

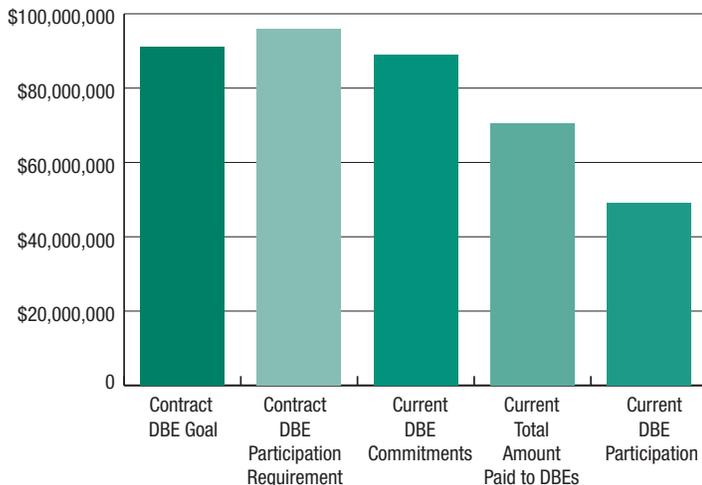
WSDOT Disadvantaged Business Enterprise program: Monthly progress report to FHWA, December 2014

Overview

The Washington State Department of Transportation (WSDOT) provides monthly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) program participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. This monthly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through October 2014; other activities noted reflect work performed through December 4, 2014.

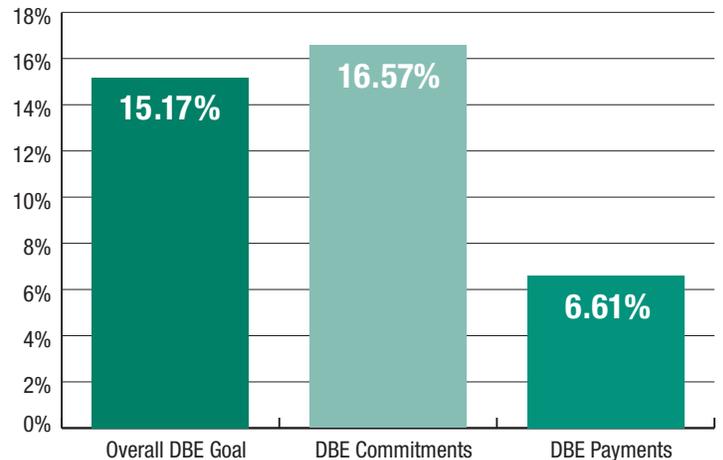
Progress At a Glance

AWV project-specific efforts



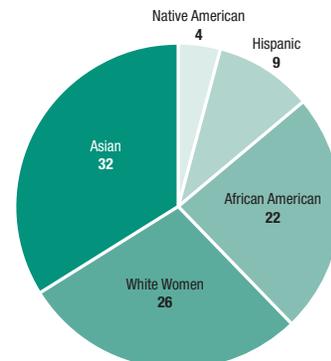
Agency progress

Federal Fiscal Year 2015 began October 1, 2014. As of November, WSDOT (Local Programs, Consultant Services and HQ Construction) is attaining 16.57 percent in DBE commitments (goals 13.76 percent and voluntary participation 2.81 percent) and 6.61 percent in payments to DBE firms. Since this includes only two month's DBE data it is not necessarily an accurate depiction of the agency's Federal Fiscal Year 2015 overall DBE participation.



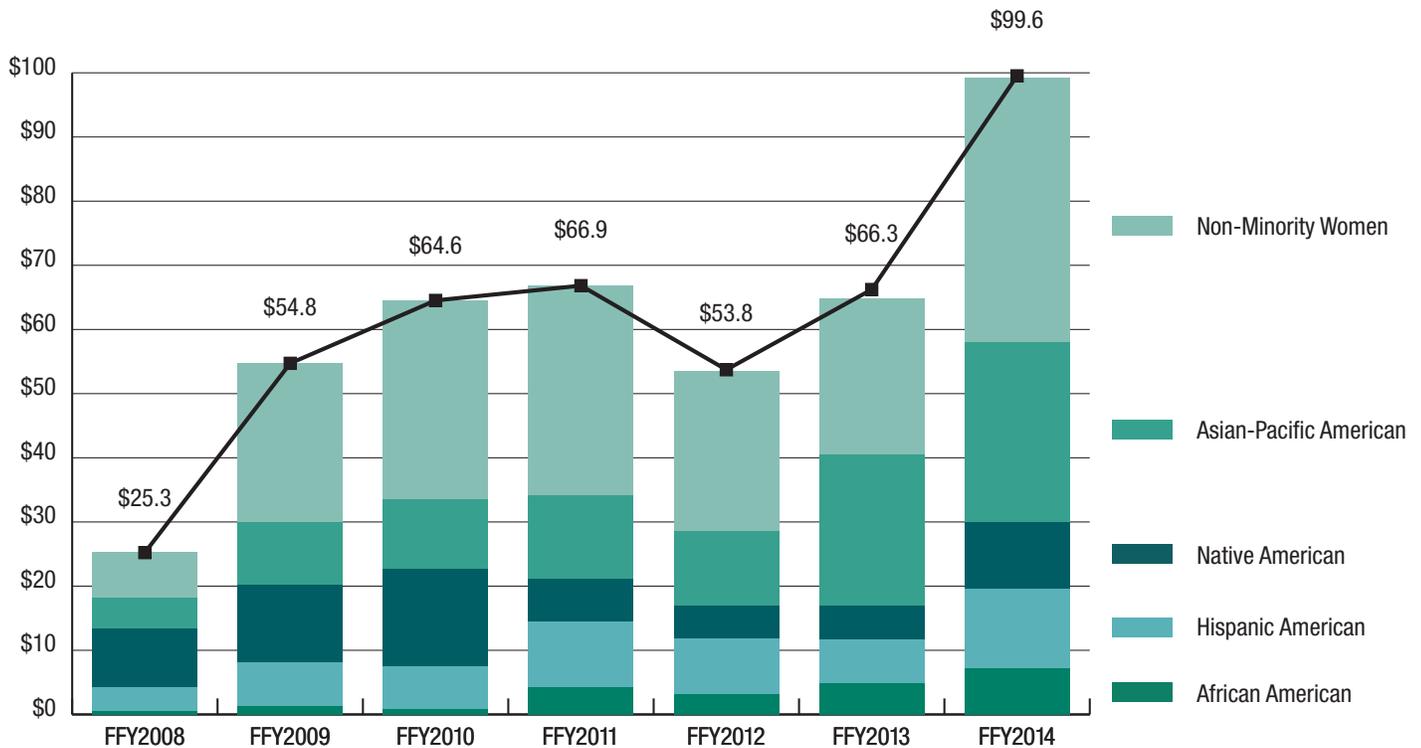
Improving oversight, expanding opportunities:

WSDOT conducted an updated analysis of the race/ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:



WSDOT analyzed DBE participation for trends

WSDOT Office of Equal Opportunity (OEO) analyzed agency-wide historical FHWA DBE participation for trends. The below illustration notes the increase in DBE participation:



AWV project-specific progress, based on DBE Progress Report #44, Oct. 2014

Seattle Tunnel Partners (STP) efforts to achieve DBE contract goal

- **DBE Subcontracting Oversight Committee.** Topics of discussion during the month of October included status reports on procurements that were underway and procurements that were identified as potential future opportunities for the DBE community. No new procurements were posted during the month of October due to on-going focus on repairing the Tunnel Boring Machine. Additional procurements are anticipated to occur by the end of December or January.
 - o Procurements under discussion during October included: a filter press system, epoxy (temporary and permanent), and safety jackets and hard hats.
 - o The STP Subcontracting Oversight Committee operating guidelines and the STP Procurement Procedures guidance document are still under development. Finalizing these documents is crucial in moving forward because they provide the agreed upon framework that the Oversight Committee will be working under.
 - STP's Procurement Procedures are under final review by their executive committee, with goal of being approved by mid-December.
- **DBE Communications.** In the DBE Progress Report #44 for October 2014, STP reported that they attended the Tabor 100 Captain of Industry Gala and the Tabor 100 monthly meeting. (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report # 44). A review of the Progress Report indicates that STP is planning events through the beginning of January 2015. Planned outreach includes the following:
 - o November 2014: Advertised in a local Hispanic newspaper, El Mundo, and launched their own monthly outreach sessions with the greater DBE community. STP also attended the Business After Hours Reception and the Washington Construction Design and Entrepreneurs meeting.
 - o December 2014: STP will hold the second of their planned monthly DBE outreach events, attend the University of Washington Business and Student Achievement Banquet, attend the monthly National Association of Minority Contractors (NAMC) meeting, and

- attend the Northwest Mountain Minority Supplier Development Council Economic Summit.
- o January 2015: STP will hold their third DBE Outreach and Engagement meeting on Jan. 14, 2015.
- **Commercially Reasonable Discussions.** During the month of October, STP continued to work with the remaining DBE firm identified in the original FHWA Investigative Report. As of Dec. 17, 2014, AWW considers the Commercially Reasonable Discussions complete.
- **DBE Opportunities.** DBE opportunities generated through the purchase of materials, technical and professional consulting and assistance through the procurement of services, personnel, or equipment necessary for the project are included in STP's DBE Quarterly Action Plan. The WSDOT AWW Program has completed its review of the STP Quarterly DBE Action Plan, dated Nov. 5, 2014, and is preparing to submit a response letter to STP. While the action plan will serve as an excellent communication tool with the Subcontracting Oversight Committee and the DBE Community, the first submittal lacks the detail necessary for understanding how STP will make the \$96 Million contract requirement, or 8% DBE Goal, whichever is greater.
- **Related STP activities anticipated for the next reporting period:**
 - o The Subcontracting Oversight Committee will continue to meet and advise STP on potential subcontracting opportunities.
 - o STP will continue communications and outreach to the DBE community.
- **DBE Outreach.** Outreach activities performed by the WSDOT HQ DBE Administrator for the AWW Replacement Program included:
 - o Attended the University of Washington Foster School of Business Minority Business Award event and met with several small minority businesses and DBE firms.
 - o Attended the NAMC monthly meeting and provided updates regarding project progress and upcoming STP Outreach events.
 - o Attended Urban league of Metropolitan Seattle's annual breakfast. Met with local minority and DBE firms.
 - o Sent notifications of Outreach and Engagement events being held by STP to Tabor 100, NAMC, the Northwest Mountain Minority Supplier Development Council, the Trucker Consortium and several targeted DBE firms.
 - o Met with four DBE firms regarding AWW DBE opportunities.
 - o Followed up with an electrical systems DBE sub-contractor regarding AWW low voltage sub-contractor to discuss possible DBE opportunities.
 - o Met one-on-one with the DBE Supportive Services consultant to discuss opportunities on the AWW project.
 - o Continued work with a DBE environmental firm on a purchase, installation and monitoring contracting opportunity. This includes the purchase and installation of a filter press machine along with the daily monitoring.
 - o Began outreaching to small DBE trucking firms regarding the backfill of the access shaft as part of the Tunnel Boring Machine recovery effort. Seattle Tunnel Partners is considering an On-Call Roster to facilitate the backfill. The work is tentatively scheduled for the first quarter of 2015.

Certification of Monetary Commitments

- AWW Project Staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$88.9 million in STP claimed commitments and \$49 million in claimed participation reported by STP in Progress Report #44. (See Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #44).
- The entire \$3.4 million amount claimed in DBE participation in DBE Progress Report #44 has been verified. (See Exhibit A, Attachment #5, DBE Amounts (As Amended) Validation).
 - o This Progress Report did not include any additional claims of DBE participation for OMA Construction, Inc.
 - The AWW Program continues review for validation of the \$8.5 million in trucking participation, as claimed by STP in prior Progress Reports.
 - Thus, to date, WSDOT has verified \$88.9 million in commitments and \$40.5 million in participation.
- **Work site Monitoring.** WSDOT monitors work sites to ensure work committed to each DBE is/has been actually performed by the DBE. During the reporting period of October, the following activities occurred:
 - o Four on-site reviews performed on the project.
 - DBE onsite reviews will continue to be performed as new DBE firms are given work on the project or DBE firms come onto the project to work on current contracts.

- o A review of payroll reports showed the total hours reported on verified Certified Payrolls were 3,707.7. This was 32.25 hours more than the 3,675.45 hours reported by the contractor. The breakdown, by skill craft, may be found in Exhibit B: DBE Certified Payrolls Hours Verification, September 2014.
 - The discrepancy between the STP reported hours and the certified payroll hours can be attributed to three contributing factors:
 - Subcontractor reports of utilization, which is what STP's reported hours are based on for "week ending", so a month utilization report could include hours from the previous month.
 - Certified payroll comparison is based on a calendar month.
 - Subcontractors may be late in submitting their certified payroll, resulting in missing hours.

- **Monetary commitments.**

- o AWV received STP Progress Report #44 on November 25, 2014. (See Exhibit A: Attachment #1, STP Monthly DBE Progress Report # 44). STP's report claims the following:
 - Contract DBE Goal \$91,176,000.00
 - Contract DBE Participation Requirement \$96,000,000.00
 - Current DBE Commitments \$88,920,379.75
 - Current Total Amount Paid to DBEs \$70,617,578.73
 - Current DBE Participation \$49,007,510.04

- **Utilization, prompt payment and retainage**

- STP has submitted information along with Progress Report #44, as required by Change Order #98. STP reported 93 DBE subcontractors, with a total of 151 subcontracts utilized on the Tunnel project including engineering services, suppliers, vendors, manufacturers, and construction contractors. Exhibit C, List of DBE Subcontracts, indicates the following:
 - o 145 DBE subcontracts, which is six less than the 151 reported on the DBE Monthly Progress Report. According to STP, since this list is new, they are still resolving discrepancies and plan to have the list match the next Progress Report (Progress Report #45).
 - o Of the 145 subcontracts included on the list:
 - 36 subcontracts are complete
 - 103 subcontracts are still active
 - Six are in various stages of start-up and, thus, have nothing to report at this time.
 - o Status of Retainage Releases:
 - Retainage has been released on 27 subcontracts
 - Retainage was not withheld on 37 subcontracts
 - Retainage is being withheld on 73 active subcontracts
 - 8 subcontracts are in various stages of start-up and retainage is not reported

- **Monitoring and investigation of complaints regarding DBE participation**

- **AWV Commercially Useful Function Reviews.** No changes to report.
- **Resolved issues**
 - o While STP is still in discussions with one of the eight complainants regarding potential subcontracting opportunities, WSDOT considers the commercially reasonable discussions to be complete. STP continues to work with the one remaining DBE to reach resolution regarding potential subcontracting opportunities.
- **Payment Resolution:**
 - o One sub-contractor is requesting a new payment cycle based on a new delivery schedule. STP is currently working with the sub-contractor to make any needed adjustment to the invoicing and weekly payment cycle.

WSDOT DBE program-level progress

DBE monitoring process and procedures

- **Increase Emphasis on DBE Program.** The Secretary of Transportation invited Colette Holt, a National DBE Program Expert, to WSDOT's Senior Leadership meeting on December 1. Colette Holt presented information to WSDOT's Senior Management regarding legal and program issues related to the DBE Program. This discussion also included a facilitated candid discussion about program strengths and weaknesses.
- **Increasing Agency Commitment.** During December, the Secretary of Transportation, interim Director of OEO, and the interim DBE Liaison Officer visited the Northwest and Eastern Regions to speak to Regional Staff about three issues: DBE, Lean and Practical Design. The Secretary of Transportation and DBE Program Management emphasized WSDOT's commitment to improving the DBE program. OEO and Regional Staff are planning follow-up discussions.
- **DBE Payment Program.** Both the Local Programs Division and the Consultant Services Office are making every effort to implement the DBE Payment Program in January 2015.

Design-build specifications, goals and performance

DBE Support Services

- **DBE Advisory Group.** On December 9, WSDOT hosted a DBE Advisory Group Meeting. This meeting was facilitated by an external consultant as to provide an impartial atmosphere with more open dialogue. During this meeting the draft DBE specifications was shared with stakeholders and input was requested by December 16. A new draft of the DBE Program Roles and Responsibilities document and draft Executive Order was discussed with committee members and input was requested by January 30.
- **NAMC Meeting.** The interim DBE Liaison Officer and interim Director of the OEO attended the December 4 NAMC meeting. The most recent conciliation report was made available to attendees and the upcoming DBE Opportunity Forums were discussed.
- **Opportunity Forums.** WSDOT is planning outreach events statewide to occur late January and early February. These events will be in collaboration with OMWBE and Regional Staff. These events will provide attendees with information regarding upcoming projects and an opportunity to network with WSDOT Project Engineers, prime contractors and consultants.

Exhibits:

Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #44

Attachment #1, STP DBE Progress Report No. 44, October 2014

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Exhibit B: DBE Certified Payrolls Hours Verification, October 2014

Exhibit C: List of DBE Subcontracts

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator, Jonté Robinson at (360) 705-7082.



EXHIBIT A

December 15, 2014

TO: Bobby Forch, DBE Administrator AWW *BTF*

FROM: Dawn McIntosh, P.E. *DMc*

SUBJECT: Validation STP Monthly DBE Progress Report No. 44

Review of STP Monthly DBE Progress Report No. 44 (Report No. 44) began 12/4/2014 and was completed on 12/15/2014. (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE 8% Goal= \$91,176,000
- Commitments= \$88,920,379.75
- Total Payments= \$70,617,578.73
- DBE Participation= \$49,007,510.04

STP reported 93 subcontractors with 151 subcontracts. Comparing Report No. 44 to Report No. 43 resulted in changes as follows:

- 29 Commitment changes totaling \$3,280,607.38 increase (Attachment 2)
- 32 Invoiced to Date changes totaling \$2,881,524.76 increase (Attachment 3)
- 33 Payments to Date changes totaling \$3,802,565.17 increase (Attachment 4)
- 33 DBE Amount (as Amended) changes totaling \$3,411,306.87 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 44 (October 2014) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

- It should be noted that the DBE Amount (As Amended) being claimed by STP for work performed by OMA Trucking (including lower tiers) has not been verified by WSDOT.
- This increase in participation is for work that has been invoiced by and paid to OMA but not previously counted toward DBE participation.
- According to STP, they had not received the documentation required from OMA and had not performed their internal review in order to justify the increase in claimed DBE Amount (As Amended) prior to this time.
- Discussions are currently underway between the AWV Office, HQ OEO and FHWA regarding the process for reviewing and approving the \$8.6 million in DBE participation claimed for OMA under DBE Progress Reports #40, #41, and #42.

The following new DBE subcontractors were added under this Report, bringing the total count of DBE firms with subcontracts to 93:

- Tan Trucking (Lower Tier to OMA)

There were no existing subcontractors receiving new work under this report.

Conclusion:

STP's Report No. 44 is acceptable. Additional work will be required to verify the DBE claimed participation for OMA Trucking once agreement is reached between the AWV Office, HQ OEO, and FHWA regarding the verification process.

The STP Binder for Report No. 44 was helpful for validating the invoiced and payment information as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 44
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation

cc: Paul Johnson. P.E.



Seattle Tunnel Partners
 999 Third Avenue, Suite 2424
 Seattle, WA 98104

WSDOT Contract No. 007999
 Federal Aid No. BR-NH-STP-STPF-0099(111)
 SR 99 Tunnel Project
 Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 44

Progress Report Period: October 1, 2014 – October 31, 2014

Submission Date: Tuesday, November 25, 2014

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$88,920,379.75
Percent of DBE commitments:	7.802%
DBE participation:	\$49,007,510.04
Percent of DBE participation :	4.300%

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP is submitted our quarterly DBE action plan November 5th. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available. STP does not have additional work to add to this list for this month's report.

Opportunities under JH Kelly

STP worked with WSDOT to schedule a meeting with STP's electrical, mechanical, and plumbing contractor (JH Kelly) for November 17, 2014 to discuss remaining lower tier opportunities for DBE firms under their scope.

STP and JH Kelly worked together in 2012 to invite DBE firms certified for electrical and related industries to participate in DBE meetings, an informational meeting specific to JH Kelly's scopes, and one-on-one meetings with interested firms. JH Kelly has participated in DBE events throughout the project and provided regular updates that have been included in STP's opportunity handout. As of this report, JH Kelly has over \$17 million in DBE commitments.

At the November 17th meeting with WSDOT, JH Kelly provided information about their overall DBE efforts. WSDOT was specifically interested in the low voltage electrical work remaining in order to respond to interested firms. JH Kelly provided information about how they have been working to

ensure the identified work is awarded to a DBE firm. SICE (JH Kelly's low-voltage subcontractor) provided information about how they broke up identified low voltage work for DBE firms into seven smaller packages ranging from \$50,000 - \$300,000. These packages included low voltage for the north operations building, south operations building, and five different systems in the tunnel. While SICE had met with firms in 2012 and firms that expressed interest since then, SICE recently went out to the full list of certified DBE electrical contractors to provide an additional opportunity for firms to participate. Three DBE firms responded to the bid. SICE is currently reviewing their proposals. JH Kelly is on track for meeting 8% of their contract.

STP October RFPs/RFQs

STP made the following changes to recent RFPs and/or bid evaluations during October to provide greater opportunities for DBE firms:

1. Filter Press System: STP extended the bid close date to provide additional time for a DBE firm interested in bidding the scope.
2. Epoxy: STP allowed for equivalent material that met the WSDOT requirements and firms that currently did not meet the WSDOT requirements, but could supply a WSDOT request for approval of materials form. STP also broke out the bid so firms could bid Permanent and/or Temporary Epoxy. This also allowed STP more flexibility in being able to successfully negotiating with a DBE firm (temporary and/or permanent).
3. Jackets & Hats: STP identified a quality jacket that they were interested in purchasing. STP allowed for equivalent substitutions, allowed firms to bid the jackets and/ or hats, and allowed firms to submit multiple options. STP extended the bid date twice based on a requests from a DBE firm interested in bidding.

October DBE Contract Negotiations

STP negotiated change orders and purchase orders with DBE firms already on contract based on changes in information and/or work previously awarded.

DBE firm Garner Construction, had not included tax in their cost evaluation. STP issued a change order for the value of the tax for the Crane with Operator for the Access Shaft Excavation.

STP Outreach

STP conducts outreach in order to reach DBEs and provide information about the project, future contracting opportunities, contact information, and how to access work. STP also conducts outreach to encourage DBE firms to bid work on the project. STP is also working with WSDOT to provide information to the broader women and minority community.

October Outreach

October 4, 2014, Tabor 100 Captain of Industry Gala

STP purchased a table at the Tabor 100 Gala that took place on October 4, 2014. STP management attended the event: Dragados vice president, STP project manager, STP deputy project manager, and STP DBE compliance manager and DBE firms. This was an opportunity for STP to support the local African American business community and youth. STP was able to connect with business attendees. This included talking with the president of Tabor 100 and board member. STP also connected with a

Tabor 100 reward recipient (DBE firm) and has since communicated with the firm about potential future opportunities with STP.

October 25, 2014, Tabor 100 membership meeting

STP Project Manager and WSDOT HQ DBE Program Administrator presented at the October 25, 2014 Tabor 100 monthly membership meeting in Seattle, WA. STP's attendance at this event served as an opportunity to touch base with Tabor members as well as an opportunity to speak about STP's November 12th outreach and engagement meeting.

November Outreach

Ad in El Mundo

STP advertised in El Mundo November 2014. El Mundo provides editorial content available for Washington's Hispanic community. El Mundo's digital and hard copy newspaper is published in Spanish.

STP has exceeded the percent of contract awards and dollars for each ethnic/race group represented by DBE firms (African American, Asian American, Hispanic, Native American, and women), as a percent of the region's population, except Hispanic owned firms. It is important to STP to be inclusive of all diverse community groups and hopes our ad will provide more awareness about the DBE program, our project, and interest in bidding.

November 12, 2014, STP DBE Outreach and Engagement Meeting

STP launched monthly DBE outreach and engagement meetings to provide updates to DBE firms about upcoming opportunities, project updates, and engage community members in discussing STP's efforts and progress towards the DBE goal. The first event took place November 12, 2014 at South Seattle Community College Georgetown Campus from 8:00 a.m. – 10:00 a.m.

November 13, 2014, Champion of Inclusion Awards and Business After Hours reception

This event is hosted by the Port of Seattle, Sound Transit and Washington State Department of Transportation. This was an opportunity for prime, local sub-contractors and architectural and engineering firms to network with each other. This event included an award ceremony where each hosting agency recognized a prime contractor and/or consultant as champions of small business utilization. STP was the first entity to receive the champion of small business utilization award from Washington State Department of Transportation (2011). STP participated this year with a booth and attendance. STP provided handouts with upcoming opportunities, which included anticipated dates and estimated dollar values. STP also provided a DBE resource handout and information about STP's DBE efforts.

November 21, 2014, Washington Construction Design & Entrepreneurs (CDE)

This meeting is oriented towards upcoming projects and opportunities for small businesses. The November meeting was held at the Ron Sandwith Teen Center in Federal Way, WA. STP's attendance at this event served as an opportunity to touch base with DBE firms and local small and WMBE businesses that might be able to become DBE certified. STP notified attendees about the December

3rd outreach and engagement meeting and also provided project opportunity handouts. STP also provided project updates during one-on-one discussions with DBE firms specific to their areas of work.

STP's DBE compliance manager was able to touch base with a DBE at the event who shared that they felt their contract on our project was going well and asked if STP typically paid within the contract terms. This firm was specifically interested in payment for a large material purchase. STP's DBE compliance manager was able to share that STP was very good at paying within the payment terms and provided the business owner with STP's commercial manager's contact to further discuss. STP's DBE compliance manager followed up with the commercial manager after the meeting. The commercial manager talked with the DBE firm and is currently working with STP's accounting department to accommodate the firm's request.

Planned December Outreach

December 3, 2014, STP DBE Outreach and Engagement Meeting

The second DBE outreach and engagement meeting is scheduled for December 3, 2014 at South Seattle Community College Georgetown Campus.

December 3, 2014, UW Business & Student Achievement Banquet

STP plans to attend the December 3, 2014 UW Business & Student Achievement Banquet in Seattle, WA. STP plans to attend this event in order to talk one-on-one with DBE firms interested in working with STP and answer questions about how DBE contractors can obtain work on the project. STP hopes their involvement will encourage DBE firms to bid on upcoming work.

December 4, 2014, National Association of Minority Contractors of Washington

STP plans to attend the December 4, 2014 National Association of Minority Contractors of Washington (NAMC WA) meeting in Seattle, WA. STP plans to attend this event in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP will also notify attendees about STP's upcoming DBE outreach and engagement meeting scheduled for January 14, 2015. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities.

December 18, 2014, Northwest Mountain Minority Supplier Development Council Economic Summit

STP plans to attend the December 18, 2014 Northwest Mountain Minority Supplier Development Council Economic Summit in SeaTac, WA. STP plans to attend this event in order to talk one-on-one with DBE firms interested in working with STP and answer questions about how DBE contractors can obtain work on the project. STP hopes their involvement will encourage DBE firms to bid on upcoming work.

Planned January Outreach

January 14, 2015, STP DBE Outreach and Engagement Meeting

The third DBE outreach and engagement meeting is scheduled for January 14th at South Seattle Community College Georgetown Campus.

MONTHLY DBE PROGRESS REPORT (From DBE-09)
REPORT FOR MONTH ENDING: 10/31/14

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): \$ 1,363,347,360.58 % Complete: 70%
DBE Goal Dollar Value: \$ 91,176,000.00
DBE Goal: 8%

Prime Contractor:
Seattle Tunnel Partners

Through 10/31/2014 (all numbers exclude WSST)
Total payment to Prime to date: \$ 948,142,947.66
Total amount invoiced to date: \$ 964,913,631.22
Amount of last payment to Prime: \$ 12,039,454.04

Date of last payment to Prime: 10/10/2014
Total of last payment to Prime: \$ 12,039,454.04
Total value of DBE commitments: \$ 88,920,379.75
% of DBE Commitments: 7.802%

Total value of DBE amount (as Amended): \$ 49,007,510.04
% of DBE Amount (as Amended): 4.300%

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER									
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00	\$ 720.00	\$ 720.00	\$ 720.00
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-14	0%	\$ 285,647.50	\$ -	\$ -	\$ -	\$ -
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20	\$ 24,237.20	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB - Furnish & Install Shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -	\$ -	\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00	\$ 189,938.00	\$ 189,938.00	\$ 189,938.00
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00	\$ 416,500.00	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-12	100%	\$ 37,975.86	\$ 37,975.86	\$ 6,450.00	\$ 31,485.86	\$ 30,894.36
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 521,078.53	\$ 521,078.53	\$ 28,355.00	\$ 521,078.53	\$ 521,078.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	100%	\$ 1,005,698.49	\$ 1,005,698.49	\$ -	\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-12	100%	\$ 1,085,192.50	\$ 1,085,192.50	\$ 38,160.00	\$ 1,067,012.50	\$ 1,067,012.50
Duncan Asphalt	#DMSM9822253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-04	19%	\$ 40,000.00	\$ 51,630.60	\$ 11,049.07	\$ 49,049.07	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,575.00	\$ 22,575.00	\$ -	\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 895,570.00	\$ 895,570.00	\$ 57,362.00	\$ 838,208.00	\$ 803,068.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 354,893.66	\$ 354,893.66	\$ -	\$ 337,551.25	\$ 337,551.25
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -	\$ -	\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	0%	\$ -	\$ -	\$ -	\$ -	\$ -
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00	\$ -	\$ 1,615.00	\$ 1,615.00
Global Geophysics	#M4M0021256	Vibration Monitoring	21-May-2012	100%	\$ 511,343.75	\$ 511,343.75	\$ 83,603.36	\$ 511,343.75	\$ 511,343.75
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	\$ -	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 268,711.83	\$ 268,711.83	\$ 271.25	\$ 267,824.82	\$ 143,330.97
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%	\$ -	\$ -	\$ -	\$ -	\$ 68,475.75
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00	\$ -	\$ 1,473,764.00	\$ 1,455,382.26
J. Kaiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 40,221.20	\$ 40,221.20	\$ -	\$ 40,221.20	\$ -
JP Francis & Associates, Inc.	#M3M0018112	Mitigation building - plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86	\$ -	\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); Inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00	\$ -	\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks - Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	\$ -	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -

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Sunset Welding Inc (LT to Leajak)	#DSM0018072	Rebar	30-Jun-12	100%					\$
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5810714	Exravating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc (Subcontract to Leajak, 3rd tier under Lorache)	#DSM0018072	Rebar	10-Jul-2012	100%					\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 53,712.51	\$ 53,712.51		\$ 53,712.51	\$
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	98%	\$ 1,304,577.48	\$ 1,279,562.53		\$ 1,215,584.39	\$ 1,059,402.86
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014						\$
PioUSA (LT to Marshbank)	#D3M0022432	Trucking							\$
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00		\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Vac-truck services	18-Jul-2012	100%	\$ 178,466.02	\$ 178,466.02	\$ 8,405.54	\$ 170,872.82	\$ 170,872.82
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 231,667.46	\$ 231,667.46	\$ 19,299.82	\$ 220,094.46	\$ 220,094.46
Neppel Electrical	#DSM0017938	Harrison Street Hangers and Conduit	31-Jul-2014	0%	\$ 473,613.72				\$
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00		\$ 39,800.00	\$
Gatridge Security Group Inc	#DSM8421939	Security Guard Services	10-Oct-2011	100%	\$ 3,780,863.50	\$ 3,780,863.50	\$ 119,454.50	\$ 3,661,556.50	\$ 3,648,062.50
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	87%	\$ 18,506,125.29	\$ 16,105,693.39	\$ 238,283.00	\$ 16,105,693.39	\$ 11,559,521.75
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%					\$
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 52,196.18
Maks Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%					\$ 133,755.60
Ponghia Trucking Inc (LT to OMA)	#M4M0019913	Trucking	17-Jan-2013	100%					\$ 132,683.75
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$
Tan Trucking (LT to OMA)	#D3M0020597	Trucking							\$
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 41,630.00
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$
Oneill Service Group	#DSM9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 572,456.53	\$ 572,456.53	\$ 25,019.25	\$ 572,456.53	\$ 452,249.90
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 231,512.50	\$ 231,512.50	\$ 10,829.00	\$ 220,683.50	\$ 119,739.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%					\$ 96,070.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	87%	\$ 779,490.00	\$ 679,456.77	\$ 13,556.00	\$ 665,900.77	\$ 660,088.84
Saex Consulting Engineers Inc. (dba SCE, Inc.)	#M5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 169,241.15	\$ 169,241.15	\$ 2,028.75	\$ 169,241.15	\$ 169,241.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 623,549.50	\$ 623,549.50	\$ 21,356.50	\$ 599,200.00	\$ 599,200.00
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00		\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,848,973.12	\$
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%					\$
Emerald City Weatherproofing (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%					\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5113317	Door and Hardware Installation	17-Sep-2012	100%					\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52

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Steellkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	43%	\$ 7,639,052.00	\$ 3,286,388.82	\$ 374,483.24	\$ 2,706,287.16	\$ 165,986.33
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 3,885,815.66	\$ 3,885,815.66	\$ 103,387.53	\$ 3,861,128.42	\$ -
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	100%	\$ 2,312,336.49	\$ 2,302,776.64		\$ 2,302,776.64	\$ 2,006,706.13
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00		\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	0%	\$ 79,250.00	\$ -		\$ -	\$ -
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00	\$ 109,211.59	\$ 139,211.69	\$ -
VENDORS									
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 286,335.54	\$ 286,335.54		\$ 286,335.54	\$ 171,801.32
Excel Supply Company	#D4F2312899	Safety Supplies	NA	100%	\$ 17,885.69	\$ 17,885.69		\$ 17,885.69	\$ 10,731.41
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMA's	NA	100%	\$ 230,806.79	\$ 230,806.79		\$ 230,806.79	\$ 138,484.07
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 4,977.18	\$ 4,977.18	\$ 266.36	\$ 4,977.18	\$ 2,986.31
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	86%	\$ 2,325,362.11	\$ 2,010,682.99		\$ 2,010,682.99	\$ 1,557,258.22
The Bag Lady	#D2F0016394	Costruction Entrance Plates & Straw bales	NA	100%	\$ 285,221.68	\$ 285,221.68	\$ 2,890.80	\$ 284,318.30	\$ 170,590.98
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,659,838.44	\$ 2,659,838.44	\$ 79,918.58	\$ 2,659,838.44	\$ 2,658,288.40
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45		\$ 19,402.45	\$ 19,402.45
LOWER TIER									
AMEC (Non-DBE sub)									
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,029.02
BASF (Non-DBE sub) - EASI									
JP Francis & Associates (LT to BASF, 3rd tier under BASF - KTI Logistics (Non-DBE sub))	#M3M0018112			100%	\$ -	\$ -		\$ -	\$ -
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	\$ 14,949.00		\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)									
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 873,397.07	\$ 873,397.07	\$ 83,647.02	\$ 873,397.07	\$ 473,850.03
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)									
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 201,995.69	\$ 201,995.69		\$ 201,995.69	\$ 201,995.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)									
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ -
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)									
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00

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Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 1,820,988.84	\$ 1,820,988.84	\$ 557,798.83	\$ 1,820,988.84	\$ 1,820,988.84
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 11,866.48	\$ 11,866.48	\$ 1,025.20	\$ 11,866.48	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$ -
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.75	\$ 122,373.75
Bollma Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 807,053.16	\$ 807,053.16	\$ 15,371.25	\$ 770,246.02	\$ 770,246.02
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 959,259.50	\$ 959,259.50	\$ 9,568.40	\$ 948,661.98	\$ 948,661.98
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#M5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 383,799.36	\$ 383,799.36		\$ 377,156.13	\$ 373,693.63
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 182,837.53	\$ 182,837.53		\$ 182,659.93	\$ 182,659.93
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 89,180.00	\$ 89,180.00	\$ 13,376.00	\$ 78,394.00	\$ 78,394.00
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC (Non-DBE sub)	HNTB - HART CROWSER INC								
Global Geophysics (LT to HNTB)	#M4M0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 96,397.56	\$ 96,397.56		\$ 27,916.25	\$ 27,916.25
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS								
ProUSA	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$ -
JH KELLY (Non-DBE sub)	JH KELLY								

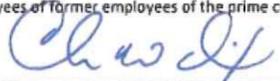
Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	49%	\$ 550,000.00	\$ 267,862.50		\$ 213,761.88	\$ 168,873.89
JH KELLY - DESERT MECHANICAL (Non-DBE sub)									
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	8%	\$ 112,640.00	\$ 9,395.00		\$ 8,925.25	\$ 8,925.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	34%	\$ 546,350.25	\$ 185,026.77		\$ 185,026.77	\$ 185,026.77
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	0%	\$ 207,297.74	\$			
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)									
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1488	#M3M0018112	Mechanical	1-May-2013	71%	\$ 628,754.60	\$ 446,067.07	\$ 4,707.93	\$ 423,763.71	\$ 423,763.71
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1533	#M3M0018112	Mechanical	1-Aug-2013	28%	\$ 4,184,544.91	\$ 1,175,993.61	\$ 518,874.62	\$ 1,030,864.93	\$ 1,030,864.93
JH KELLY - FISK ELECTRIC (Non-DBE sub)									
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	81%	\$ 5,688,963.90	\$ 4,615,636.89	\$ 1,468,709.78	\$ 4,300,009.39	\$ 2,580,005.63
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	62%	\$ 5,021,920.34	\$ 3,110,173.73	\$ 163,161.77	\$ 3,089,648.60	\$ 1,853,789.16
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814		100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25	\$ 6,296.25	\$ 6,296.25	\$ 3,777.75
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.00	\$ 21,099.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 3,331.29	\$ 3,331.29		\$ 3,331.29	\$ -
MALCOLM (Non-DBE sub)	MALCOLM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	0%	\$ 254,160.00	\$ -		\$ -	\$ -
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	0%	\$ 367,924.00	\$ -		\$ -	\$ -
BESTCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#M4M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$ 178,836.06		\$ 169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014		\$ -	\$ -		\$ -	\$ -
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 154,702.50	\$ 154,702.50		\$ 154,702.50	\$ -
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#M4M0002341	Vac-truck services	26-Jun-2014	100%	\$ 4,468.70	\$ 4,468.70		\$ 4,468.70	\$ 4,468.70
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 57,236.25	\$ 57,236.25		\$ 17,723.44	\$ -
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,756.41	\$ 11,756.41		\$ 11,576.41	\$ -
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74		\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 14,693.00	\$ 18,451.00		\$ 18,451.00	\$ 18,451.00
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#M5M0019808	Settlement Monitoring	1-Apr-2012	66%	\$ 691,769.75	\$ 459,284.53		\$ 468,712.74	\$ -

Name of DBE Subcontractor (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 103,950.00	\$ 103,950.00		\$ 103,950.00	\$ 60,280.00
SOLDATA - HART CROWSER (Non-DBE sub)									
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
VECA (Non-DBE sub)									
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15		\$ 9,803.15	\$ 5,881.89
					\$ 88,920,379.75	\$ 72,422,165.55	\$ 3,696,178.19	\$ 70,617,578.73	\$ 49,007,510.04

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

- 1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.
OMA and ProUSA have been added under Marshbank. Tan Trucking has been added under OMA.
- 2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.
Yes. Please see the attached summary report for commitments as of 10/31/14
- 3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.
No.
- 4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement.
No.

AUTHORIZED SIGNATURE: 
CHRIS DIXON

TITLE: Project Manager

DATE: 11/25/2014

Commitments increased as of month ending October 31, 2014

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	September 2014 Commitments	October 2014 Commitments	Increase
FIRST TIER			
Catherine Bassetti	\$ 25,035.86	\$ 37,975.86	\$ 12,940.00
DHD Trucking	\$ 1,038,212.50	\$ 1,085,192.50	\$ 46,980.00
EPC Consultants, Inc.	\$ 838,208.00	\$ 895,570.00	\$ 57,362.00
Global Geophysics	\$ 427,740.39	\$ 511,343.75	\$ 83,603.36
Greylock Consulting LLC	\$ 266,876.07	\$ 268,711.83	\$ 1,835.76
J. Keiser & Associates	\$ 31,271.20	\$ 40,221.20	\$ 8,950.00
Marshbank	\$ 1,339,049.47	\$ 1,304,577.48	\$ (34,471.99)
*Sundancer (LT to Marshbank)			
*ProUSA (LT to Marshbank)			
Mar-Vac (Marine Vacuum Service Inc.)	\$ 169,618.08	\$ 178,466.02	\$ 8,847.94
MindSeekers, LLC	\$ 211,836.08	\$ 231,667.46	\$ 19,831.38
Oatridge Security Group Inc	\$ 3,542,102.00	\$ 3,780,863.50	\$ 238,761.50
OMA Construction Inc.	\$ 16,237,698.79	\$ 18,506,125.29	\$ 2,268,426.50
**Tan Trucking (LT to OMA)			
Osiris Enterprises LLC	\$ 220,683.50	\$ 231,512.50	\$ 10,829.00
Saez Consulting Engineers Inc. (dba SCE, Inc.)	\$ 167,212.40	\$ 169,241.15	\$ 2,028.75
Savage Logistics, LLC	\$ 555,363.00	\$ 623,549.50	\$ 68,186.50
Sundancer Electric Inc.	\$ 3,798,363.84	\$ 3,885,815.66	\$ 87,451.82
VENDORS			
Quality Discount Supplies & Services (John W. Quarles)	\$ 4,710.82	\$ 4,977.18	\$ 266.36
The Bag Lady	\$ 281,427.50	\$ 285,221.68	\$ 3,794.18
Wayron	\$ 2,579,919.86	\$ 2,659,838.44	\$ 79,918.58
LOWER TIER			
FD THOMAS (Non-DBE sub)			
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	\$ 819,370.21	\$ 873,397.07	\$ 54,026.86
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)			
Savage Logistics, LLC (LT to Lining Precast)	\$ 1,674,189.37	\$ 1,820,988.84	\$ 146,799.47
Savage Logistics, LLC (LT to Lining Precast)	\$ 9,816.08	\$ 11,866.48	\$ 2,050.40
HNTB (Non-DBE sub)			
CivilTech Engineering (LT to HNTB)	\$ 771,156.25	\$ 807,053.15	\$ 35,896.91
Integrated Design Engineers, LLC (LT to HNTB)	\$ 949,187.50	\$ 959,259.50	\$ 10,072.00
Saez Consulting Engineers Inc. (LT to HNTB)	\$ 379,909.36	\$ 383,799.36	\$ 3,890.00
Wakerobin (LT to HNTB)	\$ 77,718.00	\$ 89,180.00	\$ 11,462.00
Holocene Drilling Inc (Non-DBE sub)			
Yonemitsu Geological Services	\$ 83,437.56	\$ 96,397.56	\$ 12,960.00
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)			
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	\$ 628,176.43	\$ 628,754.60	\$ 578.17
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	\$ 4,148,128.32	\$ 4,184,544.91	\$ 36,416.59
JH KELLY - FISK ELECTRIC (Non-DBE sub)			
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) #0572A	\$ 5,021,007.00	\$ 5,021,920.34	\$ 913.34
			\$ 3,280,607.38

**Tan Trucking was historically reported and removed. This firm is being added back to the report.

**ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#44 Invoiced to Date	#44 Commitment	#43 Commitment	#44 - #43 Commitment Change	REMARKS
FIRST TIER										
Catherine Bassetti	Progress photography	V	3/5/2012	Active	100%	\$ 37,975.86	\$ 37,975.86	\$ 25,035.86	\$ 12,940.00	Equals Invoiced to date; Subconsultant Agreement (Not to Exceed \$50,000) in binder
DHD Trucking	Street Sweeping	S	1/10/2012	Active	100%	\$ 1,085,192.50	\$ 1,085,192.50	\$ 1,038,212.50	\$ 46,980.00	Total of all Purchase Orders, Change Orders, and Contract for street sweeping in STP files = \$1,391,070.69
EPC Consultants, Inc.	Tunnel Quality Assurance	V	7/8/2013		100%	\$ 895,570.00	\$ 895,570.00	\$ 838,208.00	\$ 57,362.00	Equals Invoiced to date total; Unit Price Service Agreements in STP file
Global Geophysics	Vibration Monitoring	V	5/21/2012	Active	100%	\$ 511,343.75	\$ 511,343.75	\$ 427,740.39	\$ 83,603.36	Equals Invoiced to date total; CO's 005 & 006 with Total Revised Agreement Amount = \$589,898.55
Greylock Consulting LLC	Environmental Consulting Services	V	10/1/2011	Active	100%	\$ 268,711.83	\$ 268,711.83	\$ 266,876.07	\$ 1,835.76	Equals Invoiced to date total; CO#012 Total Revised Agreement Amount Not to Exceed = \$296,381.58
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	4/30/2014	Active	100%	\$ 40,221.20	\$ 40,221.20	\$ 31,271.20	\$ 8,950.00	Consultant Agreement for hourly rates not to exceed \$50,000
Marshbank	King Street - Sewer Relocation	S	8/7/2012	Active	98%	\$ 1,279,562.53	\$ 1,304,577.48	\$ 1,339,049.47	\$ (34,471.99)	Total Contract and Change Orders in STP files (through CO#012), Total Revised Agreement Amount = \$1,339,049.47; CO#'s 013 through 015 (in binder #44), Total Revised Agreement Amount = \$1,304,127.48.
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	7/18/2012	Active	100%	\$ 178,466.02	\$ 178,466.02	\$ 169,618.08	\$ 8,847.94	Equals Invoiced to date total; PO & Subcontract (3038-01) through CO 003 Total Revised Agreement Amount = \$203,167.83
MindSeekers, LLC	Temporary Staffing	V	2/27/2013	Active	100%	\$ 231,667.46	\$ 231,667.46	\$ 211,836.08	\$ 19,831.38	Equals Invoiced to date total; CO 001 Total Revised Final Agreement Amount = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10/10/2011	Active	100%	\$ 3,780,863.50	\$ 3,780,863.50	\$ 3,542,102.00	\$ 238,761.50	Equals Invoiced to date total; Purchase Order Agreement
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	9/25/2012	Active	87%	\$ 16,105,693.39	\$ 18,506,125.29	\$ 16,237,698.79	\$ 2,268,426.50	CO 009 (Subcontract #3127-01) Total Revised Agreement Amount Not To Exceed = \$18,506,125.29
Osiris Enterprises LLC	Janitorial Services	V	1/12/2012	Active	100%	\$ 231,512.50	\$ 231,512.50	\$ 220,683.50	\$ 10,829.00	Equals Invoiced to date total; CO 008 (PO No 2399-DB10) Total Revised Final Agreement Amount = \$408,678.28 in binder
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	8/31/2012	Active	100%	\$ 169,241.15	\$ 169,241.15	\$ 167,212.40	\$ 2,028.75	CO# 006 (Subcontract #3016-01) Total Revised Agreement Amount = \$297,770.00 (for Utility Monitoring Program Coordination of Professional Services)
Savage Logistics, LLC	Freight	V	3/28/2013	Active	100%	\$ 623,549.50	\$ 623,549.50	\$ 555,363.00	\$ 68,186.50	Equals Invoiced to date total; CO#002 in binder (#41) - Total Revised Final Agreement Amount = \$1,000,000
Sundancer Electric Inc.	Tunnel Electrical	S	1/17/2013	Active	100%	\$ 3,885,815.66	\$ 3,885,815.66	\$ 3,798,363.84	\$ 87,451.82	Equals Invoiced to date total; CO 008 Total Revised Final Subcontract = \$4,538,343.92
VENDORS										
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 4,977.18	\$ 4,977.18	\$ 4,710.82	\$ 266.36	Total of all Purchase Orders in STP files = \$6,180.43
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 285,221.68	\$ 285,221.68	\$ 281,427.50	\$ 3,794.18	Total of all Purchase Orders in STP files = \$298,494.45
Wayron	Fabrication	M	1/9/2012	Active	100%	\$ 2,659,838.44	\$ 2,659,838.44	\$ 2,579,919.86	\$ 79,918.58	Total of all Purchase Orders and Change Orders for TBM struts and TBM junk ring channels in STP files = \$2,636,315.23
LOWER TIER										
FD THOMAS (Non-DBE sub)										
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	9/4/2013		100%	\$ 873,397.07	\$ 873,397.07	\$ 819,370.21	\$ 54,026.86	Equals Invoiced to date total; Copies of PO #'s 143-030 to 143-079 in binder; Total value PO's = \$916,328.19
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	7/23/2013		100%	\$ 1,820,988.84	\$ 1,820,988.84	\$ 1,674,189.37	\$ 146,799.47	Equals Invoiced to date total; Purchase Order #797; total of Purchase Order Agreement in STP file = \$3,994,286.00
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	11/20/2013		100%	\$ 11,866.48	\$ 11,866.48	\$ 9,816.08	\$ 2,050.40	Equals Invoiced to date total; Purchase Order #1279; total of Purchase Order Agreement in STP file = \$351,135.60
HNTB (Non-DBE sub)										
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	8/25/2011	Active	100%	\$ 807,053.16	\$ 807,053.16	\$ 771,156.25	\$ 35,896.91	Equals Invoiced to date total; New Total Not to exceed amount (Contract #46055) through Supplement No. 13 = \$536,873.50; New Total Not to exceed amount (Contract #51761) through Supplement No. 03 = \$416,300.00; Total Value = \$536,873.50 + \$416,300.00 = \$953,173.50 (NTE).
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	1/6/2012	Active	100%	\$ 959,259.50	\$ 959,259.50	\$ 949,187.50	\$ 10,072.00	Equals Invoiced to date total; Supplement No. 4 Revised Budget = \$998,854.00
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	5/1/2011	Active	100%	\$ 383,799.36	\$ 383,799.36	\$ 379,909.36	\$ 3,890.00	Equals Invoiced to date total; Supplement No. 14 Revised Budget = \$396,133
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	9/26/2011	1/14/2013	100%	\$ 89,180.00	\$ 89,180.00	\$ 77,718.00	\$ 11,462.00	Supplemental Agreement No. 2, dated 9/1/2014, Not to Exceed Amount = \$99,200.00
Holocene Drilling Inc (Non-DBE sub)										
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	7/20/2012	Active	100%	\$ 96,397.56	\$ 96,397.56	\$ 83,437.56	\$ 12,960.00	Subcontract Agreement (v_1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-14885)	S	5/1/2013	4/1/2015	71%	\$ 446,067.07	\$ 628,754.60	\$ 628,176.43	\$ 578.17	CO 005 (Subcontract #1488) New Total Subcontract Amount = \$628,754.60
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	8/1/2013	4/1/2015	28%	\$ 1,175,993.61	\$ 4,184,544.91	\$ 4,148,128.32	\$ 36,416.59	CO 005 (Subcontract #1533) New Total Subcontract Amount = \$4,148,128.32
JH KELLY - FISK ELECTRIC (Non-DBE sub)										
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	7/10/2013		62%	\$ 3,110,173.73	\$ 5,021,920.34	\$ 5,021,007.00	\$ 913.34	Total value of Purchase Order (PO801006-0572A) and Change Orders (thru #3) in STP file = \$5,021,007.00
						\$ 72,422,165.55	\$ 88,920,379.75	\$ 85,639,772.37	\$ 3,280,607.38	

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer	Subcontract Start Date	Subcontract End Date	% of work completed	#44 Invoiced to Date	#43 Invoiced to Date	#44 - #43 Invoiced (for Oct)	Invoiced for Oct 2014	Verified work done prior to Invoice Date	REMARKS
FIRST TIER											
Catherine Bassetti	Progress photography	V	3/5/2012	Active	100%	\$ 37,975.86	\$ 25,035.86	\$ 12,940.00	Inv #'s 180, 181	Yes	Invoices in binder
DHD Trucking	Street Sweeping	S	1/10/2012	Active	100%	\$ 1,085,192.50	\$ 1,038,212.50	\$ 46,980.00	Inv #'s 507, 510, 516, 519, 521	Yes	Invoices in binder
Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	V	4/11/2004	Active	19%	\$ 51,630.60	\$ 40,000.00	\$ 11,630.60	Inv # 02:051814	Yes	Invoice in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	7/8/2013		100%	\$ 895,570.00	\$ 838,208.00	\$ 57,362.00	Inv # STP7999017	Yes	Invoice in binder
Global Geophysics	Vibration Monitoring	V	5/21/2012	Active	100%	\$ 511,343.75	\$ 427,740.39	\$ 83,603.36	Inv #'s 0108326BB, 0183026AA, 101083027B, 101083027A	Yes	Invoices in binder
Greylock Consulting LLC	Environmental Consulting Services	V	10/1/2011	Active	100%	\$ 268,711.83	\$ 267,824.82	\$ 887.01	Inv # 724	Yes	Invoice in binder
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	7/18/2012	Active	100%	\$ 178,466.02	\$ 169,618.08	\$ 8,847.94	Inv #'s 51450, 51272, 51005, 50768, 50556	Yes	Invoices in binder
MindSeekers, LLC	Temporary Staffing	V	2/27/2013	Active	100%	\$ 231,667.46	\$ 211,836.08	\$ 19,831.38	Inv #'s 032969, 032970, 032971, 032968, 032975, 032976, 032977, 032978, 032985, 032984, 032983, 032982, 032989, 032990, 032991, 032993, 032988, 032992	Yes	Invoices in binder
Oatridge Security Group Inc	Security Guard Services	V	10/10/2011	Active	100%	\$ 3,780,863.50	\$ 3,542,102.00	\$ 238,761.50	Inv #'s 1866, 1870, 1871, 1884, 1885, 1886	Yes	Invoices in binder
Osiris Enterprises LLC	Janitorial Services	V	1/12/2012	Active	100%	\$ 231,512.50	\$ 220,683.50	\$ 10,829.00	Inv #02031	Yes	Invoice in binder
PRR, Inc.	Subconsultant - Public Information	V	2/22/2011	Active	87%	\$ 679,456.77	\$ 665,900.77	\$ 13,556.00	Inv #3659-44	Yes	Invoice in binder
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	8/31/2012	Active	100%	\$ 169,241.15	\$ 167,212.40	\$ 2,028.75	Inv #1064	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	3/28/2013	Active	100%	\$ 623,549.50	\$ 587,848.50	\$ 35,701.00	Inv #'s 42, 43, 44	Yes	Invoices in binder
Steelkorr	Furnish and install all structural steel	S	10/31/2013	Active	43%	\$ 3,286,388.82	\$ 2,454,530.43	\$ 831,858.39	Inv #'s 08, 09	Yes	Invoices in binder
Sundancer Electric Inc.	Tunnel Electrical	S	1/17/2013	Active	100%	\$ 3,885,815.66	\$ 3,798,363.84	\$ 87,451.82	Inv #'s 87, 88, 89, 90	Yes	Invoices in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	S	8/12/2014		100%	\$ 184,470.00	\$ 30,000.10	\$ 154,469.90	Inv #'s 02, 03	Yes	Invoices in binder
VENDORS											
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 4,977.18	\$ 4,710.82	\$ 266.36	Inv #04089	Yes	Invoice in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 285,221.68	\$ 281,427.50	\$ 3,794.18	Inv #'s 22276A, 22230A, 24092	Yes	Invoices in binder
Wayron	Fabrication	M	1/9/2012	Active	100%	\$ 2,659,838.44	\$ 2,579,919.86	\$ 79,918.58	Inv #09140063	Yes	Invoice in binder
LOWER TIER											
FD THOMAS (Non-DBE sub)											
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	9/4/2013		100%	\$ 873,397.07	\$ 819,370.21	\$ 54,026.86	Inv #'s 149568, 149569	Yes	Invoices in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	7/23/2013		100%	\$ 1,820,988.84	\$ 1,674,189.37	\$ 146,799.47	Inv #'s 14-0797- 36, 37, 41, 40, 39, 23, 26, 34, 35, 33, 42, 43, 44, 45, 46	Yes	Invoices in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	11/20/2013		100%	\$ 11,866.48	\$ 9,816.08	\$ 2,050.40	Inv #'s 14-1279- 10, 11	Yes	Invoices in binder
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	8/25/2011	Active	100%	\$ 807,053.16	\$ 771,156.25	\$ 35,896.91	Inv #'s 41075, 41106, 41091, 41092, 41076	Yes	Invoices in binder
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	1/6/2012	Active	100%	\$ 959,259.50	\$ 949,187.50	\$ 10,072.00	Inv #6676	Yes	Invoice in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	5/1/2011	Active	100%	\$ 383,799.36	\$ 379,909.36	\$ 3,890.00	Inv #'s 1045, 1070, 1071	Yes	Invoices in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	9/26/2011	1/14/2013	100%	\$ 89,180.00	\$ 77,718.00	\$ 11,462.00	Inv #'s 106202 - 1409a, 1409b	Yes	Invoices in binder
Holocene Drilling Inc (Non-DBE sub)											
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	7/20/2012	Active	100%	\$ 96,397.56	\$ 83,437.56	\$ 12,960.00	Inv #14-1924 Inv 3	Yes	Invoice in binder
JH KELLY (Non-DBE sub)											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	4/8/2013	12/29/2015	49%	\$ 267,862.50	\$ 236,612.50	\$ 31,250.00	Inv #'s 415271, 415401	Yes	Invoices in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-1488S)	S	5/1/2013	4/1/2015	71%	\$ 446,067.07	\$ 441,111.36	\$ 4,955.71	Inv #2013-13-09	Yes	Invoice in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	8/1/2013	4/1/2015	28%	\$ 1,175,993.61	\$ 629,809.80	\$ 546,183.81	Inv #'s 2013-14-10, 2013-14-11	Yes	Invoices in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	4/20/2013		81%	\$ 4,615,636.89	\$ 4,300,009.39	\$ 315,627.50	Inv # 55774, 55771, 55584	Yes	Invoice in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	7/10/2013		62%	\$ 3,110,173.73	\$ 3,104,541.40	\$ 5,632.33	Inv # 55774	Yes	Invoice in binder
						\$ 72,422,165.55	\$ 69,540,640.79	\$ 2,881,524.76			

**ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	#44 Total Payments this Report (Oct)	#44 Total Payments to Date	#43 Total Payments to Date	Compare Total Pymts to Date (#44 - #43)	Variance	REMARKS
FIRST TIER							
Catherine Bassetti	Progress photography	\$ 6,450.00	\$ 31,485.86	\$ 25,035.86	\$ 6,450.00	\$ -	Check # 8720 in binder
CivilTech Engineering	Design & Consulting	\$ 28,355.00	\$ 521,078.53	\$ 492,723.53	\$ 28,355.00	\$ -	Check # 8555 in binder
DHD Trucking	Street Sweeping	\$ 38,160.00	\$ 1,067,012.50	\$ 1,028,852.50	\$ 38,160.00	\$ -	Check #'s 8586, 8653, 8578, 8717, 8543 in binder
Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	\$ 11,049.07	\$ 49,049.07	\$ 38,000.00	\$ 11,049.07	\$ -	Check # 8570 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	\$ 57,362.00	\$ 838,208.00	\$ 780,846.00	\$ 57,362.00	\$ -	Check # 8651 in binder
Global Geophysics	Vibration Monitoring	\$ 83,603.36	\$ 511,343.75	\$ 427,740.39	\$ 83,603.36	\$ -	Check #'s 8588, 8551 in binder
Greylock Consulting LLC	Environmental Consulting Services	\$ 271.25	\$ 267,824.82	\$ 267,553.57	\$ 271.25	\$ -	Check # 8643 in binder
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	\$ 8,405.54	\$ 170,872.82	\$ 162,467.29	\$ 8,405.53	\$ 0.01	Check # 8789 in binder
MindSeekers, LLC	Temporary Staffing	\$ 19,299.82	\$ 220,094.46	\$ 200,794.64	\$ 19,299.82	\$ -	Check #'s 8522, 8632, 8774 in binder
Oatridge Security Group Inc	Security Guard Services	\$ 119,454.50	\$ 3,661,556.50	\$ 3,542,102.00	\$ 119,454.50	\$ -	Check # 8716 in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	\$238,283.00	\$ 16,105,693.39	\$ 15,867,410.39	\$ 238,283.00	\$ -	Check # 8655 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	\$ 25,019.25	\$ 572,456.53	\$ 547,437.28	\$ 25,019.25	\$ -	Check # 8549 in binder
Osiris Enterprises LLC	Janitorial Services	\$ 10,829.00	\$ 220,683.50	\$ 209,854.50	\$ 10,829.00	\$ -	Check # 8615 in binder
PRR, Inc.	Subconsultant - Public Information	\$ 13,556.00	\$ 665,900.77	\$ 652,344.77	\$ 13,556.00	\$ -	Check # 8533 in binder
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	\$ 2,028.75	\$ 169,241.15	\$ 167,212.40	\$ 2,028.75	\$ -	Check # 8721 in binder
Savage Logistics, LLC	Freight	\$ 21,356.50	\$ 599,200.00	\$ 577,843.50	\$ 21,356.50	\$ -	Check #'s 8560, 8724 in binder
Steelkorr	Furnish and install all structural steel	\$ 374,483.24	\$ 2,706,287.16	\$ 2,331,803.92	\$ 374,483.24	\$ -	Check # 8793 in binder
Sundancer Electric Inc.	Tunnel Electrical	\$ 103,387.53	\$ 3,861,128.42	\$ 3,757,740.89	\$ 103,387.53	\$ 0.00	Check #'s 8557, 8585, 8656, 8722 in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	\$ 109,211.59	\$ 139,211.69	\$ 30,000.10	\$ 109,211.59	\$ -	Check #'s 8713, 8641 in binder
VENDORS							
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	\$ 266.36	\$ 4,977.18	\$ 4,710.82	\$ 266.36	\$ (0.00)	Check # 8539 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	\$ 2,890.80	\$ 284,318.30	\$ 281,427.50	\$ 2,890.80	\$ 0.00	Check #'s 8751, 8687 in binder
FD THOMAS (Non-DBE sub)							
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	\$ 83,647.02	\$ 873,397.07	\$ 789,750.05	\$ 83,647.02	\$ -	Check #'s 127566, 126880 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)							
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	\$57,798.83	\$ 1,820,988.84	\$ 1,674,189.37	\$ 146,799.47	\$ (89,000.64)	Check #'s 100512, 100530, 100556, 100590, 100499, 100419 in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	\$ 1,025.20	\$ 11,866.48	\$ 9,816.08	\$ 2,050.40	\$ (1,025.20)	
HNTB (Non-DBE sub)							
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	\$ 15,371.25	\$ 770,246.02	\$ 754,354.87	\$ 15,891.15	\$ (519.90)	Check #'s 353512, 353570, 352027 in binder
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	\$ 9,568.40	\$ 948,661.98	\$ 939,093.58	\$ 9,568.40	\$ (0.00)	Check # 353728 in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	\$ 13,376.00	\$ 78,394.00	\$ 65,018.00	\$ 13,376.00	\$ -	Check #'s 353654, 353019 in binder
JH KELLY (Non-DBE sub)							
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling		\$ 213,761.88	\$ 197,920.63	\$ 15,841.25	\$ (15,841.25)	Check # 13466 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)							
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-1488S)	\$ 4,707.93	\$ 423,763.71	\$ 419,055.78	\$ 4,707.93	\$ 0.00	Check # 040762(15,809.60) in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	\$ 518,874.62	\$ 1,030,864.93	\$ 511,990.31	\$ 518,874.62	\$ -	Check # 040673(507,772.95) in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)							
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	\$ 1,468,709.78	\$ 4,300,009.39	\$ 2,831,299.61	\$ 1,468,709.78	\$ -	Check #'s 32253, 31020, 31329, 31995, 31671 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	\$ 163,161.77	\$ 3,089,648.60	\$ 2,926,486.83	\$ 163,161.77	\$ -	Check #'s 31995, 31671 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #43)	Electrical Supply #118390	\$ 6,296.25	\$ 6,296.25	\$ -	\$ 6,296.25	\$ -	Check # 32253 in binder
		\$ 3,696,178.19	\$ 70,617,578.73	\$ 66,815,013.56	\$ 3,802,565.17	\$ (106,386.98)	

**ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#44 Commitment	#44 Invoiced to Date	#44 Total Payments this Report (Oct)	#44 Total Payments to Date	#44 DBE Amount (as Amended)	#43 DBE Amount (as Amended)	#44 - #43 DBE Amount (as Amended)	(X:X) Pymt to Date <=#44 DBE Amt
FIRST TIER													
Catherine Bassetti	Progress photography	V	3/5/2012	Active	100%	\$ 37,975.86	\$ 37,975.86	\$ 6,450.00	\$ 31,485.86	\$ 30,894.36	\$ 24,444.36	\$ 6,450.00	Yes
CivilTech Engineering	Design & Consulting	V	7/31/2012	Active	100%	\$ 521,078.53	\$ 521,078.53	\$ 28,355.00	\$ 521,078.53	\$ 521,078.53	\$ 492,723.53	\$ 28,355.00	Yes
DHD Trucking	Street Sweeping	S	1/10/2012	Active	100%	\$ 1,085,192.50	\$ 1,085,192.50	\$ 38,160.00	\$ 1,067,012.50	\$ 1,067,012.50	\$ 1,028,852.50	\$ 38,160.00	Yes
EPC Consultants, Inc.	Tunnel Quality Assurance	V	7/8/2013		100%	\$ 895,570.00	\$ 895,570.00	\$ 57,362.00	\$ 838,208.00	\$ 803,068.00	\$ 745,706.00	\$ 57,362.00	Yes
Global Geophysics	Vibration Monitoring	V	5/21/2012	Active	100%	\$ 511,343.75	\$ 511,343.75	\$ 83,603.36	\$ 511,343.75	\$ 511,343.75	\$ 427,740.39	\$ 83,603.36	Yes
Greylock Consulting LLC	Environmental Consulting Services	V	10/1/2011	Active	100%	\$ 268,711.83	\$ 268,711.83	\$ 271.25	\$ 267,824.82	\$ 143,330.97	\$ 142,473.22	\$ 857.75	Yes
On-Site Environmental Inc. (LT to Greylock)	Environmental Analytical Testing	V	12/14/2011	Active	100%					\$ 69,475.75	\$ 69,299.75	\$ 176.00	Yes
JP Francis & Associates, Inc.	Mitigation building – plumbing and mechanical	S	7/24/2013	4/21/2014	100%	\$ 53,510.86	\$ 53,510.86		\$ 50,835.32	\$ 50,835.32	\$ 49,999.54	\$ 835.78	Yes
Marshbank	King Street - Sewer Relocation	S	8/7/2012	Active	98%	\$ 1,304,577.48	\$ 1,279,562.53		\$ 1,215,584.39	\$ 1,059,402.86	\$ 547,570.12	\$ 511,832.74	Yes
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	7/18/2012	Active	100%	\$ 178,466.02	\$ 178,466.02	\$ 8,405.54	\$ 170,872.82	\$ 170,872.82	\$ 162,467.29	\$ 8,405.53	Yes
MindSeekers, LLC	Temporary Staffing	V	2/27/2013	Active	100%	\$ 231,667.46	\$ 231,667.46	\$ 19,299.82	\$ 220,094.46	\$ 220,094.46	\$ 200,794.64	\$ 19,299.82	Yes
Oatridge Security Group Inc	Security Guard Services	V	10/10/2011	Active	100%	\$ 3,780,863.50	\$ 3,780,863.50	\$ 119,454.50	\$ 3,661,556.50	\$ 3,648,062.50	\$ 3,528,608.00	\$ 119,454.50	Yes
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	2/13/2012	Active	100%	\$ 572,456.53	\$ 572,456.53	\$ 25,019.25	\$ 572,456.53	\$ 452,249.90	\$ 424,599.40	\$ 27,650.50	Yes
Osiris Enterprises LLC	Janitorial Services	V	1/12/2012	Active	100%	\$ 231,512.50	\$ 231,512.50	\$ 10,829.00	\$ 220,683.50	\$ 119,739.96	\$ 113,783.96	\$ 5,956.00	Yes
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	4/6/2012	Active	100%					\$ 96,070.54	\$ 91,197.54	\$ 4,873.00	Yes
PRR, Inc.	Subconsultant - Public Information	V	2/22/2011	Active	87%	\$ 779,490.00	\$ 679,456.77	\$ 13,556.00	\$ 665,900.77	\$ 660,088.84	\$ 646,532.84	\$ 13,556.00	Yes
Saez Consulting Engineers Inc. (dba SCE, Inc.)	Utility Monitoring Professional Services	V	8/31/2012	Active	100%	\$ 169,241.15	\$ 169,241.15	\$ 2,028.75	\$ 169,241.15	\$ 169,241.15	\$ 167,212.40	\$ 2,028.75	Yes
Savage Logistics, LLC	Freight	V	3/28/2013	Active	100%	\$ 623,549.50	\$ 623,549.50	\$ 21,356.50	\$ 599,200.00	\$ 599,200.00	\$ 577,843.50	\$ 21,356.50	Yes
ST Fabrication/ Jabez	T-46 Reefer Racks	S	5/30/2012		100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52	\$ 1,162,632.72	\$ 276,420.80	Yes
VENDORS													
Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 4,977.18	\$ 4,977.18	\$ 266.36	\$ 4,977.18	\$ 2,986.31	\$ 2,826.49	\$ 159.82	Yes
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		86%	\$ 2,325,362.11	\$ 2,010,682.99		\$ 2,010,682.99	\$ 1,557,258.22	\$ 1,228,697.79	\$ 328,560.43	Yes
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 285,221.68	\$ 285,221.68	\$ 2,890.80	\$ 284,318.30	\$ 170,590.98	\$ 168,856.50	\$ 1,734.48	Yes
Wayron	Fabrication	M	1/9/2012	Active	100%	\$ 2,659,838.44	\$ 2,659,838.44	\$ 79,918.58	\$ 2,659,838.44	\$ 2,658,288.40	\$ 2,578,369.86	\$ 79,918.54	Yes
LOWER TIER													
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)													
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	7/23/2013		100%	\$ 1,820,988.84	\$ 1,820,988.84	\$57,798.83	\$ 1,820,988.84	\$ 1,820,988.84	\$ 1,674,189.37	\$ 146,799.47	Yes
HNTB (Non-DBE sub)													
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	8/25/2011	Active	100%	\$ 807,053.16	\$ 807,053.16	\$ 15,371.25	\$ 770,246.02	\$ 770,246.02	\$ 754,354.87	\$ 15,891.15	Yes
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	1/6/2012	Active	100%	\$ 959,259.50	\$ 959,259.50	\$ 9,568.40	\$ 948,661.98	\$ 948,661.98	\$ 939,093.58	\$ 9,568.40	Yes
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	9/26/2011	1/14/2013	100%	\$ 89,180.00	\$ 89,180.00	\$ 13,376.00	\$ 78,394.00	\$ 78,394.00	\$ 65,018.00	\$ 13,376.00	Yes
JH KELLY (Non-DBE sub)													
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	4/8/2013	12/29/2015	49%	\$ 550,000.00	\$ 267,862.50		\$ 213,761.88	\$ 168,873.89	\$ 156,357.30	\$ 12,516.59	Yes
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)													
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #1 - 2454-14885)	S	5/1/2013	4/1/2015	71%	\$ 628,754.60	\$ 446,067.07	\$ 4,707.93	\$ 423,763.71	\$ 423,763.71	\$ 394,291.14	\$ 29,472.57	Yes
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	8/1/2013	4/1/2015	28%	\$ 4,184,544.91	\$ 1,175,993.61	\$ 518,874.62	\$ 1,030,864.93	\$ 1,030,864.93	\$ 467,090.22	\$ 563,774.71	Yes
JH KELLY - FISK ELECTRIC (Non-DBE sub)													
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	4/20/2013		81%	\$ 5,688,963.90	\$ 4,615,636.89	\$ 1,468,709.78	\$ 4,300,009.39	\$ 2,580,005.63	\$ 1,698,779.77	\$ 881,225.87	Yes
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	7/10/2013		62%	\$ 5,021,920.34	\$ 3,110,173.73	\$ 163,161.77	\$ 3,089,648.60	\$ 1,853,789.16	\$ 1,755,892.10	\$ 97,897.06	Yes
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #43)	Electrical Supply #118390	R	9/15/2014		100%	\$ 6,296.25	\$ 6,296.25	\$ 6,296.25	\$ 6,296.25	\$ 3,777.75	\$ -	\$ 3,777.75	Yes
						\$ 88,920,379.75	\$ 72,422,165.55	\$ 3,696,178.19	\$ 70,617,578.73	\$ 49,007,510.04	\$ 45,596,203.17	\$ 3,411,306.87	

Exhibit B: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, October 2014

	Prime Contract/Upper Tier	Number of Journeyman	Number of Apprentices	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Total Hours Worked	Totals from Certified Payroll	Comments
Contractor/Subcontractor									
DHD Trucking	STP	2	0	Street Sweeper	386	0	386	378	
Mak's Dump Trucking	OMA	1	0	Truck Driver (Owner Operator)	139.75	0	139.75	138.75	
Marshbank	STP	5	0	Laborer	72	0	72	84.5	
Marshbank	STP	3	0	Operator	35	0	35	43	
OMA - Job 1133 South Portal	STP	15	0	Truck Driver	937.75	0	937.75	1099.75	
Ponglia Trucking	OMA	1	0	Truck Driver (Owner Operator)	148.75	0	148.75	162.75	
Savage Logistics	STP	1	0	Truck Driver	213.75	0	213.75	41	
SSB Trucking	OMA	2	0	Truck Driver (Owner Operator)	254.5	0	254.5	245.5	
Sundancer Electric	STP	5	0	Electrician	894	0	894	893	
Tan Trucking	OMA	1	0	Truck Driver (Owner Operator)	151.5	0	151.5	167.5	
Trinity Development	OMA	1	0	Truck Driver (Owner Operator)	131	0	131	134.5	
Washington State Trucking - FINAL	STP	7	0	Truck Driver	303.45	0	303.45	311.45	
Washington State Trucking - FINAL	STP	1	0	Truck Driver (Owner Operator)	8	0	8	8	
							0		
							0		
Total		45	0		3675.45	0	3675.45	3707.7	

Exhibit C: List of DBE Subcontracts

SR 99		Bored Tunnel Alternative DB							
STP		Fed Employer I.D. #27-3509698							
Sub Type	UBI	Contractor / Subcontractor	Contract Start Date	Date of Work Completion	Date of Last Submission	Retainage Released Date	Hiring Contractor	Notes	
C	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm		
E	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB		
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP		
E	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas		
E	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.		
C	601997791	Advanced Underground Utilities	9/16/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	600089108	Amaya Electric (CCIS-see Marles Corp)	7/29/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14	
C	602178781	BC Traffic	5/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm		
S	601554695	Bear Wood Windows	NA	NA	NA	NA	STP	Work won't start until 2015	
E	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB		
C	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13	
C	602978139	BEST CCLS Inc	10/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm		
V	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB		
C	602408510	C & E Transport	11/18/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
E	602919268	C2PM, Inc.	9/1/2011	NA	NA	None	STP	No Retention Held	
E	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB		
E	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP		
E	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB		
E	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP		
E	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCC		
E	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB		
E	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB		
C	602953513	DBE Electric, Inc.	2/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	602953513	DBE Electric, Inc.	12/3/2011	N/A - Firm is still active	9/12/2012	10/12/2012	FCC		
C	602677969	DHD Trucking LLC	1/10/2012	N/A - Firm is still active	Active	None	STP		
C	602258601	Duncan Asphalt	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB		
S	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014	
C	601404405	Garner Construction Inc	8/29/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	603081735	Global Concrete Cutting Inc	6/26/2012	11/3/2013	11/7/2013	see notes	Leajak	pending resolution of litigation	
C	603081735	Global Concrete Cutting Inc	10/31/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc		
C	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCC		
C	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP		
E	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602556355	Highway Specialities	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB		
C	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP		
S	601587500	J. Kaiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	602101301	JMR Trucking Inc	12/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
C	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP		
C	601919250	JP Francis & Assoc - 1488	5/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
C	601919250	JP Francis & Assoc - 1533	8/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
C	601919250	JP Francis & Assoc (plumbing)					BASF	9/30/14 - pending firm's response	
E	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP		
C	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation	
C	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation	
C	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs	
C	602568958	Lorache CAD-IT Services LLC	4/5/2012				STP	*Pending sub docs	
C	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	602671980	Mak's Trucking LLC	12/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
C	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/18/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	600412278	Marine Vacuum Service Inc (Mar-Vac)	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm		

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STP		Fed Employer I.D. #27-3509698							
Sub Type	UBI	Contractor / Subcontractor	Contract Start Date	Date of Work Completion	Date of Last Submission	Retainage Released Date	Hiring Contractor	Notes	
C	600412278	Marine Vacuum Service Inc (Mar-Vac)	6/26/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
C	601649423	Marshbank Const.	8/7/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP		
V	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184	
V	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk		
V	578062075	Mobile Electric Distributors Inc. - PO 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active			
C	602120171	Neppel Electric	8/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
E	603140359	Nolan Morrison Consulting	9/19/2011	NA	NA	None	STP	No Retention Held	
C	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc		
S	601643525	NVL Labs	12/12/2011	N/A - Firm is still active	Active	N/A - Firm is still active	AMEC		
C	601872128	OMA Const-	9/6/2012	N/A - Firm is still active	9/1/2014	9/10/2014	KPG Inc		
C	601872128	OMA Const- Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc		
C	601872128	OMA Const- Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
C	601872128	OMA Const- Trucking North Portal	9/25/2012	N/A - Firm is still active	Active	None	STP		
C	601872128	OMA Const- Trucking South Portal	9/25/2012	N/A - Firm is still active	Active	None	STP		
C	601872128	OMA Const- Utility Deposition	1/11/2013	N/A - Firm is still active	Active	None	STP		
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	601872128	OMA Construction	2/26/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt		
S	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock		
E	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB		
E	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB		
S	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing		
C	602492304	Ponglia Trucking Inc	1/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
C	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady		
C	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS		
C	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank		
C	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt		
E	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP		
C	602643779	Puget Sound Utilities	7/8/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14	
V	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCC		
C	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar		
S	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris		
E	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB		
E	602628354	SAEZ Consulting	4/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc		
E	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI		
C	602682121	Savage Logistics LLC	3/28/2013	N/A - Firm is still active	Active	None	STP		
C	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
C	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
C	602682121	Savage Logistics LLC - PO #778	7/28/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
C	602682121	Savage Logistics LLC - PO #797	6/26/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
C	602682121	Savage Logistics LLC - PO #804	6/14/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
E	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley		
E	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14	
E	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB		

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Sub Type	UBI	Contractor / Subcontractor	Contract Start Date	Date of Work Completion	Date of Last Submission	Retainage Released Date	Hiring Contractor	Notes	
C	601735583	Sea-Tac Sweeping Service	12/5/2011	N/A - Firm is still active	1/24/2012	None	STP		
C	602651177	SSB Trucking & Construction	6/26/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
C	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP		
C	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected	
C	603064398	Steelkorr	10/31/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	454778504	Sundancer Electric	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Marshbank		
C	454778504	Sundancer Electric	1/17/2013	N/A - Firm is still active	Active	None	STP		
C	454778504	Sundancer Electric	1/18/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
C	602785735	Sunset Welding	7/2/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation	
C	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
V	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
C	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCC		
C	603056507	Transcon Company LLC	2/11/2013	N/A - Firm is still active	2/11/2013	None	Fisk		
C	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm		
C	603056507	Transcon Company LLC	12/12/2013	N/A - Firm is still active	Active	None	STP		
C	602081190	Trinity Development Corp (TLC)	10/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
E	601767647	TripleNet Technologies Inc	1/9/2012	NA	NA	None	STP	No Retention Held	
C	601443883	United Professional Caulking & Restoration Inc (Unipro)	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB		
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
M	602240125	Wayron LLC	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc		
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	600279746	West Coast Printing	4/5/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
E	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB		
E	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling		