a proposal is issued; the supplement must be coordinated with the HQ Contract Ad and Award Office ([WAC 468-16](#)).

2-8 Solicitation of Written Quotations

State funded projects of \$7,500 or less need not be advertised. When such projects are not advertised, a minimum of three written quotations must be solicited ([RCW 47.28.050](#)).

The Small Works Roster advertisement process described in [Appendix 11](#) may also be used to solicit quotations rather than bids. Invitations for quotations shall include an estimate of the scope and nature of the work to be performed as well as materials and equipment to be furnished. However, detailed plans and specifications need not be include in the invitation. This does not eliminate other requirements for architectural or engineering approvals as to quality and compliance with building codes or regulations. The estimate and scope of the work must be defined in order to assure that a competitive price is established and if the price is determined to be competitive the contract will be awarded to the lowest responsible bidder providing a quotation. ([RCW 39.04.155](#))

2-9 Funding Authorization

All projects must have funding authorized before being advertised (Standard Business Practice, 23 CFR 635A).

2-10 Contract Plan Distribution and Fees

A standard fee, set by the HQ Ad and Award Office, will be charged for contract plans except when requested by appropriate plan centers and other agencies ([RCW 47.28.060](#)).

Contract plans will be distributed to the locations listed in [Standard Specifications](#) Section 1-02.2 and to the region offices requesting plans. In order to maximize efficiency of department resources, offices are encouraged to utilize the electronic copies of the plans located in the Construction vault. Map to [\\wsdot.loc\hq\Group\302010Vault\AdvertisedContractFiles](#) to obtain ad copies and [\\wsdot.loc\hq\Group\302010Vault\AwardedContractFiles](#) to obtain award copies. The general public may access electronic copies of the plans and specifications on the Contract Ad & Award web page: <https://ftp.wsdot.wa.gov/contracts>.

2-11 Proposal Distribution

Only one copy of the appropriate proposal shall be issued to each prequalified bidder. A proposal package will not be issued if the contractor is not prequalified for the class or classes of work identified in the project notice, unless otherwise approved by the HQ Prequalification Analyst ([RCW 47.28.070](#)).

Informational copies of proposals can be found in the Advertised Contract Files if an example is sought or refer to [Appendix 5](#) for an example proposal package for a Federally funded contract with Condition of Award DBE goals and [Appendix 6](#) for a state funded contract with Condition of Award SBE/VOB goals. State or Federal contracts without goals would use the same packages but with the DBE and SBE/VOB forms removed. Subcontractor form should be removed on any projects estimated to cost less than one million dollars. Please contact HQ Contract Ad & Award for assistance with other funding types or mixed funded contracts.

2-12 Special Fees

If an office would like reference information available on the active contracts list on the website for the duration of the contract, special fees may apply. Fees will be assessed based on the amount of space needing to be purchased to host the materials. The active contract website is available at: <https://ftp.wsdot.wa.gov/contracts> (Standard Business Practice).

2-13 Sealed Bids, Bid Deposit and Bid Opening

Bids must be sealed ([RCW 47.28.090](#)) and submitted on the contract proposal form supplied by the department and in no other manner ([RCW 47.28.070](#)). When indicated in the Special Provisions bids may be submitted using the department's current electronic bidding vendor.

A bidder may withdraw a bid and file a new bid as long as the deadline for receipt of proposals has not passed. A proposal will not be considered if it was not filed before the time fixed for the receipt of proposals specified in the contract ([RCW 47.28.080](#)).

A bid deposit of 5% of the total bid will be submitted with the bid in cash, certified check, cashier's check or surety bond ([RCW 47.28.090](#)). The deposits will be returned to unsuccessful bidders when the contract has been executed ([RCW 47.28.100](#)).

Bids will be publicly opened and read at the time and place named in the call for bids ([RCW 47.28.090](#)).

2-14 Award of Contract and Rejection of Bids

The contract shall be awarded to the lowest responsible bidder unless that bid was rejected. If the low bidder is rejected the contract shall be awarded to the next lowest bidder or the Department may reject all bids and readvertise ([RCW 47.28.090](#)).

2-15 Bid Error

A bidder claiming error must submit a notarized affidavit or declaration under penalty of perjury no later than 5:00 p.m. on the first business day after bid opening. WSDOT will review the document and determine if the error is the kind the law allows relief from. If it is determined that there was a bid error, the contractor will be exonerated and the bid deposit returned. If it is determined that there was not a bid error WSDOT may award the contract and if the contractor fails to execute the contract the procedure described under [Section 2-19](#) will be used ([Standard Specifications](#) Section 1-03.1. A low bidder that claims error is prohibited from bidding on the same contract if the contract is re-advertised ([RCW 47.28.100](#)).

2-16 Bid Protest

When a bid protest is submitted prior to award of the contract, WSDOT will respond to the protest and if the protest is found to be without merit notice will be given 48 hours in advance of the date that WSDOT will award the contract (Standard Business Practice exceeds [RCW 39.04.105](#)).

2-17 Award and Execution of Contracts

Award of the contract will occur within 45 calendar days. If agreed by the contractor and the Department this deadline may be extended. If no agreement can be reached the contract may be awarded to the next lowest bidder ([Standard Specifications](#) Section 1-03.2).

The Construction Division Director is delegated the authority to award and execute contracts including local agency contracts, administered by WSDOT. The Assistant Secretary of the Ferries division is delegated the authority to award and execute contracts. The Maintenance Operations Division Director is delegated the authority to award and execute capital facilities contracts. Further delegation is authorized. (Secretary's Executive Order [E 1012](#) *Delegation of Authority*).

2-18 Failure or Rejection of Bidder

If the successful bidder fails to enter a contract within the 20 days (exclusive of the award date) the 5% bid deposit shall be forfeited to the state and deposited into the motor vehicle fund and the Department may award the contract to the second low bidder that is also subject to the same rule ([RCW 47.28.100](#)). If WSDOT determines that the low bidder's bid is not in the best interest of the state it may reject the bid and award to the next lowest responsible bidder or reject all bids and readvertise the contract ([RCW 47.28.100](#)).

2-19 Bids Exceeding the Estimate

The following applies for projects where the lowest responsible bid exceeds the engineer's estimate. If the decision is made to reject all bids, the region shall notify caa@wsdot.wa.gov and Caps@wsdot.wa.gov.

1. For state highway projects where the lowest responsible bid exceeds the engineer's estimate by 10 percent and greater than \$50,000, the region shall prepare a letter of justification to award. See attached checklist in [Appendix 7](#).
2. For local agency projects where the lowest responsible bid exceeds the engineer's estimate, approval of the local agency is required to award the contract ([RCW 47.28](#)).

Chapter 3 Procedures

3-1 Responsible Units

The purpose of this matrix is to provide a generic listing of the tasks necessary to advertise and award contracts. The responsible unit for each of the tasks is generally dependent upon the type of project funding and whether the contract is awarded by the region or Headquarters. For a detailed listing of the steps involved in each task, see the remainder of this chapter.

| Task | Responsible Unit | |
|---|--|--|
| | Federal Funding | State Funding |
| 1. Prepare plans, specifications, and estimate. (See Plans Preparation Manual M 22-31) | Region | Region |
| 2. Submit a Right of Way Certificate in compliance with Right of Way Manual M 26-01, to HQ Real Estate Services Office. If no new right of way is required and the project summary so indicates, no certification is required. | Region | Region |
| 3. Certify projects with new right of way acquisition according to the Federal Highway Administration (FHWA), per Federal Aid Policy Guide 23 CFR Part 635 Section 309, Paragraph (c). | HQ Real Estate Services | N/A |
| 4A. Prepare and submit Work Order Authorization to HQ Program Management. Three weeks prior to advertisement (Current CPDM Policy. The submittal indicates the anticipated advertisement date. | Region Program Management | N/A |
| 4B. Prepare and submit Work Order Authorization to HQ Program Management two weeks prior to advertisement (Current CPDM Policy). | N/A | Region Program Management |
| 5. Submit the right of way certification and estimate of cost to FHWA, and notify the region when it has been done. | HQ Program Management | N/A |
| 6A. Secure approval of the Request for Federal Aid Project Approval and Authorization. | HQ Program Management | N/A |
| 6B. Notify region of approved funding authorization. | HQ Program Management | HQ Program Management |
| 7. Determine the level of acceptable risk concerning outstanding items, including right of way, permits, agreements, etc. Confer with HQ concerning critical outstanding items. Approval from HQ Construction is required to advertise a Risk Level 2 or 3 project. A project should not be advertised unless it is reasonable to expect all critical outstanding items will be resolved prior to bid opening. See Appendix 1 . | Risk Level 1 Region Risk Level 2 Region with concurrence HQ Construction Level 3 Region with HQ Construction Agreement | Risk Level 1 Region Risk Level 2 Region with concurrence HQ Construction Level 3 Region with HQ Construction Agreement |

| Task | Responsible Unit | |
|--|---|--------------------------------|
| | Federal Funding | State Funding |
| 8. Prepare proposal forms per Appendix 5 or 6 (whichever is applicable). | HQ Contract Ad & Award | HQ Contract Ad & Award |
| 9. Submit the preliminary estimate by item, work class breakout, and the final check sheet to HQ Contract Ad and Award. (See also Section 3-2.1(12) and Appendix 2 .) | Region | Region |
| 10. Submit request for advertisement to HQ Contract Ad and Award. (See Section 3-2.1(13) or Appendix 10B) | Region | Region |
| 11. Notify region of contract number (See Section 3-2.1(15)) | HQ Accounting | HQ Accounting |
| 12. Advertise for bids in the Daily Journal of Commerce. (See Appendices 2 and 3 .) Regions may elect to advertise in local publications in addition to using the DJC | HQ | HQ |
| 13. Enter the contract number into EBASE and the Contractor Prequalification System. | HQ Contract Ad and Award | HQ Contract Ad and Award |
| 14. Furnish only one proposal to each prequalified bidder. (See Section 3.3(2) and Appendix 5 or 6 .) | HQ Contract Ad and Award | HQ Contract Ad and Award |
| 15. Distribute Ad set of plans; furnish plans to any party requesting them. (See Section 3.3(2).) | HQ Printing Services | HQ Printing Services |
| 16. Provide addenda to all plan holders. The addenda will be provided to proposal holders by “certified mail-return receipt requested.” The addenda must be distributed well in advance of the bid opening to allow the bidder(s) time to address them. (See Section 3.3(6) and Appendix 10C) | HQ Contract Ad and Award | HQ Contract Ad and Award |
| 17. Open the bids; enter them into EBASE. For those projects with DBE goals, send one copy of each bidder’s DOT Form 272-056A to the HQ External Civil Rights unit. (See Section 3.4(7)) | HQ Contract Ad and Award | HQ Contract Ad and Award |
| 18. Review DBE goals established in the project against the Utilization Certification and Written Confirmation Documents | HQ Office of Equal Opportunity | HQ Office of Equal Opportunity |
| 19. If the low bid exceeds the engineer’s estimate by >10% and >\$50,000, see Section 1.14 and Appendix 7 . | Region HQ CA&A request FHWA concurrence | Region |
| 20. Confirm that the project is clear to award. (See Section 3-6(1)) | HQ Contract Ad & Award | HQ Contract Ad & Award |
| 21. Award the contract. (See Section 3-6(2), 3-6(3), 3-6(4) and Appendix 10D , 10E or 10F) | HQ Contract Ad & Award | HQ Contract Ad & Award |
| 22. Upon award of the contract, finalize the federal aid project agreement. | HQ Program Management | N/A |
| 23. Review DBE breakdowns | HQ Office of Equal Opportunity | HQ Office of Equal Opportunity |
| 24. Execute the contract. (See Section 3-7 and Appendix 8 .) | Construction Division Director | Construction Division Director |

3-2 Procedural Check List

This check list identifies the steps that must be addressed to effectively advertise, award, and execute transportation contracts. It also describes how to document these efforts. The items discussed are grouped in the approximate order that they would logically be addressed, but some of them may be addressed simultaneously or in a different sequence. If the region is advertising and awarding the contract, these procedures must be completed by region staff.

3-2.1 Preparation for Advertisement

1. Right of Way Certification
 - Prepare and submit the appropriate R/W Certification to HQ Real Estate
2. Services or to Highways and Local Programs on local agency projects. DBE and Training Goals
 - Request goals from HQ Office of Equal Opportunity ([Appendix 10A](#)) or from Highways and Local Programs on local agency contracts.
 - Upon receipt, verify DBE goal values are acceptable. If not, negotiate revision.
3. Add goal values to the project specifications. Request Funding Authorization
 - Prepare work order authorization (DOT Form 120-120).
 - Attach a copy of plans and specifications for federal aid projects.
 - Submit request to HQ Program Management.
 - HQ Program Management obtains the estimate from EBASE.
 - For local agency projects, Highways and Local Programs authorizes funding.
4. Geotechnical, Materials Source, and Soils Reports and Other Reference Information
 - Determine what documents, if any, will be made available to bidders as as Reference Information. These should be submitted for posting to the Project Information page.
5. Wage Rates
 - Print project wage rates just prior to submitting the advertisement package for printing and attach the Benefit Code Key and the Supplement to Wage Rates.
6. Bid Proposal
 - Contract Ad & Award will prepare the appropriate pages of the Bid Proposal that supplement the computer Proposal Report from EBASE ([Appendix 5](#) or [6](#)). Contact Contract Ad & Award if you need assistance preparing your own Bid Proposal.

7. Length of Project Advertisement
 - Determine the bid opening date for the project. This is the date entered in the project specifications ([Appendix 2](#)).
8. Original Documents for the Contract
 - Submit documents/charge codes to caa@wsdot.wa.gov by 1 p.m. on the Wednesday prior to your advertisement date.
9. Final Check Sheet (DOT Form 221-019)
 - Fill out the Final Check Sheet, identifying all documents that make up the advertisement package and bid proposal.
 - Include any special distribution instructions.
10. Printing Services Request (DOT Form 273-003)
 - Fill out the Printing Services Request.
11. Risk Determination
 - Evaluate the risk of advertising the project with outstanding items.
12. Document the decision to advertise the project For WSDOT Projects, risk and level and the decision to advertise should be cleared by the Assistant State Construction Engineer ([Appendix 1](#)). Print the Advertisement Package
 - Deliver a hard copy the plans, project specifications and any appendices with a completed Final Check Sheet, or provide a link to the electronic documents by email to HQ Contract Ad & Award “caa@wsdot.wa.gov” and to Printing Services at “WSDOTPrintingServices@wsdot.wa.gov”. If submitting scanned electronic documents, the images must be scanned at least 300 DPI at 100%, borders on the plans must meet the standards in the [Plans Preparation Manual](#) M 22-01 and be straight on the sheet.
 - HQ Contract Ad & Award will obtain a copy of the project estimate from EBASE
 - HQ Contract AD & Award will forward the project specifications, appendices and proposal to Printing Services after receiving approval to advertise
13. Request to Advertise the Project
 - Submit the request for approval for advertisement letter by email to caa@wsdot.wa.gov. Include the description of work in the request. ([Appendix 10B](#)).
 - HQ Contract Ad and Award will obtain the project estimate from EBASE.
 - HQ Contract Ad and Award creates the advertisement (Appendices 2 and 3).

14. Funding Authorization

- Verify that construction funding has been authorized. If not authorized by 9:00 a.m. on the advertisement date, notify HQ Contract Ad and Award to cancel the advertisement.
- If the ad is pulled, determine whether or not to continue printing the advertisement package.
- Notify printer if there is a change in printing schedule.

15. Contract Number

- Request the contract number from HQ Accounting/CAPS. Attach a copy of the request to advertise the project and a notice that the estimate is in EBASE.
- HQ Accounting/CAPS will obtain the estimate from EBASE.
- HQ Accounting assigns the contract number, notifies HQ and region offices.

3-3 Advertisement

1. Project Advertisement

- HQ Contract Ad And Award advertises the project in the Notice to Contractors, the Daily Journal of Commerce, and on the Internet ([Appendix 3](#)).
- The regions may advertise the project in local papers or specialty publications as desired.
- HQ Contract Ad And Award will post the project advertisement, bid opening schedule, and other project information such as Reference Information on the Internet.
- HQ Contract Ad and Award is advised of any change of the bid opening date and revises the project advertisement appropriately.
- Enter the contract number into EBASE.
- Enter the project description, contract number, bid opening date, project amount, advertised work class, work class amounts, and project engineers information in the contractor prequalification system.

2. Distribution of Advertised Project Documents

- The advertised project documents are distributed at no cost to interested region and HQ offices, Plan Centers, and other public locations.
- Plans are provided to bidders, suppliers, and others at a standard fee upon request. Electronic copies are available free of charge online however to be added to the planholder's list a contractor must purchase plans and specs.
- One bid proposal package is provided to each prequalified bidder with an envelope for returning the sealed bid.
- Geotechnical, materials source, and soils reports are provided to bidders as Reference Information on the internet.

3. Prequalification of Bidders

- Bidder prequalification is verified prior to issuing a Bid Proposal Package.
- Bidders that are not prequalified are directed to the HQ Prequalification Office to obtain prequalification to bid WSDOT projects.
- In order to maximize opportunities for minority, women, veteran-owned and small businesses, the region may do limited prequalification for projects that are \$100,000 or less (including sales tax). The Contract Ad & Award Manager may do project specific prequalification for projects \$100,000 or less if adequate competition is not available using the Small Works Roster. Use Form 272-063 ([Appendix 4](#)).

4. Plan Holder and Proposal Holder Lists

- The names of plan holders and proposal holders are entered in the prequalification system to document the distribution of advertisement plans and bid proposal packages.
- This information is made available to the public on the Internet by the HQ Contract Ad and Award section.

5. Public Information

- The Communications Office is advised that the project has been advertised.

6. Addenda

- Addenda are prepared by the region, printed, and distributed in the same manner as the advertisement package. Revisions to Bridge Office PS&E are made by the Bridge and Structures Office and combined with other addenda items by the region.
- All addenda modifying contract provisions must be approved by the Assistant State Construction Engineer.
- If a bid opening date or bid opening location is changed by addendum, notify HQ Contract Ad and Award.
- If the time or place of bid opening is changed within 24 hours of the scheduled bid opening, the addenda should be faxed to all proposal and plan holders. Include a request for acknowledgment of receipt of the addenda by return fax ([Appendix 10C](#)). If the addenda is not faxed, each proposal holder is notified by telephone and a record of telephone conversation is made for each proposal holder. They read back the new information. Record the individuals name, phone number, and the date and time of the call.
- HQ Contract Ad and Award revises the project description in the Prequalification System to reflect the addendum number, bid opening date, etc and posts the addendum to the internet.
- If the addendum affects the bid proposal items a revised estimate is prepared and distributed to interested offices.

7. Questions and Answers

- a. All pre-bid questions shall be sent, in writing, to the WSDOT contact person listed in the Contract Provisions.
- b. All answers shall be in writing, oral answers shall not be provided.
- c. All contract Q&A will be posted on the Contract Ad and Award web site.
- d. The region has the responsibility to ensure compliance with this policy.
 1. The region may establish a review process.
 2. Q&A will be published as sent to the Ad and Award office without additional review.
 3. The region may decide to provide an email address as part of the contact information.
- e. Answers may not interpret, clarify, or change the contract.
 1. Doing so may result in additional addendums or termination of the advertisement.
- f. The only acceptable answers to any pre-bid question are as follows:
 - a. Your question shall be addressed by addendum.
 - b. Refer to the contract documents - page/sheet XXX.
 - c. Bid in accordance with the Contract.

Answers which run the risk of being interpreted as possibly; interpreting, clarifying, or changing the contract, will be addressed in the form of an addendum.

As always there will be occasional exceptions to this policy. Exceptions may be authorized by the Region Construction Engineer based on the specific circumstances.

Q&A shall be sent to Contract Ad & Award in a PDF format as shown in the attachment PDF example. Q&A for the week shall be submitted to caa@wsdot.wa.gov by 3:00 each Friday. Q&A should not be submitted more than once a week unless an addendum requires an update to the Q&A. No Q&A will be posted after 3:00 Friday for a contract that opens the following week.

3-4 Bid Opening

1. Procedure

- Display Plans, Specifications, and all contract addenda in the area that bids will be received.
- Check the designated fax machine for fax changes to bid proposals.
- Time and Date stamp each bid proposal envelope as it is received. Save this until the contract has been executed.
- At one minute past the designated bid opening time, stop receiving bids and record the time by activating the time clock to print the time. Save this for the record.

2. Open Bids

- Take all bids to the bid opening room.
- Advise those in attendance that no more bids will be accepted.
- Open bids for one project at a time.
- As each envelope is opened, check that the bid documents are complete, (addenda have been acknowledged, signatures, and required bonds are included). If the required bond is not included or if the required signature is missing on both the proposal bond and the contract signature page, the bid is not responsive and may not be read at the bid opening. The reason the bid is not read should always be announced. (It is advisable to read all bids that are received on time and determine the responsiveness during the bid review process).
- Read the name of each firm and the apparent total of their bid. If alternates are used, read the apparent total of each combination of alternates.
- Announce the “apparent low bidder,” pending bid check.
- Advise bidders that unit bid price information will be posted to the web if you plan to make it available on the website. Otherwise, you must read the unit bid prices for the apparent three low bidders if asked. Adjourn the meeting.

3. Bid Status Report

- Prepare the Bid Status Report and distribute to interested HQ and region offices.

4. Verify Company Signature

- HQ Contract Ad and Award Office verifies that the signature on the proposal is that of the authorized prequalified person. If this function is performed in the region this may be done by calling or emailing HQ Contract Ad & Award.

5. Verify Bonding Company

- Verify with HQ Accounting/CAPS that the name of the proposal bonding company is on the state approved insurance list. This may be done by telephone.

6. Verify DBE

- Request approval of the DBE Utilization Certification by the HQ External Civil Rights unit prior to awarding the contract. This may be done by emailing the request, a copy of the certificate, all DBE Written Confirmation Documents and the Bid Status Report to the HQ External Civil Rights unit.
- HQ reviews the goals established in the project against the certificate and indicates DBE clearance for award or if clearance is not provided, the reason why.

7. Bid Check

- Enter the bids in the estimate system and review all bids for completeness and math errors.
- Send the Bid Check to interested region, HQ, and FHWA offices and other requesters.
- The Bid Check is made available to the public on the Internet by HQ Contract Ad and Award.
- If the low bid is 10 percent and greater than \$50,000 above the estimate, determine if region management wishes to pursue award or rejection of all bids. Requires HQ Program Management concurrence for funding. FHWA must concur if project is a Project of Division Interest (PoDI). (See [Appendix 9](#))
- To pursue award for region award contracts, prepare the justification to award documentation, award the contract, and cc the justification memorandum to the Chief Engineer through HQ Contract Ad and Award ([Appendix 7](#)).
- For HQ award contracts, prepare the justification for award, send it to HQ Contract Ad and Award with a cc to the Chief Engineer. HQ Contract Ad and Award will award the contract ([Appendix 7](#)).
- The region may reject all bids if in the best interest of the agency. FHWA must concur if project is a Project of Division Interest (PoDI). Notify caa@wsdot.wa.gov, Caps@wsdot.wa.gov, and HQ Printing Services when bids are rejected.
- Federal Aid contracts for Projects of Division Interest (PoDIs) require FHWA concurrence prior to award or bid rejection.
- Local Agency approval is required before awarding or rejecting bids for Local Agency projects. Region Highways & Local Programs contacts the Local Agency for their approval.

3-5 Bid Analysis

1. Region, Bridge and Assistant State Construction Engineers perform analysis of bids received to determine if the low bid is balanced.
 - a. Check the low bid for unbalancing, giving particular attention to \$0.00 or penny bids, high bids that may be due to quantity errors, or very low bids.
 - b. Prepare a pre-award letter to determine if the bid is in the best interest of the contracting agency and to make sure the bid is the low bid.
 - c. When prices are high, ask for a breakout of the costs associated with the bid item including labor, equipment, materials, profit, overhead and any other costs. You may also ask for any other information that might help to justify the increased cost.
 - d. When the bid is low or when there is a penny bid, ask for an explanation of how the contractor intends to perform the work for the price submitted. In addition, the letter may also state: "In addition the bidder is advised that the contract provides that any adjustment in price provided under the contract due to contractor or state initiated changes will be an equitable adjustment based on actual costs."

3-6 Contract Award

1. Verify the Project is Clear to Award and the Bidder is Responsible
 - Verify that all outstanding issues affecting award have been completed and the project is cleared for award.

Verify that the low bidder is registered with the Secretary of State.

Verify that the low bidder is registered with the Department of Labor and Industries.

Verify that the low bidder is not restricted from bidding on a public works contract by the United States or the Washington State Department of Labor and Industries.

If the project has an apprentice utilization requirement, verify that the contractor is not restricted from bidding on contracts with apprentice utilization requirements by the Washington State Department of Labor and Industries.

2. Award Notice
 - Upon award, notify the successful bidder and the Construction project engineer by telephone or email that the contract is awarded.
3. Follow-up the telephone notification by sending the award notification to appropriate HQ and region offices. For HQ awarded projects the notice consists of the Consideration of Award list. Award Letter
 - Prepare the Award Letter and send it to the successful bidder and appropriate HQ and region offices (Appendix 10D, 10E or 10F).
4. FHWA Notice of Contract Award
 - Prepare and submit the FHWA Notice of Contract Award for applicable Projects of Division Interest (PoDI) projects (Appendix 9).
 - Send the report to the FHWA Olympia office, Attn. Division Administrator, Division Safety/Design Engineer/Team Leader and Civil Rights Program Mgr. & Training Coordinator with a copy to the Field Engineer for the region that the contract is in.

3-7 Contract Execution

1. Prepare Execution Documents
 - The following is sent to the contractor with the contract documents:
 - a. Instructions for executions of contracts - (DOT Form 134-051) (Appendix 8A)
 - b. Notice of Mailing Address (DOT Form 134-049)
 - c. Risk Management Notice Letter requesting point of contact for this project. (Appendix 10G)
 - d. Timber Purchasers Certification (Form 410-000) (if applicable)
 - The execution document consists of such of the following documents that are applicable to the awarded contract. When signed, this will be the executed contract:
 - Cover Letter (Execution) (Appendix 8)
 - Award Letter Appendix 10D, 10E or 10F
 - Condition of Award Memo (DBE memo)
 - Contract (DOT Form 272-006) (Appendix 8B or 8C)
 - Contract Bond (DOT Form 272-002) (Appendix 8D or 8E)
 - Transfer of Coverage (ECY 020-87a) (if applicable)

- Contract plans and specifications, to include the following if applicable:
 - Addenda Contents Page Amendments Special Provisions
 - Log of Boring and other appendices Federal Provisions
 - Environmental Permits
 - US Forest Service Provisions Railroad Protective Liability Form Wage Rates Federal
 - Wage Rates
 - Benefit Code Key
 - Supplement to Wage Rates

*Proposal (Informational)

**Non-Collusion Declaration – (DOT Form 272-036H)

**Lobby Certificate Over \$100,000 Only – (DOT Form 272-040)

**DBE Certificate (DOT Form 272-056A)

**Proposal Signature Sheet – (DOT Form 272-036D)

**Subcontractors List if Over \$1,000,000 and includes HVAC, plumbing, or electrical work

*Successful Bidders Proposal

Contract (Appendix [8B](#) or [8C](#))

Contract Bond (Appendix [8D](#) or [8E](#))

1. Distribute Execution Document

- Three/four copies to the successful bidder for execution signatures. One of these copies is retained by the bonding company.
- Additional copies to the region, HQ offices, and others.
- Following award working copies of the specifications, plans, and addenda are also sent to the successful bidder per [Standard Specifications](#) Section 1-02.2.
- The contractor will return the following with the signed contract:
 - a. Completed Notice of Mailing (DOT Form 134-049)
 - b. Certificate of Insurance
 - c. Owners and Contractors protective liability coverage to include Form CG 29 08 (provided by contractors insurance agency).

2. Timber Certification

- Send the yellow copy of the timber Purchaser Certification for Export Restricted Timber to the Department of Revenue following award per DOT Form 410-100.

3. Final Bid Tabulation

- Enter the award date in the Bid Check System and print the final bid tabulation report.
- Distribute the final bid tabulation report to interested region and HQ offices.
- Update the mainframe after award date has been entered in EBASE.

4. Extension of Contract Execution

The Contract Ad & Award Manager may grant up to 20 additional days for return of execution documents if the bidder is prevented from returning them within the 20 days allotted ([Standard Specifications](#) Section 1-03.3)

5. Document Verification

- Receive three of the signed contract documents from the contractor per [Standard Specifications](#) Section 1-03.3.
- Verify that the contractor's bonding and insurance companies meet WSDOT requirements by calling HQ Accounting/CAPS.
- Verify that the proper contract bond and retainage bond forms have been used.
- Contact the WSDOT Attorney General to discuss any deviation in the contract bond and retainage bond forms and, when appropriate, obtain an Attorney General's signature on the forms.
- FAX low bidder's DBE item breakout information to HQ Office of Equal Opportunity for review and approval.
- HQ Office of Equal Opportunity must receive and approve the bidders' DBE bid item breakout, as required in the condition of award, prior to execution.

6. Execution

- File ECR Office condition of execution memo when required in the project file.
- Obtain WSDOT signatures and date the top of the contract documents.
- By telephone notify the region, Construction PE, and the contractor that the contract has been executed.
- Send one executed copy of the contract to the contractor and one copy to HQ Accounting/CAPS file.
- Prepare the authorization to begin work and distribute to region and HQ offices.
- Send a copy of the execution letter to interested region, HQ, FHWA, etc., offices.

7. Unsuccessful Bidders
 - Notify unsuccessful bidders of contract execution and return proposal bond ([Appendix 10I](#)).
 - Retain a copy of the bond and letter for records.
8. Notice to Proceed
 - HQ Accounting prepares the notice to proceed letter, sends it to the region.

3-8 Memorandum for Awarding Authority's Concurrence

1. Letter should include the following:
 - Contract name
 - Funding source
 - Contract number
 - Project description
 - Engineer's estimate
 - Number of bidders
 - Range of bids
 - Low bid amount and percentage over/under estimate
 - Revised estimated total cost of project
 - Funds available (approved by HQ Program Management)
 - Attach overage to estimate spreadsheet
 - Reasons for recommending award/rejection ([Appendix 9](#))

3-9 Documentation

1. PS&E Files
 - Documentation of PS&E elements and decisions remain in the region files. This includes decisions, backup information, etc., for addenda.
 - Some documentation for projects reviewed by and processed to advertisement by the HQ Ad Ready section is sent to HQ Records Control.
2. Advertisement, Award, and Execution Files
 - Documentation of advertisement, bid opening, award, and execution of the contract are sent to the HQ Records Control files. The file contains:
 - Advertisement package (plans, specifications, etc.)
 - Project cost estimate
 - Addenda
 - Revised project cost estimate
 - Records of addenda distribution
 - Advertisement notice

- Plan holder list
- Proposal holder list
- Verified bid status report
- Bid tabulation report
- Documentation of decision to award
- Award package (plans, specifications, contract, etc.)
- Correspondence between WSDOT and others during the advertisement, award, and execution phase
- Appropriate records of telephone conversations

3. Executed Contract

- The executed contract is filed in the HQ Accounting file.

Appendix 1 Approval of Projects to Advertise

Approval of Projects to Advertise

All projects must have formal approval action in order to be advertised. The form of this approval action will depend on the risks the Department will be assuming with the advertisement of the project. It is the goal of the Department to minimize risks associated with the project bid packages prior to advertisement. The risk levels are not to be confused with right of way certification status. Right of way certification is specific to the status of right of way acquisition needed for the project. The advertisement risk levels detailed below quantify risk associated with all of the project development disciplines, including right of way certification. This assessment needs to be a collaborative effort between the Region and Headquarters.

Level 1 Approval

This approval level is for projects that are complete in all aspects.

Specifically:

- All required permits have been obtained and incorporated into the PS&E
- Funding has been approved
- HQ Construction has approved the Special Provisions
- Utilities and railroad have been relocated and are clear of construction Right of way has been certified without exception (Right of Way Certification 1 or 2)
- Design and/or Project Development Approval has been granted
- There are no addenda planned
- DBE goals have been set and approved
- State and Federal environmental documentation are complete
- All agreements have been obtained and appropriately incorporated into the PS&E

Approval action for these projects shall consist of a letter from the Region to Headquarters Contract Ad and Award Office certifying the project meets the conditions of a Level 1 Approval.

Level 2 Approvals

This approval level is for projects that are generally complete, but still have some non-critical issues to be resolved prior to proceeding to bid opening.

Specifically:

- All required permits have been obtained and incorporated into the PS&E
- Funding has been approved
- HQ Construction has approved the Special Provisions
- Design and/or Project Development Approval has been granted
- DBE goals have been set and approved
- There are no addenda planned
- Utilities and railroad have been relocated and are clear of construction, or the utility companies are actively pursuing relocation and the Department has assurances they will be clear by the date bids are opened.
- Right of way has been certified without exception, or non-critical parcels have been addressed in the special provisions. (Right of Way certification 1, 2 or 3). Right of Way certification 1 or 2 will be achieved prior to bid opening
- State and Federal environmental documentation are complete
- All agreements have been obtained and appropriately incorporated into the PS&E, or are in the process of being obtained and the Department has assurances they will be complete by the date bids are opened.

Approval action for these projects shall consist of a letter from the Region to the Headquarters Contract Ad and Award Office, certifying the project meets the criteria for a Level 2 Approval, and identifying all outstanding issues along with a plan to resolve all deficiencies prior to the bid opening. Approval to advertise will require concurrence by Headquarters Construction. This concurrence will be based on the risk associated with outstanding issues and the likelihood of resolving them prior to the scheduled bid opening. In the event all issues are not resolved and appropriate conditions incorporated into the contract a minimum of two weeks before the bid opening, the bid opening date may be extended. Any conditions incorporated into the contract and extensions of the bid opening will require concurrence by Headquarters Construction.

Level 3 Approvals

This approval level is for projects that are lacking one or more critical elements to be a complete biddable project, but there is a clear and overwhelming need to begin the advertisement process.

Specifically:

- Permits are being processed and the Department is awaiting final copy prior to incorporating into the PS&E
- Funding has been approved
- HQ Construction has approved the Special Provisions
- Design and/or Project Development Approval has been granted
- DBE goals have been set and approved.
- There are addenda planned
- Utilities and railroad that have not been relocated, and will not be relocated by the bid opening date, have been addressed in the contract provisions. The Department has assurances the utility company will be able to meet the date stipulated in the contract.
- Right of Way that has not been acquired is addressed in the contract provisions and will not preclude the “functional completion” of the project. (Right of Way certification 3 that will not reach certification 1 or 2 prior to bid opening)
- State and Federal environmental documentation are complete

Approval action for these projects shall consist of a letter from the Region to the Headquarters Contract Ad and Award Office, certifying the project meets the criteria for a Level 3 Approval, identifying all outstanding issues along with a plan to resolve all deficiencies prior to the bid opening, and documenting the prior agreement between the Region and the Headquarters Construction Office to proceed into the advertisement phase of the project. Headquarters approval to proceed to advertisement will be based on the balance of risk associated with outstanding issues, the likelihood of resolving them prior to the scheduled bid opening, and the benefits of beginning the advertisement period prior to the issues being resolved. In the event all issues are not resolved and appropriate conditions incorporated into the contract a minimum of two weeks before the bid opening, the bid opening date may be extended. Any conditions incorporated into the contract and extensions of the bid opening will require concurrence by Headquarters Construction.

Appendix 2 Advertising Criteria

Advertising Criteria

The Region ad and award project description and bid item information must be in the HQ Contract Ad & Award office by 1 p.m. Wednesday for any project that is to go on ad the following week.

The HQ Contract Ad & Award Office will publish the call for bids (Notice to Contractors) at least once per week, in one trade journal of general circulation within the State of Washington, for the number of weeks immediately preceding the date for receiving and opening sealed bids identified in the schedule below. The Region will publish the call for bids in a local specialty newspaper or a paper targeting a certain audience, if available, and in any local newspaper the Region feels are appropriate. See [Appendix 3](#) for an example of "Notice to Contractors."

The Regions establish the bid opening date using the following guidelines: Any federally funded project advertised less than 3 weeks requires FHWA approval. The Region to contact the local FHWA area engineer for approval.

| Contract Size | Advertising Period (Minimum) |
|--|------------------------------|
| \$50,000 or below (state funds) | 2 weeks |
| \$50,000 or below(federal funds) | 3 weeks |
| Above \$50,000 or below \$100,000 | 3 weeks |
| \$1,000,000 -\$3,000,000 (grading and resurfacing) | 4 weeks |
| Other Projects | As required |

*Time will depend on the complexity of the project. The HQ Contract Ad & Award Office should be contacted when establishing the advertising criteria.

The project description and bid item information should include: The project title; the class(es) of work; the estimate range; a statement about D/M/W/SBE goals and percentage required; a statement about Apprentice Utilization requirements if applicable F.A. Number(if appropriate) or A State Project; SR number; mile post limits; a general description of the items of work; number of working days; number of bid items; big cost items; large quantity items; or specialty items from the estimate (shown on the Notice to Contractors and online but excluded from publication in the Daily Journal of Commerce); PS&E number; charge and project number.

The following is a sample of the “project description and bid item” information.

(KING) LAKE CITY WAY TO N.E. 175TH SC&DI AND NOISE WALL (CL I 4, \$1,500,000- \$2,000,000) CONTAINS DBE GOAL-10% F. A. No. I-IR-005-3(789) on SR 5 MP 171.30 to MP 176.90. Requires: Grading, draining, surfacing, paving with asphalt concrete, erosion control, beam guardrail, pavement marking, signing, and traffic signal installation. 130 Working Days. Involves 123 items. 34526 CY shoulder removal incl haul; 584 LF under drain pipe; 1141 LF plain conc. Storm sewer pipe; 2498 SF noise wall; 5164 TON crushed surfacing course; 2056 SY planing bituminous pvmt; 8155 TON ACP; 180 CY soil amendment; 180 EA Myrica California; 30 TON rock wall for tree wall; 294 LF beam guardrail; 5478 LF cast-in-place conc barrier; 10938 LF paint stripe; 262 EA traffic safety drums; 1200 HR training; 594 SY construct geotextile. Lump Sum bids for: Perm signing, 2 SC&DI systems, traffic signal display and detection sys, traffic signal control sys, maint and protection of traffic, surveying. (L-0494) (100514A)(97A321)(00****)(1 of 4)

If, after the project description and bid item information are sent to the HQ Contract Ad & Award Office, there is a delay in funding, the HQ Contract Ad & Award Office has to be notified by phone by 9 a.m., Monday, to cancel a notice submitted the previous Thursday.

The HQ Contract Ad & Award Office should be notified of all addenda that will require a revised bid date. The revised bid date will then be included in the next advertising notice.

**HQ Printing Services, Contract Ad and Award,
And Accounting Project Turn-In Documentation Matrix**

| Documentation | Initiated By | Supplied To | When Needed |
|--|-----------------------|--|--|
| Reference Information | Region | HQ CA&A | As available. Call out documents in contract. When information is added, issue Addendum. |
| Printing Services Request (DOT 273-003) | Region | HQ Printing Services | Project is turned in for printing |
| Final Check Sheet (DOT 221-019) | Region | HQ Printing Services HQ CA&A | Project is turned in for printing 1:00 p.m. Wednesday before Ad date |
| Estimate Including Work Class Breakout | Region | HQ CA&A (Staff will obtain the report from EBASE) | 1:00 p.m. Wednesday before Ad date |
| Request Contract No. Attach Ad Letter and Page 1 of the Estimate | Region | HQ Accounting/CAPS | 9:00 a.m. Friday before Ad date |
| Approval for Advertisement (Appendix 10B) | Region | HQ CA&A | 1:00 p.m. Wednesday before Ad date |
| Work Order Authorization | HQ Program Management | HQ Accounting/CAPS | 9:00 a.m. Friday before Ad date |
| Contract Number | HQ Accounting | HQ CA&A | 1:00 p.m. Wednesday before Ad date |

Appendix 3 Notice to Contractors



Washington State
Department of Transportation

NOTICE TO CONTRACTORS HIGHWAY CONSTRUCTION

January 10, 2022

Bidding proposals may not be issued to bidders unless they are prequalified, except where otherwise provided in Plans and Specifications. Application for prequalification will be submitted on DOT Form 420-010 (Standard Questionnaire and Financial Statement) and must be received by the Prequalification Analyst no less than fifteen days prior to the scheduled bid opening to receive consideration for issuance of a bidding proposal for that bid opening. Informational copies of plans and specifications are on file in the office of the County Engineer of the County in which the work is situated, the chapter offices of the Associated General Contractors of America, the offices of the Regional Administrators or the Headquarters of the Department of Transportation, and at <https://wsdot.wa.gov/business-wsdot/contracting-opportunities> where they may be inspected. The use of informational proposals shall be allowed only upon written request and will be accepted only when written authority for their use has been granted. **ESTIMATE RANGES PUBLISHED IN THIS NOTICE MUST NOT BE USED AS A BASIS FOR BID PREPARATION.**

All bid proposals shall be accompanied by a bid proposal deposit in cash, certified check, cashier's check or surety bond in an amount equal to five percent (5%) of the amount of such bid proposal. Should the successful bidder fail to enter into a contract and furnish a satisfactory performance bond, the bid proposal deposit shall be forfeited. **Bonds submitted on other than the bond form provided by the department may be subject to rejection. The right is reserved to reject any and all bids and to waive informalities in the bidding.** Sealed bids will be received in the Bid Room 2D20, located on the second floor of the Department of Transportation, 310 Maple Park Avenue SE, Olympia, Washington, 98501 until 11:00:59 am Pacific Time, or at P.O. Box 47360, Olympia, Washington, 98504-7360, until 11:00:59 am Pacific Time or electronically via BidX.com until 11:00:59 am Pacific Time, on the dates shown below except where otherwise provided in the Plans and Specifications.

FEE: Project Documents will be furnished for the non-refundable fee Plus Sales Tax of:

Electronic – plans only \$10

Electronic – with proposal \$15

Paper – one volume \$35

Paper – two volumes \$50

Paper – three volumes \$100

TO ORDER PLANS AND SPECIFICATIONS PLEASE GO ONLINE TO: <https://wsdot.wa.gov/business-wsdot/how-do-business-us/public-works-contracts/how-bid-contract>

Electronic Project Plans, Specifications, Geotechnical Reports, Addenda, Planholders Lists, Award/Bid Results, and Bid Tabulations are available on the Internet at: <https://wsdot.wa.gov/business-wsdot/contracting-opportunities>.

SMALL WORKS ROSTER: The Department of Transportation is soliciting contractors interested in qualifying for placement on the department's Small Works Roster. The small works roster process as authorized by RCW 39.04.155 and WAC 468-15 may be used to secure bids for the Agency's small works projects with a total cost less than \$350,000. Contractors that are currently Prequalified may be placed on the Small Works Roster by completing the Small Works Roster Supplement located on the Contract Ad & Award website. For contractors who are not currently prequalified, application for prequalification for small works roster shall be submitted on DOT Form 420-020 (Small Works Questionnaire). Both forms are available by emailing prequal@wsdot.wa.gov or can be printed from the WSDOT Contract Ad & Award website at: <https://wsdot.wa.gov/business-wsdot/how-do-business-us/public-works-contracts/how-bid-contract/step-1-prequalify>. Applications for placement on the Department of Transportation Small Works Roster will be accepted by emailing prequal@wsdot.wa.gov

Title VI Notice to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator Larry Watkinson at (360) 705-7090.

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA (4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

BID OPENING WEDNESDAY, JANUARY 12, 2022

- *1. (ISLAND) **CLINTON FERRY TERMINAL VICINITY PEDESTRIAN CONNECTIVITY** (CL 4 or
 *** 17, \$750,000 - \$1,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 19%**. F.A. No. 0525(042) on SR 525, MP 8.51 to MP 8.96. Requires: pavement repair, placing curbing, sidewalk repair, lighting, traffic control, stormwater system, erosion control and other work. 25 working days. Involves 65 items. 1790 LF remove cement conc. curb and gutter, 8010 LF remove paint line, 613 CY rdwy excav incl. haul, 2 EA catch basin, 537 T CSBC, 785 T HMA CL. 1/2 in. PG 58H-22, 890 SY topsoil, soil amendment, bark or wood chip mulch, 13020 LF paint line, 5760 LF temp pvmt mark-short duration, 1052 SY cement conc. sidewalk. Lump Sum Bids for: Erosion control and water pollution prevention, perm signing, project temp traffic control, rectangular rapid flashing beacon system, rdwy survey, ADA features survey, health & safety plan. (XL6209)(152508G)(21A021)(9758)(4 of 4)(3)
- *2. (FRANKLIN) **SR 260 TO ADAMS COUNTY LINE PAVING** (CL 4, \$3,000,000 - \$4,000,000)
 ** **CONTAINS CONDITION OF AWARD DBE GOAL: 16%**. F.A. No. 0017(047) on SR 17, MP 14.58 to
 *** MP 21.80. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: Roadway excavation, ditching and shaping, shoulder finishing, crack sealing, planning bituminous pavement, hot mix asphalt (HMA), cold in place recycle, HMA sawcut and seal, guardrail, flexible guidepost, pavement markings, centerline and shoulder rumble strips, traffic control and other work. 50 working days. Involves 47 items. 120 T CSBC, 2100 T shoulder finish, 750 T CIR-EE asph emulsion, 7 CM asph emulsion, 12170 SY plane bit pvmt, 21860 T HMA CL 3/8 IN PG 64H-28, 21 EA HMA approach CL 3/8 IN PG 64H-28, 280 T Portland cement for treated recycle, 99250 SY cold in place recycle, 35 EA beam guardrail block, 6 EA beam guardrail non-flared term, 2520 LF raise exist beam guardrail, 118025 LF paint line, 1415 LF plastic wide lane line, 6 HUND recessed pvmt marker, 77060 LF temp pvmt marking- short duration, 683 SF const signs CL A, 18 EA adjust monument case & cover. Lump Sum Bids for: Temp traffic control, SPCC plan. (XL5926)(201700E)(22B001)(9756)(5 of 4)5(2)

BID OPENING THURSDAY, JANUARY 20, 2022

- *1. (WHATCOM) **PEPIN CREEK AND DUFFNER DITCH FISH PASSAGE** (CL 6, \$2,000,000 –
 *** \$3,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 21%**. F.A. No. 0546(008) on SR 546, MP 0.16 to MP 0.51. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: installing box culverts, grading, hot mix asphalt paving, pavement marking, guardrail installation, planting, erosion control, traffic control and other Work, 26 working days. Involves 73 items. 760 CY rdwy excav incl haul, 1990 T select borrow incl haul, 2590 T streambed sediment, 340 T quarry spalls, 553 SY waterproof membrane, 1080 T CSBC, 1510 T HMA CL 1/2 IN PG 58H-22, 1640 LF compost sock, 1368 SY seed, fert, mulch, 1380 SY fine compost, 1260 SY soil amend, 1140 SY bark or wood chip mulch, 1260 SY soil decompact, 739 LF beam guardrail, 6 EA beam guardrail non-flared term, 3160 LF plastic line, 3210 LF temp pvmt marking-short duration, 436 SF const signs CL A, 223 LF cable fence. Lump Sum Bids for: Shoring or extra excav CL A, contractor designed buried struct, erosion control & water pollution prevent, temp traffic control, type B progress sch, struct survey, rdwy survey, SPCC plan. (XL5339)(15400L)(21A020)(9754)(5 of 6)(1)
- *2. (KING & SNOHOMISH) **SR 520 VIC I-5 VIC & SR 9 VIC PAVING & ADA COMPLIANCE** (CL 4,
 *** \$7,500,000 - \$10,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 18%**. F.A. No. NH-0092(904) on US I-405, MP 15.03 to MP 30.32 and SR 92, MP 0.17 to MP 4.04. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: Hot mix asphalt paving and planing, pavement and bridge deck repair, applying waterproof membrane, replacing expansion joints and traffic loops, pavement marking, curb ramp construction, traffic data accumulation and ramp metering System, temporary erosion control, traffic control and other work. 100 working days. Involves 94 items. 882 SY pvmt repair excav incl haul, 932 LF exp jnt mod RCS and header, 562 SF bridge deck repair, 12570 SY waterproof membrane, 194 T CSBC, 239670 SY plane bit pvmt, 12580 SY remove exist overlay, 27033 T HMA CL 1/2 IN PG 58H-22, 1372 LF HMA sawcut & seal, 136 EA inlet protection, 381 EA flex guidepost, 23170 LF plastic line, 185110 LF profiled plastic line, 45190 LF plastic wide lane line, 2 MI centerline rumble strip, 518370 LF temp pvmt marking-short duration, 360 HR contractor provided uniformed police officer, 560 SF const signs CL A, 1285 HR patrol & maintain traffic control measures. Lump Sum Bids for: Traffic data accum & ramp meter sys, ITS vehicle loop replace, other temp traffic control, type B progress sch, struct survey, rdwy survey, reference exist pvmt markings, ADA features

survey, SPCC plan. (XL6256)(109200C)(21A009)(9755)(5 of 6)(3)

- *3. (KITSAP) **SOUTHWORTH FERRY TERMINAL PAINTING PRESERVATION** (CL 8, \$400,000 - \$500,000) **CONTAINS VOLUNTARY MSVWBE GOAL: 26%**. A State Project on SR 160 FOR Washington State Ferries Branch. Requires: Cleaning and painting wingwalls, vehicle transfer span, headframe and towers and other work. 60 Working days. Involves 10 items. 100 HR painter & tools. Lump Sum Bids for: Clean & paint vehicle transfer span, clean & paint wingwall, SPCC plan. (FT1882)(-)(22X191)(9761)(4 of 5)
- *4. (LINCOLN) **DAVENPORT TO REARDAN PAVING** (CL 4, \$2,500,000 - \$3,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 16%**. F.A. No. STP-0002(870) on US 2, MP 250.54 to MP 263.45. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: Crack sealing, pavement repair, planing, hot mix asphalt (HMA) paving, joint adhesive, HMA sawcut and seal, centerline rumble strips, guidepost installation, pavement markings, striping, seeding, traffic control and other work. 40 Working days. Involves 40 items. 900 SY pvmt repair excav incl haul, 28 CM crack seal, 175515 SY plane bit pvmt, 18170 T HMA CL 3/8 IN PG 64H-28, 113720 LF jnt adhesive, 8 DAY ESC lead, 1 ACRE seed, fert, mulch, 184800 LF paint line, 11 MI centerline rumble strip, 126370 LF temp pvmt marking. Lump Sum Bids for: Temp traffic control, SPCC plan. (XL6361)(600225K)(21Z010)(9759)(4 of 4)(5)(1)

BID OPENING WEDNESDAY, JANUARY 26, 2022

- *1. (KING/SNOHOMISH) **E SUNSET WAY VIC & NB LOWELL RD VIC PCCP EXP JNT REHAB & DECK OVERLAY** (CL 5, Under \$12,500,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 18%**. F.A. No. NHPP-9999(873) on I-90, MP 18.08 to MP 25.70 & I-5, MP 191.55 to MP 194.81. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: Concrete panel replacement, concrete panel spall repair, grinding cement concrete pavement, by replacing the existing concrete panels with hot mix asphalt (HMA) pavement, replacing the existing HMA/PCCP paving and membrane on bridge deck, replacing expansion joints and traffic loops, removing and replacing the existing drainpipe and trench drain, installing underdrain pipes, replacing pavement markings, temporary erosion control, traffic control and other work. 216 working days. Involves 99 items. 105850 LF remove plastic line, 2100 CY rdwy excav incl. haul, 1188 LF underdrain pipe 10 in. diam, 835 LF expansion jnt mod comp. seal and header, 1870 SF bridge deck rut repair, 1690 T CSBC, 3381 SY replace cement conc. panel, 61297 SY cement conc. pvmt grind, 3940 T HMA CL 1/2 in. PG 58H-22, 137 EA inlet protect, 92120 LF profile plastic & wide line, 170530 LF temp pvmt mark – long duration, 62 EA induction loop, 12610 HR other traffic control labor, 516 CY struct excav CL B incl haul & gravel backfill for drain. Lump Sum Bids for: Struct survey, rdwy survey, reference exist pvmt markings, health & safety plan, just in time training, SPCC plan. (XL6152) (100545C)(21A012)(9762)(4 of 6)(1)
- *2. (PIERCE) **PURDY CREEK REMOVE FISH BARRIERS AND PAVING** (CL 6, Under \$30,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 21%**. F.A. No. 0302(013) on SR 16, MP 17.35 to MP 18.00 and SR 302 Spur, MP 15.89 to MP 17.08. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: For SR 16 Purdy Creek: Realigning the stream and replacing the existing 6-foot diameter corrugated metal pipe with a two 206-foot prestressed concrete girder bridges. For SR 302 (Spur) Purdy Creek: Realigning the stream and replacing the existing 6-foot interior span x 6-foot interior rise x 70-foot box culvert with a 77-foot precast prestressed concrete slab bridge. 650 Working days. Involves 180 items. 35 LWM, 3620 T streambed sediment, 3992 T streambed cobbles, 3002 T rock CL B, 862 LF underdrain pipe, 1011 LF test storm sewer pipe, 1011 LF sch A storm sewer pipe, 1858 LF construct shaft, 278880 LB st rebar, 1824 CY conc CL 4000, 3184 LF prestressed conc girder, 962 LF bridge rail, 1478 LF traffic barrier, 269 LF sew traffic barrier, 5600 SF struct earth wall, 1095 SY bridge approach slab, 3720 T CSBC, 20420 SY plane bit pvmt, 5270 T HMA CL 1/2 IN PG 58H-22, 3969 LF compost sock, 2272 LF coir log, 11848 SY topsoil, 2849 EA beaked hazelnut, 1200 EA osoberry, 5509 EA thimbleberry, 1349 EA lyngby sedge, 2816 EA oceanspray, 1966 EA sitka willow, 1311 EA redosier dogwood, 1149 LF live fascine, 1855 LF extruded curb, 2289 LF beam guardrail, 5518 LF temp barrier, 2240 LF paint line, 45890 LF plastic line, 2370 SF plastic crosswalk line, 50508 LF temp pvmt marking, 1896 LF conduit pipe, 2371 SF const signs CL A, 7440 HR patrol & Maintain traffic control measures, 7948 SF shoring or extra excav CL B, 1600 HR training, 21670 SY const geotextile, 570 SF shotcrete facing. Lump Sum Bids for: 2 earthwork

sites, temp crossover install & remove, temp detour install & remove, 2 temp stream divert, temp bridge, shoring or extra excav CL A, 3 superstruct, erosion control & water pollution prevent, additional high-tension cable barrier components, perm signing, temp illum sys, traffic signal sys, temp traffic control, type B progress sch, struct survey, rdwy survey, field office bldg, SPCC plan.
(XL5834)(301698H)(20C502)(9763)(4 of 6)

BID OPENING WEDNESDAY, FEBRUARY 9, 2022

1. (FRANKLIN) **PASCO-FLAMINGO MOBILE HOME PARK NOISE WALLS** (CL 1, \$1,000,000 - \$2,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 13%**. F.A. No. NHPP-0395(099) on US 395, MP 19.07 to MP 19.33. Requires: Constructing noise walls, drainage structures, erosion control, seeding and mulching, traffic control and other work. 50 working days. Involves 33 items. 470 CY rdwy excav incl haul, 540 CY common borrow incl haul, 675 T gravel borrow incl haul, 2920 T light loose rip rap, 300 LF under drain pipe, 25 LF sched A culv pipe, 88 SF const signs CL A, 65 CY struct excav CL B incl haul. Lump Sum Bids for: Erosion control & water pollution prevent, perm signing, temp traffic control, struct survey, clean exist drain struct, SPCC plan. **ALTERNATE(S) INCLUDE:** Noise barrier wall type 11 modified, noise barrier wall type 20. (XL5392)(539503X)(21Y006)(9760)(1 of 4)
2. (STEVENS) **IMMEL RD TO ARDEN PAVING** (CL 4, \$2,500,000 - \$3,000,000) **CONTAINS CONDITION OF AWARD DBE GOAL: 16%**. F.A. No. NHPP-0395(017) on US 395, MP 212.65 to MP 222.96. **CONTAINS APPRENTICE UTILIZATION REQ: 15%**. Requires: Planing bituminous pavement, repair excavation, crack sealing, paving with hot mix asphalt (HMA), pavement repair, inlet protection, removing and resetting guardrail, erosion and water pollution control, pavement markings, traffic control and other work. 30 working days. Involves 43 items. 270 T ballast, 70 T CSBC, 10 CM crack seal, 153400 SY plane bit pvmt, 16850 T HMA 3/8 IN PG 64H-28, 53300 LF jnt adhesive, 36 EA inlet protect, 475 LF wattle, 395 LF extruded curb, 113 LF remove & reset guardrail blocks, 563 LF remove & reset beam guardrail, 368 EA flex guidepost, 162891 LF paint line, 10 MI centerline rumble strip, 163000 LF temp pvmt marking short duration, 964 SF const signs CLA, 520 SY const geotextile. Lump Sum Bids for: Temp traffic control, rdwy survey, SPCC plan.
(XL6276)(639521M)(22Z004)(9765)(1 of 4)

No projects awarded the week of January 3, 2022.

Roger Millar
Secretary of Transportation

*Previously Advertised
**Extended
*** Revised

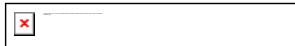
Andrews, Kerri

From: Washington State Department of Transportation <wsdot@service.govdelivery.com>
Sent: Monday, January 10, 2022 11:16 AM
To: Carlson, Brooke; Gross, Chris; simsl@wsdot.wa.gov; ShaveW@wsdot.wa.gov; Rader, Dianna; Venable, Oma; Steen, Marianne; WSDOT re GovDelivery API; Beardslee, Kari; Andrews, Kerri; Briggs, Ashley; Ball, Jenna
Subject: Courtesy Copy: New WSDOT Contract Opportunities

This is a courtesy copy of an email bulletin sent by Kerri Andrews.

This bulletin was sent to the following groups of people:

Subscribers of Construction Contract Opportunities and Contractor Bulletins (1944 recipients)



You are subscribed to Construction Contract Opportunities and Contractor Bulletins.

An updated [Call for Bids](#) with new contract opportunities has been posted.

To order Plans and Specifications for any of these projects, please visit our [online order page](#).

If you are not seeing today's date at the top of the document, please refresh your browser and try opening the link again.

Design-Build Projects – Advertisement Information:

[Contract 9572 - I-90, SR 18 I/C to Deep Creek - Interchange Improvements & Widening Project](#) best value determination 1/12/2022

[Contract 9551 - I-5, NB Marine View Drive to SR 529 - Corridor & Interchange Improvements Project](#) proposals due 2/9/2022

[Contract 9634 I-5, East Fork Lewis River Bridge NB - Replace Bridge](#) pre-ad posted 4/5/21, RFQ issuance delayed indefinitely

[Contract 9694 US 101, Jefferson & Clallam County - Remove Fish Barriers](#) proposals due 3/10/2022

[Contract 9714 SR 108 and US 101, Mason and Thurston Co Fish Barriers - Remove Fish Barriers](#) proposals due 4/14/2022

[Contract 9732 I-405, Northeast 85th Street Interchange and Inline BRT Station Project](#) fact sheet posted 11/16/2021

[Contract 9743 I-5, Mounts Rd to Steilacoom-DuPont Rd - Corridor Improvements](#) fact sheet posted 12/13/2021

WSDOT Contract Ad & Award

CAA@wsdot.wa.gov

Contractor Prequalification Questionnaire for Projects Under \$100,000



**Washington State
Department of Transportation**

Contractor Prequalification Questionnaire and Affidavit for Region Ad and Award Contracts (\$100,000 or Less)

| | | |
|---|---------------|-------------------------------|
| Date | Submitted By | Business License Number |
| Address (Street) | | Phone Number |
| Prime Contractor/Design-Builder | | |
| Prequalification Requested For (Identify Project by Advertised Name) | | |
| What is the Value of Your Firm's Assets (Net Worth)? \$ | | |
| List Two Similar Projects Your Firm Has Completed in the Last Year. (Owner's Name and Phone Number) | | |
| 1. | | |
| Owner's Name: | Phone Number: | |
| 2. | | |
| Owner's Name: | Phone Number: | |
| Were the projects listed above completed on time? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Does your firm owe any monies on any projects which were completed within the last year? (If Yes, provide a separate statement.) <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Have you or your firm been convicted of any criminal act involving a contractor or contracts? (If Yes, provide a separate statement.) <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Affidavit | | |
| State of | County of | |
| The undersigned, being duly sworn, deposes and says that the foregoing is a true statement of facts concerning the firm (or individual herein named). As of the date indicated: that the answers to the foregoing interrogatories are true; that this statement is for the express purpose of inducing the Washington State Department of Transportation to award the firm (or individual) a contract and that the depository, vendor, or other agency herein named is hereby authorized to supply the Washington State Department of Transportation or its agents with any information necessary to verify this statement. | | |
| Name of Firm (Be Exact): _____ | | |
| _____ Authorized Signature | | _____ Authorized Signature |
| _____ Authorized Signature | | _____ Authorized Signature |
| Sworn to before me this _____ day of _____, 20 _____ | | |
| _____ (Notary Public) | | Corporate Seal(s) |
| Notary Seal | | |
| Approved By _____ Region Administrator | | Date _____ |

DOT Form 272-063 EF
Revised 02/2015

*****UPDATED 10/1/2020*****

**BIDDER: BE ADVISED THIS PROPOSAL AND THE SUBJECT CONTRACT
CONTAINS (DBE) CONDITION OF AWARD PARTICIPATION (COA)**

The Washington State Department of Transportation (WSDOT) made additional changes to Condition of Award (COA) requirements. Failure to meet COA DBE contract goals as required by the specifications will result in your bid being considered non-responsive and your bid will be rejected.

YOU MUST INCLUDE IN YOUR BID OR SUBMIT AS A SUPPLEMENT TO YOUR BID:

1. DISADVANTAGED BUSINESS ENTERPRISE (DBE) UTILIZATION CERTIFICATION

A Disadvantaged Business Enterprise Utilization Certification (WSDOT Form 272-056) which demonstrates how you will meet the DBE COA Goal. The form collects both the amount subcontracted to each DBE as well as the amount to be applied towards the goal for each DBE. For certain Project Roles, only a portion of the amount subcontracted can be applied toward the goal.

Refer to the search tool provided under the Firm Certification section of the Diversity Management and Compliance System web page (<https://omwbe.diversitycompliance.com>) when selecting DBE firms. To locate DBEs, select the "Search Certified Firm Directory" at the previous hyperlink. The "Description of Work" on the Disadvantaged Business Enterprise Utilization Certification must be consistent with the "Certified Business Description" of the DBE provided at the web page.

2. DISADVANTAGED BUSINESS ENTERPRISE (DBE) WRITTEN CONFIRMATION DOCUMENT(S)

You must submit a Disadvantaged Business Enterprise (DBE) Written Confirmation Document (WSDOT Form 422-031) for each DBE listed on your Disadvantaged Business Enterprise Utilization Certification by the deadline specified in the Contract Provisions. The "Description of Work" and "Amount to be Applied Towards Goal" listed on the Disadvantaged Business Enterprise (DBE) Written Confirmation Document must be consistent with the "Description of Work" and "Amount to be Applied Towards Goal" listed on your Disadvantaged Business Enterprise Utilization Certification.

3. DISADVANTAGED BUSINESS ENTERPRISE (DBE) BID ITEM BREAKDOWN

You must submit a DBE Bid Item Breakdown (WSDOT Form 272-054) for each DBE listed on your DBE Utilization Certification by the deadline specified in the Contract Provisions.

4. DISADVANTAGED BUSINESS ENTERPRISE TRUCKING

You must submit a DBE Trucking Credit Form (WSDOT Form 272-058) for each DBE Trucking firm (if any) listed on your Disadvantaged Business Enterprise Utilization Certification by the deadline specified in the Contract Provisions.

5. DISADVANTAGED BUSINESS ENTERPRISE GOOD FAITH EFFORT (DBE GFE)

You must submit Good Faith Effort (GFE) Documentation by the deadline specified in the Contract Provisions in addition to the Disadvantaged Business Enterprise Utilization Certification submitted with your proposal ONLY IN THE EVENT your efforts to solicit sufficient DBE participation have been unsuccessful and you are relying upon your Good Faith Effort Documentation in whole or part to meet the goal.

TO ENSURE THAT YOU ARE USING THE CORRECT FORM VERSIONS, MAKE SURE THAT THE FORM NUMBER AND REVISION DATE ON YOUR FORM MATCHES THE VERSION SENT TO YOU IN YOUR PAPER BID PROPOSAL.

THE ABOVE DOCUMENTS CAN BE SUBMITTED BY EMAIL TO DBEDOC@WSDOT.WA.GOV

Proposal For Bidding Purposes

For Construction of:

I-90, MP 18.08 to MP 25.70

I-5, MP 191.55 to MP 194.81

**E SUNSET WAY VIC & NB LOWELL RD VIC
PCCP EXP JNT REHAB & DECK OVERLAY**

F.A. No. NHPP-9999(873)

King and Snohomish Counties

Sealed bids will be received by the Department of Transportation at the Transportation Building (Room 2D20), 310 Maple Park Avenue SE, Olympia, Washington 98504-7360, until 11:00:59 AM, or via AASHTOWare Project Bids™ software and BidExpress® until 11:00:59 AM Pacific Time, on the date scheduled for opening bids.



**Washington State
Department of Transportation**

**IS YOUR
SUBCONTRACTOR
LIST INCLUDED???**

IF NOT

**YOUR BID WILL BE
CONSIDERED
IRREGULAR**

**AND WILL BE
REJECTED!!!**

**SUBMIT THE
ENCLOSED PROPOSAL
BOND FORM WITH
YOUR PROPOSAL.**

**USE OF OTHER FORMS
MAY SUBJECT YOUR
BID TO REJECTION.**

**NOTE: Use of other forms may limit
the bond below an amount equal
to five percent of the bid total.**



Proposal Bond

of _____ as principal, and the

a corporation duly organized under the laws of the state of _____, and authorized to do business in the State of Washington, as surety, are held and firmly bound unto the State of Washington in the full sum of five (5) percent of the total amount of the bid proposal of said principal for the work hereinafter described, for the payment of which, well and truly to be made, we bind our heirs, executors, administrators and assigns, and successors and assigns, firmly by these presents.

The condition of this bond is such, that whereas the principal herein is herewith submitting his or its sealed proposal for the following highway construction, to wit:

said bid and proposal, by reference thereto, being made a part hereof.

NOW, THEREFORE, If the said proposal bid by said principal be accepted, and the contract be awarded to said principal, and if said principal shall duly make and enter into and execute said contract and shall furnish a contract bond as required by the Department of Transportation and RCW 39.08 et seq. then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.

IN TESTIMONY WHEREOF, The principal and surety have caused these presents to be signed and sealed this _____ day of _____, _____.

(Principal)

(Surety)

(Attorney-in-fact)

I-90 & I-5
E SUNSET WAY VIC & NB LOWELL RD VIC
PCCP EXP JNT REHAB & DECK OVERLAY
21A012

DOT Form 272-001 EF
Revised 01/2020

TO THE SECRETARY OF
TRANSPORTATION
OLYMPIA, WASHINGTON

P R O P O S A L

DATE: 11/30/2021
TIME: 08:04
DOT_RGG600

| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|----------------------|--|---------------------------|-------------------------|
| PREPARATION | | | | |
| 1 | LUMP SUM | MOBILIZATION (0001) | LUMP SUM | • |
| 2 | 105,850. LIN. FT. | REMOVING PLASTIC LINE (0190) | AT PER. LIN. FT. • | • |
| 3 | 115. EACH | REMOVING PLASTIC TRAFFIC MARKING (0200) | AT PER. EACH • | • |
| 4 | 1. EACH | REMOVING MONUMENT CASE AND COVER () | AT PER. EACH • | • |
| GRADING | | | | |
| 5 | 2,100. CU. YD. | ROADWAY EXCAVATION INCL. HAUL (0310) | AT PER. CU. YD. • | • |
| DRAINAGE | | | | |
| 6 | 5. CU. YD. | HAND PLACED RIPRAP (1072) | AT PER. CU. YD. • | • |
| 7 | 1,188. LIN. FT. | UNDERDRAIN PIPE 10 IN. DIAM. (1162) | AT PER. LIN. FT. • | • |
| 8 | 9. LIN. FT. | DRAIN PIPE 6 IN. DIAM. (1170) | AT PER. LIN. FT. • | • |
| 9 | 379. LIN. FT. | TRENCH DRAIN () | AT PER. LIN. FT. • | • |
| STRUCTURE | | | | |
| 10 | 507. CU. FT. | MODIFIED CONC. OVERLAY (4232) | AT PER. CU. FT. • | • |
| 11 | 451. SQ. YD. | FINISHING AND CURING MODIFIED CONC. OVERLAY (4233) | AT PER. SQ. YD. • | • |

I-90 & I-5
E SUNSET WAY VIC & NB LOWELL RD VIC
PCCP EXP JNT REHAB & DECK OVERLAY
21A012

PAGE: 1

21A012 / 8

| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------|--------------------|--|---------------------------|-------------------------|
| STRUCTURE | | | | |
| 12 | 149. LIN. FT. | EXPANSION JOINT MODIFICATION REPLACE RCS AND HEADER (4444) | AT PER. LIN. FT. ● | ● |
| 13 | 835. LIN. FT. | EXPANSION JOINT MODIFICATION COMP. SEAL AND HEADER (4444) | AT PER. LIN. FT. ● | ● |
| 14 | 50. SQ. FT. | BRIDGE DECK REPAIR BR. NO. 90/68N (4451) | AT PER. SQ. FT. ● | ● |
| 15 | 30. SQ. FT. | BRIDGE DECK REPAIR BR. NO. 5/638E (4451) | AT PER. SQ. FT. ● | ● |
| 16 | 705. SQ. YD. | WATERPROOF MEMBRANE BR. NO. 90/68N (4455) | AT PER. SQ. YD. ● | ● |
| 17 | 451. SQ. YD. | SCARIFYING CONC. SURFACE BR. NO. 90/73N (4456) | AT PER. SQ. YD. ● | ● |
| 18 | 1,870. SQ. FT. | BRIDGE DECK RUT REPAIR () | AT PER. SQ. FT. ● | ● |
| 19 | 158. SQ. YD. | BRIDGE APPROACH SLAB (5656) | AT PER. SQ. YD. ● | ● |
| SURFACING | | | | |
| 20 | 120. CU. YD. | BALLAST (5015) | AT PER. CU. YD. ● | ● |
| 21 | 1,690. TON | CRUSHED SURFACING BASE COURSE (5100) | AT PER. TON ● | ● |
| CEMENT CONCRETE PAVEMENT | | | | |
| 22 | CALCULATED | GRINDING SMOOTHNESS COMPLIANCE ADJUSTMENT (5639) | CALCULATED | -14,100.00 |
| 23 | 3,381.5 SQ. YD. | REPLACE CEMENT CONCRETE PANEL (5662) | AT PER. SQ. YD. ● | ● |
| 24 | ESTIMATED | PARTIAL DEPTH SPALL REPAIR (5663) | ESTIMATED | 83,100.00 |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|--------------------------|--------------------|--|---------------------------|-------------------------|
| CEMENT CONCRETE PAVEMENT | | | | |
| 25 | 977. LIN. FT. | SEALING EXISTING LONGITUDINAL AND TRANSVERSE JOINT (5706) | AT PER. LIN. FT. ● | ● |
| 26 | 61,297. SQ. YD. | CEMENT CONCRETE PAVEMENT GRINDING (5712) | AT PER. SQ. YD. ● | ● |
| 27 | ESTIMATED | REPLACE UNCOMPACTABLE MATERIAL (5709) | ESTIMATED | 125,000.00 |
| 28 | 2,802. SQ. YD. | REMOVING CONCRETE PANELS () | AT PER. SQ. YD. ● | ● |
| HOT MIX ASPHALT | | | | |
| 29 | 2,930. SQ. YD. | PLANING BITUMINOUS PAVEMENT (5711) | AT PER. SQ. YD. ● | ● |
| 30 | 705. SQ. YD. | REMOVING EXISTING OVERLAY FROM BRIDGE DECK BR. NO. 90/68N (5708) | AT PER. SQ. YD. ● | ● |
| 31 | 311. SQ. YD. | REMOVING EXISTING OVERLAY FROM BRIDGE DECK BR. NO. 90/75N (5708) | AT PER. SQ. YD. ● | ● |
| 32 | 3,940. TON | HMA CL. 1/2 IN. PG 58H-22 (5767) | AT PER. TON ● | ● |
| 33 | CALCULATED | JOB MIX COMPLIANCE PRICE ADJUSTMENT (5830) | CALCULATED | 12,480.00 |
| 34 | CALCULATED | COMPACTION PRICE ADJUSTMENT (5835) | CALCULATED | 20,790.00 |
| 35 | CALCULATED | ASPHALT COST PRICE ADJUSTMENT (5837) | CALCULATED | 11,990.00 |
| 36 | 1,683. LIN. FT. | LONGITUDINAL JOINT SEAL (6514) | AT PER. LIN. FT. ● | ● |
| 37 | 349. LIN. FT. | HMA SAWCUT AND SEAL (6511) | AT PER. LIN. FT. ● | ● |
| 38 | CALCULATED | CYCLIC DENSITY PRICE ADJUSTMENT (6516) | CALCULATED | -1.00 |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|---------------------|--|---------------------------|-------------------------|
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 39 | 43. DAY | ESC LEAD (6403) | AT PER. DAY ● | ● |
| 40 | 18. LIN. FT. | CHECK DAM (6463) | AT PER. LIN. FT. ● | ● |
| 41 | 137. EACH | INLET PROTECTION (6471) | AT PER. EACH ● | ● |
| 42 | ESTIMATED | EROSION/WATER POLLUTION CONTROL (6490) | ESTIMATED | 75,000.00 |
| 43 | 32. SQ. YD. | SEEDING, FERTILIZING AND MULCHING (6431) | AT PER. SQ. YD. ● | ● |
| 44 | 32. SQ. YD. | FINE COMPOST (6483) | AT PER. SQ. YD. ● | ● |
| 45 | 60. LIN. FT. | HIGH VISIBILITY FENCE (6630) | AT PER. LIN. FT. ● | ● |
| TRAFFIC | | | | |
| 46 | 46. LIN. FT. | EXTRUDED CURB (6727) | AT PER. LIN. FT. ● | ● |
| 47 | 8,120. HOUR | TRANSPORTABLE ATTENUATOR (7448) | AT PER. HOUR ● | ● |
| 48 | ESTIMATED | REPAIR TRANSPORTABLE ATTENUATOR (7450) | ESTIMATED | 10,000.00 |
| 49 | 23,350. LIN. FT. | PLASTIC LINE (6807) | AT PER. LIN. FT. ● | ● |
| 50 | 70,560. LIN. FT. | PROFILED PLASTIC LINE (6809) | AT PER. LIN. FT. ● | ● |
| 51 | 21,560. LIN. FT. | PROFILED PLASTIC WIDE LINE (6845) | AT PER. LIN. FT. ● | ● |
| 52 | 2. EACH | PLASTIC TRAFFIC ARROW (6833) | AT PER. EACH ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|----------|----------------------|--|---------------------------|-------------------------|
| TRAFFIC | | | | |
| 53 | 120. EACH | PLASTIC DRAINAGE MARKING (6881) | AT PER. EACH ● | ● |
| 54 | 0.74 MILE | SHOULDER RUMBLE STRIP TYPE 1 (6892) | AT PER. MILE ● | ● |
| 55 | 20.5 HUNDRED | RAISED PAVEMENT MARKER TYPE 2 (6884) | AT PER. HUNDRED ● | ● |
| 56 | 4.2 HUNDRED | RECESSED PAVEMENT MARKER (6889) | AT PER. HUNDRED ● | ● |
| 57 | 35,580. LIN. FT. | TEMPORARY PAVEMENT MARKING-SHORT DURATION (6895) | AT PER. LIN. FT. ● | ● |
| 58 | 170,530. LIN. FT. | TEMPORARY PAVEMENT MARKING-LONG DURATION (6896) | AT PER. LIN. FT. ● | ● |
| 59 | 7. EACH | TEMPORARY MISC. PAVEMENT MARKINGS - LONG DURATION (6894) | AT PER. EACH ● | ● |
| 60 | LUMP SUM | TRAFFIC SIGNAL SYSTEM LOOP REPLACEMENT (6912) | LUMP SUM | ● |
| 61 | 8. EACH | INDUCTION LOOP PREFORMED TYPE R1S () | AT PER. EACH ● | ● |
| 62 | 62. EACH | INDUCTION LOOP TYPE R1S () | AT PER. EACH ● | ● |
| 63 | 6,910. HOUR | SEQUENTIAL ARROW SIGN (6956) | AT PER. HOUR ● | ● |
| 64 | 5,170. HOUR | PORTABLE CHANGEABLE MESSAGE SIGN (6993) | AT PER. HOUR ● | ● |
| 65 | LUMP SUM | OTHER TEMPORARY TRAFFIC CONTROL (6973) | LUMP SUM | ● |
| 66 | 12,610. HOUR | OTHER TRAFFIC CONTROL LABOR (6992) | AT PER. HOUR ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|--------------------|------------------|---|---------------------------|-------------------------|
| TRAFFIC | | | | |
| 67 | LUMP SUM | TRAFFIC CONTROL SUPERVISOR (6974) | LUMP SUM | • |
| 68 | 238. SQ. FT. | CONSTRUCTION SIGNS CLASS A (6982) | AT PER. SQ. FT. • | • |
| 69 | 140. HOUR | PATROL AND MAINTAIN TRAFFIC CONTROL MEASURES (6976) | AT PER. HOUR • | • |
| 70 | 10. EACH | PLASTIC HOV LANE SYMBOL (6865) | AT PER. EACH • | • |
| 71 | 50. LIN. FT. | PLASTIC CROSSHATCH MARKING (6822) | AT PER. LIN. FT. • | • |
| 72 | 740. LIN. FT. | PLASTIC WIDE LINE (6818) | AT PER. LIN. FT. • | • |
| 73 | 1,180. HOUR | RADAR SPEED DISPLAY SIGN () | AT PER. HOUR • | • |
| OTHER ITEMS | | | | |
| 74 | CALCULATED | PROJECT PARTNERING (9004) | CALCULATED | 5,000.00 |
| 75 | LUMP SUM | TYPE C PROGRESS SCHEDULE (7004) | LUMP SUM | • |
| 76 | 8. EACH | SCHEDULE UPDATE (7000) | AT PER. EACH • | • |
| 77 | 258. CU. YD. | STRUCTURE EXCAVATION CLASS B INCL. HAUL (7006) | AT PER. CU. YD. • | • |
| 78 | 258. CU. YD. | GRAVEL BACKFILL FOR DRAIN (7014) | AT PER. CU. YD. • | • |
| 79 | LUMP SUM | STRUCTURE SURVEYING (7037) | LUMP SUM | • |
| 80 | LUMP SUM | ROADWAY SURVEYING (7038) | LUMP SUM | • |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|-------------------|---|---------------------------|-------------------------|
| OTHER ITEMS | | | | |
| 81 | LUMP SUM | REFERENCING EXISTING PAVEMENT MARKINGS () | LUMP SUM | • |
| 82 | 1. EACH | ADJUST INLET (9602) | AT PER. EACH • | • |
| 83 | 1. EACH | CONNECTION TO DRAINAGE STRUCTURE (9605) | AT PER. EACH • | • |
| 84 | ESTIMATED | FORCE ACCOUNT DRAINAGE REPAIR (7715) | ESTIMATED | 5,000.00 |
| 85 | ESTIMATED | FORCE ACCOUNT MINOR ELECTRICAL REPAIR (7715) | ESTIMATED | 15,000.00 |
| 86 | ESTIMATED | FORCE ACCOUNT BRIDGE NO. 90/75N CONC. PAVEMENT SEAT (7715) | ESTIMATED | 5,000.00 |
| 87 | ESTIMATED | ROADSIDE CLEANUP (7480) | ESTIMATED | 10,000.00 |
| 88 | ESTIMATED | REIMBURSEMENT FOR THIRD PARTY DAMAGE (7725) | ESTIMATED | 5.00 |
| 89 | CALCULATED | MINOR CHANGE (7728) | CALCULATED | -1.00 |
| 90 | CALCULATED | FUEL COST ADJUSTMENT (7730) | CALCULATED | 200.00 |
| 91 | CALCULATED | AGGREGATE COMPLIANCE PRICE ADJUSTMENT (7732) | CALCULATED | -2.00 |
| 92 | LUMP SUM | SPCC PLAN (7736) | LUMP SUM | • |
| 93 | 590. SQ. YD. | CONSTRUCTION GEOTEXTILE FOR UNDERGROUND DRAINAGE (7550) | AT PER. SQ. YD. • | • |
| 94 | 3,380. SQ. YD. | CONSTRUCTION GEOTEXTILE FOR SOIL STABILIZATION (7552) | AT PER. SQ. YD. • | • |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-----------------|----------------|--|---------------------------|-------------------------|
| OTHER ITEMS | | | | |
| 95 | 24. SQ. YD. | CONSTRUCTION GEOTEXTILE FOR PERMANENT EROSION CONTROL (7554) | AT PER. SQ. YD. ● | ● |
| 96 | 1. EACH | NO TRESPASSING SIGN (7569) | AT PER. EACH ● | ● |
| 97 | LUMP SUM | HEALTH AND SAFETY PLAN (7570) | LUMP SUM | ● |
| 98 | ESTIMATED | FA-SITE CLEANUP OF BIO. AND PHYSICAL HAZARDS (7571) | ESTIMATED | 5,000.00 |
| 99 | LUMP SUM | JUST IN TIME TRAINING (7405) | LUMP SUM | ● |
| CONTRACT TOTAL: | | | | \$ ● |

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Failure to return this Declaration as part of the bid proposal package will make the bid nonresponsive and ineligible for award.

NON-COLLUSION DECLARATION

I, by signing the proposal, hereby declare, under penalty of perjury under the laws of the United States that the following statements are true and correct:

1. That the undersigned person(s), firm, association or corporation has (have) not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the project for which this proposal is submitted.
2. That by signing the signature page of this proposal, I am deemed to have signed and to have agreed to the provisions of this declaration.

NOTICE TO ALL BIDDERS

To report rigging activities call:

1-800-424-9071

The U.S. Department of Transportation (USDOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m., eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of USDOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the USDOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

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A

DOT Form 272-036H EF
Revised 5/06

Certification for Federal-Aid Contracts

The prospective participant certifies by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is material representation of the fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

B

DOT Form 272-040 EF
Revised 1/2000



To be eligible for Award of this Contract the Bidder shall fill out and submit, as a supplement to its sealed Bid Proposal, a Disadvantaged Business Enterprise (DBE) Utilization Certification. The Contracting Agency shall consider as non-responsive and shall reject any Bid Proposal that does not contain a DBE Utilization Certification which properly demonstrates that the Bidder will meet the DBE participation requirements in one of the manners provided for in the proposed Contract. **Refer to the instructions on Page 2 when filling out this form or the Bid may be rejected. An example form has been provided on Page 3.** The successful Bidder's DBE Utilization Certification shall be deemed a part of the resulting Contract.

Box 2:

[illegible]

Disadvantaged Business Enterprise _____ Total DBE Commitment Dollar Amount _____
 Condition of Award Contract Goal Box 3 Box 4

5 ☐ By checking Box 5 the Bidder is stating that their attempts to solicit sufficient DBE participation to meet the COA Contract goal has been unsuccessful and good faith effort will be submitted in accordance with Section 1-02.9 of the Contract

C

Instructions for Disadvantaged Business Enterprise Utilization Certification Form

Box 1: Name of Bidder (Proposal holder) submitting Bid.

Box 2: Name of the Project.

Column 1: Name of the Disadvantaged Business Enterprise (DBE). DBE firms can be found using the Diversity Management and Compliance System web page: <https://wsdot.diversitycompliance.com>. Repeat the name of the DBE for each Project Role that will be performed.

Column 2: The Project Role that the DBE will be performing as follows;

- Prime Contractor
- Subcontractor
- Subcontractor (Force Account)
 - Work sublet as Force Account must be listed separately.
- Manufacturer
- Regular Dealer
 - Work sublet to a Regular Dealer must be listed separately.
 - Regular Dealer status must be approved prior to Bid submittal by the Office of Equal Opportunity, Washington State Department of Transportation, on each Contract.
- Broker
 - Work sublet to a Broker must be listed separately.

List each project role to be performed by a single DBE individually on a separate row. The role is used to determine what portion of the amount to be subcontracted (Column 4) may be applied toward meeting the goal (column 5).

Column 3: Provide a description of work to be performed by the DBE. The work to be performed must be consistent with the Certified Business Description of the DBE provided at the Diversity Management and Compliance System web page <https://wsdot.diversitycompliance.com>

- A Bidder subletting a portion of a bid item shall state “**Partial**” and describe the Work that is included.
 - For example; “Electrical (Partial) – Trenching”.
- “Mobilization” will not be accepted as a description of Work.

Column 4: List the total amount to be subcontracted to each DBE for each Project Role they are performing.

Column 5: This is the dollar amount for each line listed in the certification that the prime intends to apply towards meeting the COA Contract goal. It may be that only a portion of the amount subcontracted to a DBE in Column 4 is eligible to be credited toward meeting the goal **See Note 1, Note 2, Note 3**. The Contracting Agency will utilize the sum of this column (Box 4) to determine whether or not the bidder has met the goal. In the event of an arithmetic error in summing column 5 or an error in making appropriate reductions in the amounts in column four, **See Note 1, Note 2, Note 3**, then the mathematics will be corrected and the total (Box 4) will be revised accordingly.

Note 1: For Work sublet as Force Account the bidder **may only claim 50%** of the amount subcontracted (Column 4) towards meeting the goal (Column 5). This information will be used to demonstrate that the DBE contract goal is met at the time that the bidder submits their bid. For example; amount sublet as force account = \$100,000 (Column 4) equates to $(\$100,000 \times 50\%) = \$50,000$ (Column 5) to be applied towards the goal.

Note 2: For Work sublet to a Regular Dealer the bidder **may only claim 60%** of the cost of the materials or supplies (Column 4) towards meeting the goal (Column 5). For example; Material cost = \$100,000 (Column 4) equates to $(\$100,000 \times 60\%) = \$60,000$ (Column 5) to be applied towards the goal

Note 3: For Work sublet to a Broker the bidder **may only claim the fees** paid to a Broker towards meeting the goal (Column 4). For example; amount sublet to a broker = \$100,000 (Column 4) equates to $(\$100,000 \times \text{reasonable fee \%}) = \$$ (Column 5) to be applied towards the goal.

Box 3: Box 3 is the COA Contract goal which is the minimum required DBE participation. The goal stated in the Contract will be in terms of a dollar amount or a percentage in the Contract. When expressed as a percentage you must multiply the percentage times the sum total of all bid items as submitted in the Bidder's Proposal to determine the dollar goal and write it in Box 3. In the event of an error in this box, the Contracting Agency will revise the amount accordingly.

Box 4: Box 4 is the sum of the values in column 5. **This value must equal or exceed the COA Contract goal amount written in Box 3 or;**

Box 5: Check Box 5 if insufficient DBE Participation has been achieved and a good faith effort is required. Refer to the subsection titled, *Selection of Successful Bidder/Good Faith Efforts (GFE)* in the Contract.

See the Disadvantaged Business Enterprise Participation specification in the Contract for more information.

DOT Form 272-056
Revised 10/2019



Disadvantaged Business Enterprise Utilization Certification

To be eligible for Award of this Contract the Bidder shall fill out and submit, as a supplement to its sealed Bid Proposal, a Disadvantaged Business Enterprise (DBE) Utilization Certification. The Contracting Agency shall consider as non-responsive and shall reject any Bid Proposal that does not contain a DBE Utilization Certification which properly demonstrates that the Bidder will meet the DBE participation requirements in one of the manners provided for in the proposed Contract. **Refer to the instructions on Page 2 when filling out this form or the Bid may be rejected. An example form has been provided on Page 3.** The successful Bidder's DBE Utilization Certification shall be deemed a part of the resulting Contract.

Box 1: A Plus Construction Company certifies that the DBE firms listed below have been contacted regarding participation on this project. If this Bidder is successful on this project and is awarded the Contract, it shall assure that subcontracts or supply agreements are executed with named DBEs. (If necessary, use additional sheets.)

Box 2: US 395, Spokane City Limits to Stevens County Line - Paving and Safety

| Column 1 Name of DBE (See instructions) | Column 2 Project Role (See instructions) | Column 3 Description of Work (See instructions) | Column 4 Dollar Amount Subcontracted to DBE (See instructions) | Column 5 Dollar Amount to be Applied Towards Goal (See instructions) |
|---|--|---|--|--|
| A Plus Construction Company | Prime | Asphalt and concrete paving, asphalt milling, preleveling and pavement repair | N/A | 900,000 |
| In the Line Services, Inc. | Subcontractor (Force Account) | Crack sealing | 20,000 | 10,000 |
| In the Line Services, Inc. | Subcontractor | Guideposts, joint seal, pavement markers, temporary signage, construction sign installation | 200,000 | 200,000 |
| The Everything Guys, LLC | Regular Dealer | Rental and sales of highway construction and related equipment and materials | 100,000 | 60,000 |
| Optimus Prime Trucking, Inc. | Subcontractor | Dump Trucking | 50,000 | 50,000 |
| Metalheads, Inc. | Manufacturer | Dowel Bars | 75,000 | 75,000 |
| Erosion Under Control Co. | Broker | Erosion control blankets, straw bales and wattles, sand bags | 15,000 | 250 |
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Disadvantaged Business Enterprise 356,968.16 Total DBE Commitment Dollar Amount 1,295,250
Condition of Award Contract Goal Box 3 Box 4

5 ☐ By checking Box 5 the Bidder is stating that their attempts to solicit sufficient DBE participation to meet the COA Contract goal has been unsuccessful and good faith effort will be submitted in accordance with Section 1-02.9 of the Contract

DOT Form 272-056
Revised 02/2018



**Washington State
Department of Transportation**

**Disadvantaged Business Enterprise (DBE)
Written Confirmation Document**

See Contract Provisions: *DBE Document Submittal Requirements*
Disadvantaged Business Enterprise Participation

THIS FORM SHALL ONLY BE SUBMITTED TO A DBE THAT IS LISTED ON THE CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION CERTIFICATION.

THE CONTRACTOR SHALL COMPLETE PART A PRIOR TO SENDING TO THE DBE.

PART A: To be completed by the bidder

The entries below shall be consistent with what is shown on the Bidder's Disadvantaged Business Enterprise Utilization Certification. Failure to do so will result in Bid rejection.

Contract Title: _____

Bidder's Business Name: _____

DBE's Business Name: _____

Description of DBE's Work: _____

Dollar Amount to be Applied Towards DBE Goal: _____

Dollar Amount to be Subcontracted to DBE*: _____
*Optional Field

PART B: To be completed by the Disadvantaged Business Enterprise

As an authorized representative of the Disadvantaged Business Enterprise, I confirm that we have been contacted by the Bidder with regard to the referenced project for the purpose of performing the Work described above. If the Bidder is awarded the Contract, we will enter into an agreement with the Bidder to participate in the project consistent with the information provided in Part A of this form.

Name (printed): _____

Signature: _____

Title: _____

Address: _____ Date: _____



**Washington State
Department of Transportation**

Disadvantaged Business Enterprise (DBE) Bid Item Breakdown Form

| | | | |
|---|--|--|--|
| 1. Contract Number | | 2. Contract Name | |
| 3. Prime Contractor | | 4. Prime Contractor Representative Name | |
| 5. Prime Contractor Representative Phone Number | | 6. Prime Contractor Representative Email | |

| Column 1 Name of UDBE (See Instructions) | Column 2 Bid Item # (See Instructions) | Column 3 Full/Partial (See Instructions) | Column 4 Quantity (See Instructions) | Column 5 Description (See Instructions) | Column 6 Unit Price (See Instructions) | Column 7 Total Unit Cost (See Instructions) | Column 8 Dollar Amount to be Applied Towards Goal (See Instructions) |
|--|--|--|--|---|--|--|--|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Subtotal: | | | | | | \$ 0.00 | \$ 0.00 |
| Name of UDBE | Bid Item # | Full/Partial | Quantity | Description | Unit Price | Total Unit Cost | Dollar Amount to be Applied Towards Goal |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Subtotal: | | | | | | \$ 0.00 | \$ 0.00 |
| Name of UDBE | Bid Item # | Full/Partial | Quantity | Description | Unit Price | Total Unit Cost | Dollar Amount to be Applied Towards Goal |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Subtotal: | | | | | | \$ 0.00 | \$ 0.00 |
| Name of UDBE | Bid Item # | Full/Partial | Quantity | Description | Unit Price | Total Unit Cost | Dollar Amount to be Applied Towards Goal |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Subtotal: | | | | | | \$ 0.00 | \$ 0.00 |
| Name of UDBE | Bid Item # | Full/Partial | Quantity | Description | Unit Price | Total Unit Cost | Dollar Amount to be Applied Towards Goal |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Subtotal: | | | | | | \$ 0.00 | \$ 0.00 |
| TOTAL UDBE Dollar Amount: | | | | | | \$ 0.00 | \$ 0.00 |

DOT Form 272-054
Revised 09/2020

E

Instructions for Disadvantaged Business Enterprise (DBE) Bid Item Breakdown Form

Box 1: Provide the Contract Number as stated in the project information webpage.

Box 2: Provide the Name of the project as stated in the project information webpage.

Box 3: Provide the Name of the bidder (Proposal holder) submitting Bid.

Box 4: Provide the name of the prime contractor's representative available to contact regarding this form.

Box 5: Provide the phone number of the prime contractor's representative available to contact regarding this form.

Box 6: Provide the email of the prime contractor's representative available to contact regarding this form.

Column 1: Provide the Name of the Disadvantaged Business Enterprise (DBE) Firm. DBE Firms can be found using the search tools under the Firm Certification section of the Diversity Management and Compliance System (DMCS) webpage <https://wsdot.diversitycompliance.com>.

Column 2: Provide the Bid Item Number (as it appears in the engineer's estimate bid check report) available on the project information webpage.

Column 3: If the DBE is performing only part of the bid item, mark "Partial". If the DBE is performing the entire bid item, mark "Complete".

Column 4: Provide the estimated quantity for the specific bid item. For trucking firms, use hour or another unit of measure.

Column 5: Provide a description of the work to be performed by the DBE.

Column 6: Provide the price per unit and specify the type of unit used. For trucking firms, use hour or another unit of measure.

Column 7: Provide the estimated total unit cost amount per bid item.

Column 8: Provide the amount of the bid item being used to fulfil the DBE goal. The work to be performed must be consistent with the Certified Business Description of the DBE provided in the DMCS webpage <https://wsdot.diversitycompliance.com>. Mobilization up to 10% is acceptable. If mobilization is more than 10% additional information and/or justification may be requested. The total amount shown for each DBE shall match the amount shown on the DBE Utilization Certification Form.

Use Additional Sheets if necessary.

DOT Form 272-054
Revised 09/2020



**Washington State
Department of Transportation**

Disadvantaged Business Enterprise (DBE) Trucking Credit Form

PART A: TO BE COMPLETED BY THE BIDDER

This form is in support of the trucking commitment identified on the DBE Utilization Certification Form submitted with the proposal. Please note that DBE's must be certified prior to time of submittal.

| | | |
|---|------------------|--------------|
| Federal Aid # | Contract # | Project Name |
| If listing items by hours, or by lump sum amounts, please provide calculations to substantiate the quantities listed. | | |
| Bid Item | Item Description | |
| | | |
| | | |
| | | |
| | | |

Use additional sheets as necessary.

| | | |
|---------|-----|--|
| Bidder | | Name/Title (please print) |
| Phone | Fax | Signature |
| Address | | |
| | | I certify that the above information is complete and accurate. |
| Email | | Date |

PART B: TO BE COMPLETED BY THE DBE TRUCKING FIRM

Note: DBE trucking firm participation may only be credited as DBE participation for the value of the hauling services, not for the materials being hauled unless the trucking firm is also recognized as a supplier of the materials used on the project and approved for this project as a regular dealer.

1. Type of Material expected to be hauled? _____
2. Number of fully operational trucks expected to be used on this project? _____ Tractor/trailers: _____ Dump trucks: _____
3. Number of trucks and trailers owned by the DBE that will be used on this project? _____ Tractor/trailers: _____ Dump trucks: _____
4. Number of trucks and trailers leased by the DBE that will be used on this project? _____ Tractor/trailers: _____ Dump trucks: _____

| | | |
|----------------------|-----|--|
| DBE Firm Name | | Name/Title (please print) |
| Certification Number | | |
| Phone | Fax | Signature |
| Address | | |
| | | I certify that the above information is complete and accurate. |
| Email | | Date |

DOT Form 272-058
Revised 09/2020

F

Submit this form to in accordance with section 1-02.9 of the Contract.

Instruction to Bidder: The Bidder shall complete and submit the Disadvantaged Business Enterprise (DBE) Trucking Credit Form in accordance with Section 1-02.9 of the Contract.

INSTRUCTIONS

Please note – All Fields are required

PART A: TO BE COMPLETED BY THE BIDDER

Federal Aid: Include the project federal-aid number.

County: Specify the County where the project will take place.

Contract #: Specify the Project Contract Number which can be found in the Engineer's estimate bid check report.

Bid Item: Provide the Bid Item Number (as it appears in the engineer's estimate bid check report) for which trucking services will be utilized.

Item Description: Provide description of the bid item (as it appears in the engineer's estimate bid check report) for which trucking will be utilized.

Bidder: In this section, provide the bidder's legal name, title, Business address, Phone and email.

The bidder's representative signature is required in addition to the date the form was signed.

PART B: TO BE COMPLETED BY THE DBE TRUCKING FIRM

Question 1: Specify type of material that will be hauled (i.e. Sand, HMA, gravel)

Question 2: Specify the total number of operational trucks that will be used on the project.

Question 3: Specify the total number of operational trucks and trailers owned by the DBE that will be used on the project.

Question 4: Specify the total number of operational trucks and trailers leased by the DBE that will be used on the project.

DBE Firm: In this section, provide the DBE Firm's legal name, DBE Firm's representative legal name and title, certification Number (Found in the firm's OMWBE Profile page in the OMWBE directory), Business address, Phone, fax and email.

The DBE Firm's representative signature is required in addition to the date the form was signed.

DOT Form 272-058
Revised 09/2020



**Washington State
Department of Transportation**

Subcontractor List

Prepared in compliance with RCW 39.30.060 as amended

To Be Submitted with the Bid Proposal

Project Name _____

Failure to list subcontractors with whom the bidder, if awarded the contract, will directly subcontract for performance of the work of structural steel installation, rebar installation, heating, ventilation and air conditioning, plumbing, as described in Chapter 18.106 RCW, and electrical, as described in Chapter 19.28 RCW or naming more than one subcontractor to perform the same work will result in your bid being non-responsive and therefore void.

Subcontractor(s) with whom the bidder will directly subcontract that are proposed to perform the work of structural steel installation, rebar installation, heating, ventilation and air conditioning, plumbing, as described in Chapter 18.106 RCW, and electrical as described in Chapter 19.28 RCW must be listed below. The work to be performed is to be listed below the subcontractor(s) name.

To the extent the Project includes one or more categories of work referenced in RCW 39.30.060, and no subcontractor is listed below to perform such work, the bidder certifies that the work will either (i) be performed by the bidder itself, or (ii) be performed by a lower tier subcontractor who will not contract directly with the bidder.

Subcontractor Name _____

Work to be performed _____

Subcontractor Name _____

Work to be performed _____

Subcontractor Name _____

Work to be performed _____

Subcontractor Name _____

Work to be performed _____

Subcontractor Name _____

Work to be performed _____

* Bidder's are notified that it is the opinion of the enforcement agency that PVC or metal conduit, junction boxes, etc, are considere electrical equipment and therefore considered part of electrical work, even if the installation is for future use and no wiring or electrical current is connected during the project.

DOT Form 271-015
Revised 06/2020

G

**Contractor Certification
Wage Law Compliance - Responsibility Criteria
Washington State Public Works Contracts**

**FAILURE TO RETURN THIS CERTIFICATION AS PART OF THE BID PROPOSAL PACKAGE WILL MAKE THIS
BID NONRESPONSIVE AND INELIGIBLE FOR AWARD**

I hereby certify, under penalty of perjury under the laws of the State of Washington, on behalf of the firm identified below that, to the best of my knowledge and belief, this firm has NOT been determined by a final and binding citation and notice of assessment issued by the Washington State Department of Labor and Industries or through a civil judgment entered by a court of limited or general jurisdiction to have willfully violated, as defined in RCW [49.48.082](#), and provision of RCW chapters [49.46](#), [49.48](#), or [49.52](#) within three (3) years prior to the date of the Call for Bids.

BIDDER NAME: _____
Name of Contractor/Bidder - Print full legal entity name of firm

By: _____
Signature of authorized person Print name of person making certifications for firm

Title: _____
Title of person signing certificate Place: _____
Print city and state where signed

Date: _____

I-90 & I-5
E SUNSET WAY VIC & NB LOWELL RD VIC PCCP EXP JNT
REHAB & DECK OVERLAY
21A012

H

DOT Form 272-009 EF
Revised 08/2017



Proposal - Signature Page

The bidder is hereby advised that by signature of this proposal he/she is deemed to have acknowledged all requirements and signed all certificates contained herein.

A proposal guaranty in an amount of five percent (5%) of the total bid, based upon the approximate estimate of quantities at the above prices and in the form as indicated below is attached hereto:

- | | | |
|-----------------|--------------------------|--|
| Cash | <input type="checkbox"/> | In the Amount of _____ |
| Cashier's Check | <input type="checkbox"/> | _____ Dollars |
| Certified Check | <input type="checkbox"/> | (\$ _____) Payable to the State Treasurer |
| Proposal Bond | <input type="checkbox"/> | In the Amount of 5% of the Bid |

Receipt is hereby acknowledged of addendum(s) No.(s) _____, _____ & _____

Signature of Authorized Official(s)

_____ →

Firm Name

Address

State of Washington Contractor's License No. _____

Federal ID No. _____

Note:

- (1) This proposal form is not transferable and any alteration of the firm's name entered hereon without prior permission from the Secretary of Transportation will be cause for considering the proposal irregular and subsequent rejection of the bid.
- (2) Please refer to section 1-02.6 of the standard specifications, re: "Preparation of Proposal," or "Article 4" of the Instruction to Bidders for building construction jobs.

I-90 & I-5
E SUNSET WAY VIC & NB LOWELL RD VIC PCCP EXP JNT REHAB & DECK OVERLAY
21A012

DOT Form 272-036D EF
Revised 02/2020

Proposal For Bidding Purposes

For Construction of:

SR 169 MP 9.80 to MP 10.10

**RAVENSDALE CREEK
FISH PASSAGE**

A State Project

King County

Sealed bids will be received by the Department of Transportation at the Transportation Building (Room 2D20), 310 Maple Park Avenue SE, Olympia, Washington 98504-7360, until 11:00:59 AM, or via Expedite software and BidX.com until 11:00:59 AM Pacific Time, on the date scheduled for opening bids.



**Washington State
Department of Transportation**

**IS YOUR
SUBCONTRACTOR
LIST INCLUDED???**

IF NOT

**YOUR BID WILL BE
CONSIDERED
IRREGULAR**

**AND WILL BE
REJECTED!!!**

**SUBMIT THE
ENCLOSED PROPOSAL
BOND FORM WITH
YOUR PROPOSAL.**

**USE OF OTHER FORMS
MAY SUBJECT YOUR
BID TO REJECTION.**

NOTE: Use of other forms may limit
the bond below an amount equal
to five percent of the bid total.



Proposal Bond

of _____ as principal, and the

a corporation duly organized under the laws of the state of _____, and authorized to do business in the State of Washington, as surety, are held and firmly bound unto the State of Washington in the full sum of five (5) percent of the total amount of the bid proposal of said principal for the work hereinafter described, for the payment of which, well and truly to be made, we bind our heirs, executors, administrators and assigns, and successors and assigns, firmly by these presents.

The condition of this bond is such, that whereas the principal herein is herewith submitting his or its sealed proposal for the following highway construction, to wit:

said bid and proposal, by reference thereto, being made a part hereof.

NOW, THEREFORE, If the said proposal bid by said principal be accepted, and the contract be awarded to said principal, and if said principal shall duly make and enter into and execute said contract and shall furnish a contract bond as required by the Department of Transportation and RCW 39.08 et seq. then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.

IN TESTIMONY WHEREOF, The principal and surety have caused these presents to be signed and sealed this _____ day of _____, _____.

(Principal)

(Surety)

(Attorney-in-fact)

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21A002

DOT Form 272-001 EF
Revised 01/2020

TO THE SECRETARY OF
TRANSPORTATION
OLYMPIA, WASHINGTON

P R O P O S A L

DATE: 05/04/2021
TIME: 10:51
DOT_RGG600

| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|--------------------|---|------------------------|----------------------|
| PREPARATION | | | | |
| 1 | LUMP SUM | MOBILIZATION (0001) | LUMP SUM | • |
| 2 | 2.79 ACRE | CLEARING AND GRUBBING (0025) | AT PER. ACRE • | • |
| 3 | ESTIMATED | ARCHAEOLOGICAL AND HISTORICAL SALVAGE (0038) | ESTIMATED | 20,000.00 |
| 4 | LUMP SUM | REMOVAL OF STRUCTURES AND OBSTRUCTIONS (0050) | LUMP SUM | • |
| 5 | 736. LIN. FT. | REMOVING GUARDRAIL (0170) | AT PER. LIN. FT. • | • |
| 6 | 4. EACH | REMOVING GUARDRAIL ANCHOR (0182) | AT PER. EACH • | • |
| 7 | 49. EACH | REMOVING GUIDE POST (0185) | AT PER. EACH • | • |
| 8 | 1,407. LIN. FT. | REMOVING PAINT LINE (0187) | AT PER. LIN. FT. • | • |
| 9 | 400. LIN. FT. | REMOVING PLASTIC LINE (0190) | AT PER. LIN. FT. • | • |
| 10 | 1. EACH | REMOVING PLASTIC TRAFFIC MARKING (0200) | AT PER. EACH • | • |
| 11 | ESTIMATED | HAZARDOUS MATERIAL HANDLING AND DISPOSAL (0260) | ESTIMATED | 25,000.00 |
| 12 | 1,240. CU. YD. | HAZARDOUS MATERIAL EXCAVATION INCL. HAUL (0261) | AT PER. CU. YD. • | • |
| 13 | LUMP SUM | TEMPORARY CONSTRUCTION ACCESS () | LUMP SUM | • |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|--------------------|--|------------------------|----------------------|
| PREPARATION | | | | |
| 14 | 292. LIN. FT. | TEMPORARY CHAIN LINK FENCE () | AT PER. LIN. FT. ● | ● |
| GRADING | | | | |
| 15 | 8,205. CU. YD. | ROADWAY EXCAVATION INCL. HAUL (0310) | AT PER. CU. YD. ● | ● |
| 16 | 1,170. TON | GRAVEL BORROW INCL. HAUL (0431) | AT PER. TON ● | ● |
| 17 | 1,860. CU. YD. | EMBANKMENT COMPACTION (0470) | AT PER. CU. YD. ● | ● |
| DRAINAGE | | | | |
| 18 | 11,391. CU. YD. | CHANNEL EXCAVATION INCL. HAUL (1040) | AT PER. CU. YD. ● | ● |
| 19 | 2,829. TON | STREAMBED SEDIMENT (1093) | AT PER. TON ● | ● |
| 20 | 351. TON | QUARRY SPALLS (1086) | AT PER. TON ● | ● |
| 21 | 263. LIN. FT. | UNDERDRAIN PIPE 6 IN. DIAM. (1160) | AT PER. LIN. FT. ● | ● |
| 22 | 43. CU. YD. | CULVERT BEDDING MATERIAL (3030) | AT PER. CU. YD. ● | ● |
| 23 | LUMP SUM | TEMPORARY STREAM DIVERSION (3075) | LUMP SUM | ● |
| 24 | ESTIMATED | FISH EXCLUSION (3076) | ESTIMATED | 43,200.00 |
| STRUCTURE | | | | |
| 25 | 4,266. CU. YD. | STRUCTURE EXCAVATION CLASS A INCL. HAUL (4006) | AT PER. CU. YD. ● | ● |
| 26 | LUMP SUM | SHORING OR EXTRA EXCAVATION CL. A (4013) | LUMP SUM | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-----------|--------------------|--|---------------------------|-------------------------|
| STRUCTURE | | | | |
| 27 | 180. CU. YD. | GRAVEL BACKFILL FOR WALL (4025) | AT PER. CU. YD. ● | ● |
| 28 | 47,534. POUND | ST. REINF. BAR FOR BRIDGE (4149) | AT PER. POUND ● | ● |
| 29 | 115. CU. YD. | CONC. CLASS 4000 FOR SEAL (4202) | AT PER. CU. YD. ● | ● |
| 30 | 211. CU. YD. | CONC. CLASS 4000 FOR BRIDGE (4322) | AT PER. CU. YD. ● | ● |
| 31 | 1,066. LIN. FT. | PRESTRESSED CONC. GIRDER - WF42G (4269) | AT PER. LIN. FT. ● | ● |
| 32 | CALCULATED | DEFICIENT STRENGTH CONC. PRICE ADJUSTMENT (4219) | CALCULATED | -1.00 |
| 33 | LUMP SUM | SUPERSTRUCTURE - BRIDGE NO. 169/9.5 (4300) | LUMP SUM | ● |
| 34 | 380. LIN. FT. | BRIDGE RAILING TYPE BP-12 (4410) | AT PER. LIN. FT. ● | ● |
| 35 | 396. LIN. FT. | TRAFFIC BARRIER (4415) | AT PER. LIN. FT. ● | ● |
| 36 | 127. LIN. FT. | SEW TRAFFIC BARRIER (4119) | AT PER. LIN. FT. ● | ● |
| 37 | 6,069. SQ. FT. | STRUCTURAL EARTH WALL (7169) | AT PER. SQ. FT. ● | ● |
| 38 | 456. SQ. YD. | BRIDGE APPROACH SLAB (5656) | AT PER. SQ. YD. ● | ● |
| 39 | LUMP SUM | TEMPORARY BRIDGE () | LUMP SUM | ● |
| 40 | LUMP SUM | PREFABRICATED PEDESTRIAN BRIDGE () | LUMP SUM | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|--------------------|---|---------------------------|-------------------------|
| SURFACING | | | | |
| 41 | 935. TON | CRUSHED SURFACING BASE COURSE (5100) | AT PER. TON ● | ● |
| 42 | 31. TON | CRUSHED SURFACING TOP COURSE (5120) | AT PER. TON ● | ● |
| HOT MIX ASPHALT | | | | |
| 43 | 3,280. TON | HMA CL. 1/2 IN. PG 58H-22 (5767) | AT PER. TON ● | ● |
| 44 | CALCULATED | JOB MIX COMPLIANCE PRICE ADJUSTMENT (5830) | CALCULATED | 13,780.00 |
| 45 | CALCULATED | COMPACTION PRICE ADJUSTMENT (5835) | CALCULATED | 9,370.00 |
| 46 | CALCULATED | ASPHALT COST PRICE ADJUSTMENT (5837) | CALCULATED | 14,977.00 |
| 47 | CALCULATED | CYCLIC DENSITY PRICE ADJUSTMENT (6516) | CALCULATED | -1.00 |
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 48 | 4,024. LIN. FT. | COMPOST SOCK (6500) | AT PER. LIN. FT. ● | ● |
| 49 | LUMP SUM | EROSION CONTROL AND WATER POLLUTION PREVENTION (6488) | LUMP SUM | ● |
| 50 | 2,170. SQ. YD. | SEEDING, FERTILIZING AND MULCHING (6431) | AT PER. SQ. YD. ● | ● |
| 51 | 756. SQ. YD. | TOPSOIL TYPE A (6391) | AT PER. SQ. YD. ● | ● |
| 52 | 1,543. EACH | PSIPE SMALL FRUITED BULRUSH, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 53 | 1,543. EACH | PSIPE HARDSTEM BULRUSH, #1 CONT. (6552) | AT PER. EACH ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|----------------|---|------------------------|----------------------|
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 54 | 1,543. EACH | PSIPE SOFT RUSH, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 55 | 383. EACH | PSIPE SITKA WILLOW, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 56 | 383. EACH | PSIPE DOUGLAS SPIREA, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 57 | 111. EACH | PSIPE EVERGREEN HUCKLEBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 58 | 362. EACH | PSIPE SNOWBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 59 | 551. EACH | PSIPE SALMONBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 60 | 447. EACH | PSIPE NOOTKA ROSE, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 61 | 1,549. EACH | PSIPE THIMBLEBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 62 | 1,539. EACH | PSIPE BALDHIP ROSE, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 63 | 1,762. EACH | PSIPE WESTERN SWORD FERN, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 64 | 475. EACH | PSIPE PACIFIC NINEBARK, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 65 | 496. EACH | PSIPE LOW OREGON GRAPE, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 66 | 942. EACH | PSIPE TALL OREGON GRAPE, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 67 | 391. EACH | PSIPE REDOSIER DOGWOOD, #1 CONT. (6552) | AT PER. EACH ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|--------------------|--|---------------------------|-------------------------|
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 68 | 551. EACH | PSIPE BLACK TWINBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 69 | 2,640. EACH | PSIPE SALAL, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 70 | 222. EACH | PSIPE RED ELDERBERRY, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 71 | 695. EACH | PSIPE VINE MAPLE, #1 CONT. (6552) | AT PER. EACH ● | ● |
| 72 | 385. EACH | PSIPE DOUGLAS FIR, 18-IN HT, #2 CONT. (6552) | AT PER. EACH ● | ● |
| 73 | 576. EACH | PSIPE WESTERN RED CEDAR, 18-IN HT, #2 CONT. (6552) | AT PER. EACH ● | ● |
| 74 | 285. EACH | PSIPE SITKA SPRUCE, 18-IN HT, #2 CONT. (6552) | AT PER. EACH ● | ● |
| 75 | 68. EACH | PSIPE OREGON ASH, #2 CONT. (6552) | AT PER. EACH ● | ● |
| 76 | 114. EACH | PSIPE BIG LEAF MAPLE, #2 CONT. (6552) | AT PER. EACH ● | ● |
| 77 | 128. EACH | PSIPE SITKA WILLOW, LIVE STAKE (6552) | AT PER. EACH ● | ● |
| 78 | 138. EACH | PSIPE DOUGLAS SPIREA, LIVE STAKE (6552) | AT PER. EACH ● | ● |
| 79 | 138. EACH | PSIPE PACIFIC NINEBARK, LIVE STAKE (6552) | AT PER. EACH ● | ● |
| 80 | 1,015. LIN. FT. | PSIPE LIVE FASCINE (6549) | AT PER. LIN. FT. ● | ● |
| 81 | 168. LIN. FT. | TRENCH PLANTINGS () | AT PER. LIN. FT. ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|-------------------|---|------------------------|----------------------|
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 82 | 2,170. SQ. YD. | FINE COMPOST (6483) | AT PER. SQ. YD. ● | ● |
| 83 | ESTIMATED | PROJECT AREA WEED AND PEST CONTROL (6546) | ESTIMATED | 5,000.00 |
| 84 | 3.9 ACRE | SOIL AMENDMENT (6529) | AT PER. ACRE ● | ● |
| 85 | 4.2 ACRE | BARK OR WOOD CHIP MULCH (6579) | AT PER. ACRE ● | ● |
| 86 | LUMP SUM | ENVIRONMENTAL COMPLIANCE LEAD (6404) | LUMP SUM | ● |
| 87 | 11. EACH | COMPOST-CHOKED BRUSHPILE () | AT PER. EACH ● | ● |
| 88 | 3.7 ACRE | SOIL DECOMPACTION () | AT PER. ACRE ● | ● |
| 89 | 5. EACH | LARGE WOODY MATERIAL (LWM) TYPE A () | AT PER. EACH ● | ● |
| 90 | 6. EACH | LARGE WOODY MATERIAL (LWM) TYPE B () | AT PER. EACH ● | ● |
| 91 | 13. EACH | LARGE WOODY MATERIAL (LWM) TYPE C () | AT PER. EACH ● | ● |
| 92 | 21. EACH | LARGE WOODY MATERIAL (LWM) TYPE D () | AT PER. EACH ● | ● |
| 93 | 36. EACH | LARGE WOODY MATERIAL (LWM) TYPE E () | AT PER. EACH ● | ● |
| 94 | 60. CU. YD. | SMALL WOODY MATERIAL (SWM) () | AT PER. CU. YD. ● | ● |
| 95 | LUMP SUM | TEMPORARY DEWATERING PLAN () | LUMP SUM | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|---------------------------------------|--------------------|---|---------------------------|-------------------------|
| EROSION CONTROL AND ROADSIDE PLANTING | | | | |
| 96 | LUMP SUM | TEMPORARY DEWATERING SYSTEM () | LUMP SUM | • |
| TRAFFIC | | | | |
| 97 | 307. LIN. FT. | EXTRUDED CURB (6727) | AT PER. LIN. FT. • | • |
| 98 | 339. LIN. FT. | BEAM GUARDRAIL TYPE 31 (6757) | AT PER. LIN. FT. • | • |
| 99 | 4. EACH | BEAM GUARDRAIL TRANSITION SECTION TYPE 21 (6760) | AT PER. EACH • | • |
| 100 | 4. EACH | BEAM GUARDRAIL TYPE 31 NON-FLARED TERMINAL (6719) | AT PER. EACH • | • |
| 101 | 1,298. LIN. FT. | TEMPORARY BARRIER (6781) | AT PER. LIN. FT. • | • |
| 102 | 3. EACH | TEMPORARY IMPACT ATTENUATOR (7440) | AT PER. EACH • | • |
| 103 | 56. EACH | FLEXIBLE GUIDE POST (6832) | AT PER. EACH • | • |
| 104 | 13. EACH | BARRIER DELINEATOR (6830) | AT PER. EACH • | • |
| 105 | 4,570. LIN. FT. | PROFILED PLASTIC LINE (6809) | AT PER. LIN. FT. • | • |
| 106 | 730. LIN. FT. | PROFILED PLASTIC WIDE LANE LINE (6845) | AT PER. LIN. FT. • | • |
| 107 | 40. LIN. FT. | PLASTIC STOP LINE (6859) | AT PER. LIN. FT. • | • |
| 108 | 6. EACH | PLASTIC TRAFFIC ARROW (6833) | AT PER. EACH • | • |
| 109 | 2. EACH | PLASTIC DRAINAGE MARKING (6881) | AT PER. EACH • | • |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|--------------------|--|---------------------------|-------------------------|
| TRAFFIC | | | | |
| 110 | 47.6 HUNDRED | RAISED PAVEMENT MARKER TYPE 2 (6884) | AT PER. HUNDRED ● | ● |
| 111 | LUMP SUM | PERMANENT SIGNING (6890) | LUMP SUM | ● |
| 112 | 4,963. LIN. FT. | TEMPORARY PAVEMENT MARKING-LONG DURATION (6896) | AT PER. LIN. FT. ● | ● |
| 113 | LUMP SUM | ILLUMINATION SYSTEM SAD 5164 (6904) | LUMP SUM | ● |
| 114 | 1,166. LIN. FT. | CONDUIT PIPE 2 IN. DIAM. (6945) | AT PER. LIN. FT. ● | ● |
| 115 | LUMP SUM | PROJECT TEMPORARY TRAFFIC CONTROL (6971) | LUMP SUM | ● |
| 116 | LUMP SUM | PEDESTRIAN TRAFFIC CONTROL (6869) | LUMP SUM | ● |
| 117 | 14,400. HOUR | RADAR SPEED DISPLAY SIGN () | AT PER. HOUR ● | ● |
| OTHER ITEMS | | | | |
| 118 | CALCULATED | PROJECT PARTNERING (9004) | CALCULATED | 5,000.00 |
| 119 | LUMP SUM | MIN BID REQ - TYPE B PROGRESS SCHEDULE \$10,000 (6997) | LUMP SUM | ● |
| 120 | 61. CU. YD. | GRAVEL BACKFILL FOR DRAIN (7014) | AT PER. CU. YD. ● | ● |
| 121 | LUMP SUM | STRUCTURE SURVEYING (7037) | LUMP SUM | ● |
| 122 | LUMP SUM | ROADWAY SURVEYING (7038) | LUMP SUM | ● |
| 123 | 274. LIN. FT. | COATED CHAIN LINK FENCE TYPE 3 (7085) | AT PER. LIN. FT. ● | ● |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-------------|---------------|--|---------------------------|-------------------------|
| OTHER ITEMS | | | | |
| 124 | 20. EACH | COATED END, GATE, CORNER, PULLPOST FOR CHAIN LINK FENCE (7098) | AT PER. EACH ● | ● |
| 125 | 3. EACH | ADJUST CATCH BASIN (3100) | AT PER. EACH ● | ● |
| 126 | ESTIMATED | FORCE ACCOUNT ADDITIONAL STREAM RESTORATION (7715) | ESTIMATED | 50,000.00 |
| 127 | ESTIMATED | FORCE ACCOUNT STREAMBED SAND (7715) | ESTIMATED | 7,500.00 |
| 128 | ESTIMATED | FORCE ACCOUNT LOW FLOW CHANNEL GRADING (7715) | ESTIMATED | 15,000.00 |
| 129 | ESTIMATED | FORCE ACCOUNT POTHOLING UTILITIES (7715) | ESTIMATED | 5,000.00 |
| 130 | ESTIMATED | FORCE ACCOUNT - ADDITIONAL LWM (7715) | ESTIMATED | 21,000.00 |
| 131 | ESTIMATED | FORCE ACCOUNT - GROUNDWATER SAMPLING (7715) | ESTIMATED | 10,000.00 |
| 132 | ESTIMATED | ROADSIDE CLEANUP (7480) | ESTIMATED | 17,500.00 |
| 133 | ESTIMATED | REIMBURSEMENT FOR THIRD PARTY DAMAGE (7725) | ESTIMATED | 5.00 |
| 134 | CALCULATED | MINOR CHANGE (7728) | CALCULATED | -1.00 |
| 135 | CALCULATED | FUEL COST ADJUSTMENT (7730) | CALCULATED | 20,000.00 |
| 136 | CALCULATED | STEEL COST ADJUSTMENT (7731) | CALCULATED | 35,150.00 |
| 137 | CALCULATED | AGGREGATE COMPLIANCE PRICE ADJUSTMENT (7732) | CALCULATED | -2.00 |

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| ITEM NO. | PLAN QUANTITY | ITEM DESCRIPTION (STANDARD ITEM NUMBER) | PRICE PER UNIT DOLLARS | TOTAL AMOUNT DOLLARS |
|-----------------|---------------|---|---------------------------|-------------------------|
| OTHER ITEMS | | | | |
| 138 | LUMP SUM | ELECTRONIC TICKETING SYSTEM (7734) | LUMP SUM | • |
| 139 | LUMP SUM | SPCC PLAN (7736) | LUMP SUM | • |
| 140 | 2,720. TON | GRAVEL BORROW FOR STRUCTURAL EARTH WALL INCL. HAUL (7568) | AT PER. TON • | • |
| 141 | LUMP SUM | REFERENCING EXISTING PAVEMENT MARKINGS () | LUMP SUM | • |
| 142 | ESTIMATED | UNANTICIPATED CONTAMINATED SOIL () | ESTIMATED | 30,000.00 |
| CONTRACT TOTAL: | | | | \$ • |

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**Washington State
Department of Transportation**

Small and Veteran-Owned Business Plan

To be eligible for Award of this Contract, the Bidder shall fill out and submit, a Small and Veteran-Owned Business Plan (SVB Plan) no later than the time specified for the Delivery of Proposals in accordance with 1-02.9. The SVB Plan shall indicate Small Business Enterprise (SBE) and Veteran-Owned-Business (VOB) Participation. The Contracting Agency shall consider as non-responsive and shall reject any Bid Proposal that does not contain this SVB Plan which documents the SVBE firms to be used to meet the SVBE participation requirements. **Read the instructions fully prior to filling out this form.**

Box 1: _____ certifies that the SVB firm(s) listed below have been contacted regarding participation on this project. If the Bidder listed here is successful in being awarded the Contract, the Bidder shall assure that subcontracts are executed with those firms listed below for the dollar amounts and scope shown.

Project Name: _____

| Column 1A Name of Small Business Enterprise | Column 2A Project Role | Column 3A Bid Items | Column 4A Type of Work to be Performed | Column 5A Dollar Amount to be Applied to Small Business Enterprise COA Goal |
|---|------------------------------|------------------------|--|--|
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A

| Column 1B Name of Veteran-Owned Business | Column 2B Project Role | Column 3B Bid Items | Column 4B Type of Work to be Performed | Column 5B Dollar Amount to be Applied to Veteran Owned Business COA Goal |
|--|------------------------------|------------------------|--|---|
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Box 3: Small Business Enterprise
Condition of Award Goal Amount

Box 4: Total Small Business Enterprise
Commitment Dollar Amount

Box 5: Veteran-Owned Business
Condition of Award Goal Amount

Box 6: Total Veteran-Owned Business
Commitment Dollar Amount

Box 7: ☐ By checking Box 7, the Bidder is stating that they were unsuccessful in attaining enough Small or Veteran-Owned Business participation to meet the Condition of Award goal. If this box is checked the Bidder will be required to submit good faith effort documentation and a SVB participation plan as outlined in Section 1-07 of the Contract.

Small and Veteran Business Plan Instructions

Box 1: Name of Bidder

Box 2: Project Name

Column 1: Name of the SBE or VOB. SBE or VOB firms can be located by searching the directories at: <https://pr-webs-vendor.des.wa.gov/>.

Repeat the name of the SVBE for each Project Role that will be performed.

***NOTE* THE WORK COMMITTED TO A SMALL OR VETERAN-OWNED BUSINESS IS ONLY APPLICABLE TOWARD ONE SVB GOAL. THE SAME COMMITMENT MAY NOT BE APPLIED TOWARD BOTH GOALS.**

Column 2: The Project Role that the SVBE will be performing as follows;

- Prime Contractor
- Subcontractor
- Manufacturer / Supplier
- Broker
- Work sublet to a Broker must be listed separately.

List each project role to be performed by a single SVBE individually on a separate row.

Column 3: This is the Bid Item Number(s). Multiple Bid Items may be listed on a single line.

Column 4: Provide a description of work to be performed by the SVBE.

- A Bidder subletting a portion of a bid item shall state “**Partial**” and describe the Work that is included.
- For example; “Electrical (Partial) – Trenching”.

Column 5: List the total dollar value of work to be performed by the SVBE firm toward the relevant goal.

Note 1: Work sublet to a Manufacturer / Supplier can count 100% toward the goal for all work for which the firm is certified.

Note 2: For Work sublet to a Broker the bidder **may only claim the fees** paid to a Broker towards meeting the goal not to exceed 5% of the cost of goods or services provided by the SVB Broker.

Box 3: Box 3 is the Small Business Enterprise COA Goal Amount which is the minimum **required Small Business participation** based on the goal stated in the specifications multiplied by the Bidder's Proposal price. The goal stated in the Contract is listed as a percentage. The COA goal amount is the SBE COA Goal percentage times the sum total of all bid items as submitted in the Bidder's Proposal. In the event of an error in this box, the Contracting Agency will revise the amount accordingly.

Box 4: Box 4 is the sum of the values in column 5A entered in conjunction with those firms listed as Small Businesses. **This value must equal or exceed the SBE COA Goal amount written in Box 3 or you must select Box 7 to indicate a good faith effort submission;**

Box 5: Box 5 is the Veteran-Owned Business COA Goal Amount which is the minimum **required Veteran-Owned Business participation** based on the goal stated in the specifications multiplied by the Bidders Proposal price. The goal stated in the Contract will be listed as a percentage. The COA Goal amount is the VOB COA Goal percentage times the sum total of all bid items as submitted in the Bidder's Proposal. In the event of an error in this box, the Contracting Agency will revise the amount accordingly.

Box 6: Box 6 is the sum of the values in column 5B entered in conjunction with those firms listed as Veteran-Owned Businesses. **This value must equal or exceed the Veteran-Owned Business COA Goal amount written in Box 5 or you must select Box 7 to indicate a good faith effort submission;**

Box 7: Check Box 7 if insufficient Small or Veteran-Owned Business Participation has been achieved and a good faith effort is required. Refer to the subsection titled, *Selection of Successful Bidder/Good Faith Efforts (GFE)* in the Contract.

Additional Small Business pages (use as needed)

[illegible]

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[illegible]

WSDOT Advertisement and Award Manual M 27-02.06
March 2022



**Washington State
Department of Transportation**

SVBE Subcontractor Written Confirmation Form

| | | | |
|-----------------|---------------|------------------------|-------------------|
| Contract Number | Contract Name | Bidder's Business Name | SVBE Company Name |
|-----------------|---------------|------------------------|-------------------|

This section to be completed by SVBE firm.

The above listed Bidder has contacted my business about performing work on the above listed contract. The type of work to be performed by my firm is

for a total contract value of _____ If the Bidder is awarded the Contract, we will enter into an agreement with the Bidder to participate in the project consistent with the information provided in this form.

I certify that the above statement is true and correct.

SVBE Company Name: _____

SVBE Company Representative Name: _____

SVBE Company Representative Signature: _____ Date: _____

DOT Form 226-017
Revised 04/2021

B



**Washington State
Department of Transportation**

Subcontractor List

Prepared in compliance with RCW 39.30.060 as amended

To Be Submitted with the Bid Proposal

Project Name _____

Failure to list subcontractors with whom the bidder, if awarded the contract, will directly subcontract for performance of the work of heating, ventilation and air conditioning, plumbing, as described in Chapter 18.106 RCW, and electrical, as described in Chapter 19.28 RCW or naming more than one subcontractor to perform the same work will result in your bid being non-responsive and therefore void.

Subcontractor(s) with whom the bidder will directly subcontract that are proposed to perform the work of heating, ventilation and air conditioning, plumbing, as described in Chapter 18.106 RCW, and electrical as described in Chapter 19.28 RCW **must** be listed below. The work to be performed is to be listed below the subcontractor(s) name.

To the extent the Project includes one or more categories of work referenced in RCW 39.30.060, and no subcontractor is listed below to perform such work, the bidder certifies that the work will either (i) be performed by the bidder itself, or (ii) be performed by a lower tier subcontractor who will not contract directly with the bidder.

Subcontractor Name _____
Work to be performed _____

Subcontractor Name _____
Work to be performed _____

Subcontractor Name _____
Work to be performed _____

Subcontractor Name _____
Work to be performed _____

Subcontractor Name _____
Work to be performed _____

* Bidder's are notified that is the opinion of the enforcement agency that PVC or metal conduit, junction boxes, etc, are considered electrical equipment and therefore considered part of electrical work, even if the installation is for future use and no wiring or electrical current is connected during the project.

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C

DOT Form 271-015 EF
Revised 06/2020

Contractor Certification
Wage Law Compliance - Responsibility Criteria
Washington State Public Works Contracts

FAILURE TO RETURN THIS CERTIFICATION AS PART OF THE BID PROPOSAL PACKAGE WILL MAKE THIS BID NONRESPONSIVE AND INELIGIBLE FOR AWARD

I hereby certify, under penalty of perjury under the laws of the State of Washington, on behalf of the firm identified below that, to the best of my knowledge and belief, this firm has NOT been determined by a final and binding citation and notice of assessment issued by the Washington State Department of Labor and Industries or through a civil judgment entered by a court of limited or general jurisdiction to have willfully violated, as defined in RCW [49.48.082](#), and provision of RCW chapters [49.46](#), [49.48](#), or [49.52](#) within three (3) years prior to the date of the Call for Bids.

BIDDER NAME: _____
Name of Contractor/Bidder - Print full legal entity name of firm

By: _____
Signature of authorized person Print name of person making certifications for firm

Title: _____
Title of person signing certificate Place: _____
Print city and state where signed

Date: _____

D

DOT Form 272-009 EF
Revised 08/2017



**Washington State
Department of Transportation**

Proposal - Signature Page

The bidder is hereby advised that by signature of this proposal he/she is deemed to have acknowledged all requirements and signed all certificates contained herein.

A proposal guaranty in an amount of five percent (5%) of the total bid, based upon the approximate estimate of quantities at the above prices and in the form as indicated below is attached hereto:

- | | | |
|-----------------|--------------------------|--|
| Cash | <input type="checkbox"/> | In the Amount of _____ |
| Cashier's Check | <input type="checkbox"/> | _____ Dollars |
| Certified Check | <input type="checkbox"/> | (\$ _____) Payable to the State Treasurer |
| Proposal Bond | <input type="checkbox"/> | In the Amount of 5% of the Bid |

Receipt is hereby acknowledged of addendum(s) No.(s) _____, _____ & _____

Signature of Authorized Official(s)

_____ →

Firm Name

Address

State of Washington Contractor's License No. _____

Federal ID No. _____

Note:

- (1) This proposal form is not transferable and any alteration of the firm's name entered hereon without prior permission from the Secretary of Transportation will be cause for considering the proposal irregular and subsequent rejection of the bid.
- (2) Please refer to section 1-02.6 of the standard specifications, re: "Preparation of Proposal," or "Article 4" of the Instruction to Bidders for building construction jobs.

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E

DOT Form 272-036D EF
Revised 02/2020

Appendix 7 Justification Guidelines – Bids Exceeding Estimate

GUIDELINES FOR JUSTIFICATION OF AWARD/REJECTION OF BIDS EXCEEDING ENGINEER'S ESTIMATE BY 10% and GREATER THAN \$50,000

Procedures

Contact appropriate WSDOT Office for comments.

1. Verify estimated quantities for possible over/under runs.
2. Review plans and specifications for changes that may significantly reduce the cost
3. Can project be combined with other work that may produce a better bid?
4. Is estimate low or are bid prices too high?
5. Special circumstances or conditions that may have impacted bid prices.

Determine if there was adequate competition.

1. Number of Proposal holders?
2. Number of bidders?
 - a. Competition is normally considered adequate when:

| Number of Bids was | Low bid does not exceed |
|---------------------------|--------------------------------|
| 3 or more | 110% of Engineer's Estimate |
| 4 or more | 115% of Engineer's Estimate |
| 5 or more | 120% of Engineer's Estimate |

- b. These guidelines may not be appropriate for some specialized contracts and other factors should be considered.
 3. Was an attempt made to attract additional bidders(HQ Prequal)?
 4. Survey proposal holders who did not bid for reasons they chose not to.

Document impact if project is delayed to readvertise.

1. Is there a significant negative impact?
2. Need for early completion of work?
3. Ability to complete work within current construction season?
4. Potential for loss of construction season?
5. Risk involved (potential damage) if project is delayed?
6. Time of year project is advertised?
7. Is there an impact on funding?
8. Cost associated with readvertising?

Funding Confirmation

1. Prepare revised estimated total cost for project.
2. HQ Program Management authorization for funds available for revised estimate.

Prepare spreadsheet showing items contributing the major overage. Include the following for each of the three low bidders:

- | | |
|-----------------------------|---------------------------------------|
| 1. Include item description | 6. Three low bidders |
| 2. Quantity | 7. Difference estimate/low bid |
| 3. Engineer's estimate | 8. Average bid prices for all bidders |
| 4. Unit price | 9. Median bid prices |
| 5. Extended price | |

Projects of Division Interest (PoDIs) require FHWA Concurrence**Region prepares memorandum for Awarding Authority's Concurrence.
cc: State Construction Engineer****Letter should include the following:**

- | | |
|--|---|
| 1. Contract Name | 9. Revised Estimated Total Cost of Project |
| 2. Funding Source | 10. Funds Available (Approved by HQ Program Management) |
| 3. Contract Number | 11. Attach overage to estimate spreadsheet |
| 4. Project Description | 12. Reasons for recommending Award/Rejection |
| 5. Engineer's Estimate | |
| 6. Number of Bidders | |
| 7. Range of Bids | |
| 8. Low bid amount and percentage over/under Estimate | |

Appendix 8A *Instructions for Execution*

Instructions for the Electronic Contract Process.

1. The contract and bond will be emailed to you separately and will be electronically signed using Adobe Sign. The email will be sent to the authorized signatory and is password protected.
 - a. Passwords will be given via the phone to the authorized signatory and bonding agent. To get the password, the authorized signatory may call CAPS at 360-705-7567.
2. The NOMA and Risk letter will be sent to the authorized signatory or whoever the Contractor requests. Please fill these forms out completely.
3. The power of attorney for the bond will need to be sent with the contract number on the individual document by email to CAPS@WSDOT.WA.GOV
4. Insurance documentation is required to be sent to CAPS by email. Refer to the Contract and or Standard Specifications outlined in **1-07.18**. Please include the WSDOT Contract number and the project name on all insurance policy documents and updates throughout the life of the contract. The required insurance must be effective on the date of contract execution or earlier, unless the contract states otherwise. Complete, full policies are to be submitted to WSDOT within 30 days of award.
5. If there is a Transfer of Coverage (TOC) form, complete Parts I, III and VIII including an authorized signature and date of signing in Part VIII. The "Specific date" of transfer located at the top of page 1 of the transfer of the TOC form must be left blank. This date will be filled in by WSDOT with the date after the date of execution.

Statewide Vendor Numbers are issued by the Office of Financial Management. If you need to update direct deposit, address, vendor status, etc., please contact them directly at PayeeRegistration@ofm.wa.gov.
6. If an extension beyond 20 calendar days is needed, please call WSDOT Contract Ad & Award at 360-705-7835 or put a formal request in writing to caa@wsdot.wa.gov. If your need is deemed appropriate, your extension may be granted.
7. If there are questions regarding any of the documents that were sent, please contact CAPS@WSDOT.WA.GOV or call 360-705-7567

Appendix 8B Contract – In-State Contractor



**Washington State
Department of Transportation**

Contract Form

THIS AGREEMENT, made and entered into between the STATE OF WASHINGTON, acting through the Washington State Department of Transportation, and the Secretary of Transportation under and by virtue of Title 47 RCW, as amended and

Apply-A-Line, LLC, a limited liability company existing under and by virtue of the Laws of the State of Washington.

hereinafter called the Contractor.

WITNESSETH:

That in consideration of the terms and conditions contained herein and attached and made a part of this agreement, the parties hereto covenant and agree as follows:

I. The Contractor shall do all work and furnish all tools, materials, and equipment for:

Contract 9700, a portion of SR 9, MP 17.49 to MP 26.05 and SR 92, MP 4.08 to MP 7.26, LAKE STEVENS VIC RUMBLE STRIP INSTALLATION, F.A. No. HSIP-0009(82), Snohomish County, Washington.

in accordance with and as described in the Contract, as defined in the Standard Specifications, which are by this reference and reference to the Washington State Department of Transportation website, incorporated herein and made part hereof and, shall perform any changes in the work in accord with the Contract. References to parts of the Contract not attached hereto are available at <https://ftp.wsdot.wa.gov/contracts>.

The Contractor shall provide and bear the expense of all equipment, work and labor, of any sort whatsoever that may be required for the transfer of materials and for constructing and completing the work provided for in the Contract except those items mentioned therein to be furnished by the State of Washington.

II. The State of Washington promises and agrees to employ the Contractor to perform and complete the above-described work in accordance with the Contract and the terms and conditions herein contained and hereby contracts to pay for the same according to the attached specifications and the schedule of unit or itemized prices at the time and in the manner and upon the conditions provided for in the Contract.

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Revised 06/2021

III. The Contractor for itself, and for its successors, and assigns, does hereby agree to full performance of all covenants, terms and conditions required of the Contractor in the Contract.

IV. It is further provided that no liability shall attach to the State of Washington by reason of entering into this Contract, except as provided herein.

IN WITNESS WHEREOF, the Contractor has executed this instrument, on the day and year first below written and the Secretary of Transportation has caused this instrument to be executed by and in the name of the said State of Washington and the Washington State Department of Transportation the day and year first above written.

VERIFIED AS AN
AUTHORIZED SIGNER
BY _____ IN CAPS

Date

Contractor Name

Contractor Authorized Signers Signature

Contractor Authorized Signers Name and Position

Washington State Department of Transportation

Secretary of Transportation

By

Date

DOT Form 272-006
Revised 06/2021



**Washington State
Department of Transportation**

Contract Form

THIS AGREEMENT, made and entered into between the STATE OF WASHINGTON, acting through the Washington State Department of Transportation, and the Secretary of Transportation under and by virtue of Title 47 RCW, as amended and

Kiewit Infrastructure West Co., a corporation existing under and by virtue of the Laws of the State of Delaware and authorized to do business in the State of Washington.

hereinafter called the Contractor.

WITNESSETH:

That in consideration of the terms and conditions contained herein and attached and made a part of this agreement, the parties hereto covenant and agree as follows:

I. The Contractor shall do all work and furnish all tools, materials, and equipment for:

Contract 9705, a portion of I-90, MP 4.28 to MP 5.89, FLOATING BRIDGES ANCHOR CABLE REPLACEMENT, F.A. No. IM-0901 (503), King County, Washington.

in accordance with and as described in the Contract, as defined in the Standard Specifications, which are by this reference and reference to the Washington State Department of Transportation website, incorporated herein and made part hereof and, shall perform any changes in the work in accord with the Contract. References to parts of the Contract not attached hereto are available at <https://ftp.wsdot.wa.gov/contracts>.

The Contractor shall provide and bear the expense of all equipment, work and labor, of any sort whatsoever that may be required for the transfer of materials and for constructing and completing the work provided for in the Contract except those items mentioned therein to be furnished by the State of Washington.

II. The State of Washington promises and agrees to employ the Contractor to perform and complete the above-described work in accordance with the Contract and the terms and conditions herein contained and hereby contracts to pay for the same according to the attached specifications and the schedule of unit or itemized prices at the time and in the manner and upon the conditions provided for in the Contract.

III. The Contractor for itself, and for its successors, and assigns, does hereby agree to full performance of all covenants, terms and conditions required of the Contractor in the Contract.

IV. It is further provided that no liability shall attach to the State of Washington by reason of entering into this Contract, except as provided herein.

IN WITNESS WHEREOF, the Contractor has executed this instrument, on the day and year first below written and the Secretary of Transportation has caused this instrument to be executed by and in the name of the said State of Washington and the Washington State Department of Transportation the day and year first above written.

VERIFIED AS AN
AUTHORIZED SIGNER
BY _____ IN CAPS

Date

Contractor Name

Contractor Authorized Signers Signature

Contractor Authorized Signers Name and Position

Washington State Department of Transportation

Secretary of Transportation

By

Date

DOT Form 272-006
Revised 06/2021

Appendix 8D Bond – Highway Construction



**Washington State
Department of Transportation**

Contract Bond - Highway Construction

Kiewit Infrastructure West Co. , as Principal, and
as Surety, are jointly and severally held and bound unto the State of Washington, in the sum of
Eight Million, Seven Hundred Seventy Nine Thousand, Seven Hundred Thirty Two Dollars and .00/100
Dollars (\$ 8,779,732.00), the payment of which we jointly and severally bind ourselves, our heirs, executors,
administrators, and assigns, and successors and assigns, firmly by these presents.

The CONDITION of this bond is such that WHEREAS, on , the said
Kiewit Infrastructure West Co.
Principal, herein, executed a certain contract with the State of Washington, by the terms, conditions, and provisions of which contract
the said Kiewit Infrastructure West Co.
Principal, herein, agree to furnish all material and do certain work, to wit: That
Kiewit Infrastructure West Co.
will undertake and complete the construction of

Contract 9705, a portion of I-90, MP 4.28 to MP 5.89, FLOATING BRIDGES ANCHOR CABLE REPLACEMENT, F.A. No.
IM-0901(503), King County, Washington.

according to the maps, plans and specifications made a part of said contract, which contract as so executed, is hereunto attached, is
now referred to and by reference is incorporated herein and made a part hereof as fully for all purposes as if here set forth at length.
This bond shall cover all approved change orders as if they were in the original contract. Similarly, the bond shall cover payment of
all taxes incurred on said contract under title 50 and 51 Revised Code of Washington (RCW) and all taxes imposed on the Principal
under Title 82 RCW.

NOW, THEREFORE, if the Principal herein shall faithfully and truly observe and comply with the terms, conditions, and
provisions of said contract in all respects and shall well and truly and fully do and perform all matters and things by them undertaken
to be performed under said contract, upon the terms proposed therein, and within the time prescribed therein, and until the same
is accepted, and shall pay all laborers, mechanics, subcontractors, and material providers, and all persons who shall supply such
contractor or subcontractor with provisions and supplies for the carrying on of such work, and shall pay all taxes pursuant to Title
50, and 51, and 82 RCW, and shall in all respects, faithfully perform said contract according to law, then this obligation to be void,
otherwise to remain in full force and effect.

DOT Form 272-002B
Revised 07/2021

Date

Contractor Name

Contractor Authorized Signers Signature

Contractor Authorized Signers Name and Position

Bonding Carrier (please add any multiples above)

Signature of the Attorney-in-fact

Printed Attorney-in-fact

Bonding Agent-Company Name

Bonding Agent's Mailing Address

Bonding Agent's Mailing Address

Bonding Agent's Phone Number (10 digit please)

VERIFIED AS AN
AUTHORIZED SIGNER

BY _____ IN CAPS

Washington State Department of Transportation

Executed on: _____

Secretary of Transportation

By: _____

Surety Bond No.

WSDOT Contract No.

DOT Form 272-002B
Revised 07/2021

Appendix 8E Bond – Building Construction



Contract Bond - Building Construction

Granite Construction Company, as Principal, and
as Surety, are jointly and severally held and bound unto the State of Washington, in the sum of
Four Hundred Seventy Four Thousand, Four Hundred Seventy Four Dollars and .00/100
Dollars (\$ 474,474.00), for payment of which we jointly and severally bind ourselves,
our heirs, executors, administrators, and assigns, and successors and assigns, firmly by these presents.

The CONDITION of this bond is such that WHEREAS, on the
day of A.D., , the said

Granite Construction Company

Principal, herein, executed a certain contract with the State of Washington, by the terms, conditions, and
provisions of which contract the said

Granite Construction Company

Principal, herein, agree to furnish all material and do certain work, to wit: That

Granite Construction Company,

will undertake and complete the construction of

Contract 9722, a portion of I-5, MP 59.77 to MP 60.75, SR 506 TO TOLEDO VADER RD.
VICINITY NB PAVING, A State Project, Lewis County, Washington

according to the Contract Documents made a part of said contract, which contract as so executed, is
hereunto attached, is now referred to and by reference is incorporated herein and made a part hereof as
fully for all purposes as if here set forth at length. This bond shall cover all approved change orders as
if they were in the original contract.

NOW, THEREFORE, if the Principal herein shall faithfully and truly observe and comply with
the terms, conditions, and provisions of said contract in all respects and shall well and truly and fully do
and perform all matters and things by them undertaken to be performed under said contract, upon the
terms proposed therein, and within the time prescribed therein, and until the same is accepted, and shall
pay all laborers, mechanics, subcontractors, and material persons, and all persons who shall supply such
contractor or subcontractor with provisions and supplies for the carrying on of such work, and shall in
all respects, faithfully perform said contract according to law, and shall further indemnify, save
harmless and reimburse said State of Washington from and for any defect or defects in any of the
workmanship or materials entering into any part of the work of the contractor performed pursuant to this
contract, which shall develop or be discovered within six years after the final acceptance of said
contract, then this obligation to be void, otherwise to remain in full force and effect, provided, the
liability hereunder for defects in materials or workmanship for a period of one year after the acceptance
of the work shall not exceed the sum of ten percent (10%) of the amount hereinabove set forth as the
sum of this Bond.

SR

DOT Form 272-003
Revised 01/2020

EXECUTED this _____ day of _____ , _____

Dated by the contractor when the authorized signer signs below

Contractor Name

Contractor Authorized Signers Signature

Contractor's Authorized Signers printed Name and Position

Bonding Carrier (please add any multiples above)

Signature of the Attorney-in-fact

Printed Attorney-in-fact

Bonding Agent-Company Name

Bonding Agent's Mailing Address

Bonding Agent's Mailing Address

Bonding Agent's Phone Number (10 digit please)

APPROVED:

By: _____

Date: _____ , _____

Surety Bond No.

WSDOT Contract No.

SR

DOT Form 272-003
Revised 01/2020

Appendix 9 Request for FHWA Concurrence to Award



Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

December 29, 2021

Mindy Roberson
Acting Division Administrator
Federal Highway Administration
711 S Capitol Way, Suite 501
Olympia, Washington 98501-1284

RE: F.A. Project No. NHPP-0395(108)
US 395 AT MP 160.46
NSC – WELLESLEY AVE/FREYA ST INTERSECTION IMPROVEMENTS
WSDOT Contract No. 8938

Dear Mindy Roberson:

At the bid opening held in Olympia, Washington on lowest responsive bid was submitted by:

Firm Name: Halme Construction, Inc.

Bid Amount: \$1,507,368.50

Sales Tax = \$0.00

Address: 8727 W Highway 2 #100

Spokane, WA 99224-9424

Contract No. 8938

Approximate Starting Date: August 2016 Estimated Completion Date: October 2016

Working Days: 35

WSDOT Contract Ad & Award Telephone No. (360) 705-7017

Location Olympia, Washington

Accordingly, it is proposed to award the contract to Halme Construction, Inc., upon your concurrence. Your earliest review and concurrence is requested.

In accordance with 23CFR635.112(c), where applicable, all bidders have acknowledged receipt of all addendums for the above referenced project, except as specified: No exceptions.

Mindy Roberson
December 29, 2021
Page 2

Very truly yours,

Roger Millar
Secretary of Transportation

Robert E. Christopher III, P.E.
Director of Construction Division
State Construction Engineer

RM:RC;jlh

Enclosure

cc: Rick Judd (FHWA)
Susan Wimberly (FHWA)

Appendix 10A Request for Project Goals



Washington State
Department of Transportation

Memorandum

August 9, 2013

TO: DMWBE Supervisor
Office of Equal Opportunity

FROM: Ken Olson/Brett Johnson

SUBJECT: XL****
PROJECT TITLE
FEDERAL AID NUMBER
DBE and Training Goal Request

Please provide DBE and Training Goals for this project.

There are **** working days. The Eastern Region recommends **** training hours.

The project is federally funded, and the project total is \$****.

This project is located in **** County.

The work includes grading, drainage, surfacing, crack sealing, liquid asphalt, hot mix asphalt, cement concrete curb, pavement markings, signal system, illumination system, erosion control, traffic control, and other work.

Please supply goals by **DATE PRIOR TO AD DATE**. The Ad date is scheduled for **AD DATE**.

The Region Job Number is *****.

Charge Codes are: *****, Group **, Work Op ****.

Project Engineer is:

cc: file

DOT Form 700-008 EF
Revised 5/99

Appendix 10B Approval for Advertising



Memorandum

May 6, 2015

TO: Jenna Fettig/Kerri Andrews 47360
THRU: Jay Drye, P.E. NB82-210
FROM: Jack Schindler
NW Region PS&E Review NB 82-111
206-440-4117
SUBJECT: **APPROVAL FOR ADVERTISING - HQ AD & AWARD**
SR 532 XL-4041
DAVIS SLOUGH BRIDGE REPLACEMENT
DUGUALLA BAY MITIGATION SITE
14A008
State Project

In accordance with M 27-02, *Advertisement and Award Manual*, this project is approved for a ****AD DATE**** advertisement. A ********* week advertisement period is requested.

This project meets the conditions for a Level ******** Approval.

Performance bonds will be required on this project.

MWBE Goal: DBE Goal: Training:

Apprentice Utilization Requirement:

The funding has been authorized and the contract number has been requested.

The EBASE file has been locked and uploaded to the Bids Module.

Design / Construction PEO:

The improvement for which the bids will be received follows:

(ISLAND), DAVIS SLOUGH BRIDGE REPLACEMENT, (Work Cl. 1, \$3,000,000 - \$4,000,000), State Project, SR 20, M.P. 36.42 (XL-4041), (153208G), (14A008)

Items of note:

Outstanding permits:

Outstanding agreements:

AD - Risk Approval Letter.dot

May 15, 2015
Page 2

Utility and Railroad issues:

Right of Way issues:

If you have any questions or need additional information, please call Jack Schindler at 440-4117.

js
EBASE Job Number: 14A008 v. 4

| | | |
|-----|----------------|----------|
| cc: | HQ Caps | MS 47420 |
| | John Jeffreys | MS 47325 |
| | NWR Utilities | MS 113 |
| | NWR Prog. Mgt. | MS 105 |
| | S. Spahr | NB82-210 |
| | D. Crisman | NB82-54 |

Appendix 10C Acknowledgement of Addendum



**Washington State
Department of Transportation**

Please fill out the information below and return this page by fax to **(360) 705-6810** or scan and return by e-mail to **ADDENDA@WSDOT.WA.GOV**

ADDENDUM #: _____ 3 _____

RECEIVED BY: _____ DATE: _____
(Please print name)

SIGNATURE: _____

COMPANY: _____

ALL PRIME CONTRACTORS MUST ACKNOWLEDGE THIS ADDENDUM.

**22B005 / 9753
NCR BRIDGE PATCHING 21-23**

Appendix 10D Award Letter – Federal Funds (Non-COA)



**Washington State
Department of Transportation**

Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

Date

Principle, Title
Company Name
Address
City, STATE Zip+4

Contract No. #####
SR, MP TO MP
PROJECT TITLE
F.A. No.

Dear Principle:

This letter is to advise you that the contract for the above referenced project has been awarded to your firm at your bid price of \$AMOUNT.

As a part of entering this contract, the contractor agrees to take all necessary and responsible steps in accordance with 49 CFR Part 26 to ensure that Disadvantaged Business Enterprises have an opportunity to participate in the performance of this contract.

Prior to submitting the signed contract for execution, Company Name will provide the following two items within 10 calendar days after the date of award:

- 1) A list of all firms who submitted a bid or quote in an attempt to participate in this contract whether they were successful or not. Include the following information:
 - Correct business name, federal employer identification number (optional), and mailing address
- 2) The first and last name, e-mail address, title, phone number and fax number of the individual that will be reporting payments at wsdot.diversitycompliance.com.

Send these two items to the External Civil Rights Branch, Office of Equal Opportunity, PO Box 47314, Olympia, WA 98504-7314 or by fax using (360) 705-6801 or email to CRP@wsdot.wa.gov. Please reference the WSDOT contract number. Failure to provide this information prior to execution will result in forfeiture of the bidder's proposal security.

The contract will be forwarded to you under separate cover. The contract must be signed and returned in accordance with the mailing instructions furnished with the contract documents. Please return the signed contract within 20 calendar days after the date of award.

Principle
Date
Page 2

This Contract has been entered into the Department of Labor and Industries' (LNI) Prevailing Wage, Intents & Affidavits (PWIA) system under Contract number 00XXXX. This contract will be available in your Items Waiting for Action tab in PWIA; please use Contract number 00XXX listed under this tab to file your Statement of Intent and when filing your required documentation with LNI.

Sincerely,

Robert E. Christopher III, P.E.
Director of Construction Division
State Construction Engineer

RC:ab

cc: Name, Region Admin.
Materials Office
External Civil Rights

Appendix 10E Award Letter – Federal Funds (COA)



**Washington State
Department of Transportation**

Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

Date

Principle, Title
Company Name
Address
City, STATE Zip+4

Contract No. #####
SR, MP TO MP
PROJECT TITLE
F.A. No.

Dear Principle:

This letter is to advise you that the contract for the above referenced project has been awarded to your firm at your bid price of \$AMOUNT.

As a part of entering this contract, the contractor agrees to take all necessary and responsible steps in accordance with 49 CFR Part 26 to ensure that Disadvantaged Business Enterprises have an opportunity to participate in the performance of this contract. The award of this contract was made with the understanding that the firms listed on the DBE Utilization Certification will be performing the dollar value of work as indicated.

Prior to submitting the signed contract for execution, Company Name will provide the following three items within 10 calendar days after the date of award:

- 1) Breakout information for all successful DBEs as shown on the DBE Utilization Certification:
 - Correct business name, federal employer identification number (if available), and mailing address
 - List of all bid items assigned to each DBE firm, including unit prices and extensions, indicating any anticipated sharing of resources (e.g., equipment, employees, etc.)
 - Indicate partial items - specify the distinct elements of work to be performed by the DBE firms and provide a complete description of these partial items.

Note: Total amounts shown for each DBE shall match the amount shown on the Utilization Certification.

Principle

Date

Page 2

- 2) A list of all firms who submitted a bid or quote in an attempt to participate in this contract whether they were successful or not. Include the following information:
 - Correct business name, federal employer identification number (optional), and mailing address
- 3) The first and last name, e-mail address, title, phone number and fax number of the individual that will be reporting payments at wsdot.diversitycompliance.com.

Send these three items to the External Civil Rights Branch, Office of Equal Opportunity, PO Box 47314, Olympia, WA 98504-7314 or by fax using (360) 705-6801 or email to CRP@wsdot.wa.gov. Please reference the WSDOT contract number. Failure to provide this information prior to execution will result in forfeiture of the bidder's proposal security.

The contract will be forwarded to you under separate cover. The contract must be signed and returned in accordance with the mailing instructions furnished with the contract documents. Please return the signed contract within 20 calendar days after the date of award.

This Contract has been entered into the Department of Labor and Industries' (LNI) Prevailing Wage, Intent & Affidavits (PWIA) system under Contract number 00XXXX. This contract will be available in your Items Waiting for Action tab in PWIA; please use Contract number 00XXXX listed under this tab to file your Statement of Intent and when filing your required documentation with LNI.

Sincerely,

Robert E. Christopher III, P.E.
Director of Construction Division
State Construction Engineer

RC:ab

cc: DBE's
Name, Region Admin.
Materials Office
External Civil Rights

Appendix 10F Award Letter – State Funds



**Washington State
Department of Transportation**

Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

DATE

NAME, TITLE
COMPANY
ADDRESS
ADDRESS

Contract No. 0000
SR, MP
PROJECT TITLE
A State Project

Dear Name:

This will advise that the contract for the above referenced project has been awarded to your firm at the bid price of \$00.00.

Prior to submitting the signed contract for execution, COMPANY NAME will provide the following items within 10 calendar days after the date of award:

- The first and last name, e-mail address, title, phone number and fax number of the individual that will be reporting payments at wsdot.diversitycompliance.com.

Please send this to the External Civil Rights Branch, Office of Equal Opportunity, PO Box 47314, Olympia, WA 98504-7314 or by fax using (360) 705-6801 or email to CRP@wsdot.wa.gov. Please reference the WSDOT contract number. Failure to provide this information prior to execution will result in forfeiture of the bidder's proposal security.

The contract will be forwarded at an early date. This contract must be signed and returned in accordance with the mailing instructions furnished with the contract documents. Please return within 20 calendar days after the date of award.

This Contract has been entered into the Department of Labor and Industries' (LNI) Prevailing Wage, Intent & Affidavits (PWIA) system under Contract number 00XXXX. This contract will be available in your Items Waiting for Action tab in PWIA; please use Contract number 00XXXX listed under this tab to file your Statement of Intent and when filing your required documentation with LNI.

NAME, TITLE
DATE
Page 2

Sincerely,

Robert E. Christopher III, P.E.
Director of Construction Division
State Construction Engineer

RC:ab

cc: NAME, Region Admin.
Materials Office

Appendix 10G Risk Management Letter



**Washington State
Department of Transportation**

Accounting and Financial Services
310 Maple Park Ave SE
P.O. Box 47420
Olympia, WA 98504-7420
360-705-7337 / FAX: 360-705-6804
TTY: 1-800-833-6388

December 15, 2021

Interwest Construction, Inc.
609 N Hill Blvd
Burlington, WA 98233-4600

Re: Contract: **009734**
To Whom It May Concern:

This is to notify you that the contract for the improvement of
SOUTH LAKE STEVENS ROAD INTERSECTION
IMPROVEMENTS PROJECT was awarded to you on **12/08/2021**, by the
Secretary of Transportation. We have mailed you an electronic copy of the contract and
bond for this improvement.

Please examine the names as shown on Page 1 of the contract and bond and, if correct, make
signatures to agree. The contractor and the bonding company should execute copies of
the contract and bond in the place provided on the signature pages.

The enclosed Notice of Mailing Address form is for your convenience in notifying the
Department of Transportation of your correct mailing address for correspondence and payments
and to indicate your choice for the handling of your retained percentage if your project is state
funded. It should be emphasized that this form must be completed and returned to the
Department of Transportation prior to contract execution.

Please note that the documents are to be electronically executed on or before 20 days after award.

Please note the instructions on the enclosed sheet. If you have any questions, please contact Kim
Amrine at (360) 705-7568.

Sincerely,

Eric Tompkins, Contract Specialist
Procurement and Materials Management Office

FLAK9101

Audit File



**Washington State
Department of Transportation**

Accounting and Financial Services
310 Maple Park Ave SE
P.O. Box 47420
Olympia, WA 98504-7420
360-705-7337 / FAX: 360-705-6804
TTY: 1-800-833-6388

December 15, 2021

INTERWEST CONSTRUCTION, INC.
609 N Hill Blvd
Burlington, WA 98233-4600

RE: CONTRACT #00-9734/South Lake Stevens Rd Intersection Improvements
To Whom It May Concern:

Please provide the name, address and phone number of the individual who will be your firm's point of contact with our Risk Management Office. This is regarding any discussions and questions on third party tort claims being tendered to you and the insurance provider(s) on this project.

Name: _____
Address: _____
Phone: _____
Email: _____

Please return this information to:

WSDOT Risk Management Office
PO Box 47418
Olympia, WA 98504-7418

Sincerely,

Eric Tompkins, Contract Specialist
Procurement and Materials Management Office

Appendix 10H Bond Return Letter – Low Bidder



Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

****DATE****

****CONTRACTOR NAME****

****ADDRESS****

****CITY, STATE, ZIP****

****CONTRACT NUMBER****

****STATE ROUTE/S****

****CONTRACT TITLE****

****FEDERAL AID NUMBER****

Dear Sir or Madam:

We are returning herewith the Proposal Bond, which accompanied your bid for the above referenced project.

Very truly yours,

Jenna M. Fettig
Manager Contract Ad & Award Office

JF:jlh
Enclosure

Appendix 10I Bond Return Letter – Other Bidders



Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

****DATE****

****CONTRACTOR NAME****

****ADDRESS****

****CITY, STATE, ZIP****

****CONTRACT NUMBER****

****STATE ROUTE/S****

****CONTRACT TITLE****

****FEDERAL AID NUMBER****

Dear Sir or Madam:

We are returning herewith the Proposal Bond, which accompanied your bid for the above referenced project.

This bond was held until the successful bidder furnished a satisfactory performance bond and executed the contract.

Very truly yours,

Jenna M. Fettig
Manager Contract Ad & Award Office

JF;jlh
Enclosure

Appendix 11 Small Works Roster Advertising Process

Small Works Roster Process

The small works roster process may be used in lieu of published advertisement when the total estimate including sales tax is less than \$350,000. No published advertisement is required. Bidders are selected from the appropriate small works roster by prequalification work class and County. All other contract requirements remain the same and the bid opening, award and execution process remains the same as for contracts greater than \$350,000 except that these processes may be conducted in the region.

Authority

[RCW 39.04.155](#) and [WAC 468.15](#)

Purpose

1. To provide an alternative advertisement process for selection of bidders in lieu of a published advertisement for projects estimated to cost less than \$350,000 including sales tax.
2. Provide a fair cost effective method of advertising contracts through the small works roster process.
3. Provide a clear concise method for selection of qualified bidders.
4. Reduction in project cost for published advertisement.
5. Potential reduction in project cost for printing plans and specifications.
6. Increase contracting opportunities for small companies.

Rules

1. Project estimated cost must be less than \$350,000 including sales tax. (RCW 39.04.155)
 - The breaking of any project into units or accomplishing any projects by phases is prohibited if it is done for the purpose of avoiding the maximum dollar amount of a contract that may be let using the small works roster process or limited public works process. (RCW 39.04.155)
2. Must invite all the bidders on the applicable Small Works Roster. (RCW 39.04.155)
3. Bidders must be prequalified on the applicable Small Works Roster to receive bid documents.
4. WSDOT formally advertises for small works roster applicants once per year each December. However, a firm may request to be placed on a small works roster at any time. A solicitation for small works roster participants is posted at <https://wsdot.wa.gov/sites/default/files/2021-11/callforbids.pdf> year around. (RCW 39.04.155)
5. Published advertisement of the project is not required.
6. All other rules as applicable to the *Plans Preparation Manual* M 22-31 and statutes apply.
7. Olympia Headquarters Contract Ad & Award Office maintains WSDOT's Small Works Rosters and provides a listing of qualified contractors for every small works project.
8. Bid results shall be submitted to caa@wsdot.wa.gov immediately following bid opening.
 - Results shall be available on the Contract Ad & Award Small Works Roster website available at: <https://wsdot.wa.gov/business-wsdot/how-do-business-us/public-works-contracts/public-works-contract-history/bid-tabulations>.

Procedures

1. Project staff determines whether or not the project is eligible for the Small Works Roster Process based on the total estimate including sales tax.
2. Prepare plans and specifications, and bid proposal form per WSDOT's [Plans Preparation Manual](#) M 22-31.

Buy America Provisions are not required when there are no Buy America eligible items to be permanently incorporated into the contract or when the value of these items is less than \$2,500.

State funded non-highway Contracts may use a Solicitation for Quotations.

For questions about contract documents, contact HQ Contract Ad & Award for more information.

3. Determine the length of the advertisement period. Federally funded contracts must be on ad for at least one week.
4. Contact HQ Contract Ad & Award Office by phone 360-705-7835 or email caa@wsdot.wa.gov and request a Pre-Ad Template and a Small Works Roster Project Checksheet. Complete these forms and return them to caa@wsdot.wa.gov.
 - a. Request contract number from HQ Contracts Payment Section
 - HQ Contract Ad & Award will send an advertisement notice to every contractor that is eligible. A list of interested contractors will be provided after the pre-ad period.
 - Provide each of the companies from the list provided above that indicated they are interested in the contract with a bid package.
 - Receive and open sealed bids.
 - Evaluate bids for responsiveness
 - Email bid results to HQ Contract Ad & Award Office
 - b. Follow all other procedures in this manual for bid opening, award, and execution of the project.



BIDDING OPPORTUNITY

PLEASE FAX OR EMAIL THIS COMPLETED NOTICE TO (360) 705-6966 OR
CAA@wsdot.wa.gov

The Washington State Department of Transportation is seeking Prequalified Contractors on the Small Works Roster to indicate their interest in submitting a bid for the following project. If your prequalification is expired or you are conditionally Prequalified, your request will be reviewed before you are issued a proposal.

BID OPENING WEDNESDAY, FEBRUARY 3, 2021

(CHELAN) **S OF ENTIAT SITE 3 – ROCK SLOPE SCALING** (CL 1 & 39, \$250,000-\$300,000) F.A. No. STBG-0097(190) on US 97A, MP 213.63 to MP 213.76. Requires: Rock slope scaling, debris removal, shoulder finishing, HMA, pavement markings, traffic control and other work. 13 working days. Involves 27 items. 120 CRHR rock slope scaling, 750 CY rock slope scaling debris removal incl haul, 240 T HMA CL 3/8 IN PG 64H-28, 3 DAY ESC lead, 786 LF temp barrier, 3858 LF paint line, 636 SF const signs CL A. Lump sum Bids for: Temp traffic control, E-Ticket sys, SPCC plan. (XL6137)(209791J)(21B007)(9639)(1 of 4)

If you are interested in bidding this project, please complete the information below and return the form to :
CAA@wsdot.wa.gov

You have the option to provide a unique email address for this project. The email address will be used to distribute documents such as small addenda which were distributed exclusively by fax in the past. Please indicate your preference for delivery.

☐ Fax ☐ Email

COMPANY NAME: _____
 CONTACT NAME: _____
 MAILING ADDRESS: _____
 PHONE AND FAX: _____
 EMAIL ADDRESS: _____

☐ Yes, I am interested in receiving the Bid Documents for this project with the intention of submitting a bid. **Preferred format for plans/specs: Electronic _____ Paper _____**

☐ No, I am not interested in receiving the Bid Documents for this project, but I am interested in receiving notices for future Small Works Roster advertisements for bid

PLEASE FAX OR EMAIL THIS COMPLETED NOTICE TO (360) 705-6966 OR
CAA@wsdot.wa.gov

If you have any question regarding this notice, contact:
 Contract Advertisement & Award Branch, WSDOT
 Phone: (360) 705-7835 Fax: (360)705-6966 Email: CAA@wsdot.wa.gov



Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

SMALL WORKS ROSTER PROJECT CHECKSHEET

For Tracking purposes please answer the following questions for your Small Works Roster Project. Items in bold must be submitted to caa@wsdot.wa.gov in order to receive a list of interested Small Works Roster contractors that you will provide bid documents to. Please forward additional information to caa@wsdot.wa.gov as it becomes available.

Contract Number:

PS&E Number:

State Route:

Contract Title:

Work Class:

County:

Preliminary Estimate:

Any Federal Funds?:

Federal Aid Number:

Federal Funding Type:

Pre-Ad Date (Date that Notice will be sent to roster members for response):

Ad Date (Date that Bid Documents are to be distributed): Number of Addenda:

Bid Opening Date:

Number of Bids Received: Award Date:

Awarded To (Contractor Name):

OMWBE Certification Type (if applicable):

Bid Amount:

A bid tabulation will need to be submitted to caa@wsdot.wa.gov immediately following bid opening.

Notify caa@wsdot.wa.gov if any bids are found to be non-responsive and will be rejected, if bid protests are received, if you would like to ask a bidder for pre-award information or if any other issues should arise.



U.S. Department
of Transportation

**Federal Highway
Administration**

Washington Division

Suite 501 Evergreen Plaza
711 South Capitol Way
Olympia, Washington 98501-1284
(360) 753-9480
(360) 753-9889(FAX)
<http://www.fhwa.dot.gov/wadiv>

October 14, 2014

HCM-WA/420

Ms. Lynn Peterson
Secretary of Transportation
Department of Transportation
Olympia, Washington

Attention: Linea Laird, Chief Engineer

**Use of the Small Works Roster for
Federal-aid Construction Contracts**

Dear Ms. Peterson:

This letter gives conditional approval for the continued use of the SWR process for Federal-aid construction contracts. The use of the SWR process for Federal-aid construction contracts is a pilot program. This conditional approval does not modify any of the standard requirements for Federal-aid construction contracts.

Since May, the Federal Highway Administration (FHWA) staff and Washington State Department of Transportation (WSDOT) staff have been engaged in a discussion regarding the possible use of the State Small Works Roster (SWR) process for small Federal-aid construction contracts.

FHWA has found that the SWR process meets the federal requirements for maintaining free and open competition. However, the following State Laws conflict with Federal rules & regulations and need to be addressed if Federal funds are used for SWR construction contracts:

- Revised Code of Washington (RCW) 39.04.155(2)(c) includes an alternative provision to including all interested bidders by allowing "...at least five contractors on the appropriate small works roster who have indicated the capability of performing the kind of work being contracted..." This alternative provision of limiting the number of bidders **does not meet** the requirements of 23 CFR §635.110(b) and **will not be allowed** for Federal-aid construction contracts using the SWR process
- RCW 39.04.155(3) provides an alternate (simplified) process for contracts estimated to cost less than \$35,000. Similar to the above concern, this alternate process would restrict

competition, and **will not be allowed** for Federal-aid construction contracts using the SWR process

- The minimum requirement of a 3-week advertising period may not be necessary for smaller or less complex SWR construction contracts. According to 23 CFR §635.112(b), shorter periods may be allowed if approved by the Division Administrator when justified. The SWR process presents an adequate justification for such an approval. FHWA will not require a minimum 3-week advertising period for all SWR construction contracts, but will expect that WSDOT will determine an appropriate advertising period for the estimated cost and scope of the work involved. However, a 1 week advertisement is an absolute minimum, unless it is an emergency situation

As part of this conditional approval your staff will need to provide the following information:

1. Notify FHWA when advertising for a period of less than 3-weeks in order to document the process - an informal written notice from the Regions to the appropriate FHWA Area Engineer will suffice
2. At the end of FY 2015, provide a Region-by-Region count of the number of SWR construction contracts advertised using Federal-aid funds and the funds associated with those contracts - once again, an informal notice from the Regions to the appropriate FHWA Area Engineer will be acceptable

Below is a summary of federal requirements for bidding contracts:

- 23 CFR §635.104(a) – Construction work shall be performed by contract awarded by competitive bid, and WSDOT shall ensure opportunity for free, open, and competitive bidding
- 23 CFR §635.110(b) – No procedure shall be approved which may restrict competition or prevent submission of a bid by a responsible contractor
- 23 CFR §635.112(b) – The bid documents shall be available to bidders a minimum of 3 weeks prior to opening of bids
- 23 CFR §635.112(f) – A non-collusion statement shall be included in the bid documents
- 23 CFR §635.112(g) – A lobbying certification and notification requiring suspension and debarment status
- 23 CFR §635.114(a) – Federal-aid contracts shall only be awarded on the basis of lowest responsive bid submitted by a responsible bidder
- 23 CFR §635.114(c) – State shall examine bids for reasonable conformance with the engineer's estimate and for indications of unbalancing

Please let us know what we can do to assist WSDOT in implementing this conditional approval. Questions should be directed to the FHWA Construction Program Manager, Susan Ellis, at (360)753-9412.

Thank you for your consideration in this matter, and FHWA hopes that the SWR process can become an invaluable tool in assisting WSDOT with delivery of the Federal-aid highway program.

Sincerely,

DANIEL M. MATHIS, P.E.
Division Administrator



By: Susan Ellis, P.E.
Construction Program Manager

cc: Jenna Fetting, WSDOT Contract Ad & Award Manager
Denys Tak, WSDOT Assist State Construction Engineer, Administration



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March 17, 2015

HCM-WA/12.1

Ms. Lynn Peterson
Secretary of Transportation
Department of Transportation
Olympia, Washington

Attention: Linea Laird, Chief Engineer

**Buy America Requirements on Small
Works Roster Contracts and 30-Day
Emergency Contracts**

Dear Ms. Peterson:

This letter outlines a change in applicability of Buy America Requirements on Small Works Roster and 30-day Emergency Contracts when utilizing Federal-aid funding. Since May 2014, the Federal Highway Administration (FHWA) staff and Washington State Department of Transportation (WSDOT) staff have been engaged in a discussion to streamline the State Small Works Roster (SWR) and the 30-Day Emergency Contract process for small Federal-aid construction contracts.

In order to streamline the requirements of these two types of contracts, FHWA and WSDOT agree to use the following conditions to determine if Buy America (BA) provisions are required:

1. The Buy America General Specification Provision (BA GSP) is required in the contract when any of the following are met:
 - There are BA eligible items to be permanently incorporated into the project and the total cumulative value is **over \$2,500**.
 - At any time when any BA eligible items are permanently incorporated into the project such as by change order and the total cumulative value is over \$2,500. The BA GSP must be added to the contract.
2. The Buy America General Specification Provision is not required in the contract when:
 - There are **no** BA eligible items to be permanently incorporated into the project.

- There are BA eligible items to be permanently incorporated into the project but their total cumulative value is **less than \$2,500**.

The value of BA eligible items must be determined by a reasonable engineers estimate during the project's design, and if the cumulative values meet the above criteria for not required BA GSP in the contract, then a Memorandum of Analysis and Determination is to be filed and maintained in the design file.

3. If it is found at any time that **more than \$2,500** of BA eligible items were permanently incorporated in to the project without the BA GSP in the contract, FHWA will review the following information to determine the appropriate resolution:
 - The state's material certification procedures for determining BA compliance.
 - Degree of diligence by the State DOT and contracting agency in ensuring Buy America compliance.
 - Contract provisions prescribing BA requirements.
 - Availability of domestic iron and steel products or its equivalent at the time when excess foreign iron and steel products were incorporated into the project.
 - Issues associated with removal and replacement with domestic iron and steel products during construction/completion.

This may result in the removal of federal funding from the bid item or entire project. Please refer to FHWA's Buy America Q and A for the Federal-aid Program specifically question #48 "How does FHWA resolve an after-the-fact discovery of an inadvertent incorporation of foreign iron and steel products into a Federal-aid project?"

Please let us know what we can do to assist WSDOT in implementing this new approach to BA Requirements. Questions should be directed to the FHWA Construction Program Manager, Susan Ellis, at (360)753-9412.

Sincerely,

DANIEL M. MATHIS, P.E.
Division Administrator



By: Susan Ellis
Construction Program Manager

Cc via e-mail: Jenna Fettig, WSDOT Contract Ad & Award Manager
Denys Tak, WSDOT Assist State Construction Engineer, Administration