

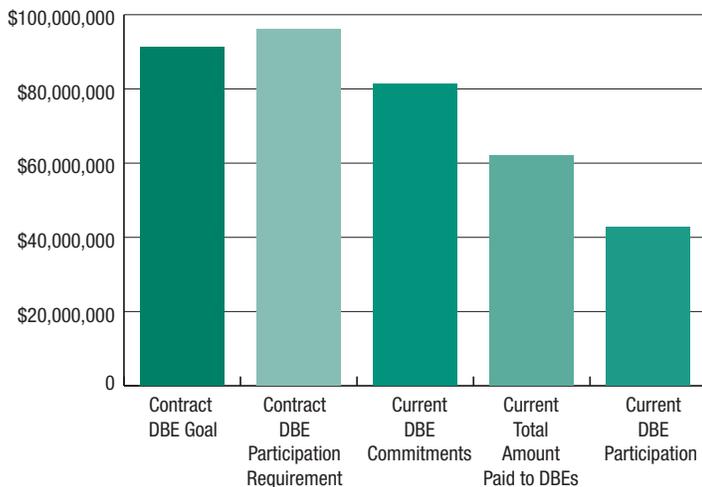
WSDOT Disadvantaged Business Enterprise program: Monthly progress report to FHWA, October 2014

Overview

The Washington State Department of Transportation (WSDOT) provides monthly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) program participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. This monthly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through August 2014; other activities noted reflect work performed through October 2014.

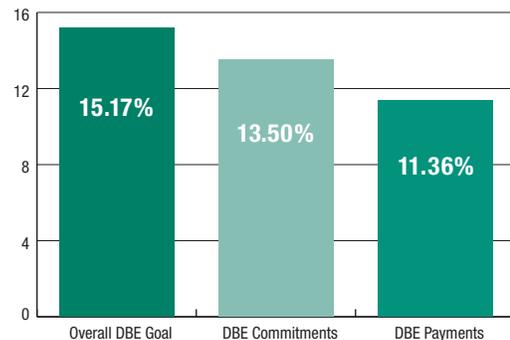
Progress At a Glance

AWV project-specific efforts



Agency progress

As of October 20th, WSDOT (Local Programs, Consultant Services, and HQ Construction) has attained 13.50 percent in DBE condition of contract award commitments (goals 11.40 percent and voluntary participation 2.10 percent) and 11.36 percent in payments to DBE firms. These numbers should be viewed as preliminary and final results for Federal Fiscal Year 2014 will be available in December 2014.



AWV project-specific progress, based on DBE Progress Report #42, August 2014

Seattle Tunnel Partners (STP) efforts to achieve DBE contract goal

- **DBE Program Coordinator.** WSDOT and STP have reached an agreement regarding the role and responsibilities of the DBE Coordinator, who has submitted the first three monthly reports for review and comments. WSDOT is currently reviewing the reports and will respond to the DBE Coordinator following completion of that review.
- **DBE Subcontracting Oversight Committee.** Topics of discussion during the month of August included status reports on procurements that were underway and procurements that were identified as potential future opportunities for the DBE community. No new procurements were posted during the month of August 2014.
 - The STP Subcontracting Oversight Committee operating guidelines and the STP Procurement Procures guidance document are still under development. STP finalization of these documents is crucial in moving forward.
 - To date, STP has been unsuccessful at following their procurement procedures as agreed upon in principle, absent a formal approved plan, with the Oversight Committee. Since March 2014, this has resulted in WSDOT and the Oversight Committee asking questions about specific procurements that resulted in STP withdrawing one procurement and pulling back two other procurements from the contracting community.
 - As a consequence, STP is working more closely with the Oversight Committee to receive endorsement prior to advertising RFPs.
 - STP is close to finalizing their procurement procedures.
- **DBE Communications.** STP reported 48 communication sessions with 20 DBE firms under Progress Report #42 (August 2014). (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report # 42). These communication sessions generally consist of telephone calls, emails, and drop-in sessions. The reported sessions do not include the Commercially Reasonable Discussions addressed below.
- **Commercially Reasonable Discussions:** During the month of August, STP completed their discussions with seven of the eight DBE Complainants listed in the United States Department of Transportation Federal Highway Administration (FHWA) investigative report. Subcontracting negotiations are still underway with one of the complainants.
 - Since August, contracting issues have emerged with some of the complainants regarding implementation of their contracts. These issues include: contract language clarification and close out documentation requirements.
- **DBE Opportunities.** DBE opportunities generated through the purchase of materials, technical and professional consulting, and assistance through the procurement of services, personnel, or equipment necessary for the project are included in STP DBE Progress Report #42 (August 2014). As shown on page 3 of the report, STP anticipates approximately \$2.1 million in DBE opportunities in upcoming or current bids. (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report #42)
- **Related STP activities anticipated for the next reporting period:**
 - During the month of September, STP will continue their Commercially Reasonable Discussions with the remaining complainant and address emerging issues.
 - The Subcontracting Oversight Committee will continue to meet and advise STP on potential subcontracting opportunities.
 - STP will continue communications and outreach to the DBE community.
- **DBE Outreach.** Outreach activities by the WSDOT HQ DBE Administrator for the AWV Replacement Program included:
 - Conducted specific outreach sessions with three environmental firms regarding filter press procurement.
 - Attended the Minority Small Business Legislative Round Table.
 - Provided information to firms regarding three STP procurement opportunities. One of the firms is requesting information to bid on an opportunity.
 - Sent bid opportunity notifications to Tabor 100, National Association of Minority Contractors, the Northwest Minority Supplier Diversity Council, and several specific DBEs.
- **Related STP activities anticipated for the next reporting period:**
 - STP will report continued Commercially Reasonable Discussions in August with the remaining complainant.
 - The Subcontracting Oversight Committee will continue to meet and advise STP on potential subcontracting opportunities.
 - STP will continue communications and outreach to the DBE Community.

Certification of Monetary Commitments

- AWV Project Staff followed the Monthly DBE Progress Report Verification Procedures in performing our verification of the commitments and participation reported by STP in Progress Report #42 (See Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report # 42).
- Of the \$1.7M amount claimed in DBE participation in DBE Progress Report #42, \$0.85M is being claimed in participation for OMA Trucking.
 - WSDOT is currently working on validating the \$0.85M in OMA's participation. Thus, for this reporting period, WSDOT has only verified \$0.83M in DBE Amount as amended.
 - In addition to the \$0.85M in OMA's claimed participation for this reporting period, WSDOT will also verify the claimed participation of \$7.6M from previous reporting periods (addressed in DBE Progress Reports #40 and #41).
 - WSDOT OEO and FHWA have approved the AWV proposed trucking verification process.
 - In the instances where the results of WSDOT's verification process conflicts with the information submitted by STP, WSDOT is working with STP to determine the cause and reach resolution on any discrepancies.
- **Worksite Monitoring.** WSDOT monitors work sites to ensure work committed to each DBE is/has been actually performed by the DBE. During the reporting period of August 2014:
 - Two on-site reviews performed on the project.
 - DBE onsite reviews will continue to be performed as new DBE firms are given work on the project.
 - A review of payroll reports showed the total hours reported on verified Certified Payrolls were 5,770; 505.5 hours less than the 6,275.5 hours reported by the contractor. The breakdown, by skill craft, may be found in Exhibit B: DBE Certified Payrolls Hours Verification, August 2014.
 - The discrepancy between the STP reported hours and the certified payroll hours can be attributed to three contributing factors:
 - Subcontractor reports of utilization, which is what STP's reported hours are based on are for "week ending" so a month utilization report could include hours from the previous month.
 - Certified payroll comparison is based on a calendar month
 - Subcontractors may be late in submitting their certified payroll, resulting in missing hours.
- **Monetary commitments.**
 - AWV received STP Progress Report #41, on August 27, 2014. (See Exhibit A: Attachment #1, STP Monthly DBE Progress Report #41)
 - Contract DBE Goal \$91,176,000.00
 - Contract DBE Participation Requirement \$96,000,000.00
 - Current DBE Commitments \$81,313,902.85
 - Current Total Amount Paid to DBEs \$61,959,718.68
 - Current DBE participation \$42,755,891.92

Utilization, prompt payment and retainage

- WSDOT is currently working with STP to obtain this information on a monthly basis as part of the monthly DBE Progress Report. A change order has been executed to assist with this information, anticipated to be available under the next DBE Progress Report (#43).

Monitoring and investigation of complaints regarding DBE participation

- **AWV Commercially Useful Function Reviews.** No additional activity to note since the previously submitted report.
- **Resolved issues:**
 - Resolved scope of work issues related to a DBE contract for hauling and disposal of junk ring segments. Scope of work has been completed and DBE is now in the payment process. Final payment is pending resolution of closeout documents and submittal and verification of the paperwork.
 - One Commercially Reasonable Discussion between STP and a DBE firm continues. AWV project staff will continue efforts to research and resolve issue of the financial statement requested by the prime in order to secure sub-contracting opportunity

for contractor.

- o DBE raised issue of contract language in amended contract. The affected DBE Firm is working with STP to amend contract language, based on DBE request.
- **Payment Resolution:**
 - o One DBE weekly payment issue resolved.
 - o One DBE end of work payment closeout. Partial payment has been made pending presentation and verification of closeout documentation.

WSDOT DBE program-level progress

DBE monitoring process and procedures

- **DBE Payment Program**
 - o Centralizing Responsibility. During October, a Service Level Agreement was signed by Executive Management which delegated the DBE Payment Program implementation oversight and coordination to the Office of Equal Opportunity. This is being done to ensure consistent application of DBE IT processes and procedures within all of WSDOT to include HQ Construction, Local Programs and Consulting Services.
 - o Local Programs Division. Local Programs is also working on implementing the DBE Payment Program for local agency administered contracts. The DBE Reporting Program is being implemented in three phases. The first phase, which has already been completed, created contract information including, but not limited to, commitments and engineer's estimates. The second phase, which was completed Oct. 14, includes information regarding change orders, responsiveness, etc. The final phase, which involves payment to DBE firms, is 50 percent complete and is on target to be completed at the end of November.
- **Increasing Transparency and Accountability.** On Oct. 2, the DBE Advisory Group met to discuss the DBE Program draft proposed policies including Roles and Responsibilities and an Executive Order relating to the OEO program and DBELO. The DBE Advisory Group is comprised of both community and trade organizations and members represent varying perspectives and interests. The group was initially created for discussions related to the recent Disparity Study (submitted and awaiting FHWA approval). The OEO program has a wider variety of stakeholders due to the breadth of work they perform. We will include DBE advisory group members along with others in a professionally-facilitated discussion regarding DBE Program improvements to promote equity and transparency in the DBE Program changes under consideration.
- **WSDOT DBE Program Administration.** The previous DBE Liaison Officer who also held the position of Director of the Office of Equal Opportunity, accepted a jobopportunity with another agency in September. An interim DBE Liaison Officer, Henry Yates, and interim Director of the Office of Equal Opportunity, Kara Larsen, were hired and began work in mid- October as WSDOT works with the communities to ensure the best course of action for enhancing the DBE Program. Dan Mathis of FHWA was notified of these changes on October 7, 2014. WSDOT filled both of these positions in an effort to increase the emphasis and attention placed on the DBE Program agency-wide.
- **Prime Contractor Prequalification Warning Letters.** During September, Contract Ad & Award sent three letters warning prime contractors of potential prequalification suspension for not meeting project-specific condition of award DBE goals. WSDOT will closely monitor these firms for civil rights program compliance. These companies are expected to make the necessary administrative changes to ensure future compliance. A template prequalification warning letter is provided in Exhibit C.

Design-build specifications, goals and performance

- **DBE Specifications Status.** WSDOT has developed Design-Bid-Build DBE Specifications which are presently under review with Federal Highway Administration (FHWA). WSDOT is planning on utilizing the Design-Bid-Build Specification as a foundation for enhancing the DBE language in Design-Build documents. Until the Design-Bid-Build specifications have been approved by FHWA, WSDOT will not develop any enhancements to the DBE Design-Build documents. However, HQ Construction has hired a new engineer to work solely on Design-Build issues. This new staff member has been tasked with developing new Design-Build specifications for the DBE Program.
- **DBE Long Term Business Development.** Eligible DBE Firms are presently enrolling in the new DBE Long Term Business Development Program. Classes are scheduled throughout November and the first part of December. The first round of classes in the pilot program will include a smaller number of participants to ensure the curriculum is as effective as possible for current and future program participants.

Exhibits:

Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report # 42

Attachment #1, STP DBE Progress Report No. 42, August 2014

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Exhibit B: DBE Certified Payrolls Hours Verification, August 2014

Exhibit C: WSDOT Prequalification Warning Letter template

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinators, George Laue at (509) 324-6018 or Jonté Sulton at (360) 705-7082.



EXHIBIT A

October 16, 2014

TO: Bobby Forch, DBE Administrator AWW *BFF*

FROM: Dawn McIntosh, P.E. *Werner*

SUBJECT: Validation STP Monthly DBE Progress Report No. 42

Review of STP Monthly DBE Progress Report No. 42 (Report No. 42) began 10/9/2014 and was completed on 10/16/2014. (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE 8% Goal= \$91,176,000
- Commitments= \$81,313,902.85
- DBE Participation= \$42,755,891.92

STP reported 89 subcontractors with 142 subcontracts. Comparing Report No. 42 to Report No. 41 resulted in changes as follows:

- 33 Commitment changes totaling \$1,824,813.63 increase (Attachment 2)
- 36 Invoiced to Date changes totaling \$2,946,492.64 increase (Attachment 3)
- 34 Payments to Date changes totaling \$1,590,166.00 increase (Attachment 4)
- 24 DBE Amount (as Amended) changes totaling \$1,680,136.15 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 42 (August 2014) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

- It should be noted that the \$947,258.93 in DBE Amount (As Amended) being claimed by STP for work performed by OMA Trucking (including lower tiers) has not been verified by WSDOT.
- This increase in participation is for work that has been invoiced by and paid to OMA but not previously counted toward DBE participation.
- According to STP, they had not received the documentation required from OMA and had not performed their internal review in order to justify the increase in claimed DBE Amount (As Amended) prior to this time.
- Discussions are currently underway between the AWV Office, HQ OEO and FHWA regarding the process for reviewing and approving the \$947,285.93 in new claimed participation as well as the \$7.6 million in DBE participation claimed for OMA under DBE Progress Reports #40 and #41.

The following new DBE subcontractors were added under this Report, bringing the total count of DBE firms with subcontracts to 89:

- Garner Construction
- J. Kaiser & Associates
- Neppel Electrical
- United Professional Caulking
- Washington State Trucking

Existing subcontractors receiving new work under this report:

- Mar-Vac / Marine Vacuum Service Inc. (Lower Tier to NCM)

Conclusion:

STP's Report No. 42 is acceptable. Additional work will be required to verify the DBE claimed participation for OMA Trucking once agreement is reached between the AWV Office, HQ OEO, and FHWA regarding the verification process.

The STP Binder for Report No. 42 was helpful for validating the invoiced and payment information as well as some of the commitments. However, in order to verify most of the commitment amounts it was necessary to review the STP files in order to complete validation documents.

October 16, 2014

Page 3

Attachments:

1. STP DBE Progress Report No. 42
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation

cc: Paul Johnson. P.E.



Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 42
Progress Report Period: August 1, 2014 – August 31, 2014
Submission Date: Tuesday, September 30, 2014



Seattle Tunnel Partners
 999 Third Avenue, Suite 2424
 Seattle, WA 98104

WSDOT Contract No. 007999
 Federal Aid No. BR-NH-STP-STPF-0099(111)
 SR 99 Tunnel Project
 Phone: 206-971-8701 Fax: 206-971-8702

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$81,313,902.85
Percent of DBE commitments:	7.135%
DBE participation:	\$42,755,891.92
Percent of DBE participation :	3.752%

Please see attached STP Form DBE09 for further details.

DBE outreach

The below metrics were achieved during the month of August 2014.

<i>Goal</i>	<i>Outcomes for August 2014</i>
Reach DBE Community	STP had 48 communication sessions with 20 DBE firms.



Seattle Tunnel Partners
 999 Third Avenue, Suite 2424
 Seattle, WA 98104

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 Phone: 206-971-8701 Fax: 206-971-8702

Anticipated DBE Opportunities

The below chart summarizes the pending anticipated opportunities, the potential value of those opportunities, and description.

PENDING OPPORTUNITIES - STP		Anticipated DBE Opportunities	Notes
Upcoming/current STP bids			
Operation buildings - PENDING CONTRACT EXECUTION BY LOWER TIERS	DBE Opportunity/ Scope Operation Buildings	\$ 429,403.00	STP has additional commitments for lower tier DBE participation within the operation buildings (under Kone).
Cable Railing & Fall Protection	Furnish/install Misc. steel	\$ 556,274.00	STP awarded contract to DBE firm, Pending Execution
Underground 26KV service for the North Operations Building and installation of underground ITS facilities.	Underground utilities	\$ 285,647.50	STP awarded contract to DBE firm, Advanced Underground Utilities. This contract was executed in September 2014 and will be listed on next month's DBE report.
A2.- TOTAL PENDING POSSIBLE OPPORTUNITIES		\$ 1,271,324.50	
PENDING OPPORTUNITIES - LOWER TIER		Estimated Possible Opportunities	Notes
Lower tier			
BASF (Oil & greases)	DBE Opportunity/ Scope Lower tier: Trucking	\$ 10,051.00	Total potential local transportation costs identified as \$25,000. Listed value shows deductions to trucking as values are achieved by BASF. Potential \$50,000 for mechanical.
	Lower tier: Mechanical consultant	\$ 50,000.00	
BASF (Foams & greases / soil conditioners)	Lower tier: Trucking	\$ 25,000.00	Total potential local transportation costs identified as \$25,000. Potential \$50,000 for mechanical.
	Lower tier: Mechanical consultant	\$ 50,000.00	
Concrete Technology Corporation (Northbound precast slab roadway)	Lower tier: Trucking	\$ 647,539.00	STP has awarded this scope to Concrete Technology Corp. with a commitment to transport with a DBE trucking company.
B2.- TOTAL Possible opportunities		\$ 782,590.00	
POSSIBLE FUTURE OPPORTUNITIES		\$ 2,053,914.50	

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:
Number: 007999

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): \$ 1,362,979,570.58 % Complete: 68%
DBE Goal Dollar Value: \$ 91,176,000.00
DBE Goal: 8%

Prime Contractor:
Seattle Tunnel Partners

Prime Contractor:
Seattle Tunnel Partners

Through 8/31/2014 (all numbers exclude WSST)
Total payment to Prime to date: \$ 923,717,891.21
Total amount invoiced to date: \$ 940,657,894.36
Amount of last payment to Prime: \$ 11,067,273.33

Date of last payment to Prime: 8/11/2014
Total of last payment to Prime: \$ 11,067,273.33
Total value of DBE commitments: \$ 81,313,902.85
% of DBE Commitments: 7.135%

Total value of DBE amount (as Amended): \$ 42,755,891.92
% of DBE Amount (as Amended): 3.752%

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00	Closed	\$ 720.00	\$ 720.00
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20	Closed	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB – Furnish & Install Shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -	\$ -	\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00	Closed	\$ 189,938.00	\$ 189,938.00
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00	Closed	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-12	100%	\$ 22,555.86	\$ 22,555.86	\$ 3,755.00	\$ 22,555.86	\$ 21,964.36
CivilTech Engineering	#D4M8218825	Design & Consulting	31-Jul-2012	100%	\$ 460,058.53	\$ 460,058.53	\$ -	\$ 460,058.53	\$ 460,058.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	100%	\$ 1,005,698.49	\$ 1,005,698.49	\$ -	\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-12	100%	\$ 1,004,282.50	\$ 1,004,282.50	\$ 40,320.00	\$ 944,612.50	\$ 944,612.50
Duncan Asphalt	#DM5M9822253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-04	15%	\$ 40,000.00	\$ 40,000.00	\$ -	\$ 38,000.00	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,575.00	\$ 22,575.00	\$ -	\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 780,846.00	\$ 780,846.00	\$ 57,362.00	\$ 723,484.00	\$ 688,344.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 354,893.66	\$ 354,893.66	\$ -	\$ 337,551.25	\$ 337,551.25
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -	\$ -	\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	0%	\$ -	\$ -	\$ -	\$ -	\$ -
Global Concrete Cutting	#D3M5522412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00	\$ -	\$ 1,615.00	\$ 1,615.00
Global Geophysics	#D4M9621256	Vibration Monitoring	21-May-2012	100%	\$ 427,740.39	\$ 427,740.39	\$ -	\$ 427,740.39	\$ 427,740.39
Grady Excavating	#D2F9320267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	Closed	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%					\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 266,876.07	\$ 267,192.32	\$ 316.25	\$ 267,192.32	\$ 142,287.97
On-Site Environmental Inc. (LT to Greylock)	#D3M5310381	Environmental Analytical Testing	14-Dec-2011	100%					\$ 69,299.75
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00	Closed	\$ 1,473,764.00	\$ 1,455,382.26
J. Kaiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 31,271.20	\$ 31,271.20	\$ 31,271.20	\$ 31,271.20	\$ -
JP Francis & Associates, Inc.	#D3M6818112	Mitigation building – plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86	\$ -	\$ 50,835.32	\$ 49,999.54
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00	\$ -	\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks – Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	Closed	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M5522412	Concrete cutting	26-Jun-12	100%			Closed		\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-12	100%			Closed		\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M7218072	Rebar	30-Jun-12	100%			Closed		\$ -

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc. (Subcontract to Leajak; 3rd tier under Lorache)	#D5M7218072	Rebar	10-Jul-2012	100%					\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 53,712.51	\$ 53,712.51	\$ -	\$ 53,712.51	\$ 53,712.51
Marshbank	#D1M4711507	Sewer Relocation	7-Aug-2012	96%	\$ 1,339,049.47	\$ 1,279,562.53	\$ 128,759.82	\$ 1,100,186.16	\$ 547,570.12
Mar-Vac (Marine Vacuum Service Inc.)	#D4M1302341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	Closed	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#D4M1302341	Vac-truck services	18-Jul-2012	100%	\$ 169,618.08	\$ 169,618.08		\$ 162,467.29	\$ 162,467.29
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 195,016.64	\$ 195,016.64	\$ 6,927.00	\$ 185,212.14	\$ 185,212.14
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Conduit	31-Jul-2014	0%	\$ 473,613.72	\$ -	\$ -	\$ -	\$ -
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	Closed	\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 3,542,102.00	\$ 3,542,102.00	\$ 123,038.50	\$ 3,421,759.00	\$ 3,408,265.00
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	94%	\$ 15,304,523.89	\$ 14,388,884.53		\$ 14,388,884.53	\$ 11,559,521.75
C & E Transport Corp (LT to OMA)	#DM3M9322750	Trucking	18-Nov-2013	100%					\$ -
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 52,196.18
Maks Dump Truck (LT to OMA)	#D3M8019934	Trucking	1-Dec-2012	100%					\$ 133,755.00
Ponglia Trucking Inc. (LT to OMA)	#D4M8019913	Trucking	17-Jan-2013	100%					\$ 132,683.75
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$ -
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 41,630.00
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$ -
Oneill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 547,437.28	\$ 547,437.28	\$ 40,292.38	\$ 547,437.28	\$ 424,599.40
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 209,854.50	\$ 209,854.50	\$ 10,829.00	\$ 199,025.50	\$ 99,523.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M6420597	Janitorial Services	6-Apr-2012	100%					\$ 86,324.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	82%	\$ 779,490.00	\$ 638,788.77		\$ 638,788.77	\$ 632,976.84
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#D5M8019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 141,929.15	\$ 141,929.15	\$ -	\$ 141,929.15	\$ 141,929.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 555,363.00	\$ 555,363.00	\$ 32,327.50	\$ 555,363.00	\$ 555,363.00
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	Closed	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M6818443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,801,171.81	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M8218841	Mechanical	25-Sep-2012	100%					\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%			Closed		\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardward Installation	17-Sep-2012	100%			Closed		\$ 10,452.00
ST Fabrication/ Jabez	#D4M6818443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,937,026.08	\$ 1,162,632.72
Steelkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	24%	\$ 7,639,052.00	\$ 1,811,776.49	\$ 103,444.38	\$ 1,721,187.67	\$ 165,986.33
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 3,707,436.42	\$ 3,707,436.42	\$ 94,472.82	\$ 3,693,354.80	\$ -
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	97%	\$ 2,312,336.49	\$ 2,247,845.35	\$ -	\$ 2,247,845.35	\$ 1,951,774.84
TripleNet Technologies	#D5M7218439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	Closed	\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	0%	\$ 79,250.00	\$ -	\$ -	\$ -	\$ -
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	16%	\$ 184,470.00	\$ 30,000.10	\$ 30,000.10	\$ 30,000.10	\$ -
VENDORS	VENDORS								
Birch Equipment Rental & Sales, Inc.	#D2F9422284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 286,335.54	\$ 286,335.54	\$ -	\$ 286,335.54	\$ 171,801.32
Excel Supply Company	#D4F2312899	Safety Supplies	NA	100%	\$ 17,885.69	\$ 17,885.69	\$ -	\$ 17,885.69	\$ 10,731.41
Highway Specialties LLC	#D2F8719389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 230,806.79	\$ 230,806.79	\$ 1,089.54	\$ 229,974.59	\$ 137,984.75
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 4,710.82	\$ 4,710.82		\$ 4,710.82	\$ 2,826.49
ST Fabrication/ Jabez	#D4M6818443	Fabrication & Supply	NA	86%	\$ 2,325,362.11	\$ 2,010,682.99	\$ 11,271.00	\$ 1,975,782.99	\$ 1,185,469.79
The Bag Lady	#D2F6016394	Costruction Entrance Plates & Straw bales	NA	100%	\$ 281,427.50	\$ 281,427.50	\$ 2,433.31	\$ 281,427.50	\$ 168,856.50

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Wayron	#D2F9821571	Fabrication	9-Jan-2012	100%	\$ 2,579,919.86	\$ 2,579,919.86	\$ 98,112.00	\$ 2,358,204.25	\$ 2,356,654.25
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45	\$ 427.05	\$ 19,402.45	\$ 19,402.45
LOWER TIER	LOWER TIER								
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,029.02
BASF (Non-DBE sub)	BASF								
JP Francis & Associates (LT to BASF)	#D3M6818112				\$ -	\$ -		\$ -	\$ -
BASF - KTI Logistics (Non-DBE sub)	BASF								
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	\$ 14,949.00		\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 819,370.21	\$ 819,370.21		\$ 789,750.05	\$ 473,850.03
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)	FCCC								
CivilTech Engineering (LT to FCCC)	#D4M8218825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2F9320267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 201,995.69	\$ 201,995.69		\$ 201,995.69	\$ 201,995.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCC - FD Thomas								
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ -
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 1,674,189.37	\$ 1,674,189.37	\$ 91,482.94	\$ 1,674,189.37	\$ 1,674,189.37
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 9,816.08	\$ 9,816.08	\$ 1,025.20	\$ 9,816.08	
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$ -
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M7218480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M8218825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 771,156.25	\$ 771,156.25	\$ 31,147.80	\$ 754,354.87	\$ 754,354.87
Convergent Pacific (LT to HNTB)	#D4M7218854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 906,204.38	\$ 906,204.38		\$ 899,897.53	\$ 899,897.53
Osborn Consulting Inc. (LT to HNTB)	#D2F8719030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0600139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#D5M8019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 374,654.36	\$ 374,654.36		\$ 374,596.13	\$ 371,133.63

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Schemata Workshop (LT to HNTB)	#D4F9821115	Architectural Specifications	16-Apr-2012	100%	\$ 182,837.53	\$ 182,837.53		\$ 182,659.93	\$ 182,659.93
Wakerobin (LT to HNTB)	#D2F8019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 65,558.00	\$ 65,558.00	\$ 10,260.00	\$ 65,018.00	\$ 65,018.00
Yonemitsu Geological Services (LT to HNTB)	#D4M0113447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#D4M9621256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4M0113447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 52,650.06	\$ 52,650.06		\$ 27,916.25	\$ 27,916.25
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS.								
ProUSA	#D3M9422332	Trucking	23-Nov-2013	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$ -
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	39%	\$ 550,000.00	\$ 212,037.50	\$26,172.50	\$ 197,920.63	\$ 151,869.38
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	8%	\$ 112,640.00	\$ 9,395.00		\$ 8,925.25	\$ 8,925.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D2F9821571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	34%	\$ 546,350.25	\$ 185,026.77		\$ 185,026.77	\$ 185,026.77
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	#D3M6818112	Mechanical	1-May-2013	73%	\$ 607,875.00	\$ 441,111.36	\$ 24,764.64	\$ 419,055.78	\$ 394,291.14
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	#D3M6818112	Mechanical	1-Aug-2013	13%	\$ 4,117,572.00	\$ 538,937.16	\$ 44,900.09	\$ 511,990.31	\$ 467,090.22
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0444A		84%	\$ 4,010,903.00	\$ 3,357,915.10	\$ 461,291.00	\$ 1,620,375.00	\$ 440,625.31
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0572A	10-Jul-2013	50%	\$ 4,900,000.00	\$ 2,468,076.02		\$ 2,369,547.21	\$ 973,411.55
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.06	\$ 2,760.06		\$ 2,760.06	\$ 1,656.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.96	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #32814		100%	\$ 64,000.00	\$ 64,000.00	\$ 51,991.14	\$ 61,896.14	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40			
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.03	\$ 21,099.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 3,331.29	\$ 3,331.29		\$ 2,880.62	\$ -
MALCOLM (Non-DBE sub)	MALCOM								
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#D4M1302341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$178,836.06		\$169,894.26	\$ 169,894.26
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Apr-2014	100%	\$ 154,702.50	\$ 154,702.50		\$ 154,702.50	
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#D4M1302341	Vac-truck services	26-Jun-2014	100%	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking		100%	\$ 57,236.25	\$ 57,236.25		\$ 17,723.44	
ProUSA (LT to Northwest Asphalt)	#D3M9422332	Trucking		100%	\$ 11,756.41	\$ 11,756.41		\$ 11,576.41	
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	Closed	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 14,693.00	\$ 18,451.00	\$ 3,758.00	\$ 18,451.00	\$ 18,451.00
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#D5M8019808	Settlement Monitoring	1-Apr-2012	66%	\$ 691,769.75	\$ 459,284.53	\$ 22,455.14	\$ 468,712.74	\$ -
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	97%	\$ 99,000.00	\$ 95,700.00		\$ 95,700.00	\$ 60,280.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F5917012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15	Closed	\$ 9,803.15	\$ 5,881.89
					\$ 81,313,902.85	\$ 64,931,919.63	\$ 1,590,166.00	\$ 61,959,718.68	\$ 42,755,891.92

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

- 1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.
 Yes. The following have been added: Garner Construction, J. Keiser & Associates, Neppel Electric, United Professional Caulking, Washington State Trucking.
- 2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.
 Yes. Please see the attached summary report for commitments as of 8/30/14
- 3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.
 No.
- 4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement
 No.

AUTHORIZED SIGNATURE: Chris Dixon
 CHRIS DIXON

TITLE: Project Manager

DATE: 9/30/14

Commitments increased as of month ending August 30, 2014

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	July 2014 Commitment	August 2014 Commitment	Increase
FIRST TIER			
Catherine Bassetti	\$ 18,800.86	\$ 22,555.86	\$ 3,755.00
DHD Trucking	\$ 974,852.50	\$ 1,004,282.50	\$ 29,430.00
EPC Consultants, Inc.	\$ 723,484.00	\$ 780,846.00	\$ 57,362.00
*J. Keiser & Associates		\$ 31,271.20	\$ 31,271.20
MindSeekers, LLC	\$ 182,892.14	\$ 195,016.64	\$ 12,124.50
*Neppel Electrical		\$ 473,613.72	\$ 473,613.72
Oatridge Security Group Inc	\$ 3,421,759.00	\$ 3,542,102.00	\$ 120,343.00
O'Neill Service Group	\$ 527,320.03	\$ 547,437.28	\$ 20,117.25
Osiris Enterprises LLC	\$ 199,025.50	\$ 209,854.50	\$ 10,829.00
Savage Logistics, LLC	\$ 544,323.00	\$ 555,363.00	\$ 11,040.00
Sundancer Electric Inc.	\$ 3,634,219.53	\$ 3,707,436.42	\$ 73,216.89
Transcon Company LLC	\$ 2,302,326.26	\$ 2,312,336.49	\$ 10,010.23
*United Professional Caulking		\$ 79,250.00	\$ 79,250.00
*Washington State Trucking		\$ 184,470.00	\$ 184,470.00
VENDORS			
Highway Specialties LLC	\$ 229,974.59	\$ 230,806.79	\$ 832.20
ST Fabrication/ Jabez	\$ 2,322,572.11	\$ 2,325,362.11	\$ 2,790.00
The Bag Lady	\$ 278,994.19	\$ 281,427.50	\$ 2,433.31
Wayron	\$ 2,270,320.65	\$ 2,579,919.86	\$ 309,599.21
West Coast Printing	\$ 18,975.40	\$ 19,402.45	\$ 427.05
LOWER TIER			
AMEC (Non-DBE sub)			
NVL Laboratories (LT to	\$ 15,740.04	\$ 16,255.02	\$ 514.98
Frank Coluccio Construction			
Grady Excavating, Inc. (LT to	\$ 50,643.29	\$ 47,229.49	\$ (3,413.80)
Transcon (LT to FCCC)	\$ 203,495.69	\$ 201,995.69	\$ (1,500.00)
FPS ENCON PRECAST, LLC now			
Savage Logistics, LLC (LT to	\$ 1,425,031.80	\$ 1,674,189.37	\$ 249,157.57
Savage Logistics, LLC (LT to	\$ 6,740.48	\$ 9,816.08	\$ 3,075.60
HNTB (Non-DBE sub)			
CivilTech Engineering (LT to	\$ 755,562.71	\$ 771,156.25	\$ 15,593.54
Wakerobin (LT to HNTB)	\$ 54,758.00	\$ 65,558.00	\$ 10,800.00
Holocene Drilling Inc (Non-DBE)			
Yonemitsu Geological Services	\$ 27,916.25	\$ 52,650.06	\$ 24,733.81
JH KELLY - FISK ELECTRIC (Non-			
Mobile Electrical Distributors	\$ 3,964,850.00	\$ 4,010,903.00	\$ 46,053.00
JH KELLY - SICE (Non-DBE sub)			
Sundancer Electric (LT to	\$ 2,880.62	\$ 3,331.29	\$ 450.67
MAYES TESTING ENGINEERS, INC.			
Pacific Geo Engineering (LT to	\$ 25,615.00	\$ 27,440.00	\$ 1,825.00
NCM (Non-DBE sub)			
OMA (LT to NCM)	\$ 114,562.50	\$ 154,702.50	\$ 40,140.00
*Mar-Vac / Marine Vacuum		\$ 4,468.70	\$ 4,468.70
			\$ 1,824,813.63

**ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#42 Invoiced to Date	#42 Commitment	#41 Commitment	#42 - #41 Commitment Change	REMARKS
FIRST TIER										
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 22,555.86	\$ 22,555.86	\$ 18,800.86	\$ 3,755.00	Equals Invoiced to date - Not to Exceed Agreement
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,004,282.50	\$ 1,004,282.50	\$ 974,852.50	\$ 29,430.00	Total of all Purchase Orders, Change Orders, and Contract for street sweeping in STP files = \$1,391,070.69
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 780,846.00	\$ 780,846.00	\$ 723,484.00	\$ 57,362.00	Equals Invoiced to date total - Unit Price Agreement
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 31,271.20	\$ 31,271.20		\$ 31,271.20	Consultant Agreement for hourly rates not to exceed \$50,000 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 195,016.64	\$ 195,016.64	\$ 182,892.14	\$ 12,124.50	Equals Invoiced to date total
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	0%	\$ -	\$ 473,613.72		\$ 473,613.72	Subcontract (#4984-01) for \$473,613.72 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,542,102.00	\$ 3,542,102.00	\$ 3,421,759.00	\$ 120,343.00	Equals Invoiced to date total; Purchase Order Agreement
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 547,437.28	\$ 547,437.28	\$ 527,320.03	\$ 20,117.25	Total Value Contract and Change Orders in STP files (through #010) = \$761,077.00 (Total Not to Exceed - Unit Price Agreement)
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 209,854.50	\$ 209,854.50	\$ 199,025.50	\$ 10,829.00	Equals Invoiced to date total
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 555,363.00	\$ 555,363.00	\$ 544,323.00	\$ 11,040.00	Equals Invoiced to date total; CO#002 Total Revised Final Agreement Amount = \$1,000,000
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 3,707,436.42	\$ 3,707,436.42	\$ 3,634,219.53	\$ 73,216.89	Equals Invoiced to date total
Transcon Company LLC	Underground Electrical Duct Bank Work	S	12-Dec-2013	Active	97%	\$ 2,247,845.35	\$ 2,312,336.49	\$ 2,302,326.26	\$ 10,010.23	CO#005 Total Revised Final Agreement Amount = \$2,312,336.49 in binder
United Professional Caulking (Added on #42)	Harrison Street Expansion Joints	S	12-Aug-2014		0%	\$ -	\$ 79,250.00		\$ 79,250.00	Subcontract (#5053-01) for \$79,250.00 in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	S	12-Aug-2014		16%	\$ 30,000.10	\$ 184,470.00		\$ 184,470.00	Subcontract (#5013-01) for \$184,470.00 in binder (#42)
VENDORS										
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 230,806.79	\$ 230,806.79	\$ 229,974.59	\$ 832.20	Equals Invoiced to date total; Total of Purchase Orders in STP Files = \$246,057.4
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		86%	\$ 2,010,682.99	\$ 2,325,362.11	\$ 2,322,572.11	\$ 2,790.00	Total of all Purchase Orders and Change Orders for structural steel supply in STP files = \$2,325,362.11
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 281,427.50	\$ 281,427.50	\$ 278,994.19	\$ 2,433.31	Total of all Purchase Orders in STP files = \$298,494.45
Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,579,919.86	\$ 2,579,919.86	\$ 2,270,320.65	\$ 309,599.21	Total of all Purchase Orders and Change Orders for TBM struts and TBM junk ring channels in STP files = \$2,632,826.70
West Coast Printing	Business Cards	V	NA	Active	100%	\$ 19,402.45	\$ 19,402.45	\$ 18,975.40	\$ 427.05	Total of Purchase Orders in STP files = \$20,273.28
LOWER TIER										
AMEC (Non-DBE sub)										
NVL Laboratories (LT to AMEC)	Asbestos Analysis, sampling	V	12-Dec-2011	Active	100%	\$ 16,255.02	\$ 16,255.02	\$ 15,740.04	\$ 514.98	per note on STP Accounting report "FIRM DECERTIFIED 4/25/2014"; verified on OMWBE, no Federal Certification as of 10/16/2014 (Note: amount being claimed as DBE was for work performed, invoiced and paid prior to 4/25/2014)
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)										
Grady Excavating, Inc. (LT to FCCC)	Trucking	V	3-Dec-2011	8-Jul-2013	100%	\$ 47,229.49	\$ 47,229.49	\$ 50,643.29	\$ (3,413.80)	Equals Invoiced to date total; per STP Accounting \$47,229.49 is the final reconciled amount
Transcon (LT to FCCC)	install electrical conduit	S	12-Jan-2012	4-Jan-2013	100%	\$ 201,995.69	\$ 201,995.69	\$ 203,495.69	\$ (1,500.00)	Equals Invoiced to date total; per STP Accounting \$201,995.69 is the final reconciled amount
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,674,189.37	\$ 1,674,189.37	\$ 1,425,031.80	\$ 249,157.57	Equals Invoiced to date total; Purchase Order #797; total of Purchase Order Agreement in STP file = \$3,994,286.00
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 9,816.08	\$ 9,816.08	\$ 6,740.48	\$ 3,075.60	Equals Invoiced to date total; Purchase Order #1279; total of Purchase Order Agreement in STP file = \$351,135.60
HNTB (Non-DBE sub)										
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 771,156.25	\$ 771,156.25	\$ 755,562.71	\$ 15,593.54	Equals Invoiced to date total - Unit Price Agreement; Total Not to exceed amount of Contract and Supplemental Agreements in STP file = \$771,156.25
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 906,204.38	\$ 906,204.38	\$ 906,204.38	\$ -	Equals Invoiced to date total
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	14-Jan-2013	100%	\$ 65,558.00	\$ 65,558.00	\$ 54,758.00	\$ 10,800.00	Supplemental Agreement No. 1, dated 7/1/2014, Not to Exceed Amount = \$87,200.00
Holocene Drilling Inc (Non-DBE sub)										
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 52,650.06	\$ 52,650.06	\$ 27,916.25	\$ 24,733.81	Subcontract Agreement (v. 1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
JH KELLY - FISK ELECTRIC (Non-DBE sub)										
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R			84%	\$ 3,357,915.10	\$ 4,010,903.00	\$ 3,964,850.00	\$ 46,053.00	Total value of Purchase Order (including Change Orders) in STP file = \$5,688,963.90
JH KELLY - SICE (Non-DBE sub)										
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1-Jan-2014	100%	\$ 3,331.29	\$ 3,331.29	\$ 2,880.62	\$ 450.67	Equals Invoiced to date total; copy of hourly rate agreement in STP file
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)										
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	100%	\$ 27,440.00	\$ 27,440.00	\$ 25,615.00	\$ 1,825.00	Equals Invoiced to date total; Consultant Agreement for hourly rates in STP files
NCM (Non-DBE sub)										
OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings	V	25-Apr-2014	26-Mar-2014	100%	\$ 154,702.50	\$ 154,702.50	\$ 114,562.50	\$ 40,140.00	Equals Invoiced to date total; Subcontract Agreement in STP file (Total Sum to be determined by T&M Invoice and added by Change Order); last increase by Change Order in STP file for \$114,562.50; STP is actively pursuing receipt of a copy of additional increase by Change Order for \$40,140.00
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	S	10-Apr-2012	Active	100%	\$ 4,468.70	\$ 4,468.70		\$ 4,468.70	Subcontract Agreement for Not to Exceed to be determined per T&M Invoice and added by Change Order in binder
						\$ 64,931,919.63	\$ 81,313,902.85	\$ 79,489,089.22	\$ 1,824,813.63	

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#42 Invoiced to Date	#41 Invoiced to Date	#42 - #41 Invoiced For Aug	Invoiced Date (s) for August 2014	Verified work done prior to Invoice Date	REMARKS
FIRST TIER											
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 22,555.86	\$ 18,800.86	\$ 3,755.00	Inv #178, 8/2/2014	Yes	Invoice in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,004,282.50	\$ 974,852.50	\$ 29,430.00	Inv #'s 477, 481, 484	Yes	Invoices in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 780,846.00	\$ 723,484.00	\$ 57,362.00	Inv #STP7999015	Yes	Invoice in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	S	30-Apr-2014	Active	100%	\$ 31,271.20		\$ 31,271.20	Inv #'s JK111751, JK111756, JK111758	Yes	Invoices in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 195,016.64	\$ 182,892.14	\$ 12,124.50	Inv #'s 32908, 32909, 32916, 32915, 32921, 32928, 32929, 32918, 32922, 32927, 32932, 32933, 32931	Yes	Invoices in binder
Oatridge Security Group Inc	Security Guard Services	V	40826.00	Active	1.00	3542102.00	3421759.00	120343.00	Inv #'s 1848, 1849, 1850	Yes	Invoices in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	94%	\$ 14,388,884.53	\$ 14,462,488.23	\$ (73,603.70)			There was an error on the total Invoiced to Date amount reported for #41 (\$14,462,488.23); per STP Accounting, they accidentally included \$73,603.70 for OMA/ Safe Haven No. 003 in total; the total Invoiced to date shown on #42 (\$14,388,884.53) is the correct total
O'Neill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 547,437.28	\$ 527,320.03	\$ 20,117.25	Inv # 2339	Yes	Invoice in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 209,854.50	\$ 199,025.50	\$ 10,829.00	Inv # 02027	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 555,363.00	\$ 544,323.00	\$ 11,040.00	Inv # 38	Yes	Invoice in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 3,707,436.42	\$ 3,634,219.53	\$ 73,216.89	Inv #'s 6048, 6056, 6065, 6072	Yes	Invoices in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	S	12-Aug-2014		16%	\$ 30,000.10		\$ 30,000.10	Inv #1:081314		Invoice in binder (Note: per contract Invoiced amount is for Mobilization Payment; per WSDOT Inspector, first date of work on-site was 10/2/2014)
VENDORS											
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 230,806.79	\$ 229,974.59	\$ 832.20	Inv #'s 1407653, 1407654, 1408451	Yes	Invoices in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		86%	\$ 2,010,682.99	\$ 1,964,511.99	\$ 46,171.00	Inv #'s 061420, 061418, 061425, 071412, 061419	Yes	Invoices in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 281,427.50	\$ 278,994.19	\$ 2,433.31	Inv #'s 22179, 22187	Yes	Invoices in binder
Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,579,919.86	\$ 2,270,320.65	\$ 309,599.21	Inv #'s 07140034, 07140025	Yes	Invoices in binder
West Coast Printing	Business Cards	V	NA	Active	100%	\$ 19,402.45	\$ 18,975.40	\$ 427.05	Inv #37983	Yes	Invoice in binder
LOWER TIER											
AMEC (Non-DBE sub)											
NVL Laboratories (LT to AMEC)	Asbestos Analysis, sampling	V	12-Dec-2011	Active	100%	\$ 16,255.02	\$ 15,740.04	\$ 514.98	Inv #'s 1202569, 20021763, 20021767	Yes	Invoice in binder; per note on STP Accounting report "FIRM DECERTIFIED 4/25/2014"; verified on OMWBE, no Federal Certification as of 10/16/2014 (Note: amount being claimed as DBE was for work performed, invoiced and paid prior to 4/25/2014)
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)											
Grady Excavating, Inc. (LT to FCCC)	Trucking	V	3-Dec-2011	8-Jul-2013	100%	\$ 47,229.49	\$ 50,643.29	\$ (3,413.80)			Equals Invoiced to date total; per STP Accounting \$47,229.49 is the final reconciled amount
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	4-Jan-2013	100%	\$ 201,995.69	\$ 203,495.69	\$ (1,500.00)			Equals Invoiced to date total; per STP Accounting \$201,995.69 is the final reconciled amount
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,674,189.37	\$ 1,425,031.80	\$ 249,157.57	Inv #'s 14-0797-016, -017, -018, -019, -020, -021, -022, -025, -029, -030, -024, -027, -028, -032, -031, 140290	Yes	Invoices in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 9,816.08	\$ 6,740.48	\$ 3,075.60	Inv #'s 14-1279-07, 14-1279-08, 14-1279-09	Yes	Invoices in binder
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 771,156.25	\$ 755,562.71	\$ 15,593.54	Inv #'s 41066, 41065, 41064	Yes	Invoices in binder

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#42	#41	#42 - #41	Invoiced Date (s) for August 2014	Verified work done prior to Invoice Date	REMARKS
						Invoiced to Date	Invoiced to Date	Invoiced For Aug			
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	14-Jan-2013	100%	\$ 65,558.00	\$ 54,758.00	\$ 10,800.00	Inv #1407-46055	Yes	Invoice in binder
Holocene Drilling Inc (Non-DBE sub)											
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 52,650.06	\$ 27,916.25	\$ 24,733.81	Inv #14-1924 Inv 1	Yes	Invoice in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-14885)	S	1-May-2013	1-Apr-2015	73%	\$ 441,111.36	\$ 415,043.32	\$ 26,068.04	Inv #2012-13-08	Yes	Invoice in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	1-Apr-2015	13%	\$ 538,937.16	\$ 491,673.91	\$ 47,263.25	Inv #2012-14-09	Yes	Invoice in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R			84%	\$ 3,357,915.10	\$ 1,620,375.00	\$ 1,737,540.10	Inv #'s 55088, 55013, 55012, 54910, 54909, 54769, 54765, 54764, 55119	Yes	Invoices in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		50%	\$ 2,468,076.02	\$ 2,369,547.21	\$ 98,528.81	Inv #'s 54763, 55148	Yes	Invoices in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #41)	Electrical Supply #32814	R			100%	\$ 64,000.00	\$ 61,896.14	\$ 2,103.86	Inv #'s 54327, 54429	Yes	Invoices in binder
JH KELLY - SICE (Non-DBE sub)											
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1-Jan-2014	100%	\$ 3,331.29	\$ 2,880.62	\$ 450.67	Inv #6049	Yes	Invoice in binder
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)											
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	100%	\$ 27,440.00	\$ 25,615.00	\$ 1,825.00	Inv #12-410-9	Yes	Invoice in binder
NCM (Non-DBE sub)											
OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings	V	25-Apr-2014	26-Mar-2014	100%	\$ 154,702.50	\$ 114,562.50	\$ 40,140.00	Inv #202855	Yes	Invoice in binder
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	S	10-Apr-2012	Active	100%	\$ 4,468.70		\$ 4,468.70	Inv #'s 51115, 51006	Yes	Invoices in binder
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)											
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		100%	\$ 18,451.00	\$ 14,693.00	\$ 3,758.00	Inv #'s 12-401-12, \$1,958; 12-401-11, \$1,800	Yes	Invoices in binder
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	66%	\$ 459,284.53	\$ 459,248.03	\$ 36.50			Per STP Accounting, this is the correction of a typo
						\$ 64,931,919.63	\$ 61,985,426.99	\$ 2,946,492.64			

**ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#42 Invoiced to Date	#42 Total Payments this Report (August)	#42 Total Payments to Date	#41 Total Payments to Date	Compare Total Pymts to Date (#42 - #41)	Variance	REMARKS
FIRST TIER												
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 22,555.86	\$ 3,755.00	\$ 22,555.86	\$ 18,800.86	\$ 3,755.00	\$ -	Check # 8107 in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,004,282.50	\$ 40,320.00	\$ 944,612.50	\$ 944,612.50	\$ -	\$ 40,320.00	Check #'s 8020, 8147, 7957, 8102 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 780,846.00	\$ 57,362.00	\$ 723,484.00	\$ 666,122.00	\$ 57,362.00	\$ -	Check # 8026 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 267,192.32	\$ 316.25	\$ 267,192.32	\$ 266,876.07	\$ 316.25	\$ -	Check # 8043 in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 31,271.20	\$ 31,271.20	\$ 31,271.20	\$ -	\$ 31,271.20	\$ -	Check # 8027 in binder
Marshbank	King Street - Sewer Relocation	S	7-Aug-2012	Active	96%	\$ 1,279,562.53	\$ 128,759.82	\$ 1,100,802.71	\$ 971,426.34	\$ 128,759.82	\$ -	Check # 8048 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 195,016.64	\$ 6,927.00	\$ 188,089.64	\$ 178,285.14	\$ 6,927.00	\$ -	Check #'s 8213, 8086, 8009 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,542,102.00	\$ 123,038.50	\$ 3,419,063.50	\$ 3,298,720.50	\$ 123,038.50	\$ -	Check # 8099 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 547,437.28	\$ 40,292.38	\$ 547,437.28	\$ 507,144.90	\$ 40,292.38	\$ -	Check # 8104 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 209,854.50	\$ 10,829.00	\$ 199,025.50	\$ 188,196.50	\$ 10,829.00	\$ -	Check # 8070 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 555,363.00	\$ 32,327.50	\$ 555,363.00	\$ 523,035.50	\$ 32,327.50	\$ -	Check #'s 8179, 7964 in binder
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	24%	\$ 1,811,776.49	\$ 103,444.38	\$ 1,721,187.67	\$ 1,617,743.29	\$ 103,444.38	\$ 0.00	Check # 8112 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 3,707,436.42	\$ 94,472.82	\$ 3,693,354.80	\$ 3,598,882.16	\$ 94,472.64	\$ 0.18	Check #'s 7963, 8024, 8162, 8177 in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	S	12-Aug-2014		16%	\$ 30,000.10	\$ 30,000.10	\$ 30,000.10	\$ -	\$ 30,000.10	\$ -	Check # 8130 in binder
VENDORS												
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 230,806.79	\$ 1,089.54	\$ 229,717.25	\$ 228,885.05	\$ 1,089.54	\$ (0.00)	Check # 7982 in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		86%	\$ 2,010,682.99	\$ 11,271.00	\$ 1,975,782.99	\$ 1,964,511.99	\$ 11,271.00	\$ -	Check # 8079 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 281,427.50	\$ 2,433.31	\$ 281,427.50	\$ 278,994.19	\$ 2,433.31	\$ -	Check #'s 7988, 8065 in binder
Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,579,919.86	\$ 98,112.00	\$ 2,358,204.25	\$ 2,260,092.25	\$ 98,112.00	\$ -	Check # 8127 in binder
West Coast Printing	Business Cards	V	NA	Active	100%	\$ 19,402.45	\$ 427.05	\$ 19,402.45	\$ 18,975.40	\$ 427.05	\$ 0.00	Check # 8135 in binder
LOWER TIER												
AMEC (Non-DBE sub)												
NVL Laboratories (LT to AMEC)	Asbestos Analysis, sampling	V	12-Dec-2011	Active	100%	\$ 16,255.02	\$ -	\$ 16,255.02	\$ 13,724.00	\$ 2,531.02	\$ (2,531.02)	Check # 71377 in binder; per STP accounting report, total Invoiced & Paid to date = \$16,255.02; per note on STP Accounting report "FIRM DECERTIFIED 4/25/2014"; verified on OMWBE, no Federal Certification as of 10/16/2014 (Note: amount being claimed as DBE was for work performed, invoiced and paid prior to 4/25/2014)
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)												
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	4-Jan-2013	100%	\$ 201,995.69	\$ -	\$ 201,995.69	\$ 203,495.69	\$ (1,500.00)	\$ 1,500.00	per STP Accounting \$201,995.69 is the final reconciled amount
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,674,189.37	\$ 91,482.94	\$ 1,674,189.37	\$ 1,425,032.00	\$ 249,157.37	\$ (157,674.43)	Check #'s 100459, 100439, 100405, 100399, 100383, 100237, 100291, 100314, 100317, 100322 in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	PICheck up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 9,816.08	\$ 1,025.20	\$ 9,816.08	\$ 6,740.48	\$ 3,075.60	\$ (2,050.40)	Check #100322 in binder
HNTB (Non-DBE sub)												
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 771,156.25	\$ 31,147.80	\$ 754,354.87	\$ 723,207.07	\$ 31,147.80	\$ (0.00)	Check #'s 349610, 350060, 349679 in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	14-Jan-2013	100%	\$ 65,558.00	\$ 10,260.00	\$ 65,018.00	\$ 54,758.00	\$ 10,260.00	\$ -	Check # 350647 in binder
JH KELLY (Non-DBE sub)												
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	29-Dec-2015	39%	\$ 212,037.50	\$ 26,172.50	\$ 197,920.63	\$ 171,748.13	\$ 26,172.50	\$ -	Check #s 128612, 129341 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)												
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-14885)	S	1-May-2013	1-Apr-2015	73%	\$ 441,111.36	\$ 24,764.64	\$ 419,055.78	\$ 394,291.14	\$ 24,764.64	\$ -	Check #40513 in binder (check includes payment for #2783-1533 & #2454-14885)
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	1-Apr-2015	13%	\$ 538,937.16	\$ 44,900.09	\$ 511,990.31	\$ 467,090.22	\$ 44,900.09	\$ -	Check #40513 in binder (check includes payment for #2783-1533 & #2454-14885)
JH KELLY - FISK ELECTRIC (Non-DBE sub)												
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R			84%	\$ 3,357,915.10	\$ 461,291.00	\$ 1,620,375.00	\$ 1,159,084.00	\$ 461,291.00	\$ -	Check #'s 28799, 29220, 28450 in binder (checks include payment for #0444A & #32814)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #41)	Electrical Supply #32814	R			100%	\$ 64,000.00	\$ 51,991.14	\$ 61,896.14	\$ 9,905.00	\$ 51,991.14	\$ -	Check #'s 28799, 29220, 28450 in binder (checks include payment for #0444A & #32814)
NCM (Non-DBE sub)												
OMA (LT to NCM) (Added for #38)	Demo, TruChecking Secants, Wall Openings	V	25-Apr-2014	26-Mar-2014	100%	\$ 154,702.50	\$ -	\$ 154,702.50	\$ 114,562.50	\$ 40,140.00	\$ (40,140.00)	Check # 15569 in binder
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truCheck services	Need	10-Apr-2012	Active	100%	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ -	\$ 4,468.70	\$ -	Check # 16171 in binder
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		100%	\$ 18,451.00	\$ 3,758.00	\$ 18,451.00	\$ 14,693.00	\$ 3,758.00	\$ -	Check # 178987 in binder
SOLDATA - KPG (Non-DBE sub)												
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	66%	\$ 459,284.53	\$ 22,455.14	\$ 468,712.74	\$ 422,297.21	\$ 46,415.53	\$ (23,960.39)	Check #s 3694, 3689, 3566 in binder
						\$ 64,931,919.63	\$ 1,590,166.00	\$ 61,959,718.68	\$ 60,256,795.32	\$ 1,702,923.36	\$ (112,757.36)	

ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#42 Commitment	#42 Invoiced to Date	#42 Total Payments this Report (August)	#42 Total Payments to Date	#42 DBE Amount (as Amended)	#41 DBE Amount (as Amended)	#42 - #41 DBE Amount (as Amended)	(X) Pymt to Date <=#42 DBE Amt *	REMARKS
FIRST TIER														
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 22,555.86	\$ 22,555.86	\$ 3,755.00	\$ 22,555.86	\$ 21,964.36	\$ 18,209.36	\$ 3,755.00		
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 780,846.00	\$ 780,846.00	\$ 57,362.00	\$ 723,484.00	\$ 688,344.00	\$ 630,982.00	\$ 57,362.00	Yes	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 266,876.07	\$ 267,192.32	\$ 316.25	\$ 267,192.32	\$ 142,287.97	\$ 141,971.72	\$ 316.25	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 195,016.64	\$ 195,016.64	\$ 6,927.00	\$ 185,212.14	\$ 185,212.14	\$ 178,285.14	\$ 6,927.00	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,542,102.00	\$ 3,542,102.00	\$ 123,038.50	\$ 3,421,759.00	\$ 3,408,265.00	\$ 3,285,226.50	\$ 123,038.50	Yes	
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	94%	\$ 15,304,523.89	\$ 14,388,884.53		\$ 14,388,884.53	\$ 11,559,521.75	\$ 10,705,848.25	\$ 853,673.50	Yes	Verified <u>only</u> that DBE Amount (as Amended) is less than paid to date total.
Maks Dump Truck (LT to OMA)	Trucking	V	1-Dec-2012	Active	100%					\$ 133,755.00	\$ 40,169.57	\$ 93,585.43	Yes	Verified <u>only</u> that DBE Amount (as Amended) is less than paid to date total.
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 547,437.28	\$ 547,437.28	\$ 40,292.38	\$ 547,437.28	\$ 424,599.40	\$ 392,389.27	\$ 32,210.13	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 209,854.50	\$ 209,854.50	\$ 10,829.00	\$ 199,025.50	\$ 99,523.96	\$ 93,567.96	\$ 5,956.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 86,324.54	\$ 81,451.54	\$ 4,873.00	Yes	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 555,363.00	\$ 555,363.00	\$ 32,327.50	\$ 555,363.00	\$ 555,363.00	\$ 523,035.50	\$ 32,327.50	Yes	
VENDORS														
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 230,806.79	\$ 230,806.79	\$ 1,089.54	\$ 229,974.59	\$ 137,984.75	\$ 137,331.03	\$ 653.72	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		86%	\$ 2,325,362.11	\$ 2,010,682.99	\$ 11,271.00	\$ 1,975,782.99	\$ 1,185,469.79	\$ 1,178,707.19	\$ 6,762.60	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 281,427.50	\$ 281,427.50	\$ 2,433.31	\$ 281,427.50	\$ 168,856.50	\$ 167,396.51	\$ 1,459.99	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,579,919.86	\$ 2,579,919.86	\$ 98,112.00	\$ 2,358,204.25	\$ 2,356,654.25	\$ 2,258,542.25	\$ 98,112.00	Yes	
West Coast Printing	Business Cards	V	NA	Active	100%	\$ 19,402.45	\$ 19,402.45	\$ 427.05	\$ 19,402.45	\$ 19,402.45	\$ 18,975.40	\$ 427.05	Yes	
LOWER TIER														
AMEC (Non-DBE sub)														
NVL Laboratories (LT to AMEC)	Asbestos Analysis, sampling	V	12-Dec-2011	Active	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,029.02	\$ -	\$ 16,029.02	Yes	Per note on STP Accounting report "FIRM DECERTIFIED 4/25/2014"; verified on OMWBE, no Federal Certification as of 10/16/2014 (Note: amount being claimed as DBE was for work performed, invoiced and paid prior to 4/25/2014)
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)														
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,674,189.37	\$ 1,674,189.37	\$91,482.94	\$ 1,674,189.37	\$ 1,674,189.37	\$ 1,394,452.66	\$ 279,736.71	Yes	
HNTB (Non-DBE sub)														
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 771,156.25	\$ 771,156.25	\$ 31,147.80	\$ 754,354.87	\$ 754,354.87	\$ 723,207.07	\$ 31,147.80	Yes	
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	14-Jan-2013	100%	\$ 65,558.00	\$ 65,558.00	\$ 10,260.00	\$ 65,018.00	\$ 65,018.00	\$ 54,758.00	\$ 10,260.00	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	29-Dec-2015	39%	\$ 550,000.00	\$ 212,037.50	\$26,172.50	\$ 197,920.63	\$ 151,869.38	\$ 140,398.13	\$ 11,471.25	Yes	
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)														
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00	\$ 25,615.00	\$ 1,825.00	Yes	
NCM (Non-DBE sub)														
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	S	10-Apr-2012	Active	100%	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ 4,468.70	\$ -	\$ 4,468.70	Yes	
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)														
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		100%	\$ 14,693.00	\$ 18,451.00	\$ 3,758.00	\$ 18,451.00	\$ 18,451.00	\$ 14,693.00	\$ 3,758.00	Yes	
*Note: Verified only that DBE Amount (as Amended) is less than paid to date total.						\$ 81,313,902.85	\$ 64,931,919.63	\$ 1,590,166.00	\$ 61,959,718.68	\$ 42,755,891.92	\$ 41,075,755.77	\$ 1,680,136.15		

Contractor/Subcontractor	Prime Contract/Upper Tier	Number of Journeyman	Number of Apprentices	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Certified Payroll Total Hours Worked	Month Year
BC Traffic	Malcolm Drilling	5	0	Flagger	751	0	638	14-Aug
DHD Trucking	STP	4	0	Street Sweeper	467	0	477	14-Aug
JMR Trucking	OMA	1	0	Truck Driver (Owner Operator)	73	0	73	14-Aug
JP Francis	Shinn 2/DM/JHK	2	0	Plumber	330	0	346	14-Aug
Mak's Dump Trucking	OMA	1	0	Truck Driver (Owner Operator)	163.25	0	168.75	14-Aug
Marshbank	STP	1	0	Operator	3	0	3	14-Aug
OMA - Job 1133 South Portal	STP	20	0	Truck Driver	2214.25	0	1853	14-Aug
Ponglia Trucking	OMA	1	0	Truck Driver (Owner Operator)	148.25	0	200.25	14-Aug
Savage Logistics	STP	2	0	Truck Driver	202.25	0	170.25	14-Aug
Savage Logistics - PO# 797	Lining Precast - FPS Encon	4	0	Truck Driver	466	0	377.25	14-Aug
Sundancer	STP	5	0	Electrician	726	0	717	14-Aug
Tan Trucking	OMA	1	0	Truck Driver (Owner Operator)	214	0	226	14-Aug
Transcon	STP	2	0	Electrician	12	0	12	14-Aug
Trinity Development	OMA	1	0	Truck Driver (Owner Operator)	119	0	124	14-Aug
TRS Trucking	OMA	2	0	Truck Driver (Owner Operator)	386.5	0	384.5	14-Aug
Total		52	0		6275.5	0	5770	

EXHIBIT B:
SR 99 Tunnel Design Build Contract
DBE Certified Payroll Hours Verification, August 2014



**Washington State
Department of Transportation**

Lynn Peterson
Secretary of Transportation

Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

October 17, 2014

Contractor Name
Prequalification #XXXXXXX
Contact Person
Street Address
City, State Zip

Dear Contact Person:

This letter is with regard to Contract No. XXXX – Contract Title completed in Month Year.

At the most recent Prime Contractors Review Panel meeting it was noted that the attached Prime Contractor Performance Report for Contract XXXX reveals an overall performance rating of "Standard" but we would like to draw you attention to the following item: A9 – Compliance with EEO, affirmative Action, and MBE/DBE/WBE requirements which was rated "below Standard". I feel it is important to note the deficiencies in this particularly important area.

Chapter 468-16 of the Washington Administrative Code (WAC) governs the prequalification of contractors, and authorizes the Department to use the Prime Contractor Performance Report as a significant element in the prequalification process. This document is the report the Department uses to measure a contractor's performance on the Department's contracts and make determination of a contractor's continual prequalification with the Department.

WAC 468-16-180 (3) states in part "The Secretary may suspend qualification for:

- (f) Repeated findings of noncompliance with equal employment opportunity or women's minority, and disadvantaged business enterprise requirements.

Contract No. XXXX – Contract Title had a DBE commitment of \$X to DBE Contractor Name for Description of Work. Unfortunately, total payments to DBE Contractor Name fell short of the commitment at just \$X. Include other details.

WSDOT will be closely monitoring your firm's future performance with regard to compliance with EEO, affirmative action, and MBE/DBE/WBE requirements. Please review the deficiencies identified in this contract and make any necessary administrative changes to ensure your future performance scores will be standard or higher.

Should you have any questions, please contact Ms. Jenna Fettig, Manager Contract Ad & Award Office at (360) 705-7017.

Sincerely,

Jeff Carpenter, P.E.
State Construction Engineer

JC:dlr
Enclosure

cc: J. Fettig
Project Engineer
Operations Engineer
Regional Administrator