

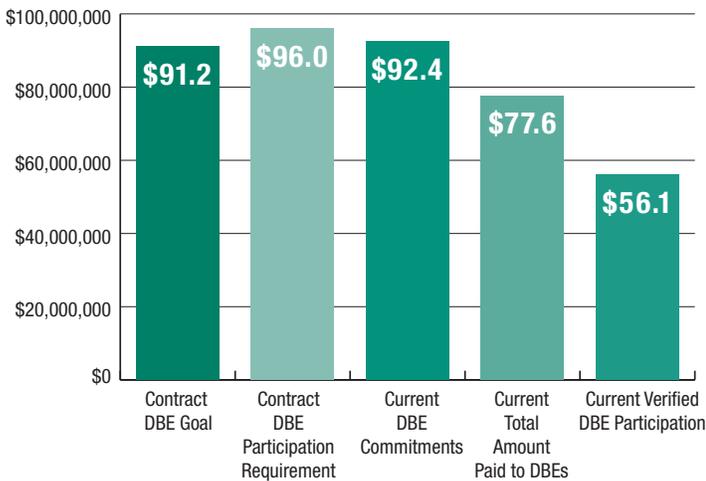
WSDOT Disadvantaged Business Enterprise program: Monthly progress report to FHWA, March 2015

OVERVIEW

The Washington State Department of Transportation (WSDOT) provides monthly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) program participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. This monthly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through January 31, 2015; other activities noted reflect work performed through mid-March.

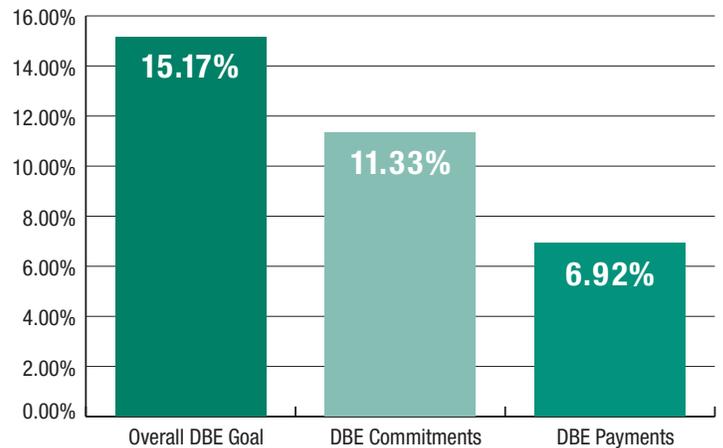
PROGRESS AT A GLANCE

AWV PROJECT-SPECIFIC EFFORTS



AGENCY PROGRESS

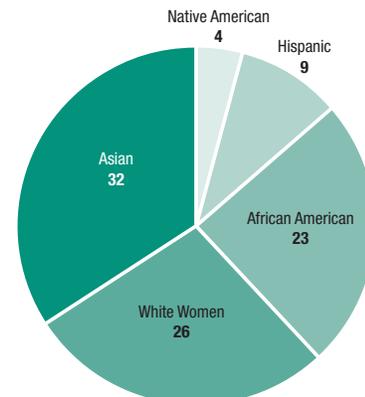
Federal Fiscal Year (FFY) 2015 began October 1, 2014. As of March 16, 2015, WSDOT (Local Programs, Consultant Services and HQ Construction) is attaining 11.33 percent in DBE commitments (goals 10.92 percent and voluntary participation 0.41 percent) and 6.92 percent in payments to DBE firms.



AWV PROJECT-SPECIFIC PROGRESS, BASED ON DBE PROGRESS REPORT #47, JAN. 2015

IMPROVING OVERSIGHT, EXPANDING OPPORTUNITIES:

WSDOT conducted an updated analysis of the race/ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:



SEATTLE TUNNEL PARTNERS (STP) EFFORTS TO ACHIEVE DBE CONTRACT GOAL

- **DBE Subcontracting Oversight Committee.** No changes to report.
- **DBE Communications.** In the DBE Progress Report #47 for January 2015, in addition to outreach events previously reported for January, STP reported the following outreach events (See Exhibit A, Attachment #1):
 - On February 9, 2015, STP staff met with Northwest Small Business Transportation Resource Center to discuss support services and outreach.
 - On February 10, 2015, STP staff met with Native American Procurement Technical Assistance Center (PTAC) to discuss support services and outreach.
 - On February 18, 2015, four STP staff attended WSDOT Contractor's Civil Rights Training (more information included in: WSDOT DBE program-level progress).
 - On February 20, 2015, STP staff attended Washington Construction Design & Entrepreneurs to provide project information to DBE and potential DBE firms.
 - On March 5, 2015, STP staff purchased a booth and attended Alliance Northwest Conference (more information included in: WSDOT DBE program-level progress).
 - On March 10, 2015, STP staff attended the Regional Contracting Forum. STP had a booth in the exhibit hall and participated in the one-on-one matchmaking session.
 - On March 20, 2015, STP staff attended the Washington Construction Design & Entrepreneurs meeting and shared project information.
 - On March 28, 2015, STP staff plan to attend the Tabor 100 General Membership meeting and share project information.
- **DBE Outreach.** Outreach activities performed by the WSDOT HQ DBE Administrator for the AWW Replacement Program included:
 - On February 10, 2015, the HQ AWW DBE Administrator attended STP DBE Outreach and Engagement Event. During this event work continued with small independent truckers gathering feedback and suggestion on how to implement a small trucking roster.
 - On February 18, 2015, the HQ AWW DBE Administrator attended WSDOT Contractor Civil Rights Program Training. During this event the HQ AWW DBE Administrator met with multiple DBE contractors and provided contact information to discuss future AWW contracting opportunities
 - On February 28, 2015, the HQ AWW DBE Administrator attended the Tabor 100 Monthly Membership Meeting. During this event the HQ AWW DBE Administrator provided a presentation and updates on AWW DBE participation to date.
 - The HQ DBE Administrator met with three DBE firms regarding potential AWW DBE opportunities.
 - On March 10, 2015, The HQ AWW DBE Administrator attended the Regional Contracting Forum, the largest outreach and opportunity event of the year. The Forum allows DBEs and small, minority, and women owned businesses to introduce their businesses to the region's largest government entities. WSDOT had representatives from seven different divisions including AWW and project staff as well as the Office of Equal Opportunity (OEO) staff. Several hundred firms were in attendance.

CERTIFICATION OF MONETARY COMMITMENTS

- **Verified DBE Participation.** AWW Project Staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$92.4 million in STP claimed commitments and \$56.1 million in claimed participation reported by STP in Progress Report #47. (See Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #47).
- **Work site Monitoring.** During the period of January 2015, the following activities occurred:
 - Nine on-site reviews were performed on the project.
 - A review of payroll reports showed the total hours reported on verified Certified Payrolls were 4,848.5. This was 471.25 hours more than the 4,377.25 hours reported by the contractor. The breakdown by skill craft is found in Exhibit B: DBE Certified Payrolls Hours Verification, January 2015. The gap between the STP reported hours and the Certified Payroll hours of 471.25 hours can primarily be attributed to the fact that STP reported hours for the period from December 29 through January 23. The Certified Payroll hours cover the entire calendar month of January. This affected the comparison of hours primarily for Neppel Electric (114.75 hour difference) and OMA Construction (393.5 hours difference).

- **Monetary commitments.** AWW received STP Progress Report #47 on February 27, 2015. (See Exhibit A: Attachment #1, STP Monthly DBE Progress Report # 47). STP's report claims the following:
 - o Contract DBE Goal \$91,176,000.00
 - o Contract DBE Participation Requirement \$96,000,000.00
 - o Current DBE Commitments \$92,387,096.89
 - o Current Total Amount Paid to DBEs \$77,599,255.14
 - o Current DBE Participation \$56,050,046.05

MONITORING AND INVESTIGATION OF COMPLAINTS REGARDING DBE PARTICIPATION

- **Prompt Payment Issue Update.** No new issues regarding prompt payment have been brought to the attention of AWW staff this month. As reported last month, a fourth tier contractor filed a prompt payment complaint. This issue was resolved as of February 12. The HQ AWW DBE Administrator will be reviewing payment records from all tiers to determine any potential structural issues that may have contributed to the delay in payments.
- **Support Services.** Continuation from February Report - STP identified and the HQ AWW DBE Administrator met with a small DBE trucking firm and provided support services referral and follow-up. Washington State PTAC will assist the DBE with issues related to certified payroll, invoicing and bidding strategies. DBE Program Administrator has followed up with the DBE and they are in contact with PTAC. This issue will continue to be monitored.
- **Closeout Payment.** WSDOT staff and the HQ AWW DBE Administrator continue to monitor final payments to a DBE who performed work on the North Portal.

WSDOT DBE PROGRAM-LEVEL PROGRESS

BUSINESS DEVELOPMENT

- On March 5, 2015, the Interim Director of the Office of Equal Opportunity (OEO) and staff attended the 2015 Northwest Alliance Conference. This conference provides matchmaking sessions, workshops and other networking opportunities for business owners. This year's event was attended by 736 individuals, of which 414 may be eligible for DBE certification. Information regarding DBE certification was provided to these firms.
- On March 10, 2015, OEO, AWW, Purchasing, Ad & Award, Consultant Services, Public Transportation Division and Washington State Ferries staff attended the Regional Contracting Forum. This event is a reverse trade show targeted to minority and women owned firms; with matchmaking, networking, workshops and panel discussions.
- On March 12, 2015, the Interim Director of OEO attended Northwest Mountain Minority Supplier Development Council Annual Business Conference and Awards Banquet. This event consisted of a tradeshow, industry roundtables and other networking opportunities.
- The Interim Director of OEO and DBE Liaison Officer attended the Tabor 100 meeting on February 28th and NAMC Meeting on March 5th.
- WSDOT developed and distributed the DBE Newsletter, attached as Exhibit D.
- WSDOT established an internal work group comprised of OEO, HQ Construction and Local Program staff to evaluate methods for ensuring more equitable DBE participation amongst DBE firm races, ethnicities and genders. The workgroup met for the first time on March 17th to determine methods for increasing minority, specifically African American owned DBE, participation.

TRAINING:

- OEO, HQ Construction and Consultant Services Office staff have been performing statewide DBE and other civil rights program training during February and March of 2015. As of March 13th, approximately 450 WSDOT and local agency personnel and approximately 75 representatives of both DBE and non-DBE contractors and consultants have attended the training sessions. These trainings provide participants with an overview of the DBE Program and their corresponding responsibilities, an update on the DBE Program regulations changes, an overview of proposed changes to WSDOT's General Special Provisions, and information on WSDOT's DBE contract administration procedures.
- OEO staff arranged for the Assistant State Design Engineer and Assistant State Construction Engineer to provide training to the Office of Minority and Women's Business Enterprises staff on March 17th. This training provided certification analysts with the additional knowledge regarding the various engineering disciplines necessary to more thoroughly perform engineering and land surveying firm certifications.

DBE MONITORING PROCESS AND PROCEDURES

- WSDOT developed a new DBE General Special Provision (GSP) which was approved by FHWA on March 24, 2015. WSDOT will be using the new DBE GSP language as the foundation for the design-build DBE Program contract language improvements.
- On March 6, 2015, the Office of Equal Opportunity, Consultant Services Office, Local Programs Divisions and HQ Construction met to develop a new individual project goal setting methodology which would lend itself to potentially higher contract goals. A new methodology has been drafted and is presently being vetted internally. It is anticipated that WSDOT will complete the internal review process and vetting with the Attorney General's Office by June, 2015.
- To focus more resources on the DBE Program, WSDOT Office of Equal Opportunity has split the External Civil Rights Program into two teams. Previously, most OEO staff reported to the External Civil Rights Branch Manager. Now, half the staff will report to the DBE Program Manager and the other half will report to the Title VI/American with Disabilities Act (ADA) Compliance Manager. It is anticipated OEO will have completed the hiring process for the new Title VI/ADA Compliance Manager by the end of April.

EXHIBITS:

Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #47

Attachment #1, STP DBE Progress Report No. 47, January 2015

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Exhibit B: DBE Certified Payrolls Hours Verification, January 2015

Exhibit C: List of DBE Subcontracts

Exhibit D: March DBE Newsletter

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator, Jonté Robinson at (360) 705-7082.



EXHIBIT A

March 16, 2015

TO: Bobby Forch, DBE Administrator AWW *BFF*

FROM: Dawn McIntosh, P.E. *DMc*

SUBJECT: Validation STP Monthly DBE Progress Report No. 47

Review of STP Monthly DBE Progress Report No. 47 (Report No. 47) began 2/27/2015 and was completed on 3/13/2015 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE 8% Goal= \$91,176,000
- Commitments= \$92,387,096.89
- Total Payments= \$77,599,255.14
- DBE Participation= \$56,050,046.05

STP reported 94 subcontractors with 159 subcontracts. Comparing Report No. 47 to Report No. 46 resulted in changes as follows:

- 19 Commitment changes totaling \$773,996.09 increase (Attachment 2)
- 20 Invoiced to Date changes totaling \$1,188,402.02 increase (Attachment 3)
- 28 Payments to Date changes totaling \$2,246,369.79 increase (Attachment 4)
- 25 DBE Amount (as Amended) changes totaling \$4,664,257.62 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 47 (January 2015) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

March 16, 2015

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DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

- DBE Amount (as Amended) includes \$11,173.41 in new trucking participation, as reported on Progress Report #47 for Savage Logistics.
- All Savage Logistics trucking activities have been verified for participation

The following new DBE subcontractors were added under this Report, bringing the total count of DBE firms with subcontracts to 94:

- United Reprographics

The following existing subcontractor received new work under this report:

- CivilTech Engineering (LT to Hitachi Zosen USA Ltd)
- Global GeoPhysics (LT to CivilTech – 3rd Tier under Hitachi)
- Yonemitsu Geological Services (LT to CivilTech – 3rd Tier under Hitachi)

Conclusion:

STP's Report No. 47 is acceptable.

The STP Binder for Report No. 47 was helpful for validating the invoiced and payment information as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 47
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation

cc: Paul Johnson. P.E.



Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Attachment #1

Monthly DBE Progress Report No. 47

Progress Report Period: January 1, 2015 – January 31, 2015

Submission Date: Friday, February 27, 2015

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$92,387,096.89
Percent of DBE commitments:	8.106%
DBE participation:	\$56,050,046.05
Percent of DBE participation :	4.918%

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP is submitting our quarterly DBE action plan on January 30, 2015. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available. STP does not have additional work to add to this list for this month's report.

STP January RFPs/RFQs

STP made the following changes for recent RFPs and/or bid evaluations during January to provide greater opportunities for DBE firms:

1. Backfill of the Access Shaft (Trucking): As listed in the November report, STP identified ways in which STP can include more DBE trucking firms as 1st tiers. During the month of December, STP worked on how this new method would be presented to the community. January, STP held a DBE scope specific meeting to engage DBE firms in the procurement process of the trucking. DBE trucking firms posed questions and comments, which STP took back to the team to further discuss at a follow up meeting to take place February 11, 2015.
2. North Operations Building Utility Work: STP extended the amount of time after the bid close date for when a contract would need to be executed and submittals approved prior to the start of work.

January DBE Contract Negotiations

STP negotiated change orders and purchase orders with DBE firms already on contract based on changes in information and/or work previously awarded.

STP awarded a new scope and negotiated a purchase order with Excel Supply Company for a large order of embroidered jackets.

STP worked with Hitachi Zosen USA to utilize lower tier DBE firms for repair work to the tunnel boring machine. In January, STP received copies of those executed agreements which includes 2nd tier engineering with CivilTech and 3rd tier service contracts with Global Geophysics and Yonemitsu Geological Services.

STP Outreach

STP conducts outreach in order to reach DBEs and provide information about the project, future contracting opportunities, contact information, and how to access work. STP also conducts outreach to encourage DBE firms to bid work on the project. STP is also working with WSDOT to provide information to the broader women and minority business community.

January Outreach

January 8, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP attended the NAMC WA meeting in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP used this as an opportunity to share future bid scopes and information about the next DBE outreach and engagement meeting. At NAMC, STP had the opportunity to touch base with four DBE firms on contract with STP and a DBE firm interested in getting work on the project.

STP talked with the new chair for products and services, Mr. Hughes, about how firms can be successful in obtaining work and identifying NAMC members that provide products and services that STP procures. STP discussed Mr. Hughes attending STP's January DBE Meetings and taking information back to members about STP's bid process, contract requirements for service firms that perform labor, and other applicable information (Mr. Hughes attended this event the following week). He will also be talking with members and STP to assist with matching firms up with identified scopes as a win-win for STP and the members; obtaining DBE bidders for current and future work, resulting in more award successes for their members. STP's DBE commercial manager met with Mr. Hughes to discuss his interests and these work scopes in February 2015.

January 14, 2015, STP DBE Outreach and Engagement Meeting

STP DBE outreach and engagement meeting took place January 14th at South Seattle Community College Georgetown Campus. STP provided information about how DBE participation is claimed, provided project updates, and went over future bid opportunities.

January 14, 2015, STP Backfill Access Shaft (Trucking) Meeting

STP had a procurement specific meeting on the Backfill of the Access Shaft (trucking) directly following the DBE outreach and engagement meeting. This event went over STP's proposed methods for bidding the trucking work and also explained STP's desire to engage the DBE trucking community and obtain feedback for how to make the procurement a success.

There were several DBE trucking firm in attendance. Firms were engaged and asked questions and provided a range of feedback. One of the key items was questions on the PLA, which STP responded by offering to provide more information at a follow on meeting on February 11, 2015. Firms expressed how breaking up the work on an on-call rotation basis made it less appealing to mid-sized and larger DBE firms. STP discussed this with the STP subcontract oversight committee and the committee expressed the continued desire to work with smaller trucking firms for this opportunity. The committee also committed their support in helping encourage smaller trucking companies to participate so this method would be successful (i.e. a sufficient number of bidders/trucks to perform the work).

January 16, 2015, Washington Construction Design & Entrepreneurs (CDE)

The January meeting was held at the City of Seattle, 700 5th Avenue, Seattle, WA. STP's attendance at this event served as an opportunity to touch base with DBE firms and local small and WMBE businesses that might be able to become DBE certified. STP notified attendees about the February 11th outreach and engagement meeting and also provided project opportunity handouts.

January 23, 2015, OMWBE Get Certified Reception

This event celebrated Certification and the beauty of Diversity in Washington State and took place at the Emerald Queen Casino in Fife, WA. STP joined OMWBE in their celebration and connected with DBE firms and firms interested in becoming DBE certified. STP had flyers available for our February 11th outreach and engagement meeting.

January 29, 2015, WSDOT Opportunity Forum, Seattle

WSDOT put on a series of events throughout the state on doing business with WSDOT. STP participated in the January 29th event by presenting on remaining contracting opportunities. The opportunities were broken down by scope, estimated dollar value, and time frame the work is anticipated to bid. STP provided information about our upcoming meetings where firms can talk with STP staff and obtain updates on future work opportunities. STP also discussed our methods for identifying DBE firms for the areas of work and the importance of DBE certification in the applicable areas of work. STP also spoke with a DBE electrical engineering firm that was interested in commissioning. STP was able to follow up with this firm and provide contact information for a lower tier firm under STP's electrical/mechanical contractor that had the Commissioning Authority contract and had carved out about \$66,000 of work for a DBE firm for Functional Testing.

Article in the Tabor 100 newsletter

STP provided an update on DBE efforts and participation along with our outreach and engagement meetings. This was featured in the January Tabor 100 newsletter.

January 31, 2015, Tabor 100 General Membership Meeting

STP attended the Tabor 100 General Membership meeting in order to answer questions about how DBE firms can obtain work on the project and follow up with requests for DBE project updates. STP was given the opportunity to present in front of the group a short message about progress, our upcoming event, opportunities handout, and trucking for the Access Shaft. STP hopes our attendance will encourage DBE firms to participate in upcoming opportunities.

February Outreach

Ad in the Black History Month Edition of The Skanner

STP purchased an ad in The Skanner for their Black History Month Edition. STP purchased the ad as an opportunity to share successes on the project; hoping this will encourage DBE (and MBE firms that might be able to become DBE certified) to contact STP to learn about future opportunities. STP further hopes that providing updates about what STP is doing, will encourage firms to respond to future bid invitations.

February 5, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP presented at the NAMC WA meeting on remaining contracting opportunities, Access Shaft trucking, and our upcoming outreach and engagement meeting.

February 9, 2015, Meeting with Small Business Transportation Resource Center (SBTRC)

STP met with representatives from SBTRC and provided project updates. STP also had the opportunity to obtain updates of what SBTRC is doing and discussed SBTRC presenting at one of our future outreach and engagement meetings.

February 10, 2015, Meeting with Native American Procurement Technical Assistance Center (PTAC)

STP met with a representative from the Native American PTAC and gained updates on Native American PTAC activities, discussed upcoming STP project opportunities, and STP's outreach and engagement meetings.

February 11, 2015, STP DBE Outreach and Engagement Meeting

STP DBE outreach and engagement meeting took place on February 11th at South Seattle Community College Georgetown Campus. STP provided information about Project Labor Agreements (PLA) and went over future bid opportunities.

February 11, 2015, STP Backfill Access Shaft (Trucking) Meeting II

STP had a follow on meeting for the Backfill of the Access Shaft (trucking) directly following the DBE outreach and engagement meeting. This event answered questions brought up at the last meeting and focus on questions tied to the PLA. STP had a Union representative from Building Trades on the panel and available for discussion after the meeting. A PLA expert, Mark Johnson of M.L. Johnson & Associates, provided clear and detailed information about what Community Workforce Agreements are, how they operate, how they tie in with WMBE/DBEs, and keys to success in working with unions.

February 18, 2015, WSDOT Contractor Civil Rights Program Training

STP brought 4 members of our team to attend the WSDOT Contractor Civil Rights Program Training. This was a great opportunity for updates and refresher information on DBE, EEO, On-the-Job Training Supportive Services, Title VI, USDOT's Bonding Education Program and Short-Term Lending Program, DBE certification, and Tribal Requirements.

February 20, 2015, Washington Construction Design & Entrepreneurs (CDE)

The February meeting was held in Federal Way, WA. STP attended this event to touch base with DBE firms and local small and WMBE businesses that might be able to become DBE certified. STP provided project updates and information about upcoming opportunities.

February 28, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership meeting in order to answer questions about how DBE firms can obtain work on the project and follow up with requests for DBE project updates. STP will use this as an opportunity to answer questions about how DBE firms can obtain work on the project. STP will also use this as an opportunity to share future STP opportunities handout and information about the next DBE outreach and engagement meeting. STP hopes our attendance will encourage DBE firms to participate in upcoming opportunities.

Planned March Outreach

March 5, 2015, Alliance Northwest

STP has purchased a booth and sponsorship for the Alliance Northwest conference and tradeshow. This event historically draws attendance of several hundred people, which include many small, minority, and women owned businesses.

March 10, 2015, Regional Contracting Forum

STP plans to attend the Regional Contracting Forum. This event historically draws attendance of several hundred people, which include many small, minority, and women owned businesses. STP has signed up for one-on-one match making sessions and requested the opportunity to be at the WSDOT AWW booth (booths are only available to agencies).

March 20, 2015, Washington Construction Design & Entrepreneurs (CDE)

The March meeting will be held in Seattle, WA. STP plans to attend this event and have the opportunity to provide a short presentation.

March 28, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership meeting.

Planned April Outreach

April 2, 2015, Our Future Working Together II – Tribal Business Outreach Event

STP has signed up for this event that will take place in Fife, WA. This event will have a panel discussion on contracting in Indian Country and will have Government Agencies present. STP plans

to attend in order to engage native and tribally owned businesses as well as other WMBE/DBE firms and discuss opportunities on the project.

April 2, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC WA meeting in order to continue to engage MBE/DBE firms and provide project and opportunity updates.

April 25, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership meeting in order to continue to engage African American owned and WMBE/DBE firms and provide project and opportunity updates.

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): 1,363,904,069.44 % Complete: 72%
DBE Goal Dollar Value: \$ 91,176,000.00
DBE Goal: 8%

Prime Contractor:
Seattle Tunnel Partners

Through 1/31/15 (all numbers exclude WSST)
Total payment to Prime to date: 977,269,739.40
Total amount Invoiced to date: 993,377,152.32
Amount of last payment to Prime: 8,542,985.99

Date of last payment to Prime: 1/12/2015
Total of last payment to Prime: 8,542,985.99
Total value of DBE commitments: \$ 92,387,096.89
% of DBE Commitments: 8.106%

Total value of DBE amount (as Amended): \$ 56,050,046.05
% of DBE Amount (as Amended): 4.918%

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00		\$ 720.00	\$ 720.00
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-14	0%	\$ 285,647.50	\$ -	\$ -	\$ -	\$ -
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20		\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB – Furnish & Install Shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -	\$ -	\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00	\$ -	\$ 189,938.00	\$ 189,938.00
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00		\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-12	100%	\$ 43,765.86	\$ 43,765.86	\$ 5,790.00	\$ 43,765.86	\$ 43,174.36
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 533,956.03	\$ 533,956.03	\$ -	\$ 533,956.03	\$ 533,956.03
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	100%	\$ 1,005,698.49	\$ 1,005,698.49	\$ -	\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-12	100%	\$ 1,123,667.50	\$ 1,123,667.50	\$ 10,440.00	\$ 1,114,667.50	\$ 1,104,227.50
Duncan Asphalt	#DM5M9822253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-14	100%	\$ 51,630.60	\$ 51,630.60	\$ -	\$ 49,049.07	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,575.00	\$ 22,575.00	\$ -	\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 895,570.00	\$ 895,570.00	\$ -	\$ 895,570.00	\$ 860,430.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 374,988.58	\$ 374,988.58	\$ -	\$ 374,988.85	\$ 374,988.85
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -	\$ -	\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 619,654.94	\$ 619,654.94	\$ 191,567.47	\$ 406,989.35	\$ 406,989.35
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00	\$ -	\$ 1,615.00	\$ 1,615.00
Global Geophysics	#M4M0021256	Vibration Monitoring	21-May-2012	100%	\$ 527,494.42	\$ 527,494.42	\$ -	\$ 527,494.42	\$ 527,494.42
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65		\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%				\$ 30,469.50	\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 270,732.41	\$ 270,732.41	\$ -	\$ 269,796.34	\$ 144,950.49
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%					\$ 69,827.75
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00	\$ -	\$ 1,473,764.00	\$ 1,455,382.26
J. Keiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 79,421.20	\$ 79,421.20	\$ 19,000.00	\$ 79,421.20	\$ 48,150.00
JP Francis & Associates, Inc.	#M3M0018112	Mitigation building – plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86	\$ -	\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); Inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00	\$ -	\$ 284,310.00	\$ 284,310.00

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Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks – Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	\$ -	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-12	100%					\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-12	100%					\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0019072	Rebar	30-Jun-12	100%					\$ -
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57	\$ -	\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc (Subcontract to Leajak; 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2012	100%					\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 62,847.55	\$ 62,847.55	\$ -	\$ 62,847.55	\$ 62,847.55
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	84%	\$ 1,518,117.60	\$ 1,279,562.53	\$ 220,363.55	\$ 1,435,947.94	\$ 1,059,402.86
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014						\$ -
ProUSA (LT to Marshbank)	#D3M0022352	Trucking							\$ -
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00		\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Vac-truck services	18-Jul-2012	100%	\$ 178,466.02	\$ 178,466.02	\$ -	\$ 170,872.82	\$ 170,872.82
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 287,856.93	\$ 287,856.93	\$ 10,703.02	\$ 273,785.80	\$ 273,785.80
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Conduit	31-Jul-2014	76%	\$ 473,613.72	\$ 362,309.79	\$ -	\$ 34,331.10	\$ 34,331.10
Nolan Morrison	#D2F522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00		\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 4,075,777.75	\$ 4,075,777.75	\$ 130,314.75	\$ 4,046,761.75	\$ 4,033,267.75
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	90%	\$ 18,506,125.29	\$ 16,685,839.56	\$ -	\$ 16,685,839.56	\$ 11,559,521.75
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%					\$ -
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 52,196.18
Maks Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%					\$ 133,755.00
Ponglia Trucking Inc. (LT to OMA)	#M4M0019913	Trucking	17-Jan-2013	100%					\$ 132,683.75
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1030033	Trucking	26-Jun-2013	100%					\$ -
Tan Trucking (LT to OMA)	#D3M0020597	Trucking							\$ -
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 41,630.00
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70	\$ -	\$ 73,603.70	\$ -
Oneill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 667,889.54	\$ 667,889.54	\$ 30,470.63	\$ 646,242.16	\$ 521,539.02
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 263,999.50	\$ 263,999.50	\$ 10,829.00	\$ 253,170.50	\$ 137,607.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%					\$ 110,689.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	91%	\$ 779,490.00	\$ 706,911.60	\$ 13,556.00	\$ 706,911.60	\$ 700,714.24
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#M5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 172,323.65	\$ 172,323.65	\$ -	\$ 171,648.65	\$ 169,241.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 666,198.00	\$ 666,198.00	\$ 11,173.50	\$ 666,198.00	\$ 666,198.00
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00		\$ 8,360.00	\$ 8,360.00

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ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12	\$ -	\$ 1,848,973.12	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%					\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%					\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%					\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88	\$ -	\$ 1,946,410.88	\$ 1,439,053.52
Steelkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	44%	\$ 7,639,052.00	\$ 3,363,245.08	\$ 73,013.45	\$ 3,195,082.84	\$ 3,195,082.84
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,212,165.30	\$ 4,212,165.30	\$ 121,102.01	\$ 4,188,826.90	\$ -
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	100%	\$ 2,312,336.49	\$ 2,302,776.64	\$ -	\$ 2,302,776.64	\$ 2,006,706.13
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00		\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	100%	\$ 79,250.00	\$ 79,250.00	\$ -	\$ 33,250.00	\$ -
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00	\$ 5,363.58	\$ 184,331.46	\$ -
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45	\$ -	\$ 19,402.45	\$ 19,402.45
Yonemitsu Geological Services	#D4M0013447	Soil conditioning mapping at access shaft	4-Dec-2014	0%			\$ -		
VENDORS	VENDORS								
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 296,566.23	\$ 296,566.23	\$ -	\$ 296,566.23	\$ 177,939.74
Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	NA	100%	\$ 52,973.53	\$ 53,073.53	\$ 1,605.54	\$ 19,491.23	\$ 11,694.74
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 251,905.98	\$ 251,905.98	\$ 19,038.34	\$ 250,308.81	\$ 150,185.29
Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014	100%	\$ 18,319.66	\$ 18,319.66	\$ 9,814.35	\$ 9,814.35	\$ 5,888.61
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 5,154.09	\$ 5,154.09	\$ -	\$ 4,977.18	\$ 2,986.31
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	89%	\$ 2,361,747.11	\$ 2,090,163.24	\$ -	\$ 2,090,163.24	\$ 1,636,058.37
The Bag Lady	#D2F0016394	Costruction Entrance Plates & Straw bales	NA	100%	\$ 287,172.98	\$ 287,172.98	\$ 722.70	\$ 285,944.38	\$ 171,566.63
United Reprographics	#D3M0017126	Business cards & printing services	12-Jan-2015	100%	\$ 755.11	\$ 755.11	\$ -		
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,706,715.39	\$ 2,706,715.39	\$ -	\$ 2,706,715.39	\$ 2,705,165.39
LOWER TIER	LOWER TIER								
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02
BASF (Non-DBE sub) - EASI	BASF								
JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#M3M0018112			100%	\$ -	\$ -		\$ -	
BASF - KTI Logistics (Non-DBE sub)	BASF								
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	\$ 14,949.00		\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 873,397.07	\$ 873,397.07		\$ 912,918.60	\$ 473,850.03
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)	FCCC								
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44

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Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCF - FD Thomas								
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ 19,586.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 1,858,316.67	\$ 1,858,316.67		\$ 1,858,316.67	\$ 1,821,257.37
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 15,031.78	\$ 15,031.78	\$ 2,050.40	\$ 15,031.78	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$ -
Hitachi Zosen USA Ltd	Hitachi Zosen USA Ltd								
CivilTech Engineering (LT to Hitachi)	#D4M0018825	Ground Condition Evaluation	6-Aug-2014	100%	\$ 127,804.00	\$ 127,804.00		\$ 79,084.00	\$ 35,668.16
Global GeoPhysics (LT to CivilTech - 3rd Tier under Hitachi)	#M4M0021256	Access Shaft - GPR & MASW Surveys at T48	28-Jan-2015						\$ 11,554.00
Yonemitsu Geological Services (LT to CivilTech- 3rd Tier under Hitachi)	#D4M0013447	Access Shaft - Soil Testing	28-Jan-2015						
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 888,277.66	\$ 888,277.66	\$ 25,609.15	\$ 849,684.58	\$ 849,684.58
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 990,328.53	\$ 990,328.53		\$ 978,177.53	\$ 978,177.53
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#M5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 385,719.36	\$ 385,719.36	\$ 342.00	\$ 378,980.13	\$ 375,517.63
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 186,757.53	\$ 186,757.53	\$ 3,724.00	\$ 186,383.93	\$ 186,383.93

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Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 96,080.00	\$ 96,080.00	\$ 5,472.00	\$ 94,013.90	\$ 94,013.90
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#M4M0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 101,471.25	\$ 101,471.25	\$ 36,688.60	\$ 64,604.85	\$ 64,604.85
HOS BROS CONSTRUCTION (Non-DBE sub)	HOS BROS								
ProUSA	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$ -
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	53%	\$ 550,000.00	\$ 293,765.50	\$15,223.75	\$ 254,469.38	\$ 206,469.38
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	48%	\$ 112,640.00	\$ 54,395.00		\$ 51,675.25	\$ 51,675.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	28%	\$ 746,395.10	\$ 212,430.17		\$ 185,026.77	\$ 185,026.77
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	14%	\$ 207,297.74	\$ 28,396.95			
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1488	#M3M0018112	Mechanical	1-May-2013	71%	\$ 628,754.60	\$ 446,067.07		\$ 423,763.71	\$ 423,763.71
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1533	#M3M0018112	Mechanical	1-Aug-2013	42%	\$ 4,192,586.96	\$ 1,753,211.50	\$ 476,444.08	\$ 1,561,326.75	\$ 1,561,326.75
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	87%	\$ 5,688,963.90	\$ 4,933,008.09		\$ 4,933,008.44	\$ 2,959,805.06
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	82%	\$ 5,021,920.34	\$ 4,094,024.25	\$ 685,302.27	\$ 4,094,024.25	\$ 2,456,414.55
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814		100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25	\$ 3,777.75
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	6-Dec-2014	100%	\$ 4,627.44	\$ 4,627.44			
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.03	\$ 21,099.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 3,331.29	\$ 3,331.29		\$ 3,331.29	\$ -
MALCOLM (Non-DBE sub)	MALCOM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$ 397,730.00	\$ 397,730.00		\$ 397,730.00	\$ 397,730.00
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$ 335,073.03	\$ 335,073.03		\$ 313,323.33	\$ 313,323.33
BESTCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#M4M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$178,836.06		\$169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014	100%	\$ 431,642.23	\$ 431,642.23		\$ 422,156.00	\$ -
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 154,702.50	\$ 154,702.50		\$ 154,702.50	
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#M4M0002341	Vac-truck services	26-Jun-2014	326%	\$ 4,468.70	\$ 14,584.68		\$ 4,468.70	\$ 4,468.70
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 57,236.25	\$ 57,236.25		\$ 54,374.43	
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	
OTTO ROSENAU & ASSCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74		\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 14,693.00	\$ 18,451.00		\$ 18,451.00	\$ 18,451.00
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#M5M0019808	Settlement Monitoring	1-Apr-2012	48%	\$ 1,093,130.55	\$ 519,367.61		\$ 506,340.68	\$ -
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 103,950.00	\$ 103,950.00		\$ 103,950.00	\$ 60,280.00

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15		\$ 9,803.15	\$ 5,881.89
					\$ 92,387,096.89	\$ 78,920,902.59	\$ 2,135,724.14	\$ 77,599,255.14	\$ 56,050,046.05

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.

Yes, United Reprographics (under STP), CivilTech (LT to Hitachi), Global Geophysics (LT CivilTech LT Hitachi), Yonemitsu (LT CivilTech LT Hitachi)

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.

Yes. Please see the attached summary report for commitments as of 1/31/15.

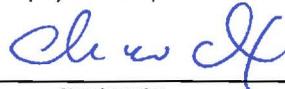
3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.

No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement

No.

AUTHORIZED SIGNATURE: _____



CHRIS DIXON

TITLE: Project Manager

DATE: 2/27/15

Commitments increased as of month ending January 31, 2015

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	December 2014 Commitments	January 2015 Commitments	Increase
FIRST TIER			
DHD Trucking	\$ 1,114,667.50	\$ 1,123,667.50	\$ 9,000.00
Duncan Asphalt	\$ 40,000.00	\$ 51,630.60	\$ 11,630.60
Garner Construction	\$ 215,421.88	\$ 619,654.94	\$ 404,233.06
MindSeekers, LLC	\$ 272,138.55	\$ 287,856.93	\$ 15,718.38
Oatridge Security Group Inc	\$ 4,046,761.75	\$ 4,075,777.75	\$ 29,016.00
Onell Service Group	\$ 646,242.16	\$ 667,889.54	\$ 21,647.38
Osiris Enterprises LLC	\$ 253,170.50	\$ 263,999.50	\$ 10,829.00
Sundancer Electric Inc.	\$ 4,130,391.06	\$ 4,212,165.30	\$ 81,774.24
VENDORS			
Excel Supply Company	\$ 19,491.23	\$ 52,973.53	\$ 33,482.30
Highway Specialties LLC	\$ 250,308.81	\$ 251,905.98	\$ 1,597.17
Mobile Electrical Distributors		\$ 18,319.66	\$ 18,319.66
Quality Discount Supplies & Services (John W. Quarles)	\$ 4,977.18	\$ 5,154.09	\$ 176.91
The Bag Lady	\$ 285,944.38	\$ 287,172.98	\$ 1,228.60
*United Reprographics		\$ 755.11	\$ 755.11
LOWER TIER			
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)			
Savage Logistics, LLC (LT to Lining Precast)	\$ 12,981.38	\$ 15,031.78	\$ 2,050.40
Hitachi Zosen USA Ltd			
CivilTech Engineering (LT to Hitachi)		\$ 127,804.00	\$ 127,804.00
HNTB (Non-DBE sub)			
CivilTech Engineering (LT to HNTB)	\$ 871,219.66	\$ 888,277.66	\$ 17,058.00
Saez Consulting Engineers Inc. (LT to HNTB)	\$ 385,359.36	\$ 385,719.36	\$ 360.00
Wakerobin (LT to HNTB)	\$ 92,360.00	\$ 96,080.00	\$ 3,720.00
Holocene Drilling Inc (Non-DBE sub)			
Yonemitsu Geological Services	\$ 99,556.31	\$ 101,471.25	\$ 1,914.94
			\$ 792,315.75

**ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#47 Invoiced to Date	#47 Commitment	#46 Commitment	#47 - #46 Commitment Change	REMARKS
FIRST TIER										
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,123,667.50	\$ 1,123,667.50	\$ 1,114,667.50	\$ 9,000.00	Equals Invoiced to Date total; Total of all Purchase Orders, Change Orders, and Contract for street sweeping in STP files = \$1,379,545.69
Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	V	11-Apr-14	Active	19%	\$ 51,630.60	\$ 51,630.60	\$ 40,000.00	\$ 11,630.60	Equals Invoiced to Date total
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 619,654.94	\$ 619,654.94	\$ 215,421.88	\$ 404,233.06	Subcontract (#4993-01) for \$215,594.77 in binder (#42); CO's 001 & 002 with Total Revised Final Agreement Amount = \$298,554.37 in binder (#43); CO 003 with Total Revised Final Agreement Amount = \$305,811.38 in binder (#44); CO's 004 & 005 in binder (#46) Revised Subcontract Amount = \$559,082.30; CO's 006 & 007 in binder (#47) Revised Subcontract Amount = \$924,826.51
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 287,856.93	\$ 287,856.93	\$ 272,138.55	\$ 15,718.38	Equals Invoiced to Date total; CO 001 Total Revised Final Agreement Amount = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 4,075,777.75	\$ 4,075,777.75	\$ 4,046,761.75	\$ 29,016.00	Equals Invoiced to Date total; Subcontract (#1977-01) through CO 005 Total Revised Final Agreement Amount = \$3,948,375.28 plus PO #'s 002, 011, 013 in STP Files, Total Value POs and Subcontract through CO 005 = \$4,063,375.28.
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 667,889.54	\$ 667,889.54	\$ 646,242.16	\$ 21,647.38	Total Value Contract and Change Orders in STP files (through #012) = \$771,142.00 (Total Not to Exceed - Unit Price Agreement)
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 263,999.50	\$ 263,999.50	\$ 253,170.50	\$ 10,829.00	Equals Invoiced to Date total; CO 008 (PO No 2399-DB10) Total Revised Final Agreement Amount = \$408,678.28 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 4,212,165.30	\$ 4,212,165.30	\$ 4,130,391.06	\$ 81,774.24	Equals Invoiced to Date total; CO 009 Total Revised Final Subcontract = \$4,544,005.14
VENDORS										
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 53,073.53	\$ 52,973.53	\$ 19,491.23	\$ 33,482.30	Purchase Order Agreement; Total PO's in STP files = \$57,475.67
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 251,905.98	\$ 251,905.98	\$ 250,308.81	\$ 1,597.17	Equals Invoiced to Date total; Total of Purchase Orders in STP Files = \$296,312.64
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 5,154.09	\$ 5,154.09	\$ 4,977.18	\$ 176.91	Equals Invoiced to Date total; Total of all Purchase Orders in STP files = \$6,180.43
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 287,172.98	\$ 287,172.98	\$ 285,944.38	\$ 1,228.60	Equals Invoiced to Date total; Total of all Purchase Orders in STP files = \$298,494.45
United Reprographics (added on #47)	Business cards & printing services		12-Jan-2015	Active	100%	\$ 755.11	\$ 755.11		\$ 755.11	Purchase Order in binder (#47) = \$27,375.00
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)										
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 15,031.78	\$ 15,031.78	\$ 12,981.38	\$ 2,050.40	Equals Invoiced to Date total; Purchase Order #1279; total of Purchase Order Agreement in STP file = \$351,135.60 (#45 included Invoice for City of Seattle parking ticket; Per STP Accounting, this will not be claimed as DBE eligible dollars on DBE Amount (As Amended)
Hitachi Zosen USA Ltd (added on #47)										
CivilTech Engineering (LT to Hitachi) (added on #47)	Ground Condition Evaluation		6-Aug-2014		100%	\$ 127,804.00	\$ 127,804.00		\$ 127,804.00	Equals Invoiced to Date total; Purchase Order Revision No. 1 in binder (#47) = \$127,804.00
HNTB (Non-DBE sub)										
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 888,277.66	\$ 888,277.66	\$ 871,219.66	\$ 17,058.00	Equals Invoiced to Date total; New Total Not to exceed amount (Contract #46055) through Supplement No. 13 = \$536,873.50; New Total Not to exceed amount (Contract #51761) through Supplement No. 03 = \$416,300.00; Total Value = \$536,873.50 + \$416,300.00 = \$953,173.50 (NTE).
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 385,719.36	\$ 385,719.36	\$ 385,359.36	\$ 360.00	Equals Invoiced to Date total; Supplement No. 14 Revised Budget = \$396,133
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 96,080.00	\$ 96,080.00	\$ 92,360.00	\$ 3,720.00	Supplemental Agreement No. 2, dated 9/1/2014, Not to Exceed Amount = \$99,200.00
Holocene Drilling Inc (Non-DBE sub)										
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 101,471.25	\$ 101,471.25	\$ 99,556.31	\$ 1,914.94	Subcontract Agreement (v_1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
						\$ 78,920,902.59	\$ 92,387,096.89	\$ 91,594,781.14	\$ 773,996.09	

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#47 Commitment	#47 Invoiced to Date	#46 Invoiced to Date	#47 - #46 Invoiced (for Jan)	Invoices (for Jan)	Verified work done prior to invoice date	REMARKS
FIRST TIER												
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,123,667.50	\$ 1,123,667.50	\$ 1,114,667.50	\$ 9,000.00	Inv #'s 556, 557, 560	Yes	Invoices in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 619,654.94	\$ 619,654.94	\$ 215,421.88	\$ 404,233.06	Inv #8310, 8325	Yes	Invoices in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 287,856.93	\$ 287,856.93	\$ 272,138.55	\$ 15,718.38	Inv #'s 33069, 33068, 33070, 33075, 33074, 33076, 33081, 33082, 33083, 33090, 33089, 33091, 33095, 33096, 33097	Yes	Invoices in binder
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	0%	\$ 473,613.72	\$ 362,309.79	\$ 36,138.00	\$ 326,171.79	Inv #'s 02:121514, 03:011915	Yes	Invoices in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 4,075,777.75	\$ 4,075,777.75	\$ 4,046,761.75	\$ 29,016.00	Inv #2014	Yes	Invoice in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 667,889.54	\$ 667,889.54	\$ 646,242.16	\$ 21,647.38	Inv #'s 2435A, 2482	Yes	Invoices in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 263,999.50	\$ 263,999.50	\$ 253,170.50	\$ 10,829.00	Inv # 02035	Yes	Invoice in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 4,212,165.30	\$ 4,212,165.30	\$ 4,130,391.06	\$ 81,774.24	Inv #'s 100:010415, 101:011115, 102:011815, 103:012515	Yes	Invoices in binder
United Professional Caulking (Added on #42)	Harrison Street Expansion Joints	S	12-Aug-2014		0%	\$ 79,250.00	\$ 79,250.00	\$ 35,000.00	\$ 44,250.00	Inv #5765	Yes	Invoice in binder
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 52,973.53	\$ 53,073.53	\$ 19,491.23	\$ 33,582.30	Inv #'s 72845, 71432, 72784, 73139	Yes	Invoices in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 251,905.98	\$ 251,905.98	\$ 250,308.81	\$ 1,597.17	Inv #1501398	Yes	Invoice in binder
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 5,154.09	\$ 5,154.09	\$ 4,977.18	\$ 176.91	Inv #4356C	Yes	Invoice in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 287,172.98	\$ 287,172.98	\$ 285,944.38	\$ 1,228.60	Inv #'s 24475, 24467	Yes	Invoices in binder
United Reprographics (added on #47)	Business cards & printing services		12-Jan-2015	Active	100%	\$ 755.11	\$ 755.11		\$ 755.11	Inv #'s 930192, 930211, 930189	Yes	Invoices in binder
LOWER TIER												
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 15,031.78	\$ 15,031.78	\$ 12,981.38	\$ 2,050.40	Inv #'s 14-1279-14, 15-1279-01	Yes	Invoices in binder
Hitachi Zosen USA Ltd (added on #47)												
CivilTech Engineering (LT to Hitachi) (added on #47)	Ground Condition Evaluation		6-Aug-2014		100%	\$ 127,804.00	\$ 127,804.00		\$ 127,804.00	Inv #'s 43164, 53000	Yes	Invoices in binder
HNTB (Non-DBE sub)												
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 888,277.66	\$ 888,277.66	\$ 871,219.66	\$ 17,058.00	Inv #'s 51005, 51006	Yes	Invoices in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 385,719.36	\$ 385,719.36	\$ 385,359.36	\$ 360.00	Inv #1110	Yes	Invoice in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 96,080.00	\$ 96,080.00	\$ 92,360.00	\$ 3,720.00	Inv #106202-1412-46055	Yes	Invoice in binder
Holocene Drilling Inc (Non-DBE sub)												
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 101,471.25	\$ 101,471.25	\$ 99,556.31	\$ 1,914.94	Inv #12-1723-06	Yes	Invoice in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)												
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 4,192,586.96	\$ 1,753,211.50	\$ 1,719,199.64	\$ 34,011.86	Inv #2013-14-13	Yes	Invoice in binder
SOLDATA - KPG (Non-DBE sub)												
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	69%	\$ 1,093,130.55	\$ 519,367.61	\$ 497,864.73	\$ 21,502.88	Inv #1087	Yes	Invoice in binder
						\$ 92,387,096.89	\$ 78,920,902.59	\$ 77,709,553.47	\$ 1,188,402.02			

ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#47 Total Payments this Report (Jan)	#47 Total Payments to Date	#46 Total Payments to Date	Compare Total Pymts to Date (#47 - #46)	Variance	REMARKS
FIRST TIER											
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 5,790.00	\$ 43,765.86	\$ 37,975.86	\$ 5,790.00	\$ -	Check #9401 in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 10,440.00	\$ 1,114,667.50	\$ 1,104,227.50	\$ 10,440.00	\$ -	Check #'s 9400, 9411 in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 191,567.47	\$ 406,989.35	\$ 215,421.88	\$ 191,567.47	\$ -	Check #9528 in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 19,000.00	\$ 79,421.20	\$ 60,421.20	\$ 19,000.00	\$ -	Check #9418 in binder
Marshbank	King Street - Sewer Relocation	S	7-Aug-2012	Active	98%	\$ 220,363.55	\$ 1,435,947.94	\$ 1,215,584.39	\$ 220,363.55	\$ -	Check #9476 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 10,703.02	\$ 273,785.80	\$ 263,082.78	\$ 10,703.02	\$ 0.00	Check #'s 9376, 9451, 9514 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 130,314.75	\$ 4,046,761.75	\$ 3,916,447.00	\$ 130,314.75	\$ -	Check #'s 9291, 9410 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 30,470.63	\$ 646,242.16	\$ 615,771.53	\$ 30,470.63	\$ -	Check #9460 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,829.00	\$ 253,170.50	\$ 242,341.50	\$ 10,829.00	\$ -	Check #9441 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	89%	\$ 13,556.00	\$ 706,911.60	\$ 693,355.60	\$ 13,556.00	\$ -	Check #9409 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 11,173.50	\$ 666,198.00	\$ 655,024.59	\$ 11,173.41	\$ 0.09	Check #9526 in binder
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	43%	\$ 73,013.45	\$ 3,195,082.84	\$ 3,122,069.39	\$ 73,013.45	\$ 0.00	Check #9417 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 121,102.01	\$ 4,188,826.90	\$ 4,067,724.89	\$ 121,102.01	\$ 0.00	Check #'s 9299, 9413, 9403, 9531, 9578 in binder
Washington State TruCheck #ing (Added on #42)	Haul and dispose of 130 tunnel segments	S	12-Aug-2014		100%	\$ 5,363.58	\$ 184,331.46	\$ 179,106.42	\$ 5,225.04	\$ 138.54	Check #'s 9478, 9479 in binder
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 1,605.54	\$ 19,491.23	\$ 17,885.69	\$ 1,605.54	\$ -	Check #9288 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 19,038.34	\$ 250,308.81	\$ 231,270.47	\$ 19,038.34	\$ -	Check #'s 9342, 9433, 9494 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 722.70	\$ 285,944.38	\$ 285,221.68	\$ 722.70	\$ (0.00)	Check #9319 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	PiCheck # up trailer rental (PO 1279)	V	20-Nov-2013	Active	100%	\$ 2,050.40	\$ 15,031.78	\$ 12,981.38	\$ 2,050.40	\$ -	Wire receipt #15EB04500YA1P50 in binder
Hitachi Zosen USA Ltd (added on #47)											
CivilTech Engineering (LT to Hitachi) (added on #47)	Ground Condition Evaluation		6-Aug-2014	Active	100%		\$ 79,084.00		\$ 79,084.00		Wire receipt #1188288 in binder
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 25,609.15	\$ 849,684.58	\$ 824,075.43	\$ 25,609.15	\$ 0.00	Check #'s 358297, 358748 in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 342.00	\$ 378,980.13	\$ 378,638.13	\$ 342.00	\$ -	Check #359205 in binder
Schemata Workshop (LT to HNTB)	Architectural Specifications	V	16-Apr-2012	1/14/2013	100%	\$ 3,724.00	\$ 186,383.93	\$ 182,659.93	\$ 3,724.00	\$ -	Check #358368 in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 5,472.00	\$ 94,013.90	\$ 82,993.90	\$ 11,020.00	\$ (5,548.00)	Check #'s 352174, 358448, 358390 in binder
Holocene Drilling Inc (Non-DBE sub)											
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 36,688.60	\$ 64,604.85	\$ 27,916.25	\$ 36,688.60	\$ -	Check #22054 in binder
JH KELLY (Non-DBE sub)											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	49%	\$15,223.75	\$ 254,469.38	\$ 224,781.88	\$ 29,687.50	\$ (14,463.75)	Deposit activity print out for 1/16/15 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 476,444.08	\$ 1,561,326.75	\$ 1,084,882.67	\$ 476,444.08	\$ -	Check #'s 41111, 41217 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		65%	\$ 685,302.27	\$ 4,094,024.25	\$ 3,408,721.98	\$ 685,302.27	\$ -	Check #'s 34825, 35288 in binder
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	69%		\$ 506,340.68	\$ 484,837.80	\$ 21,502.88	\$ (21,502.88)	Check #3803 in binder
						\$ 2,135,724.14	\$ 77,599,255.14	\$ 75,343,071.00	\$ 2,246,369.79	\$ (41,376.00)	

**ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#47 Commitment	#47 Invoiced to Date	#47 Total Payments this Report (Jan)	#47 Total Payments to Date	#47 DBE Amount (as Amended)	#46 DBE Amount (as Amended)	#47 - #46 DBE Amount (as Amended)	Pymt to Date (X) <=#46 DBE Amt	REMARKS
FIRST TIER														
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 43,765.86	\$ 43,765.86	\$ 5,790.00	\$ 43,765.86	\$ 43,174.36	\$ 37,384.36	\$ 5,790.00	Yes	
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 619,654.94	\$ 619,654.94	\$ 191,567.47	\$ 406,989.35	\$ 406,989.35	\$ 72,969.01	\$ 334,020.34	Yes	
On-Site Environmental Inc. (LT to Greylock)	Environmental Analytical Testing	V	14-Dec-2011	Active	100%					\$ 69,827.75	\$ 69,651.75	\$ 176.00	Yes	
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 79,421.20	\$ 79,421.20	\$ 19,000.00	\$ 79,421.20	\$ 48,150.00	\$ 29,150.00	\$ 19,000.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 287,856.93	\$ 287,856.93	\$ 10,703.02	\$ 273,785.80	\$ 273,785.80	\$ 263,082.78	\$ 10,703.02	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 4,075,777.75	\$ 4,075,777.75	\$ 130,314.75	\$ 4,046,761.75	\$ 4,033,267.75	\$ 3,902,953.00	\$ 130,314.75	Yes	
Onell Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 667,889.54	\$ 667,889.54	\$ 30,470.63	\$ 646,242.16	\$ 521,539.02	\$ 491,197.77	\$ 30,341.25	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 263,999.50	\$ 263,999.50	\$ 10,829.00	\$ 253,170.50	\$ 137,607.96	\$ 131,651.96	\$ 5,956.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 110,689.54	\$ 105,726.54	\$ 4,963.00	Yes	
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	89%	\$ 779,490.00	\$ 706,911.60	\$ 13,556.00	\$ 706,911.60	\$ 700,714.24	\$ 687,167.20	\$ 13,547.04	Yes	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 666,198.00	\$ 666,198.00	\$ 11,173.50	\$ 666,198.00	\$ 666,198.00	\$ 655,024.59	\$ 11,173.41	Yes	
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	43%	\$ 7,639,052.00	\$ 3,363,245.08	\$ 73,013.45	\$ 3,195,082.84	\$ 3,195,082.84	\$ 165,986.33	\$ 3,029,096.51	Yes	
Excel Supply Company	Safety Supplies	R	NA	Active	100%	\$ 52,973.53	\$ 53,073.53	\$ 1,605.54	\$ 19,491.23	\$ 11,694.74	\$ 10,731.41	\$ 963.32	Yes	
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 251,905.98	\$ 251,905.98	\$ 19,038.34	\$ 250,308.81	\$ 150,185.29	\$ 138,762.28	\$ 11,423.00	Yes	
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 287,172.98	\$ 287,172.98	\$ 722.70	\$ 285,944.38	\$ 171,566.63	\$ 171,133.01	\$ 433.62	Yes	
LOWER TIER														
AMEC (Non-DBE sub)														
NVL Laboratories (LT to AMEC)	Asbestos Analysis, sampling	V	12-Dec-2011	Active	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02	\$ 16,029.02	\$ 226.00	Yes	
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)														
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	Laboratory Testing	V	1-Aug-2012	11/1/2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ 19,586.50	\$ -	\$ 19,586.50	Yes	
Hitachi Zosen USA Ltd (added on #47)														
CivilTech Engineering (LT to Hitachi) (added on #47)	Ground Condition Evaluation		6-Aug-2014		100%	\$ 127,804.00	\$ 127,804.00		\$ 79,084.00	\$ 35,668.16		\$ 35,668.16	Yes	
Global GeoPhysics (LT to CivilTech - 3rd Tier under Hitachi) (added on #47)	Access Shaft - GPR & MASW Surveys at T48		28-Jan-2015							\$ 11,554.00		\$ 11,554.00	Yes	
HNTB (Non-DBE sub)														
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 888,277.66	\$ 888,277.66	\$ 25,609.15	\$ 849,684.58	\$ 849,684.58	\$ 824,075.43	\$ 25,609.15	Yes	
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 385,719.36	\$ 385,719.36	\$ 342.00	\$ 378,980.13	\$ 375,517.63	\$ 375,175.63	\$ 342.00	Yes	
Schemata Workshop (LT to HNTB)	Architectural Specifications	V	16-Apr-2012	1/14/2013	100%	\$ 186,757.53	\$ 186,757.53	\$ 3,724.00	\$ 186,383.93	\$ 186,383.93	\$ 182,659.93	\$ 3,724.00	Yes	
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 96,080.00	\$ 96,080.00	\$ 5,472.00	\$ 94,013.90	\$ 94,013.90	\$ 82,993.90	\$ 11,020.00	Yes	
Holocene Drilling Inc (Non-DBE sub)														
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 101,471.25	\$ 101,471.25	\$ 36,688.60	\$ 64,604.85	\$ 64,604.85	\$ 27,916.25	\$ 36,688.60	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	49%	\$ 550,000.00	\$ 293,765.50	\$ 15,223.75	\$ 254,469.38	\$ 206,469.38	\$ 182,156.88	\$ 24,312.50	Yes	
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)														
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 4,192,586.96	\$ 1,753,211.50	\$ 476,444.08	\$ 1,561,326.75	\$ 1,561,326.75	\$ 1,084,882.67	\$ 476,444.08	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		65%	\$ 5,021,920.34	\$ 4,094,024.25	\$ 685,302.27	\$ 4,094,024.25	\$ 2,456,414.55	\$ 2,045,233.19	\$ 411,181.36	Yes	
						\$ 92,387,096.89	\$ 78,920,902.59	\$ 2,135,724.14	\$ 77,599,255.14	\$ 56,050,046.05	\$ 51,379,899.82	\$ 4,664,257.62		

Exhibit B: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, January 2015

Contractor/Subcontractor	Prime Contract/Upper Tier	Number of Journeyman	Number of Apprentices	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Total Hours Worked	Totals from Certified Payroll	DELTA (CP-Total Hrs Worked)	Month Year	Comments
<i>DHD Trucking</i>	STP	3	0	Street Sweeper	167	0	167	167	0	Jan-15	
<i>Garner Construction</i>	STP	8	3	Operator	974	231	1205	1181	-24	Jan-15	Apprentice Hours 223
<i>JP Francis</i>	Shinn 2/DM/JH	3	1	Plumber	360	112	472	475	3	Jan-15	Apprentice Hours 112
<i>Neppel Electric</i>	STP	6	2	Electrician	483	173	656	770.75	114.75	Jan-15	Apprentice Hours 265.75
<i>OMA</i>	NCM Contract	2	0	Truck Driver	15.5	0	15.5	15.5	0	Jan-15	
<i>OMA - Job 1133 South Portal</i>	STP	13	0	Truck Driver	715	0	715	1108.5	393.5	Jan-15	
<i>Savage Logistics</i>	STP	2	0	Truck Driver	184.25	0	184.25	168.25	-16	Jan-15	
<i>Sundancer Electric</i>	STP	5	1	Electrician	793.5	165	958.5	958.5	0	Jan-15	Apprentice Hours 165
<i>Sundancer Electric</i>	SICE/JH Kelly	1	0	Electrician	4	0	4	4	0	Jan-15	
Total		43	7		3696.25	681	4377.25	4848.50	471.25		Total Appr Hrs 765.75

DELTA = 471.25

EXHIBIT C: List of DBE Subcontracts

	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
S	601997791	Advanced Underground Utilities	10/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	601554695	Bear Wood Windows	Pending	N/A	N/A	N/A	STP	Work won't start until 2015
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13
S	602978139	BEST CCLS Inc	3/26/2012	8/30/2013	2/15/2015	pending	Malcolm	Contract #1 - Closed
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602919268	C2PM, Inc.	9/1/2011	N/I	N/I	None	STP	No Retention Held
R	600237496	Cascade Concrete Products					FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	601781913	Excel Supply Co	1/13/2015	N/A - Firm is still active	Active	None	STP	Jackets & hates
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	safety supplies
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	601404405	Garner Construction Inc	9/8/2014	2/2/2015	2/15/2015	None	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation
S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC	

	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP	
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	602556355	Highway Specialities	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP	
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP	
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc (plumbing)		N/A - Firm is still active	NA	None	BASF	2/28/15 - pending firm's response
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP	
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	7/20/2012	2/15/2015	pending	Malcolm	Contract #1 - Closed
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP	
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc.					VECA	
R	578062075	Mobile Electric Distributors Inc.	11/25/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602120171	Neppel Electric	9/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc	
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14

	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *NI - No information available	Hiring Contractor	Notes
S	601872128	OMA Construction	7/29/2013	11/15/2013	9/1/2014	9/10/2014	KPG Inc	8/2014 - KPG will likely close out OMA's contract in the next month or two as it doesn't look like they will need them for any more installations. This will be confirmed with Phil Smith. 50% of retainage will be released when KPG receives retainage from Soldata at end of tunneling and the other 50% as per the prime agreement.
S	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc	
S	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	601872128	OMA Construction - Trucking_North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Trucking_South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP	
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt	
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock	
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB	
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB	
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602326408	Pacific Geo Engineering (PGE)					Professional Services Industry (PSI)	
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing	
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady	
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS	
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank	
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt	
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP	
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC	
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar	
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris	
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB	
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc	
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI	
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP	
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley	
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB	
S	601735583	Sea-Tac Sweeping Service	11/29/2011	N/A - Firm is still active	1/24/2012	None	STP	
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	

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SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP	
R/M	602216144	ST Fabrication - Purchase Orders					STP	
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected
S	603064398	Steelkorr	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank	
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP	
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC	
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk	
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP	
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601965843	United Reprographics	1/12/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC #116168					Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	600279746	West Coast Printing	4/5/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Firm closed business 10/2014
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB	
V	601609012	Yonemitsu Geological Services	12/5/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling	

WSDOT DBE NEWS

MARCH QUARTERLY EDITION

CONSULTANT RATE APPROVAL PROCESS UPDATE

Indirect Cost Rate or the Safe Harbor Overhead Rate

WSDOT offers free training on Basic Timekeeping - available at the following link: www.wsdot.wa.gov/Audit/guides.htm. This free class is a 50 minute webinar, and the slides can be printed for reference as well. WSDOT is planning on providing training for Indirect Cost Rates; more information will be made available shortly.

WSDOT has staff available to answer your consultant rate approval process questions, or to meet with you in person. For more information please contact one of the individual below:

- Martha Roach (360) 705-7006 or RoachMa@wsdot.wa.gov
- Brian Stallman (360) 705-7818 or StallmB@wsdot.wa.gov
- Schatzie Harvey (360) 705-6967 or HarveyS@wsdot.wa.gov

Or visit the Safe Harbor webpage at:

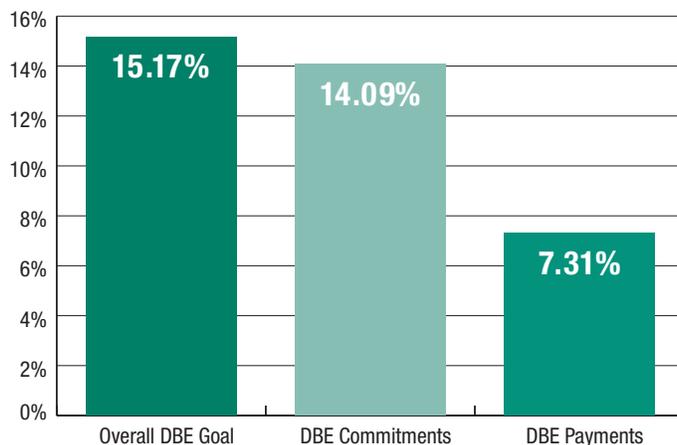
www.wsdot.wa.gov/Audit/SafeHarbor.htm

FEDERAL TRANSIT ADMINISTRATION DBE PROGRAM IMPROVEMENTS

WSDOT is developing a plan to increase the DBE participation in our Federal Transit Administration DBE Program. If you have ideas on improving this program, please let us know by emailing the WSDOT Office of Equal Opportunity at DBESS@wsdot.wa.gov or call toll free (888) 259-9143.

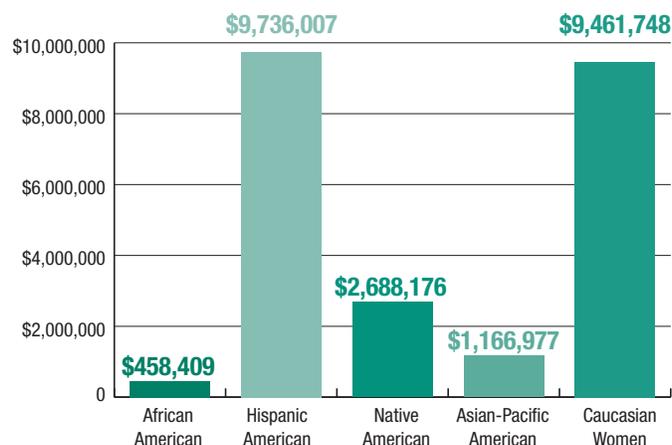
What is WSDOT's DBE utilization?

Federal Fiscal Year 2015 began October 1, 2014. As of February 19, 2015, WSDOT (Local Programs, Consultant Services and HQ Construction) is attaining 14.09% in DBE commitments (goals 13.80% and voluntary participation 0.29%) and 7.31% in payments to DBE firms.



(The DBE payments reflect a Commercially Useful Function review finding, which disqualified approximately \$1,500,000 in DBE participation.)

Of WSDOT's current DBE commitments, what amount goes to specific race, ethnicities and genders?



As represented in the graph above, there is an unequal distribution of DBE dollars going to African American, Asian-Pacific American and Native American owned firms. WSDOT would like your suggestions and ideas on how to achieve more balanced participation by all DBE firms. Please submit your comments and suggestions to DBESS@wsdot.wa.gov.

Does the DBE Program apply only to highway projects?

The DBE Program does not apply only to highway projects. WSDOT has smaller Federal Transit Administration (FTA) and Federal Aviation Administration (FAA) DBE Programs as well. The following chart is an illustration of how much funding we received in recent years from each USDOT mode:

Federal Fiscal Year	USDOT Mode	Total Contracts/ Grants Awarded	Overall Goal	Potential DBE Dollars	DBE Commitments*	DBE Payments Reported*
2014	FHWA	\$706,076,634	15.17%	\$107,111,825	\$99,553,810	\$62,274,278
2013	FHWA	\$404,151,123	15.17%	\$61,309,725	\$66,314,243	\$44,815,518
2012	FHWA	\$353,149,980	15.17%	\$53,572,852	\$53,789,082	\$53,389,088
2014	FTA	\$20,230,447	3.26%	\$659,513	\$62,839	\$0
2013	FTA	\$20,197,679	7.51%	\$1,516,845	\$117,880	\$0
2012	FTA	\$18,518,226	7.51%	\$1,390,719	\$0	\$0

* DBE Commitments are made on contracts awarded during a Federal Fiscal Year, DBE Payments are made on contracts closed that Federal Fiscal Year. The DBE Payments are made on contracts that may have started from one to five years previous.

For Federal Fiscal Years 2015 through 2017, WSDOT has estimated approximately \$3.62 million will be received from FAA. With a race-neutral goal of 6.9%, this equals approximately \$83,260 in potential DBE dollars annually. These comparative funding levels are the reason why current program enhancements are focused more on FHWA and FTA. Nevertheless, we continue to work on making all facets of the DBE program more effective. We are presently focusing our efforts directly around FHWA and FTA, with FAA to follow. Some of the challenges with FTA include:

- Funding goes to Washington State Ferries, which is a difficult market due to the additional bonding requirements of performing work on or near water. However, WSDOT has recently established a “Condition of Award” DBE goal of 12% on the Colman Dock project. This project is estimated to cost over 150 million dollars. Get your life preservers ready! For more information on this project visit: www.wsdot.wa.gov/projects/ferries/colmanmultimodalterminal/.
- Funding goes to non-urban or rural transit providers primarily for salaries, fuel, administrative and overhead costs, and to Rural Transportation Planning Organizations and Metropolitan Planning Organizations for human service planning studies. This funding is passed through the WSDOT Public Transportation Division (PTD) primarily in the form of grants. PTD is working with the Office of Equal Opportunity to determine where opportunities exist and how to utilize DBEs for these opportunities.

If you need bonding assistance, please contact USDOT’s Northwest Small Business Transportation Resource Center at: www.dot.gov/osdbu/nw-sbtrc or (425) 248-4222.

If you have any suggestions, comments or recommendations on how we can improve these programs, please let us know by calling toll free 888-259-9143 or emailing DBESS@wsdot.wa.gov.

What is the Native Procurement and Technical Assistance Center?

The Native Procurement Technical Assistance Center (Native PTAC) is a free community resource with the mission to assist Native Individuals, Tribal Enterprises, Alaska Native Corporations, and Native Hawaiian Organizations to become more successful in contracting with Federal, State, Local and Native governments. Native PTAC is hosted by Two Rivers CDC, a non-profit formed to support economic development in Native American communities.

Among their services, Native PTACs provide one-on-one assistance with government certifications and registrations, classes and workshops, bid match opportunities, interpretation of solicitations, bid/proposal reviews, marketing advice, and contract performance support for designated socio-economic programs.

There are PTACs in every state and six Native American PTACs in the United States. Each Native American PTAC covers approximately two Bureau of Indian Affairs Regions. The Native American PTAC program is unique because, in addition to the regular assistance PTAC provides - Native PTACs help with business opportunities, certifications and programs unique to Native businesses and Tribal governments. To learn more about Native PTAC you can visit: www.NativePTAC.org.

Update on DBE Program Changes

As reported in previous newsletters, WSDOT is working on revising its contract language “General Special Provisions” related to highway construction and the DBE Program. The latest iteration is being reviewed by the Federal Highway Administration. As soon as we have approved DBE General Special Provisions we will provide information on how those changes will affect you.