

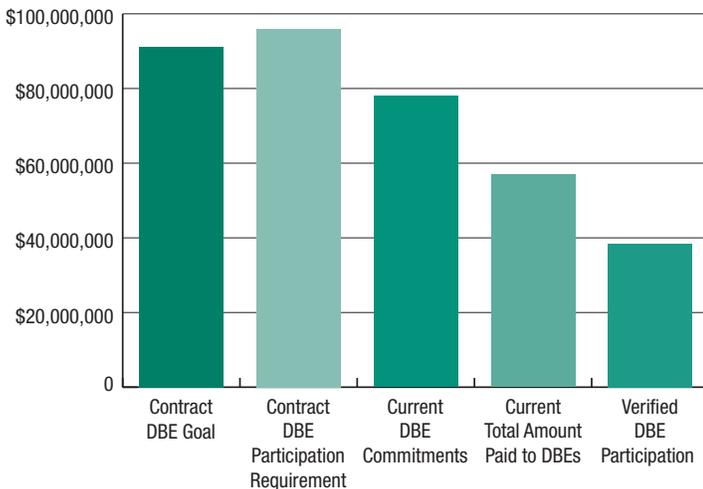
# WSDOT Disadvantaged Business Enterprise program: Monthly progress report to FHWA, August 2014

## Overview

The Washington State Department of Transportation (WSDOT) provides monthly progress reports to the Federal Highway Administration (FHWA) on both project- and program-level Disadvantaged Business Enterprise (DBE) Program participation, pursuant to Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. This monthly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through June 2014; other activities noted reflect work performed through August 2014.

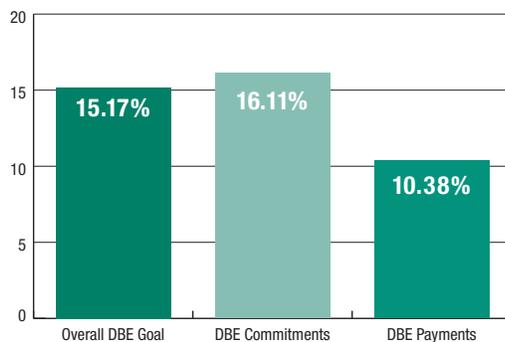
## Progress At a Glance

### AWV project-specific efforts



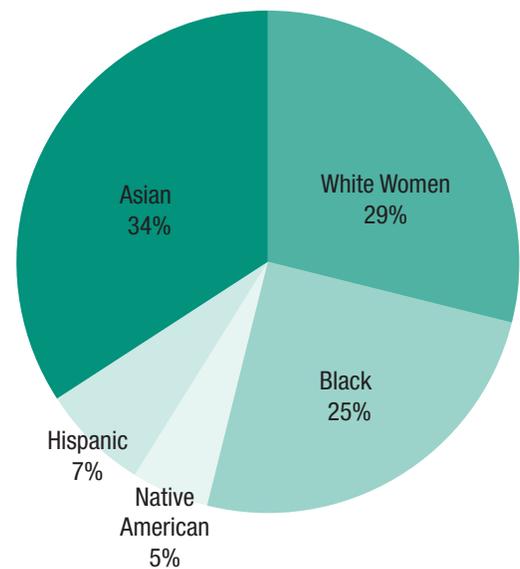
### Agency progress

As of Jul. 31, 2014, WSDOT (Local Program, Consultant Services, and HQ Construction) is presently attaining 16.11 percent in DBE commitments (goals 13.75 percent and voluntary participation 2.36 percent) and 10.38 percent in DBE utilization in payments.



## Improving Oversight, Expanding Opportunities

WSDOT conducted an analysis of the race/ethnicity and gender of the DBE firm owners working on the AWV project for Tabor 100, a community-based group. This information is provided below:



Race/Ethnicity and Gender	# DBEs
Black	21
Native American	4
Hispanic	6
Asian	28
White Women	24
<b>Total</b>	<b>83</b>

## AWV project-specific progress, based on DBE Progress Report #40, June 2014

### Seattle Tunnel Partners (STP) efforts to achieve DBE contract goal

- **DBE Program Coordinator.** WSDOT and STP are under discussions regarding the role and responsibilities of the DBE Coordinator, who has submitted the first two monthly reports for review and comments. These reports will be finalized following WSDOT and STP agreement regarding roles and responsibilities.
- **DBE Subcontracting Oversight Committee.** The Subcontracting Oversight Committee is comprised of decision makers from WSDOT's AWV Project Team, Washington State Office of Minority & Women's Business Enterprises (OMWBE), WSDOT's Office of Equal Opportunity and HQ Construction office. Topics of discussion included status reports on procurements that were underway. No new procurements were identified or discussed.
- **DBE Communications.** STP reported they had 45 communication sessions with 26 DBE firms under Progress Report #40 (June 2014). (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report # 40). The reported sessions do not include the commercially reasonable discussions that were discussed below. These communications sessions generally consist of telephone calls, emails, and drop in sessions.
- **Commercially Reasonable Discussions:** STP has completed the majority of their discussions with the eight DBE Complainants listed in the United States Department of Transportation Federal Highway Administration (FHWA) investigative report. Subcontracts have been executed with 6 of the 8 complainants. Subcontracting negotiations are still underway with one of the complainants. Subcontracting with the last complainant will not be possible as this company has gone out of business and filed for bankruptcy. (Note: Negotiations regarding scope of work for a contract with the lead complainant of the FHWA investigation are complete and a subcontract between that DBE trucking firm and STP was executed on 8/12/2014)
- **DBE Opportunities.** DBE opportunities generated through the purchase of materials, technical and professional consulting, and assistance through the procurement of services, personnel, or equipment necessary for the project are included in STP DBE Progress Report #40 (June 2014). As shown on page 3 of the report, STP anticipates approximately \$2.6 million in DBE opportunities in upcoming or current bids. (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report #40).
- **Related STP activities anticipated for the next reporting period:**
  - Subcontracting Oversight Committee will continue to meet and advise STP on potential subcontracting opportunities and change-ordered work.
  - STP will continue their communications and outreach to the DBE Community.
- **DBE Outreach.** The AWV DBE Administrator continued outreach to the DBE contracting community, including discussions multiple DBE engineering firms and two environmental firms. Discussions were initiated with leaders of a local truckers' consortium to explore contracting opportunities on the Tunnel Boring Machine (TBM) recovery efforts. The DBE Administrator also attended the National Association of Minority Contractors monthly membership meeting.

### Certification of Monetary Commitments

- AWV Project Staff used the Monthly DBE Progress Report Verification Procedures to verify the commitments and participation reported by STP in Progress Report #40 (See Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report # 40). The verification process was explained in detail in the July progress report.
  - Of the \$6.4M amount claimed in DBE participation, STP is reducing overall project DBE participation by \$35,736.64, as a result of administrative errors on their part. These payments could be reapplied toward DBE Amounts (As Amended) on a future DBE Progress Report.
  - Of the \$6.4 M amount claimed in DBE participation in DBE Progress Report #40, \$5.8 M is being claimed in participation with a DBE trucking firm. WSDOT is currently working on validating the \$5.8 M in DBE the trucking firm's participation. Thus, for this reporting period, WSDOT has only verified \$0.6 M in DBE Amount as amended.
  - In the instances where the results of WSDOT's verification process conflicted with the information submitted by STP, WSDOT is

working with STP to determine the cause of and reach resolution on discrepancies.

- **Worksite Monitoring.** WSDOT monitors work sites to ensure work committed to each DBE is/has been actually performed by DBE. During the reporting period of June 2014:
  - o Six on-site reviews performed on the project.
  - o Additional DBE onsite reviews will be performed as new DBE firms are provided work on the project.
  - o Total hours reported on verified Certified Payrolls were 7957.5. The breakdown by skill craft may be found in Exhibit B: DBE Certified Payrolls Hours Verification, June 2014.
- **Monetary commitments.**
  - o AWV received STP Progress Report #40, on August 1, 2014. (See Exhibit A: Attachment #1, STP Monthly DBE Progress Report #40)

- Contract DBE Participation Requirement	\$96,000,000
- Contract DBE Goal	\$91,176,000
- Current DBE Commitments	\$77,978,550.27
- Current Total Amount Paid to DBEs	\$58,831,768.78
- Current DBE participation	\$38,451,686.27

#### **Utilization, prompt payment and retainage**

- AWV is researching how to best capture and report on post-subcontract prompt payment and retainage. WSDOT is currently working with STP to obtain this information on a monthly basis as part of the monthly DBE Progress Report.

#### **Monitoring and investigation of complaints regarding DBE participation**

- **AWV Commercially Useful Function Reviews.** 20 Commercially Useful Function Reviews (CUFs) have been conducted for the AWV project in total. Of those, 14 are completed (2 of which were administratively closed) and six remain active. To date, two CUF reviews were found non-compliant.
- **Resolving issues.** The DBE Administrator assists DBE firms with finding resolution to problems or concern early, serving as a liaison to WSDOT in determining the issue at hand and how best to move forward. The primary issues of concern communicated thus far by DBE firms are receipt of prompt payment and challenges in meeting the requirements of the Project Labor Agreement (PLA).
- **Payment Resolution:** One complaint regarding prompt payment involving a fueling company has been resolved.
- **Other issues:** The PLA has been an issue of a concern for some small DBE firms. In August, the AWV DBE Program Administrator met with an executive from Building Trades to explore ways to ensure that the PLA does not impede access to the project.

#### **Exhibits:**

Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report # 40

Attachment #1, STP DBE Progress Report No. 40, June 2014

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Exhibit B: DBE Certified Payrolls Hours Verification, June 2014

## WSDOT DBE program-level progress

### DBE monitoring process and procedures

- **DBE Automated Reporting.** The [D/M/W/SBE Participation Tracking System](#) (DBEP) was deployed on WSDOT-let construction contracts executed on or after May 1, 2014. Modifications were made specifically for Design-Build projects and the system is being used by Design-Build project staff. The Consultant Services Office (CSO) is working on implementing the DBEP Program for professional service agreements. CSO is currently developing a communication and outreach plan for the new reporting system with a target implementation date of October 2014.
- Related activities anticipated for the next reporting period:
  - o Local Programs is working on implementing a similar system for local jurisdiction projects. Activities for Local Program DBE IT system will be included in the September 2014 report.

### Internal training and evaluation

- **WSDOT and Local Agency Staff DBE Program Training.** WSDOT has developed performance competencies related to the DBE Program. As soon as a DBE Program General Special Provision has been vetted externally and reviewed and approved by the Federal Highway Administration (FHWA), WSDOT will plan to provide internal and external stakeholder training. Performance competencies will be implemented as soon as internal training can be provided.
- **DBE Certification Training.** WSDOT has coordinated with the FHWA Office of Civil Rights and FHWA Washington Division to provide DBE Certification and North American Industry Classification System Codes training to the Office of Minority & Women's Business Enterprises, and other WSDOT staff. This training is scheduled to occur on Nov. 13, 2014 in Olympia, Washington.

### Design-build specifications, goals and performance

- **Creating Transparency and Accountability.** WSDOT is currently developing the DBE Program Roles and Responsibilities and DBE Program Executive Order for inclusion in the DBE Program Participation Plan. These documents are in draft form and a communication and outreach plan is being developed to guide discussions with all vested stakeholders prior to implementation. Stakeholder comments and suggestions will be taken into consideration and the documents will be revised accordingly. A DBE Program update will be provided to the agency's DBE Advisory Group on Aug. 28, 2014.
- **Design-Build Specifications.** The Office of Equal Opportunity (OEO), HQ Construction and Local Programs have been developing DBE Program implementation conceptual ideas for Design-Build projects. These concepts need further refinement and vetting before additional information is reported.
- **DBE Support Services.** The contract for the DBE Long Term Business Development Program was executed in late July. Documentation, processes and procedures are currently being developed. The program is anticipated to be fully developed and ready to deliver assistance beginning in September 2014. Assistance to DBEs who have not been successful in bidding on the AWV project will be a priority of the program.

**Americans with Disabilities Act (ADA) Information:** This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at [wsdotada@wsdot.wa.gov](mailto:wsdotada@wsdot.wa.gov) or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

**Title VI Statement to Public:** It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinators, George Laue at (509) 324-6018 or Jonté Sulton at (360) 705-7082.



**EXHIBIT A**

August 20, 2014

TO: Bobby Forch, DBE Administrator AWW

FROM: Dawn McIntosh, P.E.

SUBJECT: Validation STP Monthly DBE Progress Report No. 40

Review of STP Monthly DBE Progress Report No. 40 (Report No. 40) began 8/6/2014 and was completed on 8/15/2014. (See Attachment 1)

- DBE Participation \$38,451,686.27
- DBE Contractual Requirement (Change Order 91) \$96,000,000
- DBE Goal \$91,176,000
- Commitments \$77,978,550.27

STP reported 86 subcontractors with 133 subcontracts. Comparing Report No. 40 to Report No. 39 resulted in changes as follows:

- 18 Commitment changes totaling \$492,744.38 increase (Attachment 2)
- 27 Invoice to Date changes totaling \$2,140,259.85 increase (Attachment 3)
- 37 Payments for Report No. 40 totaling \$1,844,808.47 increase (Attachment 4)
- 24 DBE Amount (as Amended) changes totaling \$6,415,530.55 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 40 (June 2014) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated.

Invoice to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

It should be noted that the \$5,825,164.67 DBE Amount (As Amended) being claimed by STP for work performed by OMA Trucking has not been verified by WSDOT. This increase in participation is for work that has been invoiced by and paid to OMA but not previously counted toward DBE participation. According to STP, they had not received the documentation required from OMA and had not performed their internal review in order to justify the increase in claimed DBE Amount (As Amended) prior to this time. The AWV Office, HQ OEO and FHWA will need to reach agreement on the process for reviewing and approving the \$5.8 M in DBE participation by OMA.

In addition, STP adjusted the DBE Amounts (As Amended) for DBE Electric (lower tier to STP) and Scheduling and Information Services (lower tier to JH Kelly) due to administrative errors. The DBE Amount (As Amended) was reduced by \$6,405.39 for DBE Electric and reduced by \$29,331.25 for Scheduling and Information Services. Once STP has completed their internal review and a determination made that these payments are eligible they will include them in future DBE Amounts (As Amended).

No new DBE subcontractors were added this Report.

Existing subcontractors receiving new work:

- Sundancer (Lower Tier to Marshbank)
- ProUSA (Lower Tier to Marshbank)

Conclusion:

STP's Report No. 40 is acceptable. Additional work will be required to verify the \$5.8M in DBE participation for OMA Trucking once a verification . The STP Binder for Report No. 40 was helpful for validating the payment information and some of the commitments. However, in order to verify the invoiced and commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 40
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation

cc: Paul Johnson, P.E.



**ATTACHMENT #1:  
DBE Progress Report #40**

Seattle Tunnel Partners  
999 Third Avenue, Suite 2424  
Seattle, WA 98104

WSDOT Contract No. 007999  
Federal Aid No. BR-NH-STP-STPF-0099(111)  
SR 99 Tunnel Project  
Phone: 206-971-8701 Fax: 206-971-8702

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## **Seattle Tunnel Partners**

### **SR 99 Tunnel Project**

**Monthly DBE Progress Report No. 40**  
**Progress Report Period: June 1, 2014 – June 30, 2014**  
**Submission Date: Thursday, July 31, 2014**



Seattle Tunnel Partners  
 999 Third Avenue, Suite 2424  
 Seattle, WA 98104

WSDOT Contract No. 007999  
 Federal Aid No. BR-NH-STP-STPF-0099(111)  
 SR 99 Tunnel Project  
 Phone: 206-971-8701 Fax: 206-971-8702

### DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$77,978,550.27
Percent of DBE commitments:	6.842%
DBE participation:	\$38,451,686.27
Percent of DBE participation :	3.374%

Please see attached STP Form DBE09 for further details.

### DBE outreach

The below metrics were achieved during the month of June 2014.

<i>Goal</i>	<i>Outcomes for June 2014</i>
Reach DBE Community	STP had 45 communication sessions with 26 DBE firms.



Seattle Tunnel Partners  
 999 Third Avenue, Suite 2424  
 Seattle, WA 98104

WSDOT Contract No. 007999  
 Federal Aid No. BR-NH-STP-STPF-0099(111)  
 SR 99 Tunnel Project  
 Phone: 206-971-8701 Fax: 206-971-8702

**Anticipated DBE Opportunities**

The below chart summarizes the pending anticipated opportunities, the potential value of those opportunities, and description.

PENDING OPPORTUNITIES - STP		Anticipated DBE Opportunities	Notes
Upcoming/current STP bids	DBE Opportunity/Scope		
Operation buildings - PENDING CONTRACT EXECUTION BY LOWER TIERS	Operation Buildings	\$ 429,403.00	STP has additional commitments for lower tier DBE participation within the operation buildings (under Kone).
Cable Railing & Fall Protection	Furnish/Install Misc. steel	\$ 556,274.00	STP awarded contract to DBE firm, Pending Execution
Northbound precast slab roadway - PENDING CONTRACT EXECUTION BY LOWER TIERS	Lower tier: Trucking	\$ 582,785.10	STP has awarded this scope to Concrete Technology Corp. with a commitment to transport with a DBE trucking company.
Underground 26KV service for the North Operations Building and installation of underground ITS facilities - PENDING CONTRACT EXECUTION	Underground utilities	\$ 278,000.00	STP awarded contract to DBE firm, Pending Execution
Haul, demo, and dispose of 130 tunnel segments Harrison Street Hangers and Conduit	Haul and disposal Electrical	\$ 184,470.00 \$ 473,614.00	STP awarded contract to DBE firm, Pending Execution STP awarded contract to DBE firm, Pending Execution
<b>AZ - TOTAL PENDING POSSIBLE OPPORTUNITIES</b>		<b>\$ 2,504,546.10</b>	
PENDING OPPORTUNITIES - LOWER TIER		Estimated Possible Opportunities	Notes
Lower tier	DBE Opportunity/Scope		
BASF (Oil & greases)	Lower tier: Trucking Lower tier: Mechanical consultant	\$ 13,213.00 \$ 50,000.00	Total potential local transportation costs identified as \$25,000. Listed value shows deductions to trucking as values are achieved by BASF. Potential \$50,000 for mechanical.
BASF (Foams & greases / soil conditioners)	Lower tier: Trucking Lower tier: Mechanical consultant	\$ 25,000.00 \$ 50,000.00	Total potential local transportation costs identified as \$25,000. Potential \$50,000 for mechanical.
<b>B2 - TOTAL Possible opportunities</b>		<b>\$ 138,213.00</b>	
<b>POSSIBLE FUTURE OPPORTUNITIES</b>		<b>\$ 2,642,759.10</b>	

MONTHLY DBE PROGRESS REPORT (From DBE-09)  
REPORT FOR MONTH ENDING: 6/30/14

Contract:  
Number: 007999

Contract:  
Number: 007999

Contract Title:  
AMV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): \$ 1,360,748,244.58 % Complete: 66%  
DBE Goal Dollar Value: \$ 91,176,000.00  
DBE Goal: \$ 0.08

Prime Contractor:  
Seattle Tunnel Partners

Prime Contractor:  
Seattle Tunnel Partners

Through 6/30/2014 (all numbers exclude WSP)  
Total payment to Prime to date: \$ 900,126,002.50  
Total amount invoiced to date: \$ 915,970,656.74  
Amount of last payment to Prime: \$ 15,413,962.56

Date of last payment to Prime: 6/26/2014  
Total of last payment to Prime: \$ 15,413,962.56  
Total value of DBE commitments: \$ 77,978,550.27  
% of DBE Commitments: 6.842%

Total value of DBE amount (as Amended): \$ 38,451,686.27  
% of DBE Amount (as Amended): 3.374%

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
<b>FIRST TIER</b>	<b>FIRST TIER</b>								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00	\$ -	\$ 720.00	\$ 720.00
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20	\$ -	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D1F0022489	NOB/SOB - Furnish & install shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -	\$ -	\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00	\$ -	\$ 189,938.00	\$ 189,938.00
CZPM	#D4F9520654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00	Closed	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313319	Progress photography	5-Mar-12	100%	\$ 14,665.86	\$ 14,665.86	\$ -	\$ 14,665.86	\$ 14,074.36
CivilTech Engineering	#D4M8218025	Design & Consulting	31-Jul-2012	100%	\$ 460,059.53	\$ 460,059.53	\$ 10,177.50	\$ 426,763.53	\$ 426,763.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit;	28-Feb-12	96%	\$ 1,005,997.49	\$ 958,611.21	\$ -	\$ 987,067.07	\$ 641,798.43
DHD Trucking	#D3M19620749	Street Sweeping	10-Jan-12	100%	\$ 935,882.50	\$ 935,882.50	\$ 35,730.00	\$ 915,722.50	\$ 915,722.50
Duncan Asphalt	#DMSM9822253	MOT-2 1/2 & SBOFF Paving and CSBC Supply	11-Apr-04	100%	\$ 40,000.00	\$ 40,000.00	\$ -	\$ 40,000.00	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,345.00	\$ 22,345.00	\$ -	\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 666,122.00	\$ 666,122.00	\$ 57,362.00	\$ 608,760.00	\$ 579,620.00
Exeltech Consulting Inc	#D4M12304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 354,893.66	\$ 354,893.66	\$ -	\$ 337,551.25	\$ 337,551.25
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile; North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,951.00	\$ -	\$ -	\$ -	\$ -
Global Concrete Cutting	#D3M15522412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00	\$ -	\$ 1,615.00	\$ 1,615.00
Global Geophysics	#D4M9621256	Vibration Monitoring	21-May-2012	100%	\$ 343,159.54	\$ 343,159.54	\$ -	\$ 343,159.54	\$ 343,159.54
Grady Excavating	#D2F9320267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	Closed	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M19422332	South Portal Trucking	24-Jul-2012	100%	\$ -	\$ -	Closed	\$ -	\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 266,876.07	\$ 266,876.07	\$ 477.65	\$ 266,574.82	\$ 137,899.82
On-Site Environmental Inc. (LT to Greylock)	#D3M5310581	Environmental Analytical Testing	14-Dec-2011	100%	\$ -	\$ -	\$ -	\$ -	\$ 69,292.75
J. Harper Contractors Inc.	#D4M15310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00	\$ -	\$ 1,473,764.00	\$ 1,455,382.26
JP Francis & Associates, Inc.	#D3M6818112	Mitigation building - plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86	\$ -	\$ 50,835.31	\$ 49,999.54
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Mitigation building - plumbing and mechanical Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00	\$ -	\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BHP Relocation/ Terminal 46 Reefer Racks - Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	\$ -	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M15522412	Concrete cutting	26-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M18019993	Trucking	28-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M17218072	Rebar	30-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Lorache CAD/IT Services LLC	#D3M18218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57	\$ -	\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 42,257.94
Sunset Welding Inc. (Subcontract to Leajak, 3rd)	#D5M17218072	Rebar	10-Jul-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 7,000.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 53,712.51	\$ 53,712.51	\$ -	\$ 53,712.51	\$ 53,712.51
Marshbank	#D1M4711507	Power Relocation	7-Aug-2012	73%	\$ 1,295,828.90	\$ 1,022,554.04	\$ -	\$ 587,732.74	\$ 547,570.12
Mar-Vac (Marine Vacuum Service Inc.)	#D4M11302341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	Closed	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#D4M11302341	Vac-truck services	18-Jul-2012	100%	\$ 169,618.08	\$ 169,618.08	\$ -	\$ 162,467.29	\$ 162,467.29
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 174,373.14	\$ 174,373.14	\$ 12,507.00	\$ 164,314.39	\$ 154,314.39
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	Closed	\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 3,179,279.25	\$ 3,179,279.25	\$ -	\$ 3,066,927.50	\$ 3,053,493.50
OMA Construction Inc.	#D4M12316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	93%	\$ 14,605,956.70	\$ 14,285,850.91	\$ -	\$ 14,285,850.91	\$ 9,006,230.58
C & E Trucking Corp (LT to OMA)	#D3M19322750	Trucking	18-Nov-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ -
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 52,196.16
Maks Dump Truck (LT to OMA)	#D3M8019334	Trucking	1-Dec-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 40,169.57
Ponglia Trucking Inc. (LT to OMA)	#D4M18019914	Trucking	17-Feb-2013	100%	\$ -	\$ -	\$ -	\$ -	\$ 17,998.75
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ -
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 41,630.00
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 900,000.00	\$ 73,603.70	\$ -	\$ 73,603.70	\$ -
O'Neill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 450,456.18	\$ 450,456.18	\$ 116,647.85	\$ 450,456.18	\$ 35,700.55

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Osirix Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 188,196.50	188,196.50	\$ 11,765.00	\$ 176,797.50	\$ 87,044.96
Rose Super Clean and Park	#D5M5420597	Janitorial Services	6-Apr-2012	100%					\$ 76,321.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	80%	\$ 779,490.00	625,272.77	\$ 27,112.00	\$ 594,031.39	\$ 605,864.84
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#D5M8019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 141,929.15	141,929.15	\$ -	\$ 135,464.15	\$ 135,464.15
Savage Logistics, LLC	#D2F8020092	Freight	29-Mar-2013	100%	\$ 523,035.50	523,035.50	\$ 11,173.50	\$ 490,574.50	\$ 490,574.50
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	8,360.00	Closed	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M6818443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,558,973.12	1,858,973.12	\$ -	\$ 1,801,171.81	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M18218841	Mechanical	25-Sep-2012	100%					\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%			Closed		\$ 93,702.85
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%			Closed		\$ 10,452.00
ST Fabrication/ Jabez	#D4M6818443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	1,946,410.88	\$ -	\$ 1,937,026.08	\$ 1,162,632.72
Steelkorr	#D4M18822652	Furnish and install all structural steel	31-Oct-2013	16%	\$ 7,639,052.00	1,235,265.84	\$ 424,335.76	\$ 590,322.09	\$ 165,945.53
Sundancer Electric Inc.	#D1M18522607	Tunnel Electrical	17-Jan-2013	100%	\$ 3,567,944.38	3,567,944.38	\$ 76,156.46	\$ 3,532,623.08	\$ -
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	98%	\$ 2,302,726.20	2,247,845.35	\$ 229,404.12	\$ 2,247,845.35	\$ 1,951,774.84
TripleNet Technologies	#D5M7218139	STP Website	9-Jan-2012	100%	\$ 7,762.00	7,762.00	Closed	\$ 7,762.00	\$ 7,762.00
<b>VENDORS</b>									
Birch Equipment Rental & Sales, Inc.	#D2F9422284	Equipment: Blast Pcts, Light Tower, Generator, Air	NA	100%	\$ 286,335.54	286,335.54	\$ -	\$ 286,335.54	\$ 171,801.32
Excel Supply Company	#D4F2312899	Safety Supplies	NA	100%	\$ 17,885.69	17,885.69	\$ 187.64	\$ 17,885.69	\$ 10,731.41
Highway Specialties LLC	#D2F8719389	Construction Signage, Traffic Drums, lights, safety, T-11As	NA	100%	\$ 225,433.61	225,433.61	\$ 8,455.61	\$ 221,280.06	\$ 132,768.04
Quality Discount Supplies & Services (John W.	#D3M0790021	Office supplies	NA	100%	\$ 4,025.93	4,025.93	\$ -	\$ 4,025.93	\$ 2,415.56
ST Fabrication/ Jabez	#D4M6818443	Fabrication & Supply	NA	86%	\$ 2,282,974.11	1,964,511.99	\$ -	\$ 1,954,511.99	\$ 1,178,707.19
The Bag Lady	#D2F6016394	Construction Entrance Plates & Straw bales	NA	100%	\$ 278,994.19	278,994.19	\$ -	\$ 278,994.19	\$ 167,396.51
Wayron	#D2F9771571	Fabrication	9-Jan-2012	100%	\$ 2,770,320.65	2,770,320.65	\$ -	\$ 2,260,092.25	\$ 2,253,542.25
West Coast Printing	#D4M10100930	Business Cards	NA	100%	\$ 18,779.39	18,779.39	\$ -	\$ 18,779.39	\$ 18,779.39
<b>LOWER TIER</b>									
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 15,740.04	15,740.04	\$ -	\$ 13,724.00	\$ -
BASF (Non-DBE sub)	BASF								
JP Francis & Associates (LT to BASF)	#D2M46218112				\$ -	\$ -	\$ -	\$ -	\$ -
BASF - KTI Logistics (Non-DBE sub)									
Savage Logistics, LLC (LT to KTI)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	14,949.00	\$ 540.00	\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT)	#D2F4817642	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 819,370.21	819,370.21	\$ 161,661.57	\$ 789,750.05	\$ 473,850.03
Frank Coluccio Construction Company (FCCC)	FCCC								
CivilTech Engineering (LT to FCCC)	#D4M8218825	Design services	1-Sep-2011	100%	\$ 92,527.50	92,527.50	\$ -	\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A-2	2-Dec-2011	100%	\$ 172,944.68	172,944.68	\$ -	\$ 172,944.68	\$ 122,455.04
Grady Excavating, Inc. (LT to FCCC)	#D2F9320267	Trucking	3-Dec-2011	100%	\$ 50,643.29	50,643.29	\$ -	\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	28,415.44	\$ -	\$ 28,415.44	\$ 28,415.44
Transton (LT to FCCC)	#D4M11022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	203,495.69	\$ -	\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCC - FD Thomas								
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	19,586.50	\$ -	\$ 19,586.50	\$ -
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	81,558.00	\$ -	\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	27-Jul-2013	100%	\$ 1,425,031.80	1,425,031.80	\$ 51,382.60	\$ 1,425,032.00	\$ 1,394,452.66
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 6,740.48	6,740.48	\$ 1,025.20	\$ 6,740.48	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	2,143.97	\$ -	\$ 2,143.97	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	828.00	\$ -	\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F1606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	85,047.31	\$ -	\$ 85,047.31	\$ -
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M7218480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	22,571.00	\$ -	\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	122,373.75	\$ -	\$ 122,373.75	\$ 122,373.75
Bolima Drafting & Design Inc (LT to HNTB)	#D1M10205127	CADD Drafting	13-Aug-2011	100%	\$ 601,225.43	601,225.43	\$ -	\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	4,350.95	\$ -	\$ 4,350.95	\$ 4,350.95

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Chudgar Engineering Company (LT to HNTB)	#D4149019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4118218825	Utility Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 738,652.85	\$ 738,652.85	\$ 17,095.05	\$ 721,822.34	\$ 721,822.34
Convergent Pacific (LT to HNTB)	#D4M7218854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,463.27	\$ 176,463.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4118020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	
Integrated Design Engineers, LLC (LT to HNTB)	#D4118719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 877,455.50	\$ 877,455.50		\$ 867,138.68	\$ 867,138.68
Osborn Consulting Inc. (LT to HNTB)	#D2F8719030	Temporary Erosion & Sediment Control Backwater	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0600189	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#D5M8019806	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 374,654.36	\$ 374,654.36	\$ 1,520.00	\$ 374,596.17	\$ 371,133.63
Schemata Workshop (LT to HNTB)	#D4F9821115	Architectural Specifications	16-Apr-2012	100%	\$ 182,837.53	\$ 182,837.53		\$ 182,659.93	\$ 182,659.93
Wakerobin (LT to HNTB)	#D2F8019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 54,758.00	\$ 54,758.00		\$ 54,758.00	\$ 54,758.00
Yonemitsu Geological Services (LT to HNTB)	#D4110113447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#D4M9621256	Geophysical Consulting Services	1-Mar-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4110113447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 27,916.25	\$ 27,916.25		\$ 27,916.75	\$ 27,916.25
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS.								
ProtUSA	#D3119422332	Trucking	23-Nov-2011	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services	#D2F8118862	Project Controls and Scheduling	8-Apr-2013	36%	\$ 550,000.00	\$ 195,362.50		\$ 159,683.13	\$ 130,351.89
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4112316494	Underground Piping	1-Oct-2012	8%	\$ 112,640.00	\$ 9,395.00		\$ 8,925.25	\$ 8,925.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D2F9821571	Fabricating Tunnel Ventilation Braces	13-Feb-2013	20%	\$ 546,350.25	\$ 158,854.17	\$ 133,906.67	\$ 158,854.17	\$ 158,854.17
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	#D3M6918112	Mechanical	1-May-2013	67%	\$ 409,475.00	\$ 409,999.81	\$ 8,476.80	\$ 388,549.81	\$ 388,549.81
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	#D2M6818112	Mechanical	1-Aug-2013	11%	\$ 4,117,572.00	\$ 447,170.53	\$ 37,758.18	\$ 342,866.34	\$ 342,865.24
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0185A	27-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0144A		100%	\$ 3,964,850.00	\$ 968,538.70	\$ 120,395.64	\$ 968,538.70	\$ 440,625.31
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0572A	10-Jul-2013	37%	\$ 4,900,000.00	\$ 1,793,446.64	\$ 284,861.60	\$ 1,793,446.64	\$ 973,411.55
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0720A	1-Jun-2012	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0680A	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.06	\$ 2,760.06		\$ 2,760.06	\$ 1,656.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 914.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F5917012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40			
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical Supply and Install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.05	\$ 21,059.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1118522607	Electrical contractor	1-Jan-2014	100%	\$ 2,880.62	\$ 2,880.62	\$ 2,758.07	\$ 2,880.62	\$
MALCOLM (Non-DBE sub)	MALCOM								
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-built services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#D4M1302341	Vac-truck services	10-Apr-2012	100%	\$ 173,836.06	\$ 173,836.06		\$ 170,666.73	\$ 170,666.73
MAYES TESTING ENGINEERS, INC. (Non-DBE)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2012	100%	\$ 25,615.00	\$ 25,615.00	\$ 2,375.00	\$ 25,615.00	\$ 25,615.00

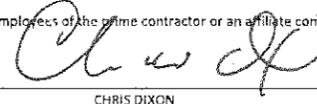
Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Apr-2014	100%	\$ 114,562.50	\$ 114,562.50		\$ 114,562.50	
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking		100%	\$ 56,956.56	\$ 56,956.56		\$ 17,723.44	
ProUSA (LT to Northwest Asphalt)	#D3M9422332	Trucking		100%	\$ 11,756.41	\$ 11,756.41		\$ 11,576.41	
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708271	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74		\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-Pacific Geo Engineering (LT to PSI)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4I19620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 14,693.00	\$ 14,693.00		\$ 14,693.00	\$ 14,693.00
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Suez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#D5I18019808	Settlement Monitoring	1-Apr-2012	57%	\$ 691,769.75	\$ 395,146.50		\$ 387,458.95	\$
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4I12316494	Settlement Point Installation	6-Sep-2012	97%	\$ 99,000.00	\$ 95,700.00		\$ 95,700.00	\$ 60,280.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 229,501.75	\$ 229,501.75		\$ 228,052.53	\$ 228,052.53
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F5917012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15		\$ 9,803.15	\$ 5,881.89
					\$ 77,978,550.27	\$ 58,831,768.78	\$ 1,844,808.47	\$ 56,975,294.23	\$ 38,451,686.27

For DBE subs, DBE Amounts (as amended) does not include the value of work performed by lower tier subs (DBE or non-DBE).

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

- 1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.  
No.
- 2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.  
Yes. Please see the attached summary report for commitments as of 6/30/14
- 3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.  
No.
- 4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement.  
No.

AUTHORIZED SIGNATURE:

  
CHRIS DIXON

TITLE: Project Manager

DATE:

7/31/14

**ATTACHMENT # 2  
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#40 Commitment	#39 Commitment	#40 - #39 Commitment Change	REMARKS
<b>FIRST TIER</b>								
CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	93%	\$ 460,058.53	\$ 426,763.53	\$ 33,295.00	Equals Invoiced to date totals (Unit Price Agreement); Change Order #037 Increased Revised Agreement Amount to \$464,566.02
DBE Electric	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	Active	99%	\$ 1,005,698.49	\$ 997,183.45	\$ 8,515.04	Change Order #017 Increased Revised Agreement Amount to \$1,028,644.12
DHD Trucking	Street Sweeping	10-Jan-12	Active	97%	\$ 935,882.50	\$ 906,182.50	\$ 29,700.00	Equals Invoiced to date total - Unit Price Agreement
EPC Consultants, Inc.	Tunnel Quality Assurance	8-Jul-2013		91%	\$ 666,122.00	\$ 608,760.00	\$ 57,362.00	Equals Invoiced to date total - Unit Price Agreement
MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	91%	\$ 174,373.14	\$ 159,133.39	\$ 15,239.75	Equals Invoiced to date total; 2 new Service Agreements in binder for Temp. Staffing - Receptionist Front Desk (Start Dates 6/13 & 6/23/ 2014)
Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	94%	\$ 188,196.50	\$ 176,797.50	\$ 11,399.00	Equals Invoiced to date total
Savage Logistics, LLC	Freight	28-Mar-2013	Active	94%	\$ 523,035.50	\$ 490,574.50	\$ 32,461.00	Equals Invoiced to date total; CO#002 in binder; Total Revised Final Agreement Amount = \$1,000,000 (added \$500,000 to BI 3 Trucking - Load & Freight Parts, Material and Equipment
Sundancer Electric Inc.	Tunnel Electrical	17-Jan-2013	Active	98%	\$ 3,567,944.38	\$ 3,494,707.03	\$ 73,237.35	Equals Invoiced to date total
<b>VENDORS</b>								
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	95%	\$ 225,433.61	\$ 214,525.20	\$ 10,908.41	Equals Invoiced to date total; Total of Purchase Orders & Not to Exceed Purchase Orders (\$246,057.47) in STP Files exceeds Commitment Amount
<b>LOWER TIER</b>								
<b>BASF - KTI Logistics (Non-DBE sub)</b>								
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	17-Jun-2013	Active	89%	\$ 14,949.00	\$ 13,305.00	\$ 1,644.00	Equals Invoiced to date total
<b>FD THOMAS (Non-DBE sub)</b>								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	4-Sep-2013		81%	\$ 819,370.21	\$ 660,000.00	\$ 159,370.21	Equals Invoiced to date total
<b>FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)</b>								
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013		96%	\$ 1,425,031.80	\$ 1,373,649.40	\$ 51,382.40	Equals Invoiced to date total; Purchase Order #797 (Purchase Order Agreement)
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	20-Nov-2013		85%	\$ 6,740.48	\$ 5,715.28	\$ 1,025.20	Equals Invoiced to date total; Purchase Order #1279 (Purchase Order Agreement)
<b>HNTB (Non-DBE sub)</b>								
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	Active	99%	\$ 738,652.85	\$ 734,111.31	\$ 4,541.54	Equals Invoiced to date total - Unit Price Agreement
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	6-Jan-2012	Active	100%	\$ 873,455.50	\$ 876,455.50	\$ (3,000.00)	Correction for a key entry error from Report #39
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	1-May-2011	Active	100%	\$ 374,654.36	\$ 373,134.36	\$ 1,520.00	Equals Invoiced to date total
<b>JH KELLY - SICE (Non-DBE sub)</b>								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	1-Jan-2014	1-Jan-2014	100%	\$ 2,880.62	\$ 1,112.14	\$ 1,768.48	Equals Invoiced to date total
<b>MAYES TESTING ENGINEERS, INC. (Non-DBE sub)</b>								
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	4-Jan-2013	Active	91%	\$ 25,615.00	\$ 23,240.00	\$ 2,375.00	Equals Invoiced to date total
					<b>\$ 77,978,550.27</b>	<b>\$ 77,447,225.89</b>	<b>\$ 492,744.38</b>	

**ATTACHMENT # 3  
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#40 Invoiced to Date	#39 Invoiced to Date	#40 - #39 Invoiced For June	Invoiced Date (s) for June 2014	Verified work done prior to Invoice Date	REMARKS
<b>FIRST TIER</b>											
BESTCCLS INC	Survey	S	8-Feb-12	25-Sep-13	100%	\$ 189,938.00	\$ 196,903.84	\$ (6,965.84)			This is correction from report #39; (Invoice in STP file indicates this payment for the amount of \$6,965.84 is for Final payment of retention, so should not be counted towards Total Invoiced to date from Report #38 = \$189,938)
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	93%	\$ 460,058.53	\$ 426,763.53	\$ 33,295.00	#43100 \$26,795.00; 6/19/14 #43101 \$6,500.00; 6/19/14	Yes	Copy of Invoices provided by STP
DBE Electric	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	S	28-Feb-12	Active	99%	\$ 988,611.21	\$ 987,067.07	\$ 1,544.14			Per STP accounting, there was a discrepancy in the Invoiced to date number shown on Report #39 (\$987,067.07); the correct invoiced to date total is shown on Report #40 (\$988,611.21).
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	97%	\$ 935,882.50	\$ 906,182.50	\$ 29,700.00	#23 \$9,540.00; 6/17/14 #24 \$10,080.00; 6/20/14 #25 \$10,080.00; 6/28/14	Yes	Invoice #23 in binder; Copy of other Invoices provided by STP
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		91%	\$ 666,122.00	\$ 608,760.00	\$ 57,362.00	#STP7999013 \$57,362.00; 6/23/2014	Yes	Copy of Invoice provided by STP
Grady Excavating	South Portal Trucking	V	11-Apr-2012	24-Sep-2013	100%	\$ 881,412.65	\$ 912,205.10	\$ (30,792.45)			This is correction from DBE Report #39 (Per STP Accounting, Final Contract Value is \$881,412.65; the total reported on DBE Report #39 (\$912,205.10) was incorrect)
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	91%	\$ 174,373.14	\$ 159,133.39	\$ 15,239.75	#032872 \$1,262.25; 6/7/14 #032874 \$1,320.00; 6/7/2014 #032878 \$1,320.00; 6/14/14 #032877 \$1,278.75; 6/14/14 #032882 \$5,200.00; 6/16/2014 #032887 \$1320.00; 6/16/14 #032881 \$200.00; 6/14/14 #032888 \$1,000.00; 6/21/14 #032891 \$1,018.75; 6/28/14 #032892 \$1,320.00; 6/28/14	Yes	Invoice#032872, #032874, #032878, #032877 in binder; Copy of other Invoices provided by STP
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	94%	\$ 188,196.50	\$ 176,797.50	\$ 11,399.00	#02023 \$11,399.00; 6/26/14		Copy of Invoice provided by STP
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%						
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 625,232.77	\$ 584,564.77	\$ 40,668.00	#3659-39 \$27,112.00; 5/27/14 #3659-40 \$13,556.00; 6/16/14		Invoice #3659-39 in binder; Copy of Invoice #3659-40 provided by STP
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	94%	\$ 523,035.50	\$ 490,574.50	\$ 32,461.00	#33 \$11,129.00; 5/24/14 #34 \$10,292.00; 6/7/14 #35 \$11,040.00; 6/21/14	Yes	Copy of Invoices provided by STP
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	100%	\$ 1,235,265.84	\$ 174,722.45	\$ 1,060,543.39	#02 \$182,296.55; 2/28/14 #03 \$264,372.67; 3/31/14 #04 \$613,874.17; 4/30/14	Yes	Invoices #02, 03 in binder; Copy of Invoice #04 provided by STP
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	98%	\$ 3,567,944.38	\$ 3,494,707.03	\$ 73,237.35	#70 \$19,993.07; 6/8/14 #71 \$17,921.98; 6/15/14 #72 \$17,309.08; 6/22/14 #73 \$18,013.22; 6/29/14	Yes	Invoices #70, 71 in binder; Need to see copy of rest of Invoices (not in binder)
<b>VENDORS</b>											
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	95%	\$ 225,433.61	\$ 214,525.20	\$ 10,908.41	Inv #'s 1405378, 1405377, 1406189, 1406193, 1406192, 1406251, 1406250, 1406351, 1406427, 1406254, 1406252, 1406253, 1406492, 1406493, 1406525	Yes	Invoice #'s 1405378, 1405377, 1406189, 1406193, 1406192, 1406251, 1406250, & 1406351 in binder; Copies of other Invoices provided by STP
<b>LOWER TIER</b>											
<b>BASF - KTI Logistics (Non-DBE sub)</b>											
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	89%	\$ 14,949.00	\$ 13,305.00	\$ 1,644.00	#140089 \$552.00; 1/27/14 #140095 \$552.00; 1/31/14	Yes	Invoices in binder
<b>FD THOMAS (Non-DBE sub)</b>											
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		81%	\$ 819,370.21	\$ 586,120.00	\$ 233,250.21	#148703 \$60,482.20; 5/16/14 #148704 \$28,850.75; 5/23/14 #148817 \$40,370.29; 6/3/14 #148016 \$41,968.48; 4/9/14 #148465 \$31,958.33; 5/7/14 #148818 \$962.62; 6/4/14 #148887 \$28,657.54; 6/10/14	Yes	Invoice #'s #148703, 148704, 148817, 148016, 148465 in binder; Copy of Invoice #148887 provided by STP
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											

**ATTACHMENT # 3  
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#40 Invoiced to Date	#39 Invoiced to Date	#40 - #39 Invoiced For June	Invoiced Date (s) for June 2014	Verified work done prior to Invoice Date	REMARKS
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		96%	\$ 1,425,031.80	\$ 1,373,649.40	\$ 51,382.40	#14-079-014 \$1,171.11; 5/16/14 #14-808-013 \$30,579.34; 5/15/14 #14-079-015 \$19,632.15; 5/30/14	Yes	Invoices in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		85%	\$ 6,740.48	\$ 5,715.28	\$ 1,025.20	#14-1279-006 \$1,025.20; 5/5/14	Yes	Invoices in binder
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	99%	\$ 738,652.85	\$ 734,111.31	\$ -4,541.54			per STP no new invoices this reporting period; variance (\$4,541.54) is due to accounting adjustments to account for retainage
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 374,654.36	\$ 373,134.36	\$ 1,520.00	#997 \$1,520.00; 5/7/14	Yes	Invoice in binder
<b>JH KELLY (Non-DBE sub)</b>											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	29-Dec-2015	100%	\$ 195,362.50	\$ 180,787.50	\$ 14,575.00	#414692 \$14,575.00; 6/2/14	Yes	Invoice in STP file
<b>JH KELLY - DESERT MECHANICAL (Non-DBE sub)</b>											
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	1-Dec-2014	100%	\$ 158,854.17	\$ 24,947.50	\$ 133,906.67	#3140018 \$54,664.56; 3/10/14 #2140022 \$49,895.00; 3/10/14 #3140033 \$16,386.98; 3/12/14 #5140010 \$12,960.13; 5/9/14	Yes	Invoices in binder
<b>JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)</b>											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (2454-1488S)	S	1-May-2013	1-Apr-2015	100%	\$ 408,999.81	\$ 400,076.86	\$ 8,922.95	#2012-13-06 \$8,922.95; 3/11/14	Yes	Invoices in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (2783-1533)	S	1-Aug-2013	1-Apr-2015	100%	\$ 447,170.53	\$ 407,425.10	\$ 39,745.43	#2013-14-07 \$39,745.43; 3/11/14	Yes	Invoices in binder
<b>JH KELLY - FISK ELECTRIC (Non-DBE sub)</b>											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R			100%	\$ 968,538.70	\$ 822,629.54	\$ 145,909.16			Invoiced to date total matches paid to date total; Per STP, variance on Report #40 Invoiced is due to accounting adjustments between PO #0572A & PO #0444A
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 1,793,446.64	\$ 1,622,352.58	\$ 171,094.06			Invoiced to date total matches paid to date total; Per STP, variance on Report #40 Invoiced is due to accounting adjustments between PO #0572A & PO #0444A
<b>JH KELLY - SICE (Non-DBE sub)</b>											
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1-Jan-2014	100%	\$ 2,880.62	\$ 1,112.14	\$ 1,768.48	#5435 \$239.12; 4/23/14 #5413 \$1,529.36; 4/13/14	Yes	Invoices in binder
<b>MAYES TESTING ENGINEERS, INC. (Non-DBE sub)</b>											
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	91%	\$ 25,615.00	\$ 23,240.00	\$ 2,375.00	#12-410-7 \$1,575.00; 12/2/13 #12-410-8 \$800.00; 1/20/14	Yes	Invoices in binder
						<b>\$ 58,831,768.78</b>	<b>\$ 56,652,928.93</b>	<b>\$ 2,140,259.85</b>			

**ATTACHMENT # 4  
DBE TOTAL PAYMENTS VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#40 Commitment	#40 Invoiced to Date	#40 Total Payments this Report (June)	#40 Total Payments to Date	#39 Total Payments to Date	Compare Total Pymts to Date (#40 - #39)	Variance Payments for June vs Compare Total Pymts to Date (#40 - #39)	REMARKS
<b>FIRST TIER</b>													
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	93%	\$ 460,058.53	\$ 460,058.53	\$ 10,137.50	\$ 425,763.53	\$ 416,626.03	\$ 10,137.50	\$ -	Check #7591 in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	97%	\$ 935,882.50	\$ 935,882.50	\$ 35,730.00	\$ 915,722.50	\$ 879,992.50	\$ 35,730.00	\$ -	Checks #7412, 7465, 7518, 7573, 7660 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		91%	\$ 666,122.00	\$ 666,122.00	\$ 57,362.00	\$ 608,760.00	\$ 551,398.00	\$ 57,362.00	\$ -	Check #7663 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 266,876.07	\$ 266,876.07	\$ 477.65	\$ 266,574.82	\$ 266,097.17	\$ 477.65	\$ -	Check #7585 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	91%	\$ 174,373.14	\$ 174,373.14	\$ 12,507.00	\$ 164,314.39	\$ 151,807.39	\$ 12,507.00	\$ -	Checks #7437, 7509, 7566, 7674, 7639 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 450,456.18	\$ 450,456.18	\$ 116,647.85	\$ 450,456.18	\$ 333,808.33	\$ 116,647.85	\$ -	Check #7497 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	94%	\$ 188,196.50	\$ 188,196.50	\$ 11,765.00	\$ 176,797.50	\$ 165,032.50	\$ 11,765.00	\$ -	Check #7492 in binder
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%								
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 779,490.00	\$ 625,232.77	\$ 27,112.00	\$ 594,031.39	\$ 584,564.77	\$ 9,466.62	\$ 17,645.38	Check #7517 in binder; per STP accounting, payments to date total on Report No. 40 should be \$605,890.84 (forgot to include a payment in the calculation).
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	94%	\$ 523,035.50	\$ 523,035.50	\$ 11,173.50	\$ 490,574.50	\$ 479,401.00	\$ 11,173.50	\$ -	Check #7448 in binder
Stellkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	100%	\$ 7,639,052.00	\$ 1,235,265.84	\$ 424,335.76	\$ 590,322.09	\$ 165,986.33	\$ 424,335.76	\$ -	Check #7570 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	98%	\$ 3,567,944.38	\$ 3,567,944.38	\$ 76,156.46	\$ 3,532,622.08	\$ 3,456,465.62	\$ 76,156.46	\$ -	Checks #7460, 7506, 7576, 7580 in binder
Transcon Company LLC	Underground Electrical Duct Bank Work	S	12-Dec-2013	Active	100%	\$ 2,302,326.26	\$ 2,247,845.35	\$ 229,404.12	\$ 2,247,845.35	\$ 2,018,441.23	\$ 229,404.12	\$ -	Check #7579 in binder
<b>VENDORS</b>													
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 17,885.69	\$ 17,885.69	\$ 187.64	\$ 17,885.69	\$ 17,698.05	\$ 187.64	\$ -	Check #7606 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	95%	\$ 225,433.61	\$ 225,433.61	\$ 8,455.61	\$ 221,280.06	\$ 212,824.45	\$ 8,455.61	\$ -	Checks #7427, 7531, 7668, 7612 in binder
<b>LOWER TIER</b>													
<b>BASF - KTI Logistics (Non-DBE sub)</b>													
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	89%	\$ 14,949.00	\$ 14,949.00	\$ 540.00	\$ 14,949.00	\$ 13,305.00	\$ 1,644.00	\$ (1,104.00)	Checks #69447, 67082, 66281 in binder
<b>FD THOMAS (Non-DBE sub)</b>													
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		81%	\$ 819,370.21	\$ 819,370.21	\$ 161,661.57	\$ 789,750.05	\$ 540,129.04	\$ 249,621.01	\$ (87,959.44)	Checks #125958, 126572, 126249, 125488, 125179 in binder
<b>FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)</b>													
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		96%	\$ 1,425,031.80	\$ 1,425,031.80	\$ 51,382.60	\$ 1,425,032.00	\$ 1,373,649.40	\$ 51,382.60	\$ -	Checks #100206, 100157, 3936, in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		85%	\$ 6,740.48	\$ 6,740.48	\$ 1,025.20	\$ 6,740.48	\$ 5,715.28	\$ 1,025.20	\$ -	Check #100118 in binder
<b>HNTB (Non-DBE sub)</b>													
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	99%	\$ 738,652.85	\$ 738,652.85	\$ 17,095.05	\$ 721,822.34	\$ 699,929.80	\$ 21,892.54	\$ (4,797.49)	Checks #346951, 346117 in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 374,654.36	\$ 374,654.36	\$ 1,520.00	\$ 374,596.13	\$ 373,076.13	\$ 1,520.00	\$ -	Check #347059 in binder
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	1-Dec-2014	100%	\$ 546,350.25	\$ 158,854.17	\$ 133,906.67	\$ 158,854.17	\$ 24,947.50	\$ 133,906.67	\$ -	Checks #378344, 378520 in binder
<b>JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)</b>													
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-May-2013	1-Apr-2015	100%	\$ 607,875.00	\$ 408,999.81	\$ 8,476.80	\$ 388,549.81	\$ 380,073.01	\$ 8,476.80	\$ -	Check #40160 in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	1-Apr-2015	100%	\$ 4,117,572.00	\$ 447,170.53	\$ 37,758.18	\$ 342,865.34	\$ 305,107.16	\$ 37,758.18	\$ -	Check #40160 in binder
<b>JH KELLY - FISK ELECTRIC (Non-DBE sub)</b>													
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R			100%	\$ 3,964,850.00	\$ 968,538.70	\$ 120,395.64	\$ 968,538.70	\$ 734,375.52	\$ 234,163.18	\$ (113,767.54)	Check #26525 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 4,900,000.00	\$ 1,793,446.64	\$ 284,861.60	\$ 1,793,446.64	\$ 1,622,352.58	\$ 171,094.06	\$ 113,767.54	Check #25840 in binder
<b>JH KELLY - SICE (Non-DBE sub)</b>													
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1-Jan-2014	100%	\$ 2,880.62	\$ 2,880.62	\$ 2,358.07	\$ 2,880.62	\$ 522.55	\$ 2,358.07	\$ -	Visa payment in binder
<b>MAYES TESTING ENGINEERS, INC. (Non-DBE sub)</b>													
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	91%	\$ 25,615.00	\$ 25,615.00	\$ 2,375.00	\$ 25,615.00	\$ 19,540.00	\$ 6,075.00	\$ (3,700.00)	Checks #49081, 49028, 48857 in binder
						<b>\$ 77,978,550.27</b>	<b>\$ 58,831,768.78</b>	<b>\$ 1,844,808.47</b>	<b>\$ 56,975,294.23</b>	<b>\$ 55,050,570.21</b>	<b>\$ 1,924,724.02</b>	<b>\$ (79,915.55)</b>	

**ATTACHMENT # 5  
DBE AMOUNT (AS AMENDED) VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#40 Commitment	#40 Invoiced to Date	#40 Total Payments this Report (June)	#40 Total Payments to Date	#40 DBE Amount (as Amended)	#39 DBE Amount (as Amended)	#40 - #39 DBE Amount (as Amended)	Pymt to Date <=#40 DBE Amt	REMARKS
<b>FIRST TIER</b>														
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	93%	\$ 460,058.53	\$ 460,058.53	\$ 10,137.50	\$ 426,763.53	\$ 426,763.53	\$ 416,626.03	\$ 10,137.50	Yes	
DBE Electric	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	S	28-Feb-12	Active	99%	\$ 1,005,698.49	\$ 988,611.21	\$ -	\$ 987,067.07	\$ 641,798.40	\$ 648,203.79	\$ (6,405.39)	Yes	
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	97%	\$ 935,882.50	\$ 935,882.50	\$ 35,730.00	\$ 915,722.50	\$ 915,722.50	\$ 879,992.50	\$ 35,730.00	Yes	
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013	Active	91%	\$ 666,122.00	\$ 666,122.00	\$ 57,362.00	\$ 608,760.00	\$ 573,620.00	\$ 516,258.00	\$ 57,362.00	Yes	
Marshbank	King Street - Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 1,295,888.90	\$ 1,022,554.04	\$ -	\$ 587,732.74	\$ 547,570.12	\$ 543,885.37	\$ 3,684.75	Yes	
Sundancer (LT to Marshbank) (Added on #40)	Electrical	S												
ProUSA (LT to Marshbank) (Added on #40)	Trucking	S												
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	91%	\$ 174,373.14	\$ 174,373.14	\$ 12,507.00	\$ 164,314.39	\$ 164,314.39	\$ 151,807.39	\$ 12,507.00	Yes	
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 14,605,956.70	\$ 14,285,850.91	\$ -	\$ 14,285,850.91	\$ 9,006,230.58	\$ 3,181,065.91	\$ 5,825,164.67	Yes	Verified <u>only</u> that DBE Amount (as Amended) is less than paid to date total.
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 450,456.18	\$ 450,456.18	\$ 116,647.85	\$ 450,456.18	\$ 335,700.55	\$ 308,367.58	\$ 27,332.97	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	94%	\$ 188,196.50	\$ 188,196.50	\$ 11,765.00	\$ 176,797.50	\$ 87,044.96	\$ 85,282.96	\$ 1,762.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 76,321.54	\$ 71,027.54	\$ 5,294.00		
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 779,490.00	\$ 625,232.77	\$ 27,112.00	\$ 594,031.39	\$ 605,864.84	\$ 578,752.84	\$ 27,112.00	Yes	per STP accounting, payments to date total on Report No. 40 should be \$605,890.84 (forgot to include a payment in the calculation).
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	94%	\$ 523,035.50	\$ 523,035.50	\$ 11,173.50	\$ 490,574.50	\$ 490,574.50	\$ 479,401.00	\$ 11,173.50	Yes	
<b>VENDORS</b>														
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 17,885.69	\$ 17,885.69	\$ 187.64	\$ 17,885.69	\$ 10,731.41	\$ 10,618.83	\$ 112.58	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	95%	\$ 225,433.61	\$ 225,433.61	\$ 8,455.61	\$ 221,280.06	\$ 132,768.04	\$ 127,694.67	\$ 5,073.37	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,270,320.65	\$ 2,270,320.65	\$ -	\$ 2,260,092.25	\$ 2,258,542.25	\$ 2,217,504.47	\$ 41,037.78	Yes	
<b>LOWER TIER</b>														
BASF - KTI Logistics (Non-DBE sub)														
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	89%	\$ 14,949.00	\$ 14,949.00	\$ 540.00	\$ 14,949.00	\$ 14,949.00	\$ 7,371.00	\$ 7,578.00	Yes	
FD THOMAS (Non-DBE sub)														
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		81%	\$ 819,370.21	\$ 819,370.21	\$ 161,661.57	\$ 789,750.05	\$ 473,850.03	\$ 324,077.42	\$ 149,772.61	Yes	Since Regular Dealer, verified DBE Amt (as amended) is 60% of Total Paid.
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)														
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		96%	\$ 1,425,031.80	\$ 1,425,031.80	\$ 51,382.60	\$ 1,425,032.00	\$ 1,394,452.66	\$ 1,373,649.40	\$ 20,803.26	Yes	
HNTB (Non-DBE sub)														
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	99%	\$ 738,652.85	\$ 738,652.85	\$ 17,095.05	\$ 721,822.34	\$ 721,822.34	\$ 699,929.80	\$ 21,892.54	Yes	
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 374,654.36	\$ 374,654.36	\$ 1,520.00	\$ 374,596.13	\$ 371,133.63	\$ 369,613.63	\$ 1,520.00	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	29-Dec-2015	100%	\$ 550,000.00	\$ 195,362.50		\$ 159,683.13	\$ 130,351.88	\$ 159,683.13	\$ (29,331.25)	Yes	
JH KELLY - DESERT MECHANICAL (Non-DBE sub)														
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	1-Dec-2014	100%	\$ 546,350.25	\$ 158,854.17	\$ 133,906.67	\$ 158,854.17	\$ 158,854.17	\$ 24,947.50	\$ 133,906.67	Yes	
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)														
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-May-2013	1-Apr-2015	100%	\$ 607,875.00	\$ 408,999.81	\$ 8,476.80	\$ 388,549.81	\$ 388,549.81	\$ 380,073.01	\$ 8,476.80	Yes	
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	1-Apr-2015	100%	\$ 4,117,572.00	\$ 447,170.53	\$ 37,758.18	\$ 342,865.34	\$ 342,865.34	\$ 305,107.16	\$ 37,758.18	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)														
Pacific Geo Engineering (LT to Mayes)	Soil Compaction Testing Services	V	4-Jan-2013	Active	91%	\$ 25,615.00	\$ 25,615.00	\$ 2,375.00	\$ 25,615.00	\$ 25,615.00	\$ 19,540.00	\$ 6,075.00	Yes	
						\$ 77,978,550.27	\$ 58,831,768.78	\$ 1,844,808.47	\$ 56,975,294.23	\$ 38,451,686.27	\$ 32,036,155.74	\$ 6,415,530.55		

**Exhibit B: SR 99 Bored Tunnel Design-Build Contract- DBE Certified Payrolls Hours Verification, June 2014**

Contractor/Subcontractor	Prime Contract/Upper Tier	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Total Hours Worked	Total from Certified Payroll	Comments
BC Traffic	Malcolm Drilling	Flagger	2051	0	2051	2140	
DHD Trucking	STP	Street Sweeper	466	0	466	466	
JMR Trucking	OMA	Truck Driver (Owner Operator)	38.5	0	38.5	54.5	
JP Francis	Shinn 2/DM/JHK	Plumber	416	56	472	427	Apprentice Hours verified @ 56
Mak's Dump Trucking	OMA	Truck Driver (Owner Operator)	163.25	0	163.25	172.75	
Marine Vacuum Service	STP	Operator	8	0	8	0	No CP for Mar-Vac (STP); 8 hrs worked in June as sub to Malcolm.
Marshbank	STP	Laborer	37	19	56	56	Apprentice Hours verified @ 19
Marshbank	STP	Operator	130	0	130	134.5	
OMA	NCM Contracting	Truck Driver	14.5	0	14.5	0	Hours worked WE 06/01/14 were for May 2014.
OMA - Job 1133 South Portal	STP	Truck Driver	2575.25	0	2575.25	1997.5	
Ponglia Trucking	OMA	Truck Driver (Owner Operator)	177.5	0	177.5	177.5	
Savage Logistics	STP	Truck Driver	162.75	0	162.75	170.75	
Savage Logistics - PO# 797	Lining Precast - FPS Encon	Truck Driver	905.75	0	905.75	946.25	
SSB Trucking	OMA	Truck Driver (Owner Operator)	149	0	149	161	
Sundancer	STP	Electrician	694.5	0	694.5	694.5	
Transcon	STP	Electrician	134.5	0	134.5	133.5	
Transcon	STP	Operator	52	0	52	52	
Trinity Development	OMA	Truck Driver (Owner Operator)	138	0	138	147.25	
Sundancer Electric	Marshbank	Electrician	46.5	0	46.5	26.5	
<b>Total</b>			<b>8360</b>	<b>75</b>	<b>8435</b>	<b>7957.5</b>	