

WSDOT Disadvantaged Business Enterprise program: Quarterly progress report to FHWA, June 2015

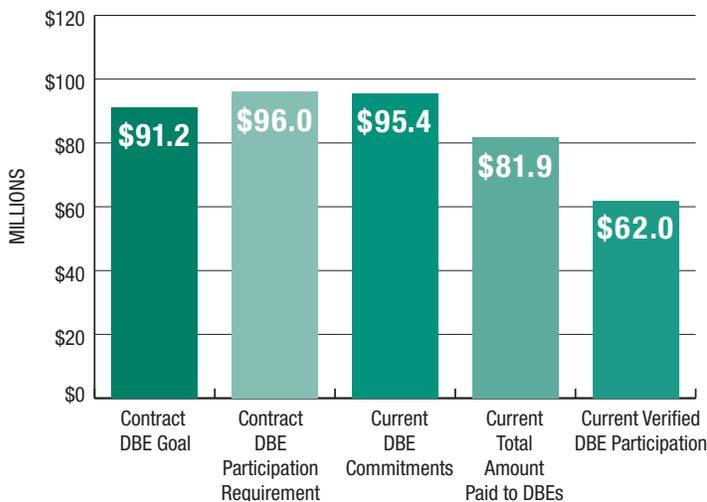
OVERVIEW

The Washington State Department of Transportation (WSDOT) provides quarterly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) program participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014¹.

This quarterly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through April 30, 2015; other activities noted reflect work performed through mid-June 2015.

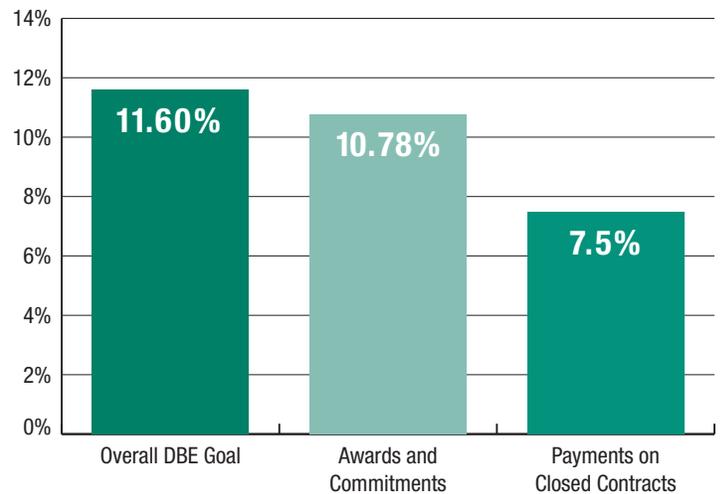
PROGRESS AT A GLANCE

AWV PROJECT-SPECIFIC EFFORTS



AGENCY PROGRESS

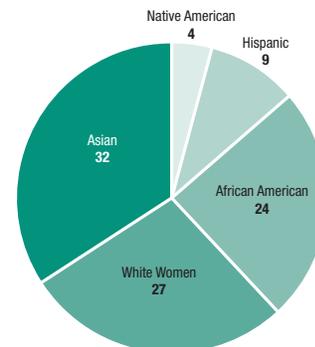
Federal Fiscal Year 2015 began October 1, 2014. As of May 31, 2015, WSDOT (Local Programs, Consultant Services and HQ Construction) is attaining 10.78 percent in DBE commitments (condition of award goals 9.66 percent; voluntary participation 1.12 percent) and 7.5 percent in payments on closed contracts.



AWV PROJECT-SPECIFIC PROGRESS, BASED ON DBE PROGRESS REPORT #50, MARCH 2015

IMPROVING OVERSIGHT, EXPANDING OPPORTUNITIES:

WSDOT conducted an updated analysis of the race/ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:



¹ On May 11, 2015, the Agreement was amended, to provide for quarterly reporting, rather than monthly, beginning in June 2015.

SEATTLE TUNNEL PARTNERS (STP) EFFORTS TO ACHIEVE DBE CONTRACT GOALS

This report constitutes the first FHWA Quarterly DBE Progress Report, following nine months of submitting DBE Progress Reports to FHWA on a monthly basis. This report will identify changes that have occurred since the last DBE Report submitted to FHWA, dated March 2015. Thus, this report summarizes DBE progress on the SR 99 Bored Tunnel contract for the period of February 1, 2015 to April 30, 2015. The details of the summary of changes between DBE Progress Report #47 and DBE Progress Report #50 may be found in Exhibit A.

STP monthly DBE Progress Reports #48, #49, and #50, were verified following the monthly verification procedures that were approved by FHWA. WSDOT's verification of STP's DBE Progress Report #50 may be found in Exhibit B, as a reference document. This document is being provided for comparative purposes with STP DBE Progress Report #47, which was previously submitted to FHWA under the March 2015 FHWA DBE Progress Report.

- **DBE Subcontracting Oversight Committee.** Between February 1, 2015 and April 30, 2015, STP continued to report on the procurement efforts at the Committee meeting. STP also submitted its quarterly DBE Action Plan, dated April 30, 2015, which documents its approach to DBE goal attainment on the project. During the month of April, STP presented the following procurements at the Subcontracting Oversight Committee meetings:

1. Landscaping, irrigation, and drainage for the North and South Operations Buildings:

- o STP provided plans and specifications, discussed the work, and discussed the planned method for how the Request for Proposal (RFP) would be disseminated.
- o STP is planning on bidding the landscaping and irrigation as one package, which is currently estimated at approximately \$150,000.

2. Site concrete, curbs, and walk ways for the North and South Operations Buildings:

- o STP provided plans and specifications, discussed the work, and discussed the planned method for how the RFP would be disseminated.
- o STP is planning on bidding the site concrete as one package estimated at approximately \$100,000.

In addition, during the month of April 2015, STP negotiated change orders and purchase orders with DBE firms already on contract based on changes in information and/or work previously awarded. STP also negotiated a 70% DBE requirement with U.S. Electric for tunnel electrical work.

- **DBE Communications.** STP's DBE Progress Report #47, submitted with the March 2015 FHWA DBE Report, documented outreach through March 2015. STP's DBE Progress Report #50 for April 2015 reports the following outreach events (See Exhibit B, Attachment #1):
 - o On April 2, 2015, STP attended the Our Future Working Together II – Tribal Business Outreach Event. STP had a booth at the event in order to engage native and tribally owned businesses, Minority and Women's Business Enterprises (M/WBE) and DBE firms and to discuss opportunities on the project.
 - o On April 2, 2015, STP attended the National Association of Minority Contractors of Washington (NAMC) meeting in order to continue to engage Minority Business Enterprises (MBE) and DBE firms and provide project and opportunity updates.
 - o On April 8, 2015, STP hosted a DBE Outreach and Engagement meeting and provided information about the project, DBE participation, and upcoming project opportunities. STP had follow-up communication from the meeting regarding lower-tier contacts and opportunities.
- STP was also able to engage Ball & Son Excavation, LLC, an owner-operator trucking firm that has already worked on the project as a lower-tier subcontractor to OMA Construction, Inc. Ball & Son Excavation, LLC recently became DBE certified and performs demolition. The STP Commercial Manager discussed potential future work in this area with the firm.
- STP also provided technical assistance to Projecto Managerial, a DBE, by providing contact information for Glumac, the lower-tier firm providing commissioning authority. Projecto Managerial followed up with the contact provided and has a meeting scheduled to discuss Glumac's needs.
 - o On April 22, 2015, STP attended and presented at WSDOT's DBE Opportunity Forum. This provided an opportunity to present project updates and network with DBE and M/WBE firms.
 - o On May 7, 2015, STP attended the NAMC meeting in order to continue engaging MBE and DBE firms as well as provide project and opportunity updates.
 - o On May 13, 2015, STP's Commercial Manager, M/WBE Diversity Manager, DBE Compliance Manager, and DBE Administrator attended the STP DBE Outreach and Engagement meeting to present project updates. STP had also followed up with DBE

requests to meet STP's subcontractors and had a representative from JH Kelly in attendance. No firms attended the meeting. STP stayed for the duration of the meeting to be available in case any firms showed up late.

Subsequent to the meeting, STP evaluated past STP DBE Outreach and Engagement meetings, outreach efforts in general, and feedback received from the community. Based on this information, STP made the decision to rotate the STP DBE Outreach and Engagement meetings to other established events (primarily NAMC and Tabor 100) that reach a broader DBE and M/WBE audience. Once a quarter, after STP's quarterly action plan, STP will host a DBE Outreach and Engagement meeting separate from other events at the South Seattle Community College Georgetown Campus.

STP will continue to be available to all entities for presenting information about the project, progress toward the DBE goal, and opportunities. STP may rotate or add to the list of organizations to which STP presents. Currently, STP presents at Washington Construction Design & Entrepreneurs (CDE) meetings, WSDOT events, and participates in other events and meetings as those opportunities arise.

- o On May 15, 2015, STP attended the CDE meeting and networked with minority and women owned businesses.
- o On May 30, 2015, STP attended the Tabor 100 General Membership meeting.
- o On June 4, 2015, STP presented project updates and upcoming RFPs at the NAMC meeting. As part of STP's revised outreach strategy, presentations will now be provided at other organization's events. STP anticipates this will reach more people and allow individuals to participate on different days and times of the week.
- o On June 27, 2015, STP plans to attend the Tabor 100 General Membership meeting.
- o On July 2, 2015, STP plans to attend the NAMC meeting in order to continue to engage MBE and DBE firms as well as provide project and opportunity updates.
- o On July 17, 2015, STP plans to attend the CDE meeting and network with minority and women owned businesses.
- o On July 25, 2015, STP plans to attend the Tabor 100 General Membership meeting and present project updates and upcoming RFPs.
- **DBE Outreach.** Outreach activities performed by the WSDOT HQ DBE Administrator for the AWW Replacement Program include:
 - o On April 9, 2015, the HQ AWW DBE Administrator attended the NAMC Monthly Membership meeting.
 - o On April 22, 2015, the HQ AWW DBE Administrator along with WSDOT staff presented an AWW project overview and update to approximately 40 DBEs at a WSDOT Opportunity Forum. The forum was held at the New Holly Gathering Hall, which is located in a neighborhood where many DBEs reside. In addition, the Program Administrator met individually with DBE firms interested in working on the project.
 - o On May 7, 2015, the HQ AWW DBE Administrator attended the NAMC Monthly Membership meeting.
 - o On May 13, 2015, the HQ AWW DBE Administrator attended STP's Outreach and Engagement meeting.
 - o On May 30, 2015, the HQ AWW DBE Administrator attended the Tabor 100 Monthly Membership meeting.
 - o On June 4, 2015, the HQ AWW DBE Administrator attended the NAMC Monthly Membership meeting.
 - o The HQ AWW DBE Administrator met individually with seven DBE firms regarding potential AWW DBE opportunities.
 - Assisted a DBE engineering firm with information regarding out-of-state DBE certification requirements.
 - Assisted a DBE cleaning firm with information regarding obtaining additional North American Industry Classification System Codes to expand potential work opportunities on the project.
 - Identified possible opportunities for a DBE design firm.
 - Identified possible opportunities for a DBE trucking firm.
 - Provided information and contacts to a DBE general contractor.
 - Met with a bonding and insurance firm looking to provide assistance to DBEs on the project.
 - Assisted a DBE photography firm by providing technical assistance regarding the contract provisions.

CERTIFICATION OF MONETARY COMMITMENTS

- **Verified DBE Participation.** AWW Project staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$95.4 million in STP claimed commitments and \$62.0 million in claimed participation reported by STP in Progress Report #50. (See Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #50). This process was also followed to validate STP Progress Reports #48 and #49.

- **Work site Monitoring.** During April 2015, the following activities occurred:
 - o Four on-site reviews were performed on the project in April, with a total of 18 on-sites performed between February 1, 2015 and April 30, 2015.
 - o The DBE labor hours were reported as follows for each of the months in this quarterly report:

	February	March	April
Total Hours Reported:	2,802	2,958	2,298
Total Hours Certified Payroll:	2,616	2,719.25	2,448.75

- o Discrepancies between reported and Certified Payroll can be attributed to differences in reporting periods by the contractor and/or contractors not submitting paperwork in a timely fashion. Thus, STP will continue to work with its subcontractors to ensure labor hours are reported in a timely and efficient manner.
- **Monetary commitments.** A comparison of STP Progress Report #47, as reported in the March 2015 FHWA DBE Report, and Progress Report #50 (see Exhibit A: Attachment #1) is as follows:

SUMMARY	PR #47	PR #50	PROGRESS
Contract DBE Goal	\$91,176,000.00	\$91,176,000.00	\$0.00
Contract DBE Participation Requirement	\$96,000,000.00	\$96,000,000.00	\$0.00
Current DBE Commitments	\$92,387,096.89	\$95,354,991.60	\$2,967,894.71
Current Total Amount Paid to DBEs	\$77,599,255.14	\$81,866,641.39	\$4,267,386.25
Current Verified DBE Participation	\$56,050,046.05	\$62,022,459.41	\$5,972,413.36

UTILIZATION, PROMPT PAYMENT AND RETAINAGE

- STP Progress Report #50 reported that the number of DBE subcontractors working on the project increased from 94, as reported in Progress Report #47, to 96 DBE firms, as reported in Progress Report #50. The total number of subcontracts grew from 159 reported on Report #47 to 167 reported on Report #50. Comparing Report #47 to Report #50 results in the following changes:
 - o 39 Commitment changes totaling a \$2,967,894.71 increase. (Exhibit A: Attachment #2)
 - o 48 Invoiced to Date changes totaling a \$4,892,372.80 increase. (Exhibit A: Attachment #3)
 - o 46 Payments to Date changes totaling a \$4,267,386.25 increase. (Exhibit A: Attachment #4)
 - o 48 DBE Amount (as amended) changes totaling a \$5,972,413.36 increase. (Exhibit A: Attachment #5)
- The status of contracts and associated retainage may be found in Exhibit B: Attachment #6: List of DBE Subcontracts.

MONITORING AND INVESTIGATION OF COMPLAINTS REGARDING DBE PARTICIPATION

- **Prompt Payment Issue Update.** The HQ AWW DBE Program Administrator investigated a new prompt pay complaint regarding a third-tier engineering and survey contractor associated with work related to the rescue shaft monitoring. The DBE contractor had not been paid for January, February and March, due to paperwork issues and an emerging change order associated with additional work. The HQ AWW DBE Program Administrator worked with the DBE firm and STP to resolve the paperwork issues and the DBE has now been paid for the months of January and February. The March payment is pending due to finalization of the Change Order. The HQ AWW DBE Administrator continues to work with STP to monitor prompt pay issues with lower-tier DBE subcontractors. The HQ AWW DBE Administrator will be monitoring future payments to this DBE.
- **Lease Ownership Discrepancy.** The HQ AWW DBE Program Administrator assisted STP with a first-tier trucking firm and second-tier DBE trucking firm to rectify issues related to leased truck ownership for the purpose of counting DBE participation. The DBE has now provided proper documentation to verify leased ownership and is in compliance.
- **Support Services.** The HQ AWW DBE Program Administrator developed a Supportive Service Framework and Action Plan in an effort to provide additional technical assistance to DBE firms.

- **Continuation from Previous Report.** The HQ AWV DBE Administrator continues working with and directing DBE trucking firms to support services provided by Washington State Procurement and Technical Assistance Center. The current issue is related to a contract escalation request.
- **Closeout Payment** (continued from previous report). WSDOT staff and the HQ AWV DBE Administrator continue to monitor final payments to a DBE that performed work on the North Portal. The prime contractor has reached agreement with the DBE firm and all monies, besides retainage, have been paid to the DBE.
- **Technical Advisement/Contract Amendment.** The HQ AWV DBE Administrator worked with a DBE firm to provide clarification on contract amendment requirements. The amendment changed the contract terms that raised concerns for the DBE. This DBE is now attending the WSDOT Office of Equal Opportunity (OEO) Long Term Business Development Program classes.

WSDOT DBE PROGRAM-LEVEL PROGRESS

BUSINESS DEVELOPMENT & OUTREACH

- During April, OEO and HQ Construction developed a handout (included as Exhibit C) that outlines the subcontracting process from bid to contract close out. This document details the majority of the administrative requirements of doing business with WSDOT. Hopefully this document will assist more DBE firms in successfully performing work on WSDOT construction projects.
- On April 2, 2015, OEO staff attended the Tribal Employment Rights Office & Juarez Group – Tribal Business & Contractor Outreach Event, attended by approximately 100 individuals. During this event OEO staff networked with tribally owned businesses and tribal representatives.
- On April 22, 2015, WSDOT hosted an Opportunity Forum in South Seattle to share information about future transportation contracting prospects. Information was shared on upcoming opportunities from the Northwest Region, AWV Program, and SR 520 Program. At this forum, DBEs also had an opportunity to network with WSDOT project staff, other procurement personnel, and prime contractors.
- On April 29, 2015, the second session of the Long Term Business Development Program started. This session will be for six weeks instead of the previous session's five weeks. WSDOT is hopeful that these firms will have business plans for review quickly and begin receiving much needed technical assistance.
- On May 12, 2015, OEO staff participated in a Department of Enterprise Services sponsored vendor educational event held in Eastern Washington at the Spokane Convention Center. Approximately 60 individuals (government officials and business representatives) attended the event to network and learn about contracting with the state.
- On June 2, 2015, OEO staff attended the SR 520 Bridge Replacement and HOV Program Open House. This event was attended by approximately 60 different companies. WSDOT presented information on important contracting requirements such as audited rates, the Safe Harbor program and certifications. Community and trade organizations, as well as WSDOT staff, were available for networking.
- On June 3, 2015, OEO staff participated in a vendor educational event in Western Washington held at the Tacoma Convention Center. Approximately 200 individuals (business representatives as well as government officials) attended the event to network and learn about contracting with the state.
- On June 16, and 17, 2015, OEO staff participated in the USDOT Northwest Small Business Transportation Resource Center Contractor Development Program - Bonding Education Program in Vancouver, Washington. Approximately fifteen (15) individuals attended this event to learn how to be more successful in the heavy highway construction trades.
- The interim Director of OEO, Deputy Secretary and/or Secretary of Transportation attended the monthly membership meetings of Tabor 100 and National Association of Minority Contractors – Washington Chapter.
- WSDOT developed and distributed a Special Edition Race-Neutral DBE Newsletter, highlighting some noteworthy progress made in voluntary DBE participation. This newsletter is included as Exhibit D.

TRAINING:

- On May 12, 2015, WSDOT Contract Ad & Award provided training to the Office of Minority & Women Owned Business Enterprise (OMWBE) staff on the bidding process and how the OMWBE Directory of Certified Firms is used during the contract award process.
- On May 19, 2015, HQ Construction and OEO hosted a Subcontracting with WSDOT Class at the New Holly Gathering Hall in Seattle. The training covered the subcontracting process, including rights and responsibilities, from bidding to contract close-out. The event was attended by approximately ten individuals. The low turn-out was likely due to the time of year (peak construction season). WSDOT is planning to host another similar training at the end of the 2015 construction season.

DBE MONITORING PROCESS AND PROCEDURES

- On April 2, 2015, Regina Glenn joined the SR 520 Bridge Replacement and HOV Program (SR 520 Program) as the Diversity and Inclusion Manager. Her mission is to increase DBE participation in the engineering and consulting contracts on the SR 520 Program. Regina brings with her years of experience, specifically in the DBE Program. She has worked with WSDOT on the DBE Program, Disparity Study, provided DBE Support Services, and serves on the DBE Advisory Group.
- On April 9, 2015, the interim Director of OEO attended the Minority, Women and Emerging Small Business Certification Policy Planning Summit in Oregon. OMWBE and the Oregon Department of Transportation and Unified Certification Program were present to discuss best practices, collaboration and reciprocity.
- WSDOT HQ Construction and OEO have developed new contract language for the DBE Program and Prompt Payment. These documents have been approved by FHWA. WSDOT has scheduled implementation of the new contract terms for August 1, 2015.
- WSDOT OEO worked with HQ Construction, Local Programs, Consultant Services and other divisions to develop a new individual goal setting methodology. This methodology should allow WSDOT to better achieve the overall DBE goal. This methodology was submitted to FHWA Washington Division on May 1, 2015.
- In May 2015, The Gray Notebook 57 was published. This edition contained a FHWA DBE Special Report, emphasizing the growth in commitments and increase in projects meeting or exceeding their DBE goals. The article is included as Exhibit E.
- On May 5, 2015, the interim Director of OEO met with federal and state partners to review the U.S. Small Business Administration (SBA) programs and services. The event, hosted by the SBA participants reviewed fiscal year 2014 regional impacts, set-asides, joint-ventures, sub-contracting plans, bundling, certification, performance of work and bonding issues.
- On June 2, 2015, the interim Director of OEO submitted a revised DBE Participation Plan. This version incorporates the new Executive Order and Roles and Responsibilities documents developed with the DBE Advisory Group, General Special Provisions, prompt payment contract language, and other items such as updated forms.

EXHIBITS:

Exhibit A: Summary of DBE Progress Changes Between STP DBE Progress Report #47 and #50.

Attachment #1, Summary of Comparison Between DBE Progress Report #50 and #47

Attachment #2, Comparison of DBE Progress Report #50 to #47 DBE Commitment Validation

Attachment #3, Comparison of DBE Progress Report #50 to #47 DBE Invoice to Date Validation

Attachment #4, Comparison of DBE Progress Report #50 to #47 DBE Total Payments Validation

Attachment #5, Comparison of DBE Progress Report #50 to #47 DBE Participation Validation

Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #50

Attachment #1, STP DBE Progress Report No. 50, April 2015

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Attachment #6, List of DBE Subcontracts

Attachment #7, DBE Certified Payrolls Hours Verification, April 2015

Exhibit C: Subcontracting with WSDOT Folio

Exhibit D: Race and Gender Edition of the DBE Newsletter

Exhibit E: Gray Notebook FHWA DBE Special Report

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

EXHIBIT A: Narrative Comparison between DBE Progress Report #50 and #47

**DBE Progress Report Validation
STP DBE Progress Report #50 vs #47**

The Washington State Department of Transportation (WSDOT) provides progress reports to the Federal Highway Administration (FHWA) on the SR 99 Bored Tunnel Contract Disadvantaged Business Enterprise (DBE) participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. Amendment #1 to the FHWA Conciliation Agreement revised the reporting period from monthly to quarterly, effective April 1, 2015. Thus, this narrative summarizes the difference between Seattle Tunnel Partner's (STP) DBE Progress Report #50 and DBE Progress Report #47, and provides an update of activities since the March 2015 FHWA DBE Progress Report, which reported on activities through January 31, 2015.

STP's Progress Report #47, submitted to WSDOT on February 27, 2015, was provided to FHWA via DBE Progress Report, dated March 2015. That report indicated there were 94 DBE subcontractors working on 159 DBE subcontracts. The total commitments reported by STP were \$92,387,096.89 and the Total DBE Participation claimed was \$56,050,046.05.

STP's Progress Report #50, submitted to WSDOT on May 29, 2015, indicates there are now 96 DBE subcontractors working on 167 DBE subcontracts. The total commitments reported by STP are \$95,354,991.60 and the Total DBE Participation claimed is \$62,022,459.41.

A Summary of the differences between Progress Report #50 and #47 may be found in Attachment #1. Details regarding commitments, invoiced to date, total payments and DBE participation are as follows:

- 39 Commitment changes totaling a \$2,967,894.71 increase. (Attachment #2)
- 48 Invoiced to Date changes totaling a \$4,892,372.80 increase. (Attachment #3)
- 46 Payments to Date changes totaling a \$4,267,386.25 increase. (Attachment #4)
- 48 DBE Amount (as amended) changes totaling a \$5,972,413.36 increase. (Attachment #5)

STP's DBE Progress Reports #47 through #50, were validated by WSDOT following the validation procedures approved by FHWA.

ATTACHMENTS:

- #1: Summary of Comparison between DBE Progress Report #50 and #47
- #2: Comparison of Commitments between DBE Progress Report #50 and #47
- #3: Comparison of Invoicing between DBE Progress Report #50 and #47
- #4: Comparison of Total Payments between DBE Progress Report #50 and #47
- #5: Comparison of DBE Participation between DBE Progress Report #50 and #47

EXHIBIT A: ATTACHMENT #1
SUMMARY OF DBE PROGRESS REPORT VALIDATIONS- PROGRESS REPORT #50 vs #47

SUMMARY OF COMPARISON BETWEEN DBE PROGRESS REPORT #50 vs. #47

Progress to Date	DBE Progress Report		
	#47	#50	Delta
Commitments	\$ 92,387,096.89	\$ 95,354,991.60	\$ 2,967,894.71
Invoiced to Date	\$ 78,920,902.59	\$ 83,813,275.39	\$ 4,892,372.80
Payments to Date	\$ 77,599,255.14	\$ 81,866,641.39	\$ 4,267,386.25
DBE Participation	\$ 56,050,046.05	\$ 62,022,459.41	\$ 5,972,413.36
# of DBE Contractors	94	96	2
# DBE Subcontracts	159	167	8
On-Site Reviews	9	18	27
	1/2015	2/2015- 4/2015	To date 2015
Reported Labor Hours	4,377.25	2,298.00	6,675.25
Certified Payroll Labor Hours	4,848.50	2,448.75	7,297.25

EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION- PROGRESS REPORT #50 vs. #47

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50	#50	#47	#50 - #47
						Invoiced to Date	Commitment	Commitment	Commitment Change
FIRST TIER									
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	71%	\$ 61,745.86	\$ 61,745.86	\$ 43,765.86	\$ 17,980.00
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	95%	\$ 564,836.03	\$ 564,836.03	\$ 533,956.03	\$ 30,880.00
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	96%	\$ 1,175,147.50	\$ 1,175,147.50	\$ 1,123,667.50	\$ 51,480.00
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		72%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 895,570.00	\$ 344,172.00
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	85%	\$ 732,184.83	\$ 732,184.83	\$ 619,654.94	\$ 112,529.89
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	80%	\$ 659,206.16	\$ 659,206.16	\$ 527,494.42	\$ 131,711.74
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	98%	\$ 275,394.34	\$ 275,394.34	\$ 270,732.41	\$ 4,661.93
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	77%	\$ 103,621.20	\$ 103,621.20	\$ 79,421.20	\$ 24,200.00
Marshbank	Sewer Relocation	S	7-Aug-2012	Active	85%	\$ 1,511,524.17	\$ 1,779,638.01	\$ 1,518,117.60	\$ 261,520.41
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	88%	\$ 327,924.43	\$ 327,924.43	\$ 287,856.93	\$ 40,067.50
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	88%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 4,075,777.75	\$ 572,951.25
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	92%	\$ 727,018.23	\$ 727,018.23	\$ 667,889.54	\$ 59,128.69
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	89%	\$ 296,486.50	\$ 296,486.50	\$ 263,999.50	\$ 32,487.00
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	31-Aug-2012	Active	99%	\$ 174,926.15	\$ 174,926.15	\$ 172,323.65	\$ 2,602.50
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	88%	\$ 753,723.00	\$ 753,723.00	\$ 666,198.00	\$ 87,525.00
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	92%	\$ 4,590,215.14	\$ 4,590,215.14	\$ 4,212,165.30	\$ 378,049.84
VENDORS									
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	94%	\$ 56,636.31	\$ 56,636.31	\$ 52,973.53	\$ 3,662.78
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 255,490.13	\$ 255,490.13	\$ 251,905.98	\$ 3,584.15
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	12%	\$ 156,700.24	\$ 156,700.24	\$ 18,319.66	\$ 138,380.58
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 5,490.80	\$ 5,490.80	\$ 5,154.09	\$ 336.71
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		99%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 2,361,747.11	\$ 32,983.13
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 291,329.78	\$ 291,329.78	\$ 287,172.98	\$ 4,156.80
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	15%	\$ 4,747.27	\$ 4,947.27	\$ 755.11	\$ 4,192.16
LOWER TIER									
JP Francis & Associates (LT to BASF)	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	S	6-Aug-2013		0%	\$ 2,418.13	\$ 2,418.13	\$ -	\$ 2,418.13
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		96%	\$ 912,918.60	\$ 912,918.60	\$ 873,397.07	\$ 39,521.53
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		101%	\$ 1,840,207.84	\$ 1,840,207.84	\$ 1,858,316.67	\$ (18,108.83)
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013		86%	\$ 17,464.78	\$ 17,464.78	\$ 15,031.78	\$ 2,433.00
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 934,990.33	\$ 934,990.33	\$ 888,277.66	\$ 46,712.67
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 387,811.36	\$ 387,811.36	\$ 385,719.36	\$ 2,092.00
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	99%	\$ 97,420.00	\$ 97,420.00	\$ 96,080.00	\$ 1,340.00
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	82%	\$ 123,517.12	\$ 123,517.12	\$ 101,471.25	\$ 22,045.87
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%	\$ 1,818,130.05	\$ 4,202,961.11	\$ 4,192,586.96	\$ 10,374.15

EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION- PROGRESS REPORT #50 vs. #47

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Invoiced to Date	#50 Commitment	#47 Commitment	#50 - #47 Commitment Change
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1/1/2014	78%	\$ 4,249.04	\$ 4,249.04	\$ 3,331.29	\$ 917.75
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		0%	\$ 23,927.00	\$ 270,800.00	\$ -	\$ 270,800.00
OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	V	25-Mar-2014	3/26/2014	92%	\$ 167,626.50	\$ 167,626.50	\$ 154,702.50	\$ 12,924.00
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	V	26-Jun-2014	Active	24%	\$ 18,373.05	\$ 18,373.05	\$ 4,468.70	\$ 13,904.35
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		59%	\$ 24,999.50	\$ 24,999.50	\$ 14,693.00	\$ 10,306.50
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36		\$ 159,186.36
Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	Need	14-Apr-2015			\$ 51,783.17	\$ 51,783.17		\$ 51,783.17
						\$ 83,813,275.39	\$ 95,354,991.60	\$ 92,387,096.89	\$ 2,967,894.71

EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION- PROGRESS REPORT #50 vs #47

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Commitment	#50 Invoiced to Date	#47 Invoiced to Date	#50 - #47 Invoiced (for Apr)
FIRST TIER									
Advanced Underground Utilities (Added on #43)	NOB Underground Utilities	S	12-Sep-14		100%	\$ 285,647.50	\$ 167,119.25	\$ -	\$ 167,119.25
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	71%	\$ 61,745.86	\$ 61,745.86	\$ 43,765.86	\$ 17,980.00
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	95%	\$ 564,836.03	\$ 564,836.03	\$ 533,956.03	\$ 30,880.00
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	96%	\$ 1,175,147.50	\$ 1,175,147.50	\$ 1,123,667.50	\$ 51,480.00
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		72%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 895,570.00	\$ 344,172.00
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	85%	\$ 732,184.83	\$ 732,184.83	\$ 619,654.94	\$ 112,529.89
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	80%	\$ 659,206.16	\$ 659,206.16	\$ 527,494.42	\$ 131,711.74
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	98%	\$ 275,394.34	\$ 275,394.34	\$ 270,732.41	\$ 4,661.93
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	77%	\$ 103,621.20	\$ 103,621.20	\$ 79,421.20	\$ 24,200.00
Marshbank	Sewer Relocation	S	7-Aug-2012	Active	85%	\$ 1,779,638.01	\$ 1,511,524.17	\$ 1,279,562.53	\$ 231,961.64
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	88%	\$ 327,924.43	\$ 327,924.43	\$ 287,856.93	\$ 40,067.50
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 473,613.72	\$ 457,086.23	\$ 362,309.79	\$ 94,776.44
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	88%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 4,075,777.75	\$ 572,951.25
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,506,125.29	\$ 17,426,583.90	\$ 16,685,839.56	\$ 740,744.34
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	92%	\$ 727,018.23	\$ 727,018.23	\$ 667,889.54	\$ 59,128.69
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	89%	\$ 296,486.50	\$ 296,486.50	\$ 263,999.50	\$ 32,487.00
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 779,490.00	\$ 761,281.74	\$ 706,911.60	\$ 54,370.14
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	31-Aug-2012	Active	99%	\$ 174,926.15	\$ 174,926.15	\$ 172,323.65	\$ 2,602.50
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	88%	\$ 753,723.00	\$ 753,723.00	\$ 666,198.00	\$ 87,525.00
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	100%	\$ 7,639,052.00	\$ 3,780,052.48	\$ 3,363,245.08	\$ 416,807.40
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	92%	\$ 4,590,215.14	\$ 4,590,215.14	\$ 4,212,165.30	\$ 378,049.84
VENDORS									
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	94%	\$ 56,636.31	\$ 56,636.31	\$ 53,073.53	\$ 3,562.78
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 255,490.13	\$ 255,490.13	\$ 251,905.98	\$ 3,584.15
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	12%	\$ 156,700.24	\$ 156,700.24	\$ 18,319.66	\$ 138,380.58
Quantity Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 5,490.80	\$ 5,490.80	\$ 5,154.09	\$ 336.71
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		99%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 2,090,163.24	\$ 304,567.00
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 291,329.78	\$ 291,329.78	\$ 287,172.98	\$ 4,156.80
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	15%	\$ 4,947.27	\$ 4,747.27	\$ 755.11	\$ 3,992.16
LOWER TIER									
JP Francis & Associates (LT to BASF)	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	S	6-Aug-2013		0%	\$ 2,418.13	\$ 2,418.13	\$ -	\$ 2,418.13
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		96%	\$ 912,918.60	\$ 912,918.60	\$ 873,397.07	\$ 39,521.53
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		101%	\$ 1,840,207.84	\$ 1,840,207.84	\$ 1,858,316.67	\$ (18,108.83)
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013		86%	\$ 17,464.78	\$ 17,464.78	\$ 15,031.78	\$ 2,433.00
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 934,990.33	\$ 934,990.33	\$ 888,277.66	\$ 46,712.67
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 387,811.36	\$ 387,811.36	\$ 385,719.36	\$ 2,092.00
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	99%	\$ 97,420.00	\$ 97,420.00	\$ 96,080.00	\$ 1,340.00

EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION- PROGRESS REPORT #50 vs #47

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Commitment	#50 Invoiced to Date	#47 Invoiced to Date	#50 - #47 Invoiced (for Apr)
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	82%	\$ 123,517.12	\$ 123,517.12	\$ 101,471.25	\$ 22,045.87
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 550,000.00	\$ 354,537.50	\$ 293,765.50	\$ 60,772.00
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	12/1/2014	100%	\$ 746,395.10	\$ 462,068.88	\$ 212,430.17	\$ 249,638.71
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%	\$ 4,202,961.11	\$ 1,818,130.05	\$ 1,753,211.50	\$ 64,918.55
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,021,920.34	\$ 4,112,500.23	\$ 4,094,024.25	\$ 18,475.98
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1/1/2014	78%	\$ 4,249.04	\$ 4,249.04	\$ 3,331.29	\$ 917.75
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		0%	\$ 270,800.00	\$ 23,927.00		\$ 23,927.00
OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	V	25-Mar-2014	3/26/2014	92%	\$ 167,626.50	\$ 167,626.50	\$ 154,702.50	\$ 12,924.00
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	V	26-Jun-2014	Active	24%	\$ 18,373.05	\$ 18,373.05	\$ 14,584.68	\$ 3,788.37
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		59%	\$ 24,999.50	\$ 24,999.50	\$ 18,451.00	\$ 6,548.50
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,093,130.55	\$ 605,618.92	\$ 519,367.61	\$ 86,251.31
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36		\$ 159,186.36
Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	Need	14-Apr-2015			\$ 51,783.17	\$ 51,783.17		\$ 51,783.17
						\$ 95,354,991.60	\$ 83,813,275.39	\$ 78,920,902.59	\$ 4,892,372.80

EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION- PROGRESS REPORT #50 vs #47

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Total Payments this Report (Apr)	#50 Total Payments to Date	#47 Total Payments to Date	Compare Total Pymts to Date (#50 - #47)	Variance
FIRST TIER										
Advanced Underground Utilities (Added on #43)	NOB Underground Utilities	S	12-Sep-14		100%	\$ 158,763.29	\$ 158,763.29	\$ -	\$ 158,763.29	
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	71%		\$ 61,745.86	\$ 43,765.86	\$ 17,980.00	\$ (17,980.00)
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	95%		\$ 551,398.53	\$ 533,956.03	\$ 17,442.50	\$ (17,442.50)
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	96%	\$ 18,270.00	\$ 1,164,347.50	\$ 1,114,667.50	\$ 49,680.00	\$ (31,410.00)
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		72%	\$ 114,724.00	\$ 1,239,742.00	\$ 895,570.00	\$ 344,172.00	\$ (229,448.00)
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	85%	\$ 102,529.89	\$ 722,184.83	\$ 406,989.35	\$ 315,195.48	\$ (212,665.59)
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	80%		\$ 659,206.16	\$ 527,494.42	\$ 131,711.74	\$ (131,711.74)
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	98%	\$ 964.71	\$ 273,616.01	\$ 269,796.34	\$ 3,819.67	\$ (2,854.96)
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	77%	\$ 8,000.00	\$ 103,621.20	\$ 79,421.20	\$ 24,200.00	\$ (16,200.00)
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	88%	\$ 16,268.25	\$ 322,488.43	\$ 273,785.80	\$ 48,702.63	\$ (32,434.38)
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 90,037.61	\$ 434,231.91	\$ 34,331.10	\$ 399,900.81	\$ (309,863.20)
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	88%	\$ 147,866.00	\$ 4,507,473.50	\$ 4,046,761.75	\$ 460,711.75	\$ (312,845.75)
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%		\$ 17,426,583.90	\$ 16,685,839.56	\$ 740,744.34	\$ (740,744.34)
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	92%	\$ 2,037.50	\$ 707,927.73	\$ 646,242.16	\$ 61,685.57	\$ (59,648.07)
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	89%	\$ 10,829.00	\$ 285,657.50	\$ 253,170.50	\$ 32,487.00	\$ (21,658.00)
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%		\$ 747,725.74	\$ 706,911.60	\$ 40,814.14	\$ (40,814.14)
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	31-Aug-2012	Active	99%		\$ 174,926.15	\$ 171,648.65	\$ 3,277.50	\$ (3,277.50)
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	88%	\$ 24,127.00	\$ 741,392.50	\$ 666,198.00	\$ 75,194.50	\$ (51,067.50)
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	100%		\$ 3,216,994.44	\$ 3,195,082.84	\$ 21,911.60	\$ (21,911.60)
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	92%	\$ 56,059.21	\$ 4,534,847.06	\$ 4,188,826.90	\$ 346,020.16	\$ (289,960.95)
United Professional Caulking (Added on #42)	Harrison Street Expansion Joints	S	12-Aug-2014		100%		\$ 75,287.50	\$ 33,250.00	\$ 42,037.50	\$ (42,037.50)
VENDORS										
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	94%		\$ 56,636.31	\$ 19,491.23	\$ 37,145.08	\$ (37,145.08)
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 768.91	\$ 255,490.13	\$ 250,308.81	\$ 5,181.32	\$ (4,412.41)
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	12%	\$ 65,688.46	\$ 132,851.70	\$ 9,814.35	\$ 123,037.35	\$ (57,348.89)
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 336.71	\$ 5,490.80	\$ 4,977.18	\$ 513.62	\$ (176.91)
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		99%	\$ 107,133.00	\$ 2,197,296.24	\$ 2,090,163.24	\$ 107,133.00	\$ (0.00)
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 1,136.77	\$ 288,671.10	\$ 285,944.38	\$ 2,726.72	\$ (1,589.95)
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	15%	\$ 227.45	\$ 1,035.12	\$ -	\$ 1,035.12	\$ (807.67)
LOWER TIER										
JP Francis & Associates (LT to BASF)	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	S	6-Aug-2013		0%		\$ 2,418.13	\$ -	\$ 2,418.13	\$ (2,418.13)
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013		86%	\$ 1,407.80	\$ 17,464.78	\$ 15,031.78	\$ 2,433.00	\$ (1,025.20)
CivilTech Engineering (LT to Hitachi) (added on #47)	Ground Condition Evaluation	Need	6-Aug-2014		100%		\$ 127,804.00	\$ 79,084.00	\$ 48,720.00	\$ (48,720.00)
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 14,768.00	\$ 912,883.88	\$ 849,684.58	\$ 63,199.30	\$ (48,431.30)
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 1,748.00	\$ 387,617.13	\$ 378,980.13	\$ 8,637.00	\$ (6,889.00)
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	99%		\$ 95,286.90	\$ 94,013.90	\$ 1,273.00	\$ (1,273.00)
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	82%	\$ 3,534.52	\$ 105,005.77	\$ 64,604.85	\$ 40,400.92	\$ (36,866.40)
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%		\$ 293,308.23	\$ 254,469.38	\$ 38,838.85	\$ (38,838.85)

EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION- PROGRESS REPORT #50 vs #47

Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	12/1/2014	100%		\$ 212,430.17	\$ 185,026.77	\$ 27,403.40	\$ (27,403.40)
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%		\$ 28,396.95	\$ -	\$ 28,396.95	
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%		\$ 1,655,184.54	\$ 1,561,326.75	\$ 93,857.79	\$ (93,857.79)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%		\$ 4,112,500.23	\$ 4,094,024.25	\$ 18,475.98	\$ (18,475.98)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #46)	Electrical Supply #142187	Need	6-Dec-2014		100%		\$ 4,627.44	\$ -	\$ 4,627.44	
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		0%				\$ 387.56	
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	V	26-Jun-2014	Active	24%		\$ 14,584.68	\$ 4,468.70	\$ 10,115.98	\$ (10,115.98)
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		59%		\$ 24,999.50	\$ 18,451.00	\$ 6,548.50	\$ (6,548.50)
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 38,286.11	\$ 605,582.38	\$ 506,340.68	\$ 99,241.70	\$ (60,955.59)
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ -	\$ 159,186.36		\$ 159,186.36	
						\$ 985,512.19	\$ 81,866,641.39	\$ 77,599,255.14	\$ 4,267,386.25	\$ (3,089,663.31)

**EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION- PROGRESS REPORT #50 vs #47**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Commitment	#50 Invoiced to Date	#50 Total Payments this Report (Apr)	#50 Total Payments to Date	#50 DBE Amount (as Amended)	#47 DBE Amount (as Amended)	#50 - #47 DBE Amount (as Amended)	Pytm to Date <=#50 DBE Amt
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	71%	\$ 61,745.86	\$ 61,745.86		\$ 61,745.86	\$ 61,154.36	\$ 43,174.36	\$ 17,980.00	Yes
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	96%	\$ 1,175,147.50	\$ 1,175,147.50	\$ 18,270.00	\$ 1,164,347.50	\$ 1,146,077.50	\$ 1,104,227.50	\$ 41,850.00	
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		72%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 114,724.00	\$ 1,239,742.00	\$ 1,204,602.00	\$ 860,430.00	\$ 344,172.00	
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	85%	\$ 732,184.83	\$ 732,184.83	\$ 102,529.89	\$ 722,184.83	\$ 722,184.83	\$ 406,989.35	\$ 315,195.48	Yes
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	80%	\$ 659,206.16	\$ 659,206.16		\$ 659,206.16	\$ 659,206.16	\$ 527,494.42	\$ 131,711.74	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	98%	\$ 275,394.34	\$ 275,394.34	\$ 964.71	\$ 273,616.01	\$ 148,066.16	\$ 144,950.49	\$ 3,115.67	
On-Site Environmental Inc. (LT to Greylock)	Environmental Analytical Testing	V	14-Dec-2011	Active						\$ 70,003.75	\$ 69,827.75	\$ 176.00	NO
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	77%	\$ 103,621.20	\$ 103,621.20	\$ 8,000.00	\$ 103,621.20	\$ 72,350.00	\$ 48,150.00	\$ 24,200.00	Yes
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	88%	\$ 327,924.43	\$ 327,924.43	\$ 16,268.25	\$ 322,488.43	\$ 322,488.43	\$ 273,785.80	\$ 48,702.63	Yes
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 473,613.72	\$ 457,086.23	\$ 90,037.61	\$ 434,231.91	\$ 434,231.91	\$ 34,331.10	\$ 399,900.81	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	88%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 147,866.00	\$ 4,507,473.50	\$ 4,493,979.50	\$ 4,033,267.75	\$ 460,711.75	Yes
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,506,125.29	\$ 17,426,583.90		\$ 17,426,583.90	\$ 14,279,412.99	\$ 11,559,521.75	\$ 2,719,891.24	
C & E Transport Corp (LT to OMA)	Trucking	V	18-Nov-2013	Active						\$ 21,834.68	\$ -	\$ 21,834.68	
Maks Dump Truck (LT to OMA)	Trucking	V	1-Dec-2012	Active						\$ 240,215.73	\$ 133,755.00	\$ 106,460.73	
Ponglia Trucking Inc. (LT to OMA)	Trucking	V	17-Jan-2013	Active						\$ 221,671.25	\$ 132,683.75	\$ 88,987.50	
Trinity Development (LT to OMA)	Trucking	V	17-Oct-2012	Active						\$ 182,697.69	\$ 41,630.00	\$ 141,067.69	
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	92%	\$ 727,018.23	\$ 727,018.23	\$ 2,037.50	\$ 707,927.73	\$ 582,929.90	\$ 521,539.02	\$ 61,390.88	Yes
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	89%	\$ 296,486.50	\$ 296,486.50	\$ 10,829.00	\$ 285,657.50	\$ 155,475.96	\$ 137,607.96	\$ 17,868.00	Yes
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active						\$ 125,308.54	\$ 110,689.54	\$ 14,619.00	NO
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 779,490.00	\$ 761,281.74		\$ 747,725.74	\$ 741,472.40	\$ 700,714.24	\$ 40,758.16	Yes
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	31-Aug-2012	Active	99%	\$ 174,926.15	\$ 174,926.15		\$ 174,926.15	\$ 174,926.15	\$ 169,241.15	\$ 5,685.00	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	88%	\$ 753,723.00	\$ 753,723.00	\$ 24,127.00	\$ 741,392.50	\$ 741,392.50	\$ 666,198.00	\$ 75,194.50	Yes
Steelkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	100%	\$ 7,639,052.00	\$ 3,780,052.48		\$ 3,216,994.44	\$ 3,216,994.44	\$ 3,195,082.84	\$ 21,911.60	Yes
United Professional Caulking (Added on #42)	Harrison Street Expansion Joints	S	12-Aug-2014		100%	\$ 79,250.00	\$ 79,250.00		\$ 75,287.50	\$ 75,287.50	\$ -	\$ 75,287.50	
VENDORS													
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	94%	\$ 56,636.31	\$ 56,636.31		\$ 56,636.31	\$ 33,981.79	\$ 11,694.74	\$ 22,287.05	Yes
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 255,490.13	\$ 255,490.13	\$ 768.91	\$ 255,490.13	\$ 153,294.06	\$ 150,185.29	\$ 3,108.77	Yes
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	12%	\$ 156,700.24	\$ 156,700.24	\$ 65,688.46	\$ 132,851.70	\$ 79,711.02	\$ 5,888.61	\$ 73,822.41	
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 5,490.80	\$ 5,490.80	\$ 336.71	\$ 5,490.80	\$ 3,294.48	\$ 2,986.31	\$ 308.17	
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		99%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 107,133.00	\$ 2,197,296.24	\$ 1,739,005.08	\$ 1,636,058.37	\$ 102,946.71	
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 291,329.78	\$ 291,329.78	\$ 1,136.77	\$ 288,671.10	\$ 173,202.66	\$ 171,566.63	\$ 1,636.03	Yes
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	15%	\$ 4,947.27	\$ 4,747.27	\$ 227.45	\$ 1,035.12	\$ 1,035.12	\$ -	\$ 1,035.12	
LOWER TIER													
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		96%	\$ 912,918.60	\$ 912,918.60		\$ 912,918.60	\$ 547,751.16	\$ 473,850.03	\$ 73,901.13	
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		101%	\$ 1,840,207.84	\$ 1,840,207.84		\$ 1,858,316.67	\$ 1,858,316.67	\$ 1,821,257.37	\$ 37,059.30	
Yonemitsu Geological Services (LT to CivilTech - 3rd Tier under Hitachi)	Access Shaft - Soil Testing	Need	28-Jan-2015							\$ 935.00	\$ -	\$ 935.00	
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 934,990.33	\$ 934,990.33	\$ 14,768.00	\$ 912,883.88	\$ 912,883.88	\$ 849,684.58	\$ 63,199.30	Yes
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 387,811.36	\$ 387,811.36	\$ 1,748.00	\$ 387,617.13	\$ 384,154.63	\$ 375,517.63	\$ 8,637.00	Yes
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	99%	\$ 97,420.00	\$ 97,420.00		\$ 95,286.90	\$ 95,286.90	\$ 94,013.90	\$ 1,273.00	Yes
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	82%	\$ 123,517.12	\$ 123,517.12	\$ 3,534.52	\$ 105,005.77	\$ 105,005.77	\$ 64,604.85	\$ 40,400.92	Yes
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 550,000.00	\$ 354,537.50		\$ 293,308.23	\$ 238,433.23	\$ 206,469.38	\$ 31,963.85	Yes
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	12/1/2014	100%	\$ 746,395.10	\$ 462,068.88		\$ 212,430.17	\$ 212,430.17	\$ 185,026.77	\$ 27,403.40	
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 207,297.74	\$ 28,396.95		\$ 28,396.95	\$ 28,396.95	\$ -	\$ 28,396.95	
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%	\$ 4,202,961.11	\$ 1,818,130.05		\$ 1,655,184.54	\$ 1,655,184.54	\$ 1,561,326.75	\$ 93,857.79	Yes
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,021,920.34	\$ 4,112,500.23		\$ 4,112,500.23	\$ 2,467,500.14	\$ 2,456,414.55	\$ 11,085.59	Yes
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #46)	Electrical Supply #142187	Need	6-Dec-2014		100%	\$ 4,627.44	\$ 4,627.44		\$ 4,627.44	\$ 2,776.46	\$ -	\$ 2,776.46	

**EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION- PROGRESS REPORT #50 vs #47**

Transcon (LT to FISK, 3rd tier under JH Kelly)	Electrical supply and install	S	11-Feb-2013		100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.03	\$ 59,187.03	\$ 21,099.00	\$ 38,088.03
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	V	26-Jun-2014	Active	24%	\$ 18,373.05	\$ 18,373.05		\$ 14,584.68	\$ 14,584.68	\$ 4,468.70	\$ 10,115.98
Pacific Geo Engineering (LT to PSI)	Concrete testing and laboratory testing	V	4-Jan-2013		59%	\$ 24,999.50	\$ 24,999.50		\$ 24,999.50	\$ 24,999.50	\$ 18,451.00	\$ 6,548.50
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36	\$ -	\$ 159,186.36	\$ 95,511.82		\$ 95,511.82
						\$ 95,354,991.60	\$ 83,813,275.39	\$ 985,512.19	\$ 81,866,641.39	\$ 62,022,459.41	\$ 56,050,046.05	\$ 5,972,413.36



EXHIBIT B

June 15, 2015

TO: Bobby Forch, DBE Administrator AWWV *BT*

FROM: Dawn McIntosh, P.E. *DM*

SUBJECT: Validation STP Monthly DBE Progress Report No. 50

Review of STP Monthly DBE Progress Report No. 50 (Report No. 50) began 6/1/2015 and was completed on 6/4/2015 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE 8% Goal= \$91,176,000
- Commitments= \$95,354,991.60
- Total Payments= \$81,866,641.39
- DBE Participation= \$62,022,459.41

STP reported 96 subcontractors with 167 subcontracts. Comparing Report No. 50 to Report No. 49 resulted in changes as follows:

- 25 Commitment changes totaling \$1,038,602.43 increase (Attachment 2)
- 32 Invoiced to Date changes totaling \$1,621,491.37 increase (Attachment 3)
- 27 Payments to Date changes totaling \$1,382,515.14 increase (Attachment 4)
- 25 DBE Amount (as Amended) changes totaling \$1,720,823.11 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 50 (April 2015) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated. STP reported an increase of \$825,944.88 in DBE participation for trucking. This increase in trucking was associated with work performed by OMA, Trinity Trucking and Savage Logistics. The trucking records were verified following the verification process, as approved by FHWA.

The following new DBE subcontractors were added under this Report, bringing the total count of DBE firms with subcontracts to 96:

- Meeds Environmental
- Teknograf

The following existing subcontractors received new work under this report:

- Oneill Service Group (LT to HNTB)
- JMR Trucking (LT to OMA, under NCM)
- Ponglia Trucking (LT to OMA, under NCM)
- Tan Trucking (LT to OMA, under NCM)
- Mobile Electrical Distributors Inc. (LT to US Electric)
- Sundancer Electric (LT to US Electric)

A listing of the DBE Subcontracts, as current status regarding start dates, end dates, and retainage release dates may be found in Attachment #6.

A review of payroll reports showed the total hours reported on verified Certified Payrolls were 2,448.75. This was 150.75 hours more than the 2,298 hours reported by the contractor. The majority of the discrepancy, or 234 hours, are associated with over reporting on Certified Payroll by Steelkorr. This over reporting is due to the reporting cycle that Steelkorr utilizes for Certified Payroll, which does not correspond to the calendar month used in Certified Payroll. The breakdown, by skill craft, may be found in Attachment #7: DBE Certified Payrolls

Conclusion:

STP's Report No. 50 is acceptable.

The STP Binder for Report No. 50 was helpful for validating the invoiced and payment information as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

June 15, 2015

Page 3

Attachments:

1. STP DBE Progress Report No. 50
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation
6. DBE Subcontracts Status
7. DBE Certified Payroll Hours



Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

ATTACHMENT #1

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 50

Progress Report Period: April 1, 2015 – April 30, 2015

Submission Date: Friday, May 29, 2015

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$95,354,991.60
Percent of DBE commitments:	8.367%
DBE participation:	\$62,022,459.41
Percent of DBE participation :	5.442%

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP submitted our quarterly DBE action plan on April 30, 2015. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available. STP does not have additional work to add to this list for this month's report.

STP April RFPs/RFOs

STP discusses upcoming bids with WSDOT, OMWBE, and independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings STP provides additional details and requests feedback from participants and incorporates suggestions.

STP implemented the following for recent RFPs and/or bid evaluations during April to provide greater opportunities for DBE firms:

1. Landscaping, irrigation, and drainage for the North and South Operations Buildings
 - At the Subcontracting Oversight Committee, STP provided plans and specs, discussed the work, and discussed the planned method for how the RFP would go out.
 - STP is currently planning on bidding the landscaping and irrigation as one package, which is currently estimated at around \$150,000.

- STP will be presenting more details about the RFP at the National Association of Minority Contractors on June 4, 2015.
- 2. Site concrete, curbs, and walk ways for the North and South Operations Buildings
 - At the Subcontracting Oversight Committee, STP provided plans and specs, discussed the work, and discussed the planned method for how the RFP would go out.
 - STP is currently planning on bidding the site concrete as one package estimated at around \$100,000.
 - STP will be presenting more details about the RFP at the National Association of Minority Contractors on June 4, 2015.

April DBE Contract Negotiations

STP negotiated change orders and purchase orders with DBE firms already on contract based on changes in information and/or work previously awarded.

STP negotiated a 70% DBE requirement with U.S. Electric for tunnel electrical work.

STP Outreach

STP conducts outreach in order to reach DBEs and provide information about the project, future contracting opportunities, contact information, and how to access work. STP also conducts outreach to encourage DBE firms to bid work on the project. STP is also working with WSDOT and OMWBE to provide information to the broader women and minority business community.

April Outreach

April 2, 2015, Our Future Working Together II – Tribal Business Outreach Event

STP participated with a booth and attendance. STP attended in order to engage native and tribally owned businesses as well as other WMBE/DBE firms and discuss opportunities on the project.

April 2, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP attend the NAMC WA meeting in order to continue to engage MBE/DBE firms and provide project and opportunity updates.

April 8, 2015, STP DBE Outreach and Engagement Meeting

STP DBE outreach and engagement meeting took place on April 8th at South Seattle Community College Georgetown Campus. STP provided information about the project, DBE participation, and upcoming project opportunities. STP had follow-up communication from the meeting regarding lower tier contacts and opportunities. STP was also able to engage Ball and Son, an owner-operator trucking firm that has already worked on the project as a lower tier to OMA and recently became DBE certified. Ball and Son also performs demolition and STP commercial manager discussed potential future work in this area. STP also provided contact information for Glumac, the lower tier firm providing commissioning authority. Projecto Managerial, a DBE firm, followed up with the contact provided and has a meeting scheduled to discuss Glumac's needs.

April 22, 2015, DBE Opportunity Forum

STP presented at WSDOT's DBE Opportunity Forum. This provided an opportunity to present project updates and network with DBE and WMBE firms.

May Outreach

May 7, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP attended the NAMC WA meeting in order to continue to engage MBE/DBE firms and provide project and opportunity updates.

May 13, 2015, STP DBE Outreach and Engagement Meeting

STP brought our commercial manager, WMBE diversity manager, DBE compliance manager, and DBE administrator to present at the DBE outreach and engagement meeting on May 13th at South Seattle Community College Georgetown Campus. STP also followed up with DBE requests to meet our subcontractors and had a representative from JH Kelly.

No firms attended the meeting. STP stayed for the duration of the meeting schedule to be available in case any firms showed up late. STP evaluated past STP DBE Outreach and Engagement Meetings, outreach efforts in general, and feedback received from the community. Based on this information, STP made the decision to rotate the STP DBE Outreach and Engagement Meeting to other established events that reached a broader DBE and WMBE audience. Once a quarter, after STP's quarterly action plan, STP will hold a DBE Outreach and Engagement Meeting separate from other events at the South Seattle Community College Georgetown Campus.

The current plan is to rotate one presentation per quarter at the NAMC meetings. These meetings have good attendance, include a diverse audience of DBE firms and firms interested in becoming DBE certified, and represent a wide range of businesses in areas that are applicable to the work performed on the SR 99 Tunnel Project. Members have historically requested updates on the project and business opportunities.

STP is also planning on rotating one presentation per quarter at Tabor 100. These meetings have good attendance, include a diverse audience of DBE firms and firms interested in becoming DBE certified, and represent a wide range of businesses in areas that are applicable to the work performed on the SR 99 Tunnel Project. Members have historically requested updates on the project and business opportunities.

STP will continue to be open to all entities for presenting information about the project, progress towards the DBE goal, and opportunities. STP may rotate or add to the list of organizations STP presents to. Currently, STP presents at CDE meetings, WSDOT events, and participates in other events/meetings as those opportunities arise.

May 15, 2015, Washington Construction Design & Entrepreneurs (CDE)- Seattle

STP attended the CDE meeting and networked with minority and women owned businesses.

May 30, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership

Planned June Outreach

June 4, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP will be presenting project updates and upcoming RFPs at the NAMC WA meeting. As part of our revised outreach strategy, STP is bringing our presentation to other organizations. STP anticipates this will reach more people and allow people to participate on different days and times of the week.

June 27, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership

Planned July Outreach

July 2, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC WA meeting in order to continue to engage MBE/DBE firms and provide project and opportunity updates.

July 17, 2015, Washington Construction Design & Entrepreneurs (CDE)- Seattle

STP plans to attend the CDE meeting and network with minority, and women owned businesses.

July 25, 2015, Tabor 100 General Membership Meeting

STP will be presenting project updates and upcoming RFPs at the NAMC WA meeting. As part of our revised outreach strategy, STP is bringing our presentation to other organizations. STP anticipates this will reach more people and allow people to participate on different days and times of the week.

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): 1,371,464,802.27 % Complete: 74%
DBE Goal Dollar Value: \$ 91,176,000.00
DBE Goal: 8%

Prime Contractor:
Seattle Tunnel Partners

Through 3/31/15 (all numbers exclude WSST)
Total payment to Prime to date: 1,015,692,883.79
Total amount invoiced to date: 1,024,436,199.82
Amount of last payment to Prime: 7,101,678.33

Date of last payment to Prime: 4/30/2015
Total of last payment to Prime: 7,101,678.33
Total value of DBE commitments: \$ 95,354,991.60
% of DBE Commitments: 8.367%

Total value of DBE amount (as Amended): \$ 62,022,459.41
% of DBE Amount (as Amended): 5.442%

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00	COMPLETE	\$ 720.00	\$ 720.00
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-14	59%	\$ 285,647.50	\$ 167,119.25	\$ 158,763.29	\$ 158,763.29	\$ -
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20	COMPLETE	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB - Furnish & Install Shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -		\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00		\$ 189,938.00	\$ 189,938.00
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00	COMPLETE	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-12	100%	\$ 61,745.86	\$ 61,745.86		\$ 61,745.86	\$ 61,154.36
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 564,836.03	\$ 564,836.03		\$ 551,398.53	\$ 551,398.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	100%	\$ 1,005,698.49	\$ 1,005,698.49		\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-12	100%	\$ 1,175,147.50	\$ 1,175,147.50	\$ 18,270.00	\$ 1,164,347.50	\$ 1,146,077.50
Duncan Asphalt	#DM5M9822253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-14		\$ 51,630.60	\$ 51,630.60		\$ 49,049.07	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,575.00	\$ 22,575.00		\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 114,724.00	\$ 1,239,742.00	\$ 1,204,602.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 374,988.58	\$ 374,988.58		\$ 374,988.85	\$ 374,988.85
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -		\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 732,184.83	\$ 732,184.83	\$ 102,529.89	\$ 722,184.83	\$ 722,184.83
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00		\$ 1,615.00	\$ 1,615.00
Global Geophysics	#M4M0021256	Vibration Monitoring	21-May-2012	100%	\$ 659,206.16	\$ 659,206.16		\$ 659,206.16	\$ 659,206.16
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	COMPLETE	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422331	South Portal Trucking	24-Jul-2012	100%			COMPLETE		\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 275,394.34	\$ 275,394.34	\$ 964.71	\$ 273,616.01	\$ 148,066.16
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%				\$ -	\$ 70,003.75
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00		\$ 1,473,764.00	\$ 1,455,382.26
J. Keiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 103,621.20	\$ 103,621.20	\$ 8,000.00	\$ 103,621.20	\$ 72,350.00
JP Francis & Associates, Inc.	#M3M0018112	Mitigation building - plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86		\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00		\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks - Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	COMPLETE	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-12	100%			COMPLETE		\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-12	100%			COMPLETE		\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0018072	Rebar	30-Jun-12	100%			COMPLETE		\$ -

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc. (Subcontract to Leajak, 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2011	100%			COMPLETE		\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 62,847.55	\$ 62,847.55		\$ 62,847.55	\$ 62,847.55
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	85%	\$ 1,779,638.01	\$ 1,511,524.17		\$ 1,435,947.94	\$ 1,059,402.86
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014						\$
ProUSA (LT to Marshbank)	#D3M0022332	Trucking							\$
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	COMPLETE	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Vac-truck services	18-Jul-2012	100%	\$ 178,466.02	\$ 178,466.02		\$ 170,872.82	\$ 170,872.82
Meeds Environmental	#D3M0021813	Filter Press	2-Apr-2015						
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 327,924.43	\$ 327,924.43	\$ 16,268.25	\$ 322,488.43	\$ 322,488.43
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Conduit	31-Jul-2014	97%	\$ 473,613.72	\$ 457,086.23	\$ 90,037.61	\$ 434,231.91	\$ 434,231.91
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	COMPLETE	\$ 39,800.00	\$
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 147,866.00	\$ 4,507,473.50	\$ 4,493,979.50
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	94%	\$ 18,506,125.29	\$ 17,426,583.90		\$ 17,426,583.90	\$ 14,279,412.99
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%					\$ 21,834.68
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 52,186.18
Maks Dump Truck (LT to OMA)	#D3M0015934	Trucking	1-Dec-2012	100%					\$ 240,215.73
Ponglila Trucking Inc (LT to OMA)	#M4M0019913	Trucking	17-Jan-2013	100%					\$ 221,671.45
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 11,622.50
SS6 Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$
Tan Trucking (LT to OMA)	#D3M10020597	Trucking							\$
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 182,697.69
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$
Oneill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 727,018.23	\$ 727,018.23	\$ 2,037.50	\$ 707,927.73	\$ 582,929.90
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 296,486.50	\$ 296,486.50	\$ 10,829.00	\$ 285,657.50	\$ 155,475.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2011	100%					\$ 125,306.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	98%	\$ 779,490.00	\$ 761,281.74		\$ 747,725.74	\$ 741,472.40
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#M5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 174,926.15	\$ 174,926.15		\$ 174,926.15	\$ 174,926.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 753,723.00	\$ 753,723.00	\$ 24,127.00	\$ 741,392.50	\$ 741,392.50
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	COMPLETE	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,848,973.12	\$
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%					\$
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%			COMPLETE		\$ 33,703.55
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%			COMPLETE		\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52
Steelkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	49%	\$ 7,639,052.00	\$ 3,780,052.48		\$ 3,216,994.44	\$ 3,216,994.44
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,590,215.14	\$ 4,590,215.14	\$ 56,059.21	\$ 4,534,847.06	\$
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	100%	\$ 2,312,336.49	\$ 2,302,776.64		\$ 2,302,776.64	\$ 2,006,706.13
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	COMPLETE	\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	100%	\$ 79,250.00	\$ 79,250.00		\$ 75,287.50	\$ 75,287.50
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00		\$ 184,331.46	\$
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45		\$ 19,402.45	\$ 19,402.45
Yonemitsu Geological Services	#D4M0013447	Soil conditioning mapping at access shaft	4-Dec-2014	0%					
*Teknograf (LT to Yonemitsu Geological)	#D2F002044S	Drafting Services	28-Apr-2015						

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
VENDORS									
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 296,566.23	\$ 296,566.23		\$ 296,566.23	\$ 177,939.74
Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	NA	100%	\$ 56,636.31	\$ 56,636.31		\$ 56,636.31	\$ 33,981.79
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 255,490.13	\$ 255,490.13	\$ 768.91	\$ 255,490.13	\$ 153,294.08
Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014	100%	\$ 156,700.24	\$ 156,700.24	\$ 65,688.46	\$ 132,851.70	\$ 79,711.02
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 5,490.80	\$ 5,490.80	\$ 336.71	\$ 5,490.80	\$ 3,294.48
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	100%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 107,133.00	\$ 2,197,296.24	\$ 1,739,005.08
The Bag Lady	#D2F0016394	Costruction Entrance Plates & Straw bales	NA	100%	\$ 291,329.78	\$ 291,329.78	\$ 1,136.77	\$ 288,671.10	\$ 173,202.66
United Reprographics	#D3M0017126	Business cards & printing services	12-Jan-2015	96%	\$ 4,947.27	\$ 4,747.27	\$ 227.45	\$ 1,035.12	\$ 1,035.12
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,706,715.39	\$ 2,706,715.39		\$ 2,706,715.39	\$ 2,705,165.39
LOWER TIER									
AMEC (Non-DBE sub)									
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02
BASF (Non-DBE sub) - EASI									
JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#M3M0018112	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	6-Aug-2013	100%	\$ 2,418.13	\$ 2,418.13		\$ 2,418.13	\$
BASF - KTI Logistics (Non-DBE sub)									
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	\$ 14,949.00		\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)									
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 912,918.60	\$ 912,918.60		\$ 912,918.60	\$ 547,751.16
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)									
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)									
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ 19,586.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)									
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 1,840,207.84	\$ 1,840,207.84		\$ 1,858,316.67	\$ 1,858,316.67
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 17,464.78	\$ 17,464.78	\$ 1,407.80	\$ 17,464.78	\$
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)									
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Hitachi Zosen USA Ltd	Hitachi Zosen USA Ltd								
CivilTech Engineering (LT to Hitachi)	#D4M0018825	Ground Condition Evaluation	6-Aug-2014	100%	\$ 127,804.00	\$ 127,804.00		\$ 127,804.00	\$ 35,668.16
Global Geophysics (LT to CivilTech - 3rd Tier under Hitachi)	#M4M0021256	Access Shaft - GPF & MASW Surveys at T4E	28-Jan-2015						\$ 11,554.00
Yonemitsu Geological Services (LT to CivilTech - 3rd Tier under Hitachi)	#D4M0015447	Access Shaft - Soil Testing	26-Jan-2015						\$ 935.00
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 934,990.33	\$ 934,990.33	\$ 14,768.00	\$ 912,883.88	\$ 912,883.88
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 990,328.53	\$ 990,328.53		\$ 978,177.53	\$ 978,177.53
Onell Service Group	#D5M9820775	Materials Approval Engineer	30-Apr-2015						
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#M5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 387,811.36	\$ 387,811.36	\$ 1,748.00	\$ 387,617.13	\$ 384,154.63
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 186,757.53	\$ 186,757.53		\$ 186,383.93	\$ 186,383.93
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 97,420.00	\$ 97,420.00		\$ 95,286.90	\$ 95,286.90
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC (Non-DBE sub)	HNTB - HART CROWSER INC								
Global Geophysics (LT to HNTB)	#M4M0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 123,517.12	\$ 123,517.12	\$ 3,534.52	\$ 105,005.77	\$ 105,005.77
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS								
ProUSA (LT to Hos Bros)	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$ -
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	64%	\$ 550,000.00	\$ 354,537.50		\$ 293,308.23	\$ 238,433.23
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	48%	\$ 112,640.00	\$ 54,395.00		\$ 51,675.25	\$ 51,675.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	62%	\$ 746,395.10	\$ 462,068.88		\$ 212,430.17	\$ 212,430.17
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	14%	\$ 207,297.74	\$ 28,396.95		\$ 28,396.95	\$ 28,396.95
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1488	#M3M0018112	Mechanical	1-May-2013	71%	\$ 628,754.60	\$ 446,067.07		\$ 423,763.71	\$ 423,763.71
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1533	#M3M0018112	Mechanical	1-Aug-2013	43%	\$ 4,202,961.11	\$ 1,818,130.05		\$ 1,655,184.54	\$ 1,655,184.54
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	87%	\$ 5,688,963.90	\$ 4,933,008.09		\$ 4,933,008.44	\$ 2,959,805.06

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	82%	\$ 5,021,920.34	\$ 4,112,500.23		\$ 4,112,500.23	\$ 2,467,500.14
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814		100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25	\$ 3,777.75
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	6-Dec-2014	100%	\$ 4,627.44	\$ 4,627.44		\$ 4,627.44	\$ 2,776.46
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.00	\$ 59,187.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 4,249.04	\$ 4,249.04		\$ 3,718.85	\$ -
Neppel Electrical	#D5M0017938	Electrical contractor	28-Jan-2014	9%	\$ 270,800.00	\$ 23,927.00			
MALCOLM (Non-DBE sub)	MALCOM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$ 397,730.00	\$ 397,730.00		\$ 397,730.00	\$ 397,730.00
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$ 335,073.03	\$ 335,073.03		\$ 313,323.33	\$ 313,323.33
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#M4M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$ 178,836.06		\$ 169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014	100%	\$ 431,642.23	\$ 431,642.23		\$ 422,156.00	\$ -
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 167,626.50	\$ 167,626.50		\$ 154,702.50	
JMR Trucking	#D3M691751i	Trucking							
Pongia Trucking	#M4M0019913	Trucking							
Tan Trucking	#D3M0020597	Trucking							
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#M4M0002341	Vac-truck services	26-Jun-2014	100%	\$ 18,373.05	\$ 18,373.05		\$ 14,584.68	\$ 14,584.68
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 57,236.25	\$ 57,236.25		\$ 54,374.43	
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	COMPLETE	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 24,999.50	\$ 24,999.50		\$ 24,999.50	\$ 24,999.50

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
SOLDATA - KPG (Non-DBE sub)									
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#M5M0019808	Settlement Monitoring	1-Apr-2012	55%	\$ 1,093,130.55	\$ 605,618.92	\$ 38,286.11	\$ 605,582.38	\$ -
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 103,950.00	\$ 103,950.00		\$ 103,950.00	\$ 60,280.00
SOLDATA - HART CROWSER (Non-DBE sub)									
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
US Electric (Non-DBE sub)									
Mobile Electrical Distributors Inc. (LT to US Electric)	#D2F0017012	Electrical Supply	13-Feb-2013	100%	\$ 159,186.36	\$ 159,186.36	\$ -	\$ 159,186.36	\$ 95,511.82
Sundancer Electric	#D1M8522607	Electrical Support for Tunneling Operations	14-Apr-2015	100%	\$ 51,783.17	\$ 51,783.17	\$ -	\$ -	\$ -
VECA (Non-DBE sub)									
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15	COMPLETE	\$ 9,803.15	\$ 5,881.89
					\$ 95,354,991.60	\$ 83,813,275.39	\$ 985,512.19	\$ 81,866,641.39	\$ 62,022,459.41

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.

Yes - the following firms have been added:

- Meeds Environmental LT STP
- Mobile Electric LT to US Electric
- Sundancer LT to US Electric
- Teknograf LT Yonemitsu Geological (3rd Tier to STP)
- O'Neill Environmental LT HNTB
- JMR Trucking LT OMA Trucking (3rd Tier to NCM Demolition)
- Pongila Trucking LT OMA Trucking (3rd Tier to NCM Demolition)
- Tan Trucking LT OMA Trucking (3rd Tier to NCM Demolition)

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.

Yes. Please see the attached summary report for commitments as of 4/30/15.

3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.

No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement

No.

AUTHORIZED SIGNATURE:



CHRIS DIXON

TITLE: Project Manager

DATE: 5/29/2015

Commitments increased as of month ending April 30, 2015

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	March 2015 Commitment	April 2015 Commitment	Increase
FIRST TIER			
CivilTech Engineering	\$ 551,398.53	\$ 564,836.03	\$ 13,437.50
DHD Trucking	\$ 1,157,057.50	\$ 1,175,147.50	\$ 18,090.00
EPC Consultants, Inc.	\$ 1,182,380.00	\$ 1,239,742.00	\$ 57,362.00
Garner Construction	\$ 619,654.94	\$ 732,184.83	\$ 112,529.89
Greylock Consulting LLC	\$ 273,616.01	\$ 275,394.34	\$ 1,778.33
J. Keiser & Associates	\$ 95,621.20	\$ 103,621.20	\$ 8,000.00
Marshbank	\$ 1,720,988.01	\$ 1,779,638.01	\$ 58,650.00
MindSeekers, LLC	\$ 312,110.43	\$ 327,924.43	\$ 15,814.00
Oatridge Security Group Inc	\$ 4,359,607.50	\$ 4,648,729.00	\$ 289,121.50
Onell Service Group	\$ 687,552.23	\$ 727,018.23	\$ 39,466.00
Osiris Enterprises LLC	\$ 274,828.50	\$ 296,486.50	\$ 21,658.00
Savage Logistics, LLC	\$ 728,839.50	\$ 753,723.00	\$ 24,883.50
Sundancer Electric Inc.	\$ 4,534,847.06	\$ 4,590,215.14	\$ 55,368.08
VENDORS			
Highway Specialties LLC	\$ 254,721.22	\$ 255,490.13	\$ 768.91
Mobile Electrical Distributors	\$ 123,473.30	\$ 156,700.24	\$ 33,226.94
ST Fabrication/ Jabez	\$ 2,361,747.11	\$ 2,394,730.24	\$ 32,983.13
The Bag Lady	\$ 287,534.33	\$ 291,329.78	\$ 3,795.45
United Reprographics	\$ 1,035.12	\$ 4,947.27	\$ 3,912.15
LOWER TIER			
BASF (Non-DBE sub)			
JP Francis & Associates (LT to BASF)	\$ -	\$ 2,418.13	\$ 2,418.13
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)			
Savage Logistics, LLC (LT to Lining Precast)	\$ 16,056.98	\$ 17,464.78	\$ 1,407.80
HNTB (Non-DBE sub)			
CivilTech Engineering (LT to HNTB)	\$ 904,330.93	\$ 934,990.33	\$ 30,659.40
Saez Consulting Engineers Inc. (LT to HNTB)	\$ 386,039.36	\$ 387,811.36	\$ 1,772.00
JH KELLY - SICE (Non-DBE sub)			
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	\$ 3,718.85	\$ 4,249.04	\$ 530.19
US Electric (Non-DBE sub)			
*Mobile Electrical Distributors Inc. (LT to US Electric)		\$ 159,186.36	\$ 159,186.36
*Sundancer Electric		\$ 51,783.17	\$ 51,783.17
			\$ 1,038,602.43

**ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50	#50	#49	#50 - #49	REMARKS
						Invoiced to Date	Commitment	Commitment	Commitment Change	
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36		\$ 159,186.36	Equals Invoiced to Date total; Total of Purchase Orders and Change Orders in STP files = \$159,186.36
Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	Need	14-Apr-2015			\$ 51,783.17	\$ 51,783.17		\$ 51,783.17	Equals Invoiced to Date total; Subcontract through CO #1 in STP files = \$1,400,000.00
						\$ 83,813,275.39	\$ 95,354,991.60	\$ 94,316,389.17	\$ 1,038,602.43	

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Commitment	#50 Invoiced to Date	#49 Invoiced to Date	#50 - #49 Invoiced (for Apr)	Invoices (for Apr)	Verified work done prior to invoice date	REMARKS
FIRST TIER												
Advanced Underground Utilities (Added on #43)	NOB Underground Utilities	S	12-Sep-14		100%	\$ 285,647.50	\$ 167,119.25	\$ -	\$ 167,119.25	Inv #01R:022715	Yes	Invoice in binder
CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	96%	\$ 564,836.03	\$ 564,836.03	\$ 551,398.53	\$ 13,437.50	Inv #153038	Yes	Invoice in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	98%	\$ 1,175,147.50	\$ 1,175,147.50	\$ 1,157,057.50	\$ 18,090.00	See Remarks	Yes	Inv #'s 63:032815, 64:040415, 65:041115, 66:041815, 67:042515 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		91%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 1,182,380.00	\$ 57,362.00	Inv #7999-022	Yes	Invoice in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	118%	\$ 732,184.83	\$ 732,184.83	\$ 619,654.94	\$ 112,529.89	Inv #06:021515	Yes	Invoice in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	99%	\$ 275,394.34	\$ 275,394.34	\$ 273,616.01	\$ 1,778.33	Inv #'s 42:033115, 43:043015	Yes	Invoices in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	92%	\$ 103,621.20	\$ 103,621.20	\$ 95,621.20	\$ 8,000.00	Inv #JK111779	Yes	Invoice in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	92%	\$ 327,924.43	\$ 327,924.43	\$ 312,110.43	\$ 15,814.00	See Remarks	Yes	Inv #'s 33152, 33159, 33160, 33153, 33145, 33170, 33169, 33173, 33174, 33178, 33182 in binder
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 473,613.72	\$ 457,086.23	\$ 362,309.79	\$ 94,776.44	Inv #04:021515	Yes	Invoice in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	91%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 4,359,607.50	\$ 289,121.50	See Remarks	Yes	Inv #'s 2066, 2068, 2067, 2065, 2082, 2080, 2081, 2079 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	95%	\$ 727,018.23	\$ 727,018.23	\$ 687,552.23	\$ 39,466.00	Inv #'s 2573, 2611	Yes	Invoices in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	93%	\$ 296,486.50	\$ 296,486.50	\$ 274,828.50	\$ 21,658.00	Inv #'s 2041, 2043	Yes	Invoices in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 779,490.00	\$ 761,281.74	\$ 747,725.74	\$ 13,556.00	Inv #3359-50	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	92%	\$ 753,723.00	\$ 753,723.00	\$ 728,839.50	\$ 24,883.50	See Remarks	Yes	Inv #'s 55:032815, 56:041115 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	94%	\$ 4,590,215.14	\$ 4,590,215.14	\$ 4,534,847.06	\$ 55,368.08	See Remarks	Yes	Inv #'s 113:040515, 114:041215, 115:041915 in binder
VENDORS												
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 255,490.13	\$ 255,490.13	\$ 254,721.22	\$ 768.91	See Remarks	Yes	Inv #'s 2367, 1504105, 2367R, 1502367 in binder
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	100%	\$ 156,700.24	\$ 156,700.24	\$ 123,473.30	\$ 33,226.94	See Remarks	Yes	Invoice #'s 59376, 59407, 59514, 59501, 59616, 59680, 59645, 59646, 59188R, 59198, 59721, 59722, 59769, 59770, 59745, 59743, 59744, 59356, 59495, 59373, 59828, 59832, 59830, 59829, 59928, 59929, 59896, 60175 in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		99%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 2,172,521.24	\$ 222,209.00	See Remarks	Yes	Invoice #'s 21522, 21520, 21520C, 31520, 31522, 21516, 21515, 21521, 21519, 52015, 41533, 41532 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 291,329.78	\$ 291,329.78	\$ 287,534.33	\$ 3,795.45	See Remarks	Yes	Inv #'s 24722, 24688, 24706, 24710 in binder
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	100%	\$ 4,947.27	\$ 4,747.27	\$ 1,035.12	\$ 3,712.15	See Remarks	Yes	Inv #'s 9034328, 9034631, 9035043, 9034979 in binder
LOWER TIER												
BASF (Non-DBE sub)												
JP Francis & Associates (LT to BASF)	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	S	6-Aug-2013		100%	\$ 2,418.13	\$ 2,418.13	\$ -	\$ 2,418.13	Inv #2013-12-01	Yes	Invoice in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013	Active	86%	\$ 17,464.78	\$ 17,464.78	\$ 16,056.98	\$ 1,407.80	Inv #15-1279-03	Yes	Invoice in binder
HNTB (Non-DBE sub)												
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 934,990.33	\$ 934,990.33	\$ 904,330.93	\$ 30,659.40	Inv #'s 151027, 151039, 151040	Yes	Invoices in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 387,811.36	\$ 387,811.36	\$ 386,039.36	\$ 1,772.00	Inv #'s 1138, 1139, 1161	Yes	Invoices in binder
JH KELLY (Non-DBE sub)												
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 550,000.00	\$ 354,537.50	\$ 322,539.50	\$ 31,998.00	Inv #'s 416035, 416285	Yes	Invoices in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)												
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Tunnel Ventilation Stacks	M	13-Feb-2013	12/1/2014	100%	\$ 746,395.10	\$ 462,068.88	\$ 427,183.81	\$ 34,885.07	Inv #4150015	Yes	Invoice in binder
JH KELLY - SICE (Non-DBE sub)												
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-Jan-2014	1/1/2014	100%	\$ 4,249.04	\$ 4,249.04	\$ 3,718.85	\$ 530.19	Inv #6251	Yes	Invoice in binder
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		0%	\$ 270,800.00	\$ 23,927.00	\$ -	\$ 23,927.00	Inv #293363	Yes	Invoice in binder
SOLDATA - KPG (Non-DBE sub)												
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,093,130.55	\$ 605,618.92	\$ 519,367.61	\$ 86,251.31	Inv #'s 1120, 1121, 1130	Yes	Invoices in binder
US Electric (Non-DBE sub) (Added on #50)												
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36	\$ -	\$ 159,186.36	See Remarks	Yes	Invoice #'s 56191, 56234, 56269, 56295, 56355, 56484, 56513, 56537, 56538, 56639, 56641, 56682, 56710, 56711, 56745, 56808, 56773, 56813, 56905, 56921, 56922, 56936, 56600, 56948, 56983, 57105, 57227, 57307, 57362, 57376, 57406, 57508, 57736, and Credit Memo #'s 26667, 26642, 27185 in binder
Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	Need	14-Apr-2015			\$ 51,783.17	\$ 51,783.17	\$ -	\$ 51,783.17	Inv #'s 6505, 6513	Yes	Invoices in binder
						\$ 95,354,991.60	\$ 83,813,275.39	\$ 82,191,784.02	\$ 1,621,491.37			

**ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Total Payments this Report (Apr)	#50 Total Payments to Date	#49 Total Payments to Date	Compare Total Pymts to Date (#50 - #49)	Variance	REMARKS
FIRST TIER											
Advanced Underground Utilities (Added on #43)	NOB Underground Utilities	S	12-Sep-14		100%	\$ 158,763.29	\$ 158,763.29	\$ -	\$ 158,763.29		Check #10373 in binder
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	99%	\$ 18,270.00	\$ 1,164,347.50	\$ 1,146,077.50	\$ 18,270.00	\$ -	Check #'s 10202, 10229, 10278, 10316, 10368 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013	Active	95%	\$ 114,724.00	\$ 1,239,742.00	\$ 1,125,018.00	\$ 114,724.00	\$ -	Check #'s 10210, 10371 in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 102,529.89	\$ 722,184.83	\$ 619,654.94	\$ 102,529.89	\$ -	Check #10327 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 964.71	\$ 273,616.01	\$ 272,651.30	\$ 964.71	\$ (0.00)	Check #10200 in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 8,000.00	\$ 103,621.20	\$ 95,621.20	\$ 8,000.00	\$ -	Check #10311 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	97%	\$ 16,268.25	\$ 322,488.43	\$ 306,220.18	\$ 16,268.25	\$ -	Check #'s 10176, 10291, 10356, 10412 in binder
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 90,037.61	\$ 434,231.91	\$ 344,194.30	\$ 90,037.61	\$ -	Check #10372 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	97%	\$ 147,866.00	\$ 4,507,473.50	\$ 4,225,447.50	\$ 282,026.00	\$ (134,160.00)	Check #'s 10118, 10309 in binder
O'Neill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 2,037.50	\$ 707,927.73	\$ 687,552.23	\$ 20,375.50	\$ (18,338.00)	Check #10310 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,829.00	\$ 285,657.50	\$ 274,828.50	\$ 10,829.00	\$ -	Check #10257 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	95%	\$ 24,127.00	\$ 741,392.50	\$ 717,265.50	\$ 24,127.00	\$ -	Check #'s 10190, 10318 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	95%	\$ 56,059.21	\$ 4,534,847.06	\$ 4,478,787.85	\$ 56,059.21	\$ -	Check #10206 in binder
VENDORS											
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 768.91	\$ 255,490.13	\$ 254,721.22	\$ 768.91	\$ (0.00)	Check #'s 10271, 10331 in binder
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	100%	\$ 65,688.46	\$ 132,851.70	\$ 67,163.24	\$ 65,688.46	\$ -	Check #'s 10183,10300, 10365, 10417 in binder
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 336.71	\$ 5,490.80	\$ 5,154.09	\$ 336.71	\$ -	Check #10151 in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA	Active	100%	\$ 107,133.00	\$ 2,197,296.24	\$ 2,090,163.24	\$ 107,133.00	\$ (0.00)	Check #'s 10169, 10347 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 1,136.77	\$ 288,671.10	\$ 287,534.33	\$ 1,136.77	\$ 0.00	Check #10383 in binder
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	100%	\$ 227.45	\$ 1,035.12	\$ 807.67	\$ 227.45	\$ -	Check #'s 10182, 10247, 10259 in binder
LOWER TIER											
BASF (Non-DBE sub)											
JP Francis & Associates (LT to BASF)	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	S	6-Aug-2013		100%		\$ 2,418.13	\$ -	\$ 2,418.13	\$ (2,418.13)	Check #60319 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013	Active	94%	\$ 1,407.80	\$ 17,464.78	\$ 16,056.98	\$ 1,407.80	\$ -	Wire receipt in binder
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	98%	\$ 14,768.00	\$ 912,883.88	\$ 898,115.88	\$ 14,768.00	\$ -	Check #364454 in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 1,748.00	\$ 387,617.13	\$ 385,869.13	\$ 1,748.00	\$ -	Check #'s 364912, 364177 in binder
Holocene Drilling Inc (Non-DBE sub)											
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 3,534.52	\$ 105,005.77	\$ 101,471.25	\$ 3,534.52	\$ (0.00)	Check #22483 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%		\$ 1,655,184.54	\$ 1,633,239.67	\$ 21,944.87	\$ (21,944.87)	Check #41655 in binder
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 38,286.11	\$ 605,582.38	\$ 506,340.68	\$ 99,241.70	\$ (60,955.59)	Check #3874 in binder
US Electric (Non-DBE sub) (Added on #50)											
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ -	\$ 159,186.36		\$ 159,186.36		Check #'s 102946 and 102937, and Credit Card Receipt (Batch #921)
						\$ 985,512.19	\$ 81,866,641.39	\$ 80,484,126.25	\$ 1,382,515.14	\$ (237,816.59)	

**ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#50 Commitment	#50 Invoiced to Date	#50 Total Payments this Report (Apr)	#50 Total Payments to Date	#50 DBE Amount (as Amended)	#49 DBE Amount (as Amended)	#50 - #49 DBE Amount (as Amended)	(X) Pymt to Date <=#49 DBE Amt	REMARKS
FIRST TIER														
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013	Active	91%	\$ 1,239,742.00	\$ 1,239,742.00	\$ 114,724.00	\$ 1,239,742.00	\$ 1,204,602.00	\$ 1,089,878.00	\$ 114,724.00	Yes	
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	118%	\$ 732,184.83	\$ 732,184.83	\$ 102,529.89	\$ 722,184.83	\$ 722,184.83	\$ 619,654.94	\$ 102,529.89	Yes	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	99%	\$ 275,394.34	\$ 275,394.34	\$ 964.71	\$ 273,616.01	\$ 148,066.16	\$ 147,453.45	\$ 612.71	Yes	
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	92%	\$ 103,621.20	\$ 103,621.20	\$ 8,000.00	\$ 103,621.20	\$ 72,350.00	\$ 64,350.00	\$ 8,000.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	92%	\$ 327,924.43	\$ 327,924.43	\$ 16,268.25	\$ 322,488.43	\$ 322,488.43	\$ 306,220.18	\$ 16,268.25	Yes	
Neppel Electrical (Added on #42)	Harrison Street Hangers and Conduit	S	31-Jul-2014	Active	100%	\$ 473,613.72	\$ 457,086.23	\$ 90,037.61	\$ 434,231.91	\$ 434,231.91	\$ 344,194.30	\$ 90,037.61	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	91%	\$ 4,648,729.00	\$ 4,648,729.00	\$ 147,866.00	\$ 4,507,473.50	\$ 4,493,979.50	\$ 4,211,953.50	\$ 282,026.00	Yes	
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,506,125.29	\$ 17,426,583.90		\$ 17,426,583.90	\$ 14,279,412.99	\$ 13,618,662.80	\$ 660,750.19	Yes	
Trinity Development (LT to OMA)	Trucking	V	17-Oct-2012	Active	100%					\$ 182,697.69	\$ 41,630.00	\$ 141,067.69		
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	95%	\$ 727,018.23	\$ 727,018.23	\$ 2,037.50	\$ 707,927.73	\$ 582,929.90	\$ 562,726.90	\$ 20,203.00	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	93%	\$ 296,486.50	\$ 296,486.50	\$ 10,829.00	\$ 285,657.50	\$ 155,475.96	\$ 149,519.96	\$ 5,956.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 125,308.54	\$ 120,435.54	\$ 4,873.00		
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	92%	\$ 753,723.00	\$ 753,723.00	\$ 24,127.00	\$ 741,392.50	\$ 741,392.50	\$ 717,265.50	\$ 24,127.00	Yes	
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 255,490.13	\$ 255,490.13	\$ 768.91	\$ 255,490.13	\$ 153,294.06	\$ 152,832.73	\$ 461.33	Yes	
Mobile Electrical Distributors (Added on #45)	Electrical Supply	Need	25-Nov-2014	Active	100%	\$ 156,700.24	\$ 156,700.24	\$ 65,688.46	\$ 132,851.70	\$ 79,711.02	\$ 40,297.94	\$ 39,413.08	Yes	
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	94%	\$ 5,490.80	\$ 5,490.80	\$ 336.71	\$ 5,490.80	\$ 3,294.48	\$ 3,092.45	\$ 202.03	Yes	
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA	Active	99%	\$ 2,394,730.24	\$ 2,394,730.24	\$ 107,133.00	\$ 2,197,296.24	\$ 1,739,005.08	\$ 1,667,850.48	\$ 71,154.60	Yes	
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	99%	\$ 291,329.78	\$ 291,329.78	\$ 1,136.77	\$ 288,671.10	\$ 173,202.66	\$ 172,520.60	\$ 682.06	Yes	
United Reprographics (Added on #47)	Business cards & printing services	Need	12-Jan-2015	Active	100%	\$ 4,947.27	\$ 4,747.27	\$ 227.45	\$ 1,035.12	\$ 1,035.12	\$ 807.67	\$ 227.45	Yes	
LOWER TIER														
HNTB (Non-DBE sub)														
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	95%	\$ 934,990.33	\$ 934,990.33	\$ 14,768.00	\$ 912,883.88	\$ 912,883.88	\$ 898,115.88	\$ 14,768.00	Yes	
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	99%	\$ 387,811.36	\$ 387,811.36	\$ 1,748.00	\$ 387,617.13	\$ 384,154.63	\$ 382,406.63	\$ 1,748.00	Yes	
Holocene Drilling Inc (Non-DBE sub)														
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 123,517.12	\$ 123,517.12	\$ 3,534.52	\$ 105,005.77	\$ 105,005.77	\$ 101,471.25	\$ 3,534.52	Yes	
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)														
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical	S	1-Aug-2013	4/1/2015	100%	\$ 4,202,961.11	\$ 1,818,130.05		\$ 1,655,184.54	\$ 1,655,184.54	\$ 1,633,239.67	\$ 21,944.87	Yes	
US Electric (Non-DBE sub) (Added on #50)														
Mobile Electrical Distributors (LT to US Electric) (Added on #50)	Electrical Supply	Need	13-Feb-2013			\$ 159,186.36	\$ 159,186.36	\$ -	\$ 159,186.36	\$ 95,511.82		\$ 95,511.82	Yes	
						\$ 95,354,991.60	\$ 83,813,275.39	\$ 985,512.19	\$ 81,866,641.39	\$ 62,022,459.41	\$ 60,301,636.30	\$ 1,720,823.11		

SR 99 STP		Bored Tunnel Alternative DB Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/A - No information available	Hiring Contractor	Notes
V	601609012	Yonemitsu Geological Services		N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling (3rd Tier SolData)	Requested Contract
R	578062075	Mobile Electrical Distributors	10/20/2014	1/22/2014	5/6/2015	None	US Electric	USE 1st Contract
S	603190024	Sundancer Electric	4/13/2015	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	USE 2nd Contract
V	602896811	O'Neill Environmental Services LLC	5/11/2015	N/A - Firm is still active	Active	N/A - Firm is still active	HNTB	
V	603001744	Meeds Environmental		N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601191630	Teknograf		N/A - Firm is still active	Active	N/A - Firm is still active	Yonemitsu Geological	Requested Contract
S	602101301	JMR Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	602492304	Ponglia Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	602671547	Tan Trucking	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
S	601997791	Advanced Underground Utilities	10/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	601554695	Bear Wood Windows		N/A	N/A	N/A	STP	Work won't start until 2015
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13
S	602978139	BEST CCLS Inc	3/26/2012	8/30/2013	2/15/2015		Malcolm	Contract #1 - Closed
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602919268	C2PM, Inc.	9/1/2011	N/A	N/A	None	STP	No Retention Held
R	600237496	Cascade Concrete Products	9/1/2013	N/A - Firm is still active	5/7/2015	None	FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	601781913	Excel Supply Co	1/13/2015	N/A - Firm is still active	Active	None	STP	Jackets & hats
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	safety supplies
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	601404405	Garner Construction Inc	9/8/2014	2/2/2015	2/15/2015	None	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation
S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC	
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP	
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	602556355	Highway Specialities	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	

SR 99		Bored Tunnel Alternative DB							
STP		Fed Employer I.D. #27-3509698							
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes	
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB		
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP		
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP		
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
S	601919250	JP Francis & Assoc (plumbing)	4/10/2013	N/A - Firm is still active	NA	None	BASF	2/28/15 - pending firm's response	
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP		
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation	
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation	
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs	
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs	
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	7/20/2012	2/15/2015		Malcolm	Contract #1 - Closed	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP		
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184	
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc.					VECA		
R	578062075	Mobile Electric Distributors Inc.	11/25/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602120171	Neppel Electric	9/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held	
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc		
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14	
S	601872128	OMA Construction	7/29/2013	11/15/2013	9/1/2014	9/10/2014	KPG Inc	8/2014 - KPG will likely close out OMA's contract in the next month or two as it doesn't look like they will need them for any more installations. This will be confirmed with Phil Smith. 50% of retainage will be released when KPG receives retainage from Soldata at end of tunneling and the other 50% as per the prime agreement.	
S	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc		
S	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
S	601872128	OMA Construction - Trucking_North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP		
S	601872128	OMA Construction - Trucking_South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP		
S	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP		
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt		
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock		

	SR 99 STP	Bored Tunnel Alternative DB Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB	
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB	
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602326408	Pacific Geo Engineering (PGE)	4/1/2013	Active	Active	N/A - Firm is still active	Professional Services Industry (PSI)	
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing	
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady	
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS	
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank	
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt	
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP	
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC	
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar	
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris	
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB	
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc	
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI	
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP	
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley	
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB	
S	601735583	Sea-Tac Sweeping Service	11/29/2011		3/15/2012	None	STP	pending affidavit
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP	
R/M	602216144	ST Fabrication - Purchase Orders	6/19/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected
S	603064398	Steelkorr	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank	
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP	
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC	
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk	
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP	
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601965843	United Reprographics	1/12/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC #116168		N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	600279746	West Coast Printing	4/5/2011	11/12/2014	9/9/2014	None	STP	Firm closed business 11/2014
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB	
V	601609012	Yonemitsu Geological Services	12/5/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling	

	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
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Chart Key:								
Pending information								
Added this month								

Attachment 7: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, April 2015

Contractor/Subcontractor	Prime Contract/ Upper Tier	Number of Journeyman	Number of Apprentices	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Total Hours Worked	Journeyman Totals from Certified Payroll	Appr. Hrs from Certified Payroll	Total Hours Worked	Difference (Delta)	Month Year	Comments
DHD Trucking	STP	2	0	Street Sweeper	176	0	176	176		176	0	April-15	
JP Francis	Shinn 1/DM/JHK	5	1	Plumber	367	16	383	367	16	383	0	April-15	
JP Francis	Shinn 2/DM/JHK	5	1	Plumber	220	43	263	220	43	263	0	April-15	
OMA	Desert Mechanical/JH Kelly	3	0	Operator	135.5	0	135.5	107.50		107.50	28	April-15	
OMA	Desert Mechanical/JH Kelly	1	0	Laborer	30.25	0	30.25	25.25		25.25	5	April-15	
OMA - Job 1133 South Portal	STP	4	0	Truck Driver	159.25	0	159.25	132		132	27.25	April-15	
Savage Logistics	STP	1	0	Truck Driver	224.5	0	224.5	201.50		201.50	23	April-15	
SteelKorr	STP	6	3	Ironworker	426.5	99	525.5	569.50	190	759.50	-234	April-15	
Sundancer Electric	STP	5	1	Electrician	361	40	401	361	40	401	0	April-15	
Total		32	6		2100	198	2298	2159.75	289	2448.75	-150.75		

- Contact the WSDOT Project Engineer's Office and ask for assistance if you are unable to resolve the issue with the Prime Contractor.
- WSDOT can withhold payment or sanction Prime Contractors. However, we would really like to resolve these issues at the lowest level possible. Please help us do so and let us know of any prompt payment issues as soon as possible.
- You have the right and it is appropriate to file a lien against the Prime Contractor's bond.
- Create good records. Document conversations in writing (email, letters, etc.).
- Your contract will generally include a dispute resolution process. That is your contractual remedy for resolving disputes.

Legal Disclaimer:

Please note: The above information is being provided for your general information only and is based on a WSDOT standard Contract. Review the requirements of your Contract in these general areas for the requirements that apply. Processes and documentation required of you may not conform with the information provided herein, based upon the particular State contract, subcontract, the work your business performs, or other factors. Therefore, the information provided herein by WSDOT should not be relied upon as legal advice; and WSDOT is not liable or responsible for the consequences of any action taken, or reliance upon, the information provided. WSDOT recommends that you seek independent legal advice to determine your rights and obligations under any written agreement.

If you continue to have issues that you feel are not being addressed, you should contact the WSDOT Office of Equal Opportunity at (360) 705-7090 or DBESS@wsdot.wa.gov.



Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator, Jonté Robinson at (360) 705-7082.

Subcontracting on WSDOT Projects from Prior to Bid to Payment

Prior to Bid: Prime Contractor (Bidder)

- The prime contractor may contact you to determine if your firm is interested in bidding on a scope of work. If the Prime Contractor does not contact you, WSDOT encourages you to be proactive and contact the Prime Contractor to see if they are interested in your scope of work or bid.
- The Prime Contractor may send out a Request For Proposal (RFP):
 - o Related to subcontracting, the Prime's RFP should contain, at a minimum:
 - The specific work to be performed. (If trucking, include maximum trucks required for peak work efforts.)
 - The approximate schedule when work will be performed.
 - The approximate duration of subcontract work in terms of working days.
 - The method of payment (e.g. time and materials, lump sum).
 - o The RFP may also include requirements such as:
 - Prevailing wages;
 - Night work;
 - Apprenticeship requirements;
 - Union participation; and/or
 - Bonding requirements.

Subcontractor (DBE)

- Respond with a quote:
 - o Identify the work included in the proposal that you are certified to perform. If you intend to bid on work for which you are not certified, be sure to make that information clear. Please keep in mind that if you are not certified to perform the work you bid on, the Prime contractor cannot count the value of that work towards the Contract's DBE goal.
 - o Price the conditions (e.g. night work, scheduling, etc.) as required in the RFP, ensuring any quote provided meets the requirements of the WSDOT project plans and specifications.
 - o If conditions are not acceptable, discuss or negotiate them with the Prime Contractor.
 - o Condition your bid accordingly.
- Or don't provide a quote.

Prime Contractor (Bidder)

- Once the Prime Contractor accepts your quote along with conditions, if any, the Prime may indicate it intends to enter into a contract with your firm by listing your firm and the dollar amount in the DBE utilization certification. (Form #272-056)

Subcontractor (DBE)

- To work as a DBE on a WSDOT construction project, you must indicate you have reached agreement and intend to enter into a contract with the Prime Contractor by signing and submitting a written confirmation document. (Form #422-031). The Prime Contractor must submit this written confirmation document within forty eight (48) hours of the bid opening on WSDOT projects.

- The description of work and the subcontract amount to be applied to the Contract DBE goal indicated in the Written Confirmation document must be consistent with the information provided in the Prime Contractor's Utilization Certification form.

After Bid (Prime Contractor is awarded the contract): Subcontractor (DBE)

- A subcontract is negotiated based on the conditions in the Prime Contractor's RFP, if any, and the Prime's standard subcontract document, as conditioned by the subcontractor's quote.
 - o Be sure you read and understand all the provisions of your subcontract - once you sign it, you are legally bound to the document.
 - o Make sure your inclusions and exclusions are contained within the contract.
 - o Make sure how change orders or price agreements related to your work are executed by written agreement.
- It is important that you negotiate with the Prime Contractor regarding any disagreements in this phase. If you are not able to come to agreeable terms, be prepared to respond to a request for 'Termination' by the Prime Contractor within the required five (5) days.
 - o Document all communications that lead up this point, including the basis of your decision not to sign the contract.
 - o Document the impacts of not signing the contract, if any.

Prime Contractor

Request to Sublet:

- Submits a Request to Sublet to the WSDOT Project Engineer's Office for your company prior to you starting work on the project. (Form #421-012)
- If it is a Federal Aid Project, the Subcontract Agreement and Certification (Form# 420-004) must also be completed and submitted prior to starting work.

Subcontractor (DBE)

Statement of Intent:

- File a [Statement of Intent](#) to Pay Prevailing Wages (SOI) with the Department of Labor & Industries (L&I). This form is provided by L&I and it certifies that your firm is working on a public works project and you intend to pay employees a prevailing wage. An owner may not pay for work performed by a contractor until their SOI is approved by L&I (RCW 39.12.040 and L&I Form #F700-029-000). See Section 1-7.9(5) of the Standard Specifications.
- Failure to do so will **delay payment** to the Prime Contractor and/or Subcontractor.

Materials documentation:

- Request a copy of the Record of Materials (ROM) from the Prime Contractor. The ROM will explain what materials acceptance documentation will be required associated with your items of work.
- Submit the correct materials approval documentation; ([Request for Approval of Materials](#) (RAM), check the [Qualified Products List](#), etc.) prior to the work. (See Section 1-06 of the Standard Specifications).
- If you fail to provide materials approvals, samples, tests and/or other required steps it will **delay payment** to the Prime Contractor and/or Subcontractor.
- FHWA has approved joint checks for DBEs only when they are used for purchasing materials and supplies specific to the contract you are working on. Follow the Joint Checking language contained within the DBE General Special Provision.

During Work:

Subcontractor (DBE)

Subletting to a Lower Tier Contractor:

- If you need additional resources and intend to sublet a portion of your work, provide that information to the Prime Contractor before work begins. It is essential that the Prime Contractor has the ability to validate that the lower tier subcontractor is also a DBE firm certified

to perform the work, so that your work, including any work you subcontract, can be counted towards the Contract's DBE goal.

Material on Hand (MOH):

- You may be eligible to request payment for materials purchased before their use given certain conditions. See Section 1-09.8 of the Standard Specifications.

Certified Payrolls:

- Submit weekly [Certified Payrolls](#). See RCW 39.12.050 and/or the Federal regulations (29 CFR 5.12) as well as Section 1-7.9(5) of the Standard Specifications.
- The WSDOT Project Engineer's Office will verify that that you are using the proper materials and have submitted certified payrolls.
- If you fail to submit certified payrolls it will **delay payment** to the Prime Contractor and/or Subcontractor.

Schedules:

- Request schedule updates from the Prime or require them as a condition of your subcontract so you can coordinate your work. Keep records of timing commitments and delivery.
- Impacting the Prime's schedule may cause disputes that **delay payment**.

Contract Changes:

- If actual work to be performed is less than the amount in your contract, the Prime Contractor may need to request a 'partial termination'. As above, be prepared to respond to the request within the required five (5) days.
- If conditions of your contract change (added work, deleted work, changes in the nature of the work, etc.), you may be entitled to a written Change Order prior to performing the work. If you are directed to proceed, you should follow the protest procedures to preserve your right to compensation. See Section 1-04.4 through 1-04.6 of the Standard Specifications.

Invoicing:

- Review your subcontract for the precise payment procedures.
- The Prime Contractor will likely not pay you until WSDOT approves your work and pays it for your work. This means the WSDOT staff will inspect your work and ensure it is accurate and complete, including your paperwork (RAM, Certified Payrolls, Manufacturer's Certification of Compliance, Certification of Materials Origin, Bill of Lading, etc.).
- WSDOT estimate cutoff dates are on the 5th of each month for odd numbered Contracts or the 20th of each month for even numbered contracts. WSDOT typically pays the Prime Contractors within ten (10) working days of the estimate cutoff. The Prime Contractor then has ten (10) days after it receives payment from WSDOT to pay you. ([RCW 39.04.250](#))
- You may request a copy of the monthly estimates from the WSDOT Project Engineer's Office to see what has been paid to the Prime Contractor.

Liens:

- If you have not posted a bond, a subcontract may allow the Prime to clear liens prior to paying a subcontractor.
- Liens typically come from suppliers, unions, and L&I.
- Establish procedures as part of your subcontract and maintain communication with your Prime on when and how liens get cleared.

Lower Tier Subcontractor:

- When you subcontract to another firm, the value of the subcontracted work may only be counted toward the DBE Contract goal if the lower tier subcontractor is also a DBE firm certified to perform the work.

What can you do if you are not paid?

- Contact the Prime Contractor to discuss what needs to be done to get paid. The Prime has an obligation to advise you of the remedy if it is deferring payment.

DBE NEWS

Title VI Notice to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

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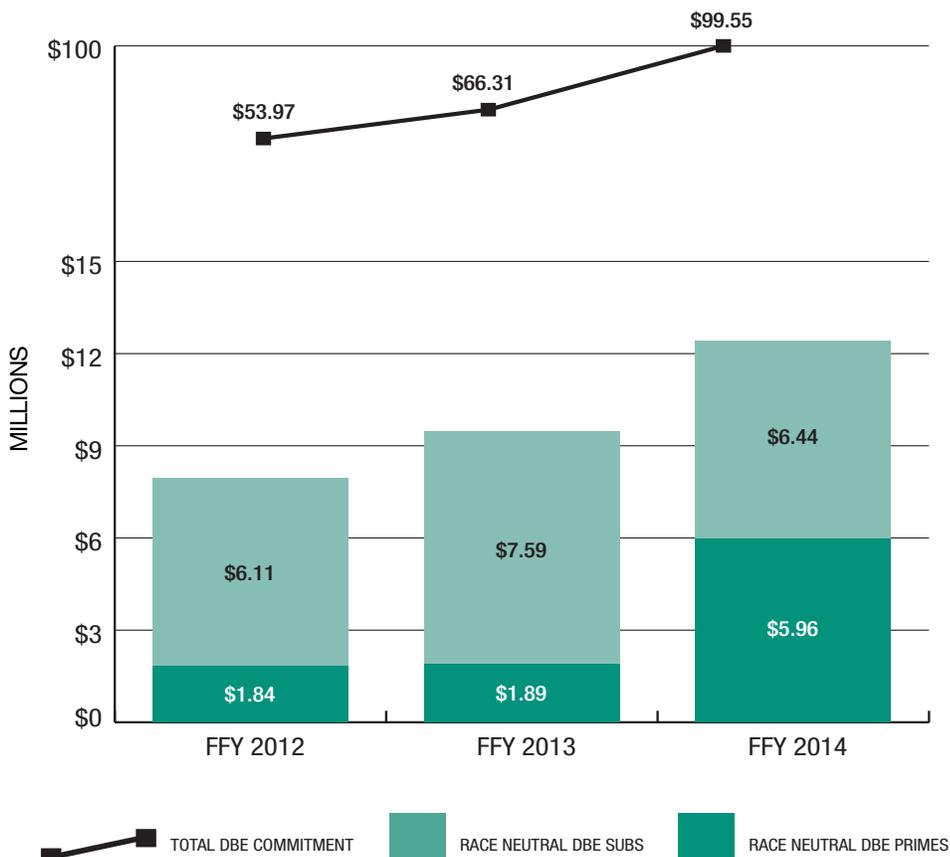
What is Race and Gender Neutral DBE Participation?

Race and Gender Neutral is the federal terminology for voluntary DBE participation. Race neutral participation occurs when a DBE wins a contract without a condition of award goal, a prime contractor exceeds the race conscious goal, or a DBE is a prime contractor.

The intent of the DBE program is to help your company grow and compete to be successful outside the DBE program. The more voluntary DBE participation WSDOT receives, the better. It means more money is voluntarily going to the minority and women's business communities, which is the result we all want to see!

How much DBE Participation on WSDOT projects is voluntary?

While some states, like Idaho, get all of their DBE participation from voluntary utilization, WSDOT uses contract goals to achieve the vast majority of its DBE participation. Below is a chart illustrating WSDOT's voluntary DBE participation in the recent past:



The below agreements are some of the largest consulting agreements WSDOT has ever had. As you can see, these consultants have voluntarily used DBEs for nearly 10 percent of the contract dollars!

Consultant Name	Agreement Name	Agreement Amount	Voluntary DBE Amount	DBE %
Hatch Mott MacDonald Transportation and Tunnels	Alaskan Way Viaduct and Seawall Replacement Project – Project Management Assistant Consultant	\$95,156,538	\$9,495,147	9.98%
HDR Engineering, Inc.	SR520 Bridge Replacement and HOV Project	\$245,066,502	\$19,078,375	7.78%
HNTB Corporation	I-405 Congestion Relief and Bus Rapid Transit Projects	\$176,024,687	\$18,118,397	10.29%

These three organizations listed above used DBEs on their projects not because WSDOT required them to do so, but because they took it upon themselves to voluntarily utilize them as part of their business practices. WSDOT is proud of these prime consultants and the positive example of inclusion they set. We are hopeful this positive momentum continues as we further implement race-conscious goals on consulting agreements.

Way to go Max J. Kunej!

One example of a prime contractor that has embraced voluntary minority and women owned business participation is Max J. Kunej Construction. They were recently awarded the I-5 M Street to Portland Avenue HOV project and identified nearly 10% of the \$98 million contract which would be subcontracted to minority and women owned firms. This project was entirely state funded with no DBE program requirements.



Welcome Regina Glenn!

Regina Glenn, of Pacific Communication Consultants recently joined the SR 520 Bridge Replacement and HOV Program (SR 520 Program) as the Diversity and Inclusion Manager. Her mission is to increase DBE participation in the Engineer and Consulting contracts on the SR 520 Program. Regina brings with her years of experience, specifically in the DBE Program. She has worked with WSDOT on the DBE Program, Disparity Study, provided DBE Support Services, and serves on the DBE Advisory Group. We look forward to new opportunities and inclusion on the SR 520 Program - Rest of the West. To contact Regina, please email GlennRe@Consultant.wsdot.wa.gov or call 206.770.3520.



Americans with Disabilities Act (ADA) Information

This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Notice to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

Notable results

- In Federal Fiscal Year (FFY) 2014, 91% of WSDOT's completed projects met or exceeded their DBE goals
- In FFY2014, WSDOT awarded \$99.6 million to DBEs, a 33% increase since reforms began in 2013

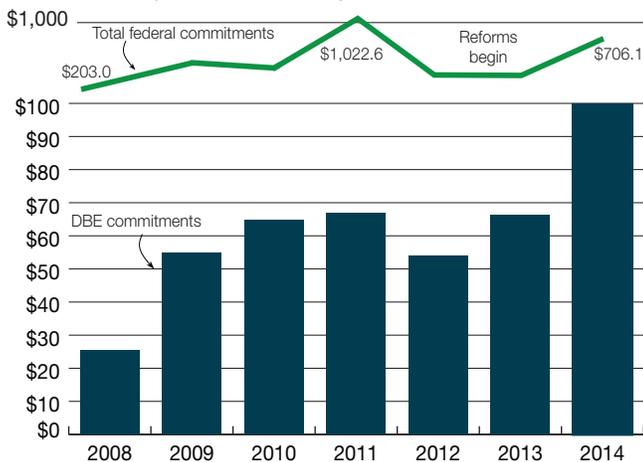
Reform efforts show growth in commitments

Since WSDOT began implementing Secretary Lynn Peterson's reforms (see [Gray Notebook 53, pp ix-x](#)), WSDOT's commitments to Disadvantaged Business Enterprises (DBEs) have risen from \$66.3 million in Federal Fiscal Year (FFY) 2013 (October 2013 through September 2014) to \$99.6 million in FFY2014, a 33 percent improvement.

The graph below shows an increase in WSDOT's DBE commitments since the reforms began in 2013. Increases occurred for all DBEs regardless of ethnicity or gender.

WSDOT attributes the increase at least in part to the completion of several DBE reform tasks designed

Growth in Disadvantaged Business Enterprise commitments follows WSDOT Reform efforts Federal fiscal years 2008 through 2014; Dollars in millions



Data source: WSDOT Office of Equal Opportunity.
Notes: The federal match of Disadvantaged Business Enterprise (DBE) participation in WSDOT projects is illustrated in the bars and the total federal dollar commitments are in the line above. Only that portion of the contract that is federally funded counts toward participation. For example, if a contract is 90 percent federally funded, only 90 percent of the DBE participation can be counted. Additional DBE participation may occur but cannot be counted toward the overall goal.

What is a Disadvantaged Business Enterprise?

The purpose of the DBE Program is to provide equal opportunities in federal aid funding contracts to businesses owned and controlled by socially and economically disadvantaged individuals. WSDOT has developed a participation plan as a guideline and procedural manual to ensure DBEs have fair and equal access to compete for contracts, subcontracts and agreements on USDOT financially assisted projects. Pursuant to 49 CFR § 26.3, the DBE Program applies to WSDOT and its sub-recipients as a condition of receipt of USDOT financial assistance (federal-aid highway funds, federal transit funds, and federal aviation funds).

to increase and broaden DBE participation. For more information about DBE Reform strategies, see [Gray Notebook 53, pp. 26-27](#).

Among reform tasks completed, WSDOT implemented a reporting application for FHWA-funded projects that incorporates best practices and reduces under-reporting of project participation. The agency also implemented strategies designed to increase engagement with and the support of the DBE community. These include starting and distributing a DBE Program Newsletter; hosting statewide DBE Opportunity Forums, and hosting DBE Program Training for internal staff and external contractors/consultants. WSDOT also has an increased presence at community and trade based organization meetings to discuss opportunities and WSDOT's commitment to the DBE Program.

WSDOT attributes some of the DBE program's improvements to increased outreach, community engagement and improved reporting from mega-projects, more aggressive DBE project goal setting, and an awarded \$200 million mega-project with a large DBE goal (State Route 520 Montlake to Evergreen Point Bridge, West Approach Bridge North).

Most completed projects meet FHWA goals

WSDOT and local agencies completed 291 projects that are at least in part funded by the Federal Highway Administration (FHWA) in FFY2014. Of these, 171 projects (or 59 percent) had enough sub-contractable work and enough Disadvantaged Business Enterprise (DBE) firms certified to do the work to require DBE goals. See [Gray Notebook 53, p. 25](#) for information on how goals are set.

Of the 171 projects with DBE goals, 156 (91 percent) met or exceeded the goal, and 15 projects (9 percent) did not. The primary reason WSDOT did not meet goals on the 15 projects is due to quantity under-runs, which occur when less work was available than what is contained in the engineer's estimate. For example, this may occur with erosion control work as it can be dependent upon the weather. If inclement weather doesn't occur and a DBE was named on a contract to perform the erosion control work that didn't materialize, there could be a quantity under-run impacting the goal.

Disadvantaged Business Enterprise goals are established to ensure that firms owned and operated by disadvantaged individuals have an equal opportunity to obtain and perform on contracts and to ensure non-discrimination, remove barriers to competition and create a level playing field for DBEs.



WSDOT and local agency staff attend DBE and civil rights program training. More than 600 were trained around the state.

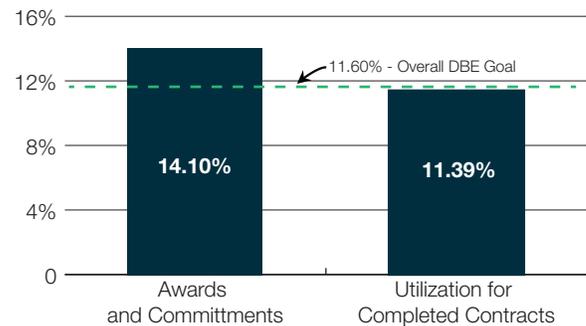
More than 600 attend DBE training

WSDOT recently performed statewide DBE and other external civil rights program training for WSDOT, local agency staff and contractors/consultants (both DBE and non-DBEs). This training was mandatory for all WSDOT employees responsible for implementing or assisting in administering the DBE program. More than 600 WSDOT and local agency staff attended these trainings.

WSDOT has also adopted performance measures for use in employee evaluations on all WSDOT staff impacting DBE program implementation.

WSDOT exceeds Disadvantaged Business Enterprise target in awards; achieves 98 percent in utilizations

Federal fiscal year 2014; Contracts awarded and committed versus completed contracts



Data source: WSDOT Office of Equal Opportunity.

Notes: This chart does not portray FFY2010 through FFY2013 data as reported in *Gray Notebook 53* because the federal rules regarding the calculation of the participation percentages changed. FFY2014 will now be used as the baseline year. Utilization for completed contracts refers to those complete in the fiscal year.

WSDOT exceeds target in awards; achieves 98 percent in DBE utilizations

Under its 11.6 percent overall DBE goal, WSDOT is exceeding its target in awards and commitments, with 14.10 percent in FFY2014. WSDOT attained 11.39 percent in utilization for completed contracts (completions) in FFY2014, missing the goal by 0.21 percentage points. The unique nature of reporting large, multi-season construction projects means that a contract award may be reported in one year with the corresponding DBE utilization (contract completion) reported in another. See [Gray Notebook 53, p. 26](#) for details on the DBE Program Disparity study that recommended the new goal, which was recently approved by the U.S. Department of Transportation. The overall program goal is typically revised every three years. The last goal was 15.17 percent, approved in FFY2012.

Contributors include Jackie Bayne, Kara Larsen, Craig McDaniel, David Mounts, MaryLou Nebergall, Olga Peterman, Denys Tak and Yvette Wixson



Strategic Plan Goal 5: COMMUNITY ENGAGEMENT

Strategy 5.3 (Project Partnerships) - Build community relationships to reduce project costs through local partnerships and taking opportunities to combine projects with different funding sources.

In support of this strategy, WSDOT has been working toward increasing Disadvantage Business Enterprise participation in projects.