



WSDOT DISADVANTAGED BUSINESS ENTERPRISE PROGRAM:

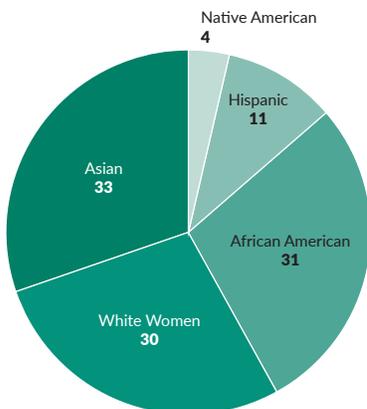
Quarterly progress report to FHWA, September 2016

The Washington State Department of Transportation (WSDOT) provides quarterly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) participation, pursuant to the Conciliation Agreement between FHWA and WSDOT, executed on March 20, 2014.

This quarterly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represents information verified through July 31, 2016; other activities noted reflect work performed through mid-September 2016.

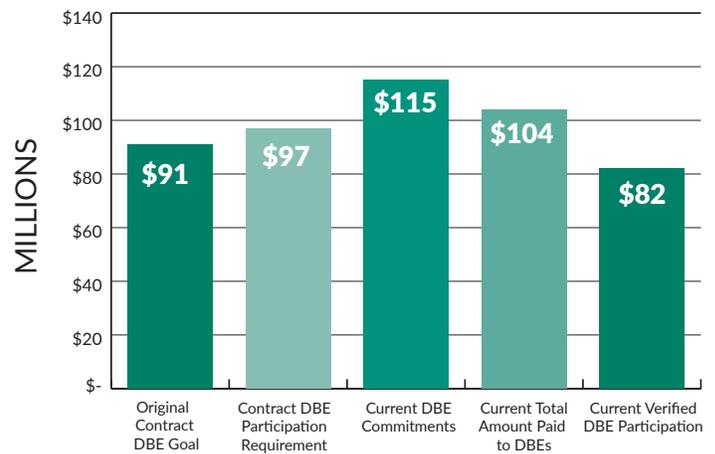
Improving Oversight, Expanding Opportunities:

WSDOT conducted an updated analysis of the race, ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:



PROGRESS AT A GLANCE

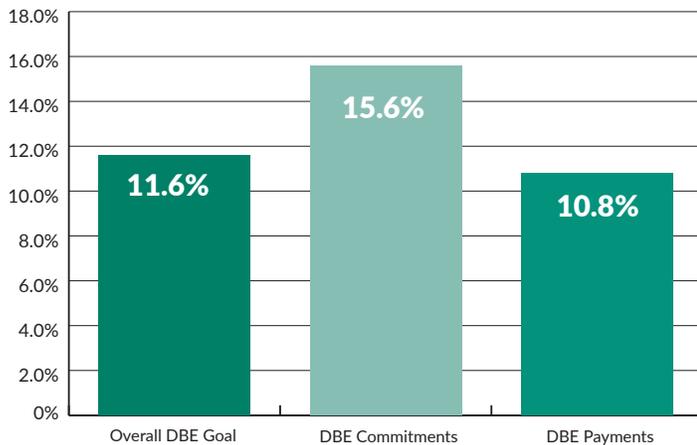
AWV Project-Specific Efforts



1. The Original Contract DBE Goal was established when the project was awarded.
2. The Contract DBE Participation Requirement is a condition of Change Order 91.
3. The Current DBE Commitments illustrate the total value of contracts awarded to DBEs.
4. The Current Amount Paid to DBEs is the total amount paid as of July 31, 2016.
5. The Current Verified DBE Participation demonstrates payments that have been audited following rigorous verification procedures (reviewing cancelled checks, verifying firms are certified for the work they are performing, federal counting rules, etc.) to ensure payments can count toward the Contract DBE Participation Requirement.

Agency Progress

Thus far for Federal Fiscal Year (FFY) 2016 (October 1, 2015 through August 31, 2016), WSDOT (Local Programs, Consultant Services and HQ Construction) have attained 15.6 percent in DBE commitments (condition of award goals 12.87 percent; voluntary participation 2.75 percent) and 10.8 percent in payments on closed contracts.



Seattle Tunnel Partners (STP) Efforts to Achieve DBE Contract Goal

This report constitutes the September 2016 FHWA Quarterly DBE Progress Report for the SR 99 Bored Tunnel Design-Build contract. This section summarizes DBE progress on the SR 99 Tunnel contract for the period of May 1, 2016 to July 31, 2016. A detailed summary of changes between Seattle Tunnel Partners (STP) DBE Progress Report #62 and Progress Report #65 may be found in Exhibit A.

STP's monthly DBE Progress Reports #63, #64 and #65 were verified following the monthly verification procedures approved by FHWA. WSDOT's verification of STP's DBE Progress Report #65 may be found in Exhibit B. Exhibit B is being provided for comparative purposes with STP DBE Progress Report #62, which was previously submitted to FHWA under the June 2016 FHWA DBE Quarterly Progress Report.

A summary of validated DBE participation to date may be found on the graph in Exhibit C.

- Exhibit C indicates overall validated participation to date, both prior to and after the October 31, 2013 FHWA Title VI Investigative Report.
- Exhibit C indicates that, at \$82.4 million, STP has achieved their DBE participation goal to the DBE revenue curve.
- Exhibit C also indicates how the design-build contractor is progressing toward the eight percent DBE Goal of \$96.9 million.
 - The eight percent DBE goal has surpassed the \$96 million DBE participation requirement, as established by Contract Change Order #91, which was executed in conjunction with the WSDOT/FHWA Conciliation Agreement.
 - Change Order #91 did not eliminate the eight percent DBE goal. Thus, in addition to attaining the \$96 million, STP must also attain the eight percent DBE goal, or show a good faith effort to do so.
 - The graph indicates that in addition to catching up to the DBE revenue forecast curve, STP appears to be tracking toward attainment of the eight percent DBE goal.

A summary of progress since submittal of the first STP DBE Progress Report (#39) to FHWA in July 2014, is provided in the table, below:

Table: Post Conciliation Agreement Progress

Summary	PR #39, thru 5/30/2014	PR #65, thru 7/31/2016	Progress
Contract DBE Participation Requirement	\$96,000,000	\$96,903,280	N/A
Current DBE Commitments (Awards)	\$77,447,226	\$115,219,438	\$37,772,212
Current Total Amount Paid to DBEs	\$55,050,570	\$103,579,817	\$48,529,247
Current DBE Participation	\$32,036,156	\$82,428,376	\$50,392,220
Number of DBE Contracts	133	206	73
Number of DBE Firms	86	109	23

◦ **DBE Subcontracting Oversight Committee.**

Between May 1, 2016 and July 31, 2016, STP continued to report on procurement efforts at the committee meetings.

◦ On July 29, 2016, STP submitted their quarterly DBE Action Plan documenting their approach to DBE goal attainment on the project. The plan provided anticipated upcoming contracting opportunities where STP would work to maximize DBE participation. Remaining contracting opportunities are separated by scope, estimated dollar value and a timeframe for when the work is anticipated to be available.

◦ During the period of May to July 2016, STP discussed upcoming bids with WSDOT, the Washington State Office of Minority and Women's Business Enterprises (OMWBE) and the independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings, STP provided additional details, requested feedback from participants and incorporated suggestions.

◦ Between May and July, STP continued discussions regarding Request for Proposals (RFP) for one Wall Mounts and two Demolition of Top 5' of Access Shaft.

- OMA Construction was determined to be the responsive bidder on both RFPs.
- The bid scoring matrices were circulated among the STP executives for approval.

◦ During the month of July, STP sent out an RFP for the South Access drainage.

◦ **STP DBE communications.** STP's DBE Progress Report #62, submitted with the June 2016 FHWA DBE Report, documented outreach through June 2016. STP's DBE Progress Report #65 for July 2016 reports the following outreach events (See Exhibit B, Attachment #1):

◦ On July 7, 2016, STP attended the National Association of Minority Contractors of Washington (NAMC) meeting. STP provided project updates and information on upcoming opportunities.

◦ On July 15, 2016, STP attended the Washington Construction Design & Entrepreneurs (CDE) meeting and networked with minority- and women-owned businesses.

◦ On July 30, 2016, STP attended the Tabor 100 General Membership meeting and provided project updates and information on upcoming opportunities.

◦ On August 4, 2016, STP attended the NAMC meeting. STP provided project updates and information on upcoming opportunities.

◦ On August 19, 2016, STP attended the Washington CDE meeting and networked with minority- and women-owned businesses.

◦ On August 27, 2016, STP attended the Tabor 100 General Membership meeting and provided project updates and information on upcoming opportunities.

◦ On September 1, 2016, STP attended the NAMC meeting. STP provided project updates and information on upcoming opportunities.

◦ September 15, 2016, STP attended the Washington CDE meeting and networked with minority- and women-owned businesses.

◦ On September 24, 2016, STP attended the Tabor 100 General Membership meeting. STP provided project updates and information on upcoming opportunities.

◦ On September 30, 2016, STP attended the Tabor 100 Annual Gala. STP sponsored a table for the event with STP management present to network with minority- and women-owned firms attending the event.

- **WSDOT DBE outreach.** Outreach activities performed by the WSDOT AWV HQ DBE Administrator for the AWV Replacement Program, June 1, 2016, through August 31, 2016, included:
 - On June 25, 2016, the AWV HQ DBE Program Administrator attended the Tabor 100 General Membership meeting to provide project updates and information on upcoming opportunities.
 - On July 7, 2016, the AWV HQ DBE Program Administrator attended the NAMC's General Membership meeting.
 - On July 30, 2016, the AWV HQ DBE Program Administrator attended the Tabor 100 General Membership meeting. The AWV HQ DBE Program Administrator along with STP staff co-presented a detailed overview of the last year's progress on the AWV project that included:
 - DBE Dollar Goal Attainment
 - Dollar Breakdown by Ethnicity
 - Certified Firms vs Project Utilization of Certified Firms
 - Support Services Availability
 - Future AWV Project Opportunities
 - On August 27, the AWV HQ DBE Program Administrator attended the Tabor 100 General Membership meeting and met with multiple DBEs.
 - During the reporting period, the AWV HQ DBE Program Administrator spoke with multiple trucking firms regarding possible opportunities on the project.
 - During this reporting period the AWV HQ DBE Program Administrator assisted:
 - Two DBE firms with bonding assistance and/or waiver options.
 - One DBE firm regarding adding other North American Industrial Classification System codes.
 - The AWV HQ DBE Program Administrator provided assistance to multiple firms through in-person or tele-conference sessions regarding possible contracting opportunities on the AWV project, including:
 - Signage firm
 - Tunnel emergency ventilation firm
 - Occupational safety firm
 - Site clean-up firm regarding a site clean-up contract opportunity
 - Utilities contractor regarding a water relocation contact opportunity
 - Constructing auditing firm
 - IT services firm
 - The AWV HQ DBE Program Administrator sent out communications to all active DBE firms on the AWV project outlining the availability of Support Service offered by WSDOT.
 - The AWV HQ DBE Program Administrator referred DBEs to DBE Support Services.
 - The AWV HQ DBE Program Administrator provided guidance to one DBE firm on contract close out and release of retainage.
- Certification of Monetary Commitments**
- Verified DBE participation. AWV project staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$115.2 million in STP claimed commitments and \$82.4 million in claimed participation reported by STP in Progress Report #65. (See Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #65) This process was also followed to validate STP Progress Reports #63 and #64.
 - **Work site monitoring.** During the period of May 2016 through July 2016, the following activities occurred:

- There were five on-site reviews performed; zero in May, five in June, and zero in July. The five on-site reviews performed in June were with professional service providers. There were no construction on-site reviews performed during this time because all active DBE subcontracting firms had their annual on-site reviews completed for the year 2016. As new DBEs come onto the project or pre-existing DBE subcontractors return, additional on-site reviews will be completed.

- The DBE labor hours were reported as follows:

	May	June	July
Total Hours Reported:	6,550	6,040.50	4,027.75

Total Hours Certified Payroll: 6,677 6,123.75 3,755.75

Discrepancies between Total Hours Reported and Certified Payrolls can be attributed to differences in reporting periods by the contractor(s) not submitting paperwork in a timely fashion. Thus, STP will continue to work with their subcontractors to ensure labor hours are reported in a timely and efficient manner.

- Monetary commitments.** A comparison of STP Progress Report #62, as reported in the June 2016 FHWA DBE Report, and Progress Report #65 (see Exhibit A: Attachment #1) is as follows:

Summary	PR #62	PR #65	Progress
Contract DBE Participation Requirement	\$96,000,000.00	\$96,903,280.09	N/A
Current DBE Commitments	\$111,573,793.48	\$115,219,437.79	\$6,771,293.43
Current Total Amount Paid to DBEs	\$98,547,422.78	\$103,579,817.33	\$4,018,034.73
Current DBE Participation	\$76,634,591.47	\$82,428,375.92	\$2,217,379.70
# of DBE Subcontractors	108	109	1
# of DBE Subcontracts	200	206	6

Utilization, Prompt Payment and Retainage

- STP Progress Report #65 indicates that the number of DBE subcontractors working on the project totals 109 DBE firms, which is one more than the 108 DBE firms reported in Progress Report #62. This additional DBE firm was added under DBE Progress Report #63.
- The total number of subcontracts increased from 200 reported in Report #62 to 206 reported in Report #63. There were no new subcontracts added under DBE Progress Report #64 or #65.
- Comparing Report #62 to Report #65 resulted in the following changes:
 - 43 Commitment changes totaling a \$3,645,644.31 increase (Exhibit A: Attachment #2)
 - 47 Invoiced to Date changes totaling a \$5,666,846.69 increase (Exhibit A: Attachment #3)
 - 45 Payments to Date changes totaling a \$5,032,394.55 increase (Exhibit A: Attachment #4)
 - 51 DBE Amount (as amended) changes totaling a \$5,793,784.45 increase (Exhibit A: Attachment #5)
- The status of contracts and associated retainage may be found in Exhibit B: Attachment #6: List of DBE Subcontracts.

Monitoring and Investigation of Complaints Regarding DBE Participation

- Prompt payment issue update.** During the reporting period of May 1, 2016 through July 31, 2016, the following prompt payment issues were resolved:
 - Janitorial service firm, 2nd tier, prompt payment concern was resolved by the beginning of May.
 - Electrical subcontractor, 3rd tier, prompt payment concern was resolved in June and no new complaints received.
 - Mechanical subcontractor, 5th tier, requested to be released from remainder of the firm's contract

and is working with his upper-tier prime to close out the contract. Issues regarding the contract closure remain, therefore WSDOT is continuing to investigate this issue.

- Surveying services, 3rd tier, prompt payment issues resolved and working with upper tiers to ensure prompt pay issues are avoided going forward.
- WSDOT is actively investigating the Title VI complaints that were filed with FHWA regarding prompt payment. We are diligently interviewing staff and working to expedite the resolution of these complaints. WSDOT remains committed to continuing the enhancement of the DBE program.
- **Project separation**
 - A DBE window supplier, who had not begun work, requested to be separated from the AWV project. The AWV HQ DBE Program Administrator has investigated the issue, communicated with the DBE and determined that project delay and the firm's other commercial pursuits were the basis for the request.
- **Support Services.**
 - The AWV HQ DBE Program Administrator continued to refer DBEs to the Support Services program during this reporting period. Besides the previously mentioned communication regarding Support Services, one mechanical DBE was referred to DBE Support Services for contract administration and close out.

WSDOT DBE PROGRAM LEVEL PROGRESS

DBE Monitoring Processes and Procedures

- As of September 13, 2016, WSDOT OEO is currently investigating two agency prompt payment complaints unrelated to AWV. The first is pending an additional information request and the second is near completion with interviews and documentation

review completed. OEO and project staff are working to resolve the second prompt payment investigation.

- On September 1, 2016, the Secretary of Transportation issued a letter to the Washington highway contracting community making them aware of WSDOT increasing contractual remedies for DBE program violations. This letter is included as Exhibit D.
- WSDOT OEO is working with HQ Construction, Local Programs, Consulting Services and the Information Technology Division to request a Sole Source Procurement for B2GNow: a diversity tracking software system which is fully compliant with 49 Code of Federal Regulation Part 26 and monitors multi-tiered subcontractor payment and utilization. A system of this nature will assist WSDOT in being proactive with monitoring prompt payment.
- In April 2016, WSDOT completed the procurement for a new DBE Program Disparity Study. As of September 15, 2016, the consultant, Colette Holt & Associates, has completed the internal data collection and has begun collecting data from local agencies and other external customers. The consultant will begin stakeholder focus groups and business owner focus groups starting in October 2016.
- In 2014, WSDOT OEO had 16 employees, three of which were DBE Program Compliance staff and one DBE Support Services staff, see Exhibit E: Previous Organization Chart. In 2016, OEO has 22 employees, four DBE Compliance staff, and two Outreach and Support Services staff, see Exhibit F: Current Organization Chart. The Secretary of Transportation has authorized the Director of OEO to fill an additional 15 positions to support WSDOT's Civil Rights Programs' regional efforts. These positions will be located in the regions to assist project staff with DBE, Title VI, Environmental

Justice and other External Civil Rights Program activities, see Exhibit G: Future Organizational Chart. WSDOT has received approval for all 15 new positions, however, where they are on the Organization Chart may change depending on WSDOT OEO needs.

- WSDOT OEO, HQ Construction and Washington Division FHWA have been working together to develop comprehensive design-build DBE contract language. The most recent correspondence from FHWA noted they would like to meet in September 2016 to review all documents relative to design-build using the most recently approved specification as a foundation to build the rest of the document.

DBE Support Services Update

- On November 9, 2016, Sound Transit, the Port of Seattle and WSDOT are hosting the seventh annual Business After Hours Event. This event is for small-, veteran-, minority- and women-owned consulting and construction firms to network and establish meaningful relationships with prime contractors, consultants and agency staff. The event includes an awards ceremony and networking opportunities with prime contractors and consultants. The Business After Hours flyer is included as Exhibit H.
- On October 11, 2016, WSDOT is hosting a Speed Networking Event designed to help subcontractors create new relationships with prime contractors. This event is being held free of charge to DBE contractors and is occurring in the Northwest Region. The Speed Networking Event flyer is included as Exhibit I.
- In September 2016, WSDOT OEO published a DBE Newsletter, attached as Exhibit J.

Training

- On July 25, 2016, WSDOT's Senior Managers attended a half-day training titled, Cultural Competence: Understanding Racial Privilege.

State Program

WSDOT implemented a voluntary Minority, Small, Veteran and Women's Business Enterprise Program in February 2016 while awaiting a formal opinion from the Attorney General's Office (AGO) regarding whether it is legally permissible for a state agency to establish enforceable race-conscious and gender-conscious contract goals. Supporting documentation takes the form of a disparity study that shows socially and economically disadvantaged groups are experiencing the effects of discrimination in markets relevant to public contracting. The supporting laws are the Equal Protection Clauses of the U.S. and Washington Constitution, Title VI of the federal Civil Rights Act, as well as Washington's laws against discrimination, including Revised Code of Washington (RCW) 49.60.400 (otherwise known as I-200). Until such time as the AGO issues the formal opinion, WSDOT is considering a race-neutral version of the M/S/V/WBE Program, the Small and Veteran's Business Enforceable Goals Program. This proposal is included as Exhibit K.

Outreach & Support Services

In July 2016, WSDOT OEO restructured the DBE Advisory Group to allow for smaller focus groups (DBEs, agencies and primes) that will meet on a rotational basis to discuss issues that are relevant to their specific group of colleagues. Smaller focus groups not only allow for increased productivity and outcomes, but also create an environment for more candid conversations. Once a quarter, the entire group meets to discuss shared concerns and to brainstorm potential issue resolution. The following are some additional improvements made to the DBE Advisory Group:

- Historically, only individuals representing organizations were appointed to the DBE Advisory Group. To increase the number of DBE firms able to participate and to gather as many perspectives as possible, this requirement has been removed.

- WSDOT is working with the DBE Advisory Group to develop a Barrier Work Plan. This work plan will identify barriers caused by the agency's business practices, legislation, prime contractors and DBEs. This work plan may be published if the potential solutions to the barriers require changes to legislation.

EXHIBITS:

- EXHIBIT A:** Summary of DBE Progress Changes between STP DBE Progress Report #62 and #65.
Attachment #1, Summary of Comparison between DBE Progress Report #62 and #65
Attachment #2, Comparison of DBE Progress Report #62 and #65 DBE Commitment Validation
Attachment #3, Comparison of DBE Progress Report #62 and #65 DBE Invoice to Date Validation
Attachment #4, Comparison of DBE Progress Report #62 and #65 DBE Total Payments Validation
Attachment #5, Comparison of DBE Progress Report #62 and #65 DBE Participation Validation
- EXHIBIT B** Progress Report #65
Attachment #1, STP DBE Progress Report No. 65, July 2016
Attachment #2, DBE Commitment Validation
Attachment #3, DBE Invoice to Date Validation

- Attachment #4, DBE Total Payments Validation
Attachment #5, DBE Amount (as amended) Validation
Attachment #6, Status of DBE Subcontracts
Attachment #7, DBE Certified Payrolls Hours Verification, July 2016
- EXHIBIT C** Summary Graph of Verified DBE Participation to Project DBE Revenue Curve
- EXHIBIT D:** Letter from Secretary Millar to Regarding DBE Program
- EXHIBIT E:** Previous Organization Chart
- EXHIBIT F:** Current Organization Chart
- EXHIBIT G:** Future Organizational Chart
- EXHIBIT H:** Business After Hours Flyer
- EXHIBIT I:** Speed Networking Flyer
- EXHIBIT J:** September DBE Newsletter
- EXHIBIT K:** Small and Veteran's Business Enforceable Goals Program Proposal

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

16-09-0386

Exhibit A

SR 99 Bored Tunnel Design-Build Contract

Summary of DBE Progress Changes- STP DBE Progress Report #62 vs #65

EXHIBIT A: ATTACHMENT # 1
SUMMARY OF DBE PROGRESS REPORT VALIDATIONS- PROGRESS REPORT #62 VS #59

	DBE Progress Report		
	2/1/2016- 4/30/2016	5/1/2016- 7/31/2016	
Progress to Date	#62	#65	Delta
Commitments	\$ 111,573,793.48	\$ 115,219,437.79	\$ 3,645,644.31
Invoiced to Date	\$ 100,113,407.00	\$ 105,780,253.69	\$ 5,666,846.69
Payments to Date	\$ 98,547,422.78	\$ 103,579,817.33	\$ 5,032,394.55
DBE Participation	\$ 76,634,591.47	\$ 82,428,375.92	\$ 5,793,784.45
# of DBE Contractors	108	109	1
# DBE Subcontracts	200	206	6
On-Site Reviews	14		N/A

DBE Progress Report	STP Reported Hours	Certified Payroll Hours	Delta
#63	6,550.00	6,677.00	127.00
#64	6,040.50	6,123.75	83.25
#65	4,027.75	3,755.75	(272.00)
TOTAL	16,618.25	16,556.50	(61.75)

**EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#62 Commitment	#65 - #62 Commitment Change	#65 Invoiced to Date	REMARKS
	FIRST TIER										
1	Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 122,645.14	\$ 110,130.86	\$ 12,514.28	\$ 122,645.14	Equals Invoiced to date; Total of Subconsultant Agreement #1 (thru CO #3), and Subconsultant Agreement #2 (thru CO #4) Total Revised NTE Agreement Amount = \$130,095.14
2	CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	100%	\$ 588,688.53	\$ 579,316.03	\$ 9,372.50	\$ 588,688.53	Equals Invoiced to Date total; Subcontract (3154-01) through CO #48 Total Revised Agreement Amount = \$584,006.52
3	DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,302,058.34	\$ 28,535.04	\$ 1,330,593.38	Subcontract Agreement thru CO #8 = \$1,379,545.69
4	Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	V	11-Apr-2014	Active		\$ 62,109.00	\$ 51,630.60	\$ 10,478.40	\$ 62,109.60	Equals Invoiced to Date total
5	EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		97%	\$ 1,926,458.37	\$ 1,870,724.00	\$ 55,734.37	\$ 1,926,458.37	Equals Invoiced to Date total; Unit Price Service Agreements in STP file; (NTE) amount = \$2,000,000
6	Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,272,881.87	\$ 1,021,425.29	\$ 251,456.58	\$ 1,272,881.87	Equals Invoiced to Date total; Total Subcontract (through CO #11) Total Revised Agreement Amount = \$1,614,699.86
7	Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 292,093.66	\$ 2,585.43	\$ 294,679.09	Equals Invoiced to Date total; Revised Subconsultant Agreement through CO #013 = \$296,381.58 (NTE)
8	J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 167,821.20	\$ 26,000.00	\$ 193,821.20	Equals Invoiced to Date total; Subconsultant Agreement (5050-01) through CO #3 Total Revised Subcontract Amount (NTE) = \$200,571.20
9	Marshbank	Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 1,968,580.87	\$ 1,869,208.64	\$ 99,372.23	\$ 1,958,627.44	Equals Invoiced to Date total; Contract and Change Orders thru CO#25 Total Revised Agreement Amount = \$1,968,580.87
10	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 190,637.86	\$ 179,164.36	\$ 11,473.50	\$ 190,637.86	Equals Invoiced to date total; PO & Subcontract (3038-01) through CO 003 Total Revised Agreement Amount = \$218,968.08
11	Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 63,020.00	\$ 52,060.00	\$ 10,960.00	\$ 63,020.00	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$93,598.40
12	Michaelis Trucking & Excavating	Trucking Roster Rotation	V	30-Nov-2015			\$ 10,317.50		\$ 10,317.50	\$ 10,317.50	Subcontract Agreement (thru CO #3) = \$10,317.50
13	MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 446,376.89	\$ 35,347.52	\$ 481,724.41	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$931,260.00
14	Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,997,825.50	\$ 6,355,678.50	\$ 642,147.00	\$ 6,997,825.50	Equals Invoiced to Date total; Subcontract (#1977-01) through CO #007 = \$7,679,199.75
15	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 19,608,119.77	\$ 18,532,987.93	\$ 1,075,131.84	\$ 19,596,944.97	CO 013 (Subcontract #3127-01) Total Revised Agreement Amount Not To Exceed = \$19,066,662.85
16	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 964,799.81	\$ 70,031.08	\$ 1,034,830.89	Equals Invoiced to Date total; Contract and Change Orders in STP files (through #13) = \$1,291,150 (Total Not to Exceed - Unit Price Agreement)
17	Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 448,092.50	\$ 426,434.50	\$ 21,658.00	\$ 448,092.50	Equals Invoiced to Date total; (PO No 2399-DB10) Agreement through CO #009 = \$506,146.12
18	PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 924,832.49	\$ 40,668.00	\$ 965,500.49	Equals Invoiced to Date total; through CO #005 Total Revised Agreement Amount = \$884,170
19	Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,048,178.50	\$ 59,327.00	\$ 1,107,505.50	Equals Invoiced to Date total; Subcontract Agreement through CO #003 = \$1,250,000
	VENDORS										
20	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 450,331.78	\$ 432,742.06	\$ 17,589.72	\$ 450,331.78	Equals Invoiced to Date total; Purchase Order Agreement; Total PO's in STP files = \$539,675.54
21	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,171.60	\$ 67,581.96	\$ 589.64	\$ 68,171.60	Equals Invoiced to Date total; Purchase Order Agreement; Total PO's and CO's (through CO #002) = \$69,347.64
22	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 423,251.76	\$ 332,446.38	\$ 90,805.38	\$ 423,251.76	Equals Invoiced to Date total; Total of Purchase Orders in STP Files = \$433,823.12
23	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 7,826.69	\$ 6,449.29	\$ 1,377.40	\$ 7,826.69	Equals Invoiced to Date total; Total of all Purchase Orders in STP files = \$11,180.43
24	The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 295,276.24	\$ 294,733.72	\$ 542.52	\$ 295,276.24	Equals Invoiced to Date total; Total of all Purchase Orders in STP files = \$348,494.45
25	United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 15,594.35	\$ 11,402.07	\$ 4,192.28	\$ 15,594.35	Equals Invoiced to Date total; Total of Subcontract and Purchase Orders = \$27,375

**EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor *(LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#62 Commitment	#65 - #62 Commitment Change	#65 Invoiced to Date	REMARKS
	LOWER TIER										
	BASF - KTI Logistics (Non-DBE sub)										
26	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 24,669.00	\$ 17,649.00	\$ 7,020.00	\$ 24,669.00	Rate Agreement for freight; Total Value = \$24,669
	CBE Strategic (Non-DBE sub)										
27	LK Media (LT to CBE Strategic)	Consulting Services	V	1-Feb-2016	Active		\$ 6,184.00	\$ 1,955.00	\$ 4,229.00	\$ 6,184.00	Agreement for hourly rate
	CROWN CORR (Non-DBE sub)										
28	Birch Equipment (LT to Crown Corr)	Rental Equipment - Boom, Scissorlift	V	23-Nov-2015		0%	\$ 65,254.25	\$ 56,155.15	\$ 9,099.10	\$ 65,254.25	Purchase Order (thru CO #4) = \$67,000.00
29	Red Arrow Logistics (LT to Crown Corr)	Freight	V	1-Oct-2015		24%	\$ 91,796.70	\$ 85,224.20	\$ 6,572.50	\$ 91,796.70	Purchase Order (thru CO #1) = \$91,824.00
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
30	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 2,796,007.07	\$ 2,223,164.07	\$ 572,843.00	\$ 2,796,007.07	Equals Invoiced to Date total; Purchase Order #797; New Total Contract amount through CO #20 = \$5,233,280.34
	HNTB (Non-DBE sub)										
31	Oneill Service Group (Added on #50)	Matirals Approval Engineer	V	30-Apr-2015		80%	\$ 168,905.25	\$ 127,181.25	\$ 41,724.00	\$ 168,905.25	Equals Invoiced to Date total; Subcontract (thru CO #4) = \$320,000
32	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 430,543.61	\$ 415,834.36	\$ 14,709.25	\$ 430,543.61	Equals Invoiced to Date total; Subconsultant Agmt #1 (thru CO #18) and Subcontract Agmt #2 = \$440,727
	JH KELLY (Non-DBE sub)										
33	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 990,000.00	\$ 342,000.00	\$ 604,258.50	Subcontract (thru CO #1) = \$990,000.00
	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
34	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 2,784,206.72	\$ 3,717,236.52	\$ (933,029.80)	\$ 2,784,206.72	Equals Invoiced to Date total; Subcontract #1533 through CO #14 = \$3,717,236.52
	JH KELLY - FISK ELECTRIC (Non-DBE sub)										
35	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,714,426.55	\$ 5,700,708.55	\$ 13,718.00	\$ 5,682,575.00	Total value of Purchase Order (thru CO #15) in STP file = \$5,700,708.55
36	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,363,965.42	\$ 5,295,186.19	\$ 68,779.23	\$ 5,165,557.99	Total value of Purchase Order (PO801006-0572A) and Change Orders (thru #15) = \$5,363,965.42
37	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	R	9-May-2016			\$ 2,760.00		\$ 2,760.00	\$ 2,760.00	Purchase Order = \$2,760.00
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	R	12-May-2016			\$ 2,760.00		\$ 2,760.00	\$ 2,760.00	Purchase Order = \$2,760.00
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #297235	R	24-May-2016			\$ 3,074.88		\$ 3,074.88	\$ 3,074.88	Purchase Order (thru Revision #1) = \$3,074.88
	JH KELLY - SICE (Non-DBE sub)										
40	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,791,067.39	\$ 2,758,729.43	\$ 32,337.96	\$ 208,678.65	Subcontract = \$270,800 (NTE)
	LONG PAINTING (Non-DBE sub)										
41	Fryer Knowles (LT to Long Painting) (Added #55)	Painting	Need	10-Sep-2015		#DIV/0!	\$ 7,052.50	\$ -	\$ 7,052.50	\$ 7,052.50	Equals Invoiced to Date tota; Subcontract = \$175,000
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
42	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and laborat	V	19-Oct-2015		#DIV/0!	\$ 5,895.00	\$ 5,456.25	\$ 438.75	\$ 5,895.00	Equals Invoiced to Date total; Consultant Agreement for hourly rates in STP files
	US Electric (Non-DBE sub)										
43	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 1,532,954.14	\$ 671,605.41	\$ 861,348.73	\$ 1,532,954.14	Equals Invoiced to Date total; Subcontract Agmt #1 and Subcontract Agmt #2 (thru CO #2) = \$3,512,389.76
							\$ 115,219,437.79	\$ 111,573,793.48	\$ 3,645,644.31	\$ 105,780,253.69	

**EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#62 Invoiced to Date	#65 - #62 Invoiced (for April)
FIRST TIER										
1	Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 122,645.14	\$ 122,645.14	\$ 110,130.86	\$ 12,514.28
2	CivilTech Engineering	Design & Consulting	V	31-Jul-2012	Active	100%	\$ 588,688.53	\$ 588,688.53	\$ 579,316.03	\$ 9,372.50
3	DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 1,302,058.34	\$ 28,535.04
4	Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	V	11-Apr-2014	Active		\$ 62,109.00	\$ 62,109.60	\$ 51,630.60	\$ 10,479.00
5	EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		97%	\$ 1,926,458.37	\$ 1,926,458.37	\$ 1,870,724.00	\$ 55,734.37
6	Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,272,881.87	\$ 1,272,881.87	\$ 1,021,425.29	\$ 251,456.58
7	Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 294,679.09	\$ 292,093.66	\$ 2,585.43
8	J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 193,821.20	\$ 167,821.20	\$ 26,000.00
9	Marshbank	Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 1,968,580.87	\$ 1,958,627.44	\$ 1,531,494.58	\$ 427,132.86
10	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 190,637.86	\$ 190,637.86	\$ 179,164.36	\$ 11,473.50
11	Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 63,020.00	\$ 63,020.00	\$ 52,060.00	\$ 10,960.00
12	Michaelis Trucking & Excavating	Trucking Roster Rotation	V	30-Nov-2015			\$ 10,317.50	\$ 10,317.50		\$ 10,317.50
13	MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 481,724.41	\$ 446,376.89	\$ 35,347.52
14	Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,997,825.50	\$ 6,997,825.50	\$ 6,355,678.50	\$ 642,147.00
15	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 19,608,119.77	\$ 19,596,944.97	\$ 18,517,747.03	\$ 1,079,197.94
16	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 964,799.81	\$ 70,031.08
17	Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 448,092.50	\$ 448,092.50	\$ 426,434.50	\$ 21,658.00
18	PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 965,500.49	\$ 924,832.49	\$ 40,668.00
19	Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 1,048,178.50	\$ 59,327.00
20	Steelkorr	Furnish and install all structure	S	31-Oct-2013	Active	100%	\$ 8,039,200.62	\$ 7,142,784.63	\$ 6,803,841.75	\$ 338,942.88
21	Transcon Company LLC	Underground Electrical Duct	S	12-Dec-2013	Active	100%	\$ 2,410,208.85	\$ 2,393,752.18	\$ 2,302,776.64	\$ 90,975.54
22	United Professional Caulking	Sealants & Expansion Joints	S	3-Mar-2016			\$ 3,682,039.00	\$ 174,343.50		\$ 174,343.50
VENDORS										
23	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 450,331.78	\$ 450,331.78	\$ 432,742.06	\$ 17,589.72
24	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,171.60	\$ 68,171.60	\$ 67,581.96	\$ 589.64
25	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 423,251.76	\$ 423,251.76	\$ 332,446.38	\$ 90,805.38

**EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#62 Invoiced to Date	#65 - #62 Invoiced (for April)
26	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 7,826.69	\$ 7,826.69	\$ 6,449.29	\$ 1,377.40
27	The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 295,276.24	\$ 295,276.24	\$ 294,733.72	\$ 542.52
28	United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 15,594.35	\$ 15,594.35	\$ 11,402.07	\$ 4,192.28
LOWER TIER										
BASF - KTI Logistics (Non-DBE sub)										
29	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 24,669.00	\$ 24,669.00	\$ 17,649.00	\$ 7,020.00
CBE Strategic (Non-DBE sub)										
30	LK Media (LT to CBE Strategic)	Consulting Services	V	1-Feb-2016	Active		\$ 6,184.00	\$ 6,184.00	\$ 1,955.00	\$ 4,229.00
CROWN CORR (Non-DBE sub)										
31	Birch Equipment (LT to Crown Corr)	Rental Equipment - Boom, Scissorlift	V	23-Nov-2015		0%	\$ 65,254.25	\$ 65,254.25	\$ 56,155.15	\$ 9,099.10
32	Red Arrow Logistics (LT to Crown Corr)	Freight	V	1-Oct-2015		24%	\$ 91,796.70	\$ 91,796.70	\$ 85,224.20	\$ 6,572.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
33	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 2,796,007.07	\$ 2,796,007.07	\$ 2,223,164.07	\$ 572,843.00
HNTB (Non-DBE sub)										
34	Oneill Service Group (Added on #50)	Matirals Approval Engineer	V	30-Apr-2015		80%	\$ 168,905.25	\$ 168,905.25	\$ 127,181.25	\$ 41,724.00
35	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 430,543.61	\$ 430,543.61	\$ 415,834.36	\$ 14,709.25
JH KELLY (Non-DBE sub)										
36	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 604,258.50	\$ 541,200.50	\$ 63,058.00
JH KELLY - FISK ELECTRIC (Non-DBE sub)										
37	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,363,965.42	\$ 5,165,557.99	\$ 5,009,592.34	\$ 155,965.65
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 200,037.50	\$ 37,580.00	\$ 162,457.50
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	R	9-May-2016			\$ 2,760.00	\$ 2,760.00		\$ 2,760.00

**EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#62 Invoiced to Date	#65 - #62 Invoiced (for April)
40	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	R	12-May-2016			\$ 2,760.00	\$ 2,760.00		\$ 2,760.00
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #297235	R	24-May-2016			\$ 3,074.88	\$ 3,074.88		\$ 3,074.88
JH KELLY - SICE (Non-DBE sub)										
42	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		100%	\$ 270,800.00	\$ 270,800.00	\$ 270,000.00	\$ 800.00
43	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,791,067.39	\$ 208,678.65	\$ 173,188.65	\$ 35,490.00
LONG PAINTING (Non-DBE sub)										
44	Fryer Knowles (LT to Long Painting) (Added #55)	Painting	Need	10-Sep-2015		#DIV/0!	\$ 7,052.50	\$ 7,052.50		\$ 7,052.50
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
45	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and labora	V	19-Oct-2015		#DIV/0!	\$ 5,895.00	\$ 5,895.00	\$ 5,456.25	\$ 438.75
SOLDATA - KPG (Non-DBE sub)										
46	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 1,129,619.44	\$ 938,472.07	\$ 191,147.37
US Electric (Non-DBE sub)										
47	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 1,532,954.14	\$ 1,532,954.14	\$ 671,605.41	\$ 861,348.73
							\$ 115,219,437.79	\$ 105,780,253.69	\$ 100,113,407.00	\$ 5,666,846.69

**EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Total Payments this Report (July)	#65 Total Payments to Date	#62 Total Payments to Date	Compare Total Pymts to Date (#65 - #62)	Variance
	FIRST TIER									
1	Catherine Bassetti	Progress photography	5-Mar-2012	Active	100%	\$ 2,400.00	\$ 122,645.14	\$ 110,130.86	\$ 12,514.28	\$ (10,114.28)
2	CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	100%		\$ 588,688.53	\$ 579,316.03	\$ 9,372.50	\$ (9,372.50)
3	DHD Trucking	Street Sweeping	10-Jan-2012		100%	\$ 9,511.68	\$ 1,325,044.90	\$ 1,296,113.54	\$ 28,931.36	\$ (19,419.68)
4	Duncan Asphalt (Added on #39)	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-2014	Active			\$ 62,109.60	\$ 49,049.07	\$ 13,060.53	\$ (13,060.53)
5	EPC Consultants, Inc.	Tunnel Quality Assurance	8-Jul-2013		97%		\$ 1,926,458.37	\$ 1,870,724.00	\$ 55,734.37	\$ (55,734.37)
6	Global Geophysics	Vibration Monitoring	21-May-2012	Active	100%		\$ 1,192,096.52	\$ 1,021,425.29	\$ 170,671.23	\$ (170,671.23)
7	Greylock Consulting LLC	Environmental Consulting Services	1-Oct-2011	Active	100%	\$ 841.13	\$ 294,679.09	\$ 284,253.82	\$ 10,425.27	\$ (9,584.14)
8	J. Keiser & Associates	DBE Coordinator - Consulting	30-Apr-2014	Active	100%	\$ 9,900.00	\$ 193,821.20	\$ 167,821.20	\$ 26,000.00	\$ (16,100.00)
9	JMR Trucking	Trucking Roster Rotation	22-Oct-2015				\$ 10,053.75	\$ 6,285.00	\$ 3,768.75	
10	Marshbank	Sewer Relocation	7-Aug-2012	Active	100%	\$ 405,776.21	\$ 1,860,697.04	\$ 1,454,920.83	\$ 405,776.21	\$ -
11	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	18-Jul-2012	Active	100%	\$ 10,899.82	\$ 182,436.07	\$ 171,536.25	\$ 10,899.82	\$ -
12	Meeds Environmental	Filter Press	2-Apr-2015		100%	\$ 2,740.00	\$ 63,020.00	\$ 52,060.00	\$ 10,960.00	\$ (8,220.00)
13	Michaelis Trucking & Excavating	Trucking Roster Rotation	30-Nov-2015				\$ 10,317.50		\$ 10,317.50	\$ (10,317.50)
14	MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	99%	\$ 6,192.00	\$ 470,330.88	\$ 436,358.24	\$ 33,972.64	\$ (27,780.64)
15	Oatridge Security Group Inc	Security Guard Services	10-Oct-2011	Active	100%		\$ 6,674,841.00	\$ 6,355,678.50	\$ 319,162.50	\$ (319,162.50)
16	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	25-Sep-2012	Active	100%	\$ 541,287.03	\$ 19,596,944.97	\$ 18,517,747.03	\$ 1,079,197.94	\$ (537,910.91)
17	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	Active	100%	\$ 23,244.52	\$ 1,034,830.89	\$ 942,925.06	\$ 91,905.83	\$ (68,661.31)
18	Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	100%		\$ 448,092.50	\$ 415,605.50	\$ 32,487.00	\$ (32,487.00)
19	PRR, Inc.	Subconsultant - Public Information	22-Feb-2011	Active	100%	\$ 13,556.00	\$ 965,500.49	\$ 911,276.49	\$ 54,224.00	\$ (40,668.00)
20	Savage Logistics, LLC	Freight	28-Mar-2013	Active	99%	\$ 24,038.00	\$ 1,107,505.50	\$ 1,003,553.50	\$ 103,952.00	\$ (79,914.00)
21	Steelkorr	Furnish and install all structu	31-Oct-2013	Active	100%		\$ 6,698,829.46	\$ 6,463,649.66	\$ 235,179.80	\$ (235,179.80)
22	United Professional Caulking	Sealants & Expansion Joints	3-Mar-2016			\$ 10,484.01	\$ 165,626.31		\$ 165,626.31	\$ (155,142.30)
	VENDORS									
23	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	Active	90%	\$ 4,987.90	\$ 445,617.88	\$ 432,742.06	\$ 12,875.82	\$ (7,887.92)
24	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	NA	Active	100%		\$ 68,171.60	\$ 66,857.12	\$ 1,314.48	\$ (1,314.48)
25	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	99%	\$ 10,180.74	\$ 423,110.46	\$ 274,731.30	\$ 148,379.16	\$ (138,198.42)
26	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	NA	Active	100%		\$ 7,826.69	\$ 6,449.29	\$ 1,377.40	\$ (1,377.40)

**EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

27	The Bag Lady	Costruction Entrance Plates & Straw bales	NA	Active	100%		\$ 295,276.64	\$ 294,733.72	\$ 542.92	\$ (542.92)
28	United Reprographics	Business cards & printing services	12-Jan-2015	Active	93%	\$ 61.28	\$ 12,217.40	\$ 10,989.02	\$ 1,228.38	\$ (1,167.10)
	LOWER TIER									
	BASF - KTI Logistics (Non-DBE sub)									
29	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	17-Jun-2013	Active	90%	\$ 1,080.00	\$ 22,509.00	\$ 17,649.00	\$ 4,860.00	\$ (3,780.00)
	CBE Strategic (Non-DBE sub)									
30	LK Media (LT to CBE Strategic)	Consulting Services	1-Feb-2016	Active			\$ 5,504.00	\$ -	\$ 5,504.00	\$ (5,504.00)
	CROWN CORR (Non-DBE sub)									
31	MBI Construction Services (LT to Crown Corr)	Supply/Fabricate 88 Sheets	17-Sep-2015		68%		\$ 44,793.20	\$ 31,400.83	\$ 13,392.37	\$ (13,392.37)
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)									
32	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013		100%	\$ 320,266.71	\$ 2,796,007.07	\$ 2,223,164.07	\$ 572,843.00	\$ (252,576.29)
	HNTB (Non-DBE sub)									
33	Oneill Service Group (Added on #50)	Matirals Approval Engineer	30-Apr-2015		80%		\$ 157,277.25	\$ 127,181.25	\$ 30,096.00	\$ (30,096.00)
34	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	1-May-2011	Active	100%	\$ 7,533.50	\$ 422,713.38	\$ 414,761.88	\$ 7,951.50	\$ (418.00)
	JH KELLY (Non-DBE sub)									
35	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	8-Apr-2013	12/29/2015	100%	\$29,475.00	\$ 577,843.98	\$ 469,152.28	\$ 108,691.70	\$ (79,216.70)
	JH KELLY - DESERT MECHANICAL (Non-DBE sub)									
36	OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	Underground Piping	1-Oct-2012	2/1/2015	100%		\$ 112,640.00	\$ 107,008.00	\$ 5,632.00	\$ (5,632.00)
37	Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Transition Ductwork of Tunnel	19-Sep-2014		100%	\$ 29,177.65	\$ 244,589.50	\$ 105,112.39	\$ 139,477.11	\$ (110,299.46)
	JH KELLY - FISK ELECTRIC (Non-DBE sub)									
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	10-Jul-2013		100%	\$ 41,313.00	\$ 5,067,815.34	\$ 4,991,286.14	\$ 76,529.20	\$ (35,216.20)
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	25-Feb-2016			\$ 96,000.00	\$ 136,037.50	\$ 37,580.00	\$ 98,457.50	\$ (2,457.50)
40	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	9-May-2016			\$ 2,760.00	\$ 2,760.00		\$ 2,760.00	\$ -
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	12-May-2016			\$ 2,760.00	\$ 2,760.00		\$ 2,760.00	\$ -
	LONG PAINTING (Non-DBE sub)									

**EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION.**

42	Fryer Knowles (LT to Long Painting) (Added #55)	Painting	10-Sep-2015		#DIV/0!		\$ 6,347.25		\$ 6,347.25	
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)									
43	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and labora	19-Oct-2015		#DIV/0!		\$ 5,895.00	\$ 5,456.25	\$ 438.75	\$ (438.75)
	SOLDATA - KPG (Non-DBE sub)									
44	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	1-Apr-2012	Active	100%	\$ 74,765.59	\$ 1,035,671.36	\$ 856,053.89	\$ 179,617.47	\$ (104,851.88)
	US Electric (Non-DBE sub)									
45	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	24-Jan-2016		100%	\$ 72,349.20	\$ 1,232,344.81	\$ 505,166.11	\$ 727,178.70	\$ (654,829.50)
						\$ 1,753,580.97	\$ 103,579,817.33	\$ 98,547,422.78	\$ 5,032,394.55	#VALUE!

**EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#65 Total Payments this Report (July)	#65 Total Payments to Date	#65 DBE Amount (as Amended)	#62 DBE Amount (as Amended)	#65 - #62 DBE Amount (as Amended)
	FIRST TIER											
1	Catherine Bassetti	Progress photography	5-Mar-2012	Active	100%	\$ 122,645.14	\$ 122,645.14	\$ 2,400.00	\$ 122,645.14	\$ 122,053.64	\$ 109,539.36	\$ 12,514.28
2	CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	100%	\$ 588,688.53	\$ 588,688.53		\$ 588,688.53	\$ 588,688.53	\$ 579,316.03	\$ 9,372.50
3	DHD Trucking	Street Sweeping	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 9,511.68	\$ 1,325,044.90	\$ 1,325,044.90	\$ 1,296,113.54	\$ 28,931.36
4	*OMA (LT to Duncan) (Added on #64)	Stockpiling	N/A							\$ 7,897.50		\$ 7,897.50
5	EPC Consultants, Inc.	Tunnel Quality Assurance	8-Jul-2013		97%	\$ 1,926,458.37	\$ 1,926,458.37		\$ 1,926,458.37	\$ 1,891,318.37	\$ 1,835,584.00	\$ 55,734.37
6	Global Geophysics	Vibration Monitoring	21-May-2012	Active	100%	\$ 1,272,881.87	\$ 1,272,881.87		\$ 1,192,096.52	\$ 1,192,096.52	\$ 1,021,425.29	\$ 170,671.23
7	Greylock Consulting LLC	Environmental Consulting Services	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 294,679.09	\$ 841.13	\$ 294,679.09	\$ 163,832.26	\$ 157,155.97	\$ 6,676.29
8	J. Keiser & Associates	DBE Coordinator - Consulting	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 193,821.20	\$ 9,900.00	\$ 193,821.20	\$ 162,550.00	\$ 136,550.00	\$ 26,000.00
9	JMR Trucking	Trucking Roster Rotation	22-Oct-2015			\$ 10,053.75	\$ 10,053.75		\$ 10,053.75	\$ 10,053.75	\$ 6,285.00	\$ 3,768.75
10	Marshbank	Sewer Relocation	7-Aug-2012	Active	100%	\$ 1,968,580.87	\$ 1,958,627.44	\$ 405,776.21	\$ 1,860,697.04	\$ 1,683,429.93	\$ 1,059,402.86	\$ 624,027.07
11	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	18-Jul-2012	Active	100%	\$ 190,637.86	\$ 190,637.86	\$ 10,899.82	\$ 182,436.07	\$ 182,436.07	\$ 171,536.25	\$ 10,899.82
12	Meeds Environmental	Filter Press	2-Apr-2015		100%	\$ 63,020.00	\$ 63,020.00	\$ 2,740.00	\$ 63,020.00	\$ 63,020.00	\$ -	\$ 63,020.00
13	Michaelis Trucking & Excavating	Trucking Roster Rotation	30-Nov-2015			\$ 10,317.50	\$ 10,317.50		\$ 10,317.50	\$ 10,317.50		\$ 10,317.50
14	MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 481,724.41	\$ 6,192.00	\$ 470,330.88	\$ 470,330.88	\$ 436,358.24	\$ 33,972.64
15	Oatridge Security Group Inc	Security Guard Services	10-Oct-2011	Active	100%	\$ 6,997,825.50	\$ 6,997,825.50		\$ 6,674,841.00	\$ 6,661,347.00	\$ 6,342,184.50	\$ 319,162.50
16	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	25-Sep-2012	Active	100%	\$ 19,608,119.77	\$ 19,596,944.97	\$ 541,287.03	\$ 19,596,944.97	\$ 16,320,002.61	\$ 14,604,057.24	\$ 1,715,945.37
17	Ball & Son Excavation (LT to OMA)	Trucking	3-Jul-2013		100%					\$ 7,507.50	\$ -	\$ 7,507.50
18	Buckley's Hauling (LT to OMA)	Trucking	20-May-2016							\$ 1,170.00		\$ 1,170.00
19	JMR Trucking (LT to OMA)	Trucking	1-Dec-2012	Active	100%					\$ 5,200.00	\$ -	\$ 5,200.00
20	Maks Dump Truck (LT to OMA)	Trucking	1-Dec-2012	Active	100%					\$ 279,853.23	\$ 260,690.73	\$ 19,162.50
21	Ponglia Trucking Inc. (LT to OMA)	Trucking	17-Jan-2013	Active	100%					\$ 242,431.25	\$ 229,821.25	\$ 12,610.00
22	SSB Trucking & Construction (LT to OMA)	Trucking	26-Jun-2013	Active	100%					\$ 1,040.00	\$ -	\$ 1,040.00
23	Tan Trucking (LT to OMA)	Trucking								\$ 17,787.50	\$ 2,025.00	\$ 15,762.50
24	Trinity Development (LT to OMA)	Trucking	17-Oct-2012	Active	100%					\$ 217,977.69	\$ 210,560.19	\$ 7,417.50
25	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 23,244.52	\$ 1,034,830.89	\$ 887,083.08	\$ 809,105.40	\$ 77,977.68
26	Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	100%	\$ 448,092.50	\$ 448,092.50		\$ 448,092.50	\$ 254,561.96	\$ 226,947.96	\$ 27,614.00
27	Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	6-Apr-2012	Active	100%					\$ 193,530.54	\$ 188,657.54	\$ 4,873.00
28	PRR, Inc.	Subconsultant - Public Information	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 965,500.49	\$ 13,556.00	\$ 965,500.49	\$ 959,104.81	\$ 904,880.81	\$ 54,224.00
29	Savage Logistics, LLC	Freight	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 24,038.00	\$ 1,107,505.50	\$ 1,107,505.50	\$ 1,003,553.50	\$ 103,952.00
30	Steelkorr	Furnish and install all structu	31-Oct-2013	Active	100%	\$ 8,039,200.62	\$ 7,142,784.63		\$ 6,698,829.46	\$ 6,499,965.82	\$ 6,267,579.17	\$ 232,386.65
31	United Professional Caulking	Sealants & Expansion Joints	3-Mar-2016			\$ 3,682,039.00	\$ 174,343.50	\$ 10,484.01	\$ 165,626.31	\$ 165,626.31		\$ 165,626.31
	VENDORS											\$ -
32	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	Active	90%	\$ 450,331.78	\$ 450,331.78	\$ 4,987.90	\$ 445,617.88	\$ 267,370.73	\$ 259,645.24	\$ 7,725.49
33	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	NA	Active	100%	\$ 68,171.60	\$ 68,171.60		\$ 68,171.60	\$ 40,712.52	\$ 39,923.83	\$ 788.69
34	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	99%	\$ 423,251.76	\$ 423,251.76	\$ 10,180.74	\$ 423,110.46	\$ 253,866.28	\$ 164,838.78	\$ 89,027.50

Exhibit B

SR 99 Bored Tunnel Design-Build Contract

Validation of STP DBE Progress Report #65



September 12, 2016

TO: Bobby Forch, DBE Administrator AWW *BF*

FROM: Dawn McIntosh, P.E. *DM*

SUBJECT: Validation STP Monthly DBE Progress Report No. 65

Review of STP Monthly DBE Progress Report No. 65 (Report No. 65) began 9/7/2016 and was completed on 9/12/2016 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE Goal= \$96,903,280.09 (8% of total compensation payable, including change orders)
- Commitments= \$115,219,437.79
- Total Payments= \$103,579,817.33
- DBE Participation= \$82,428,375.92

STP reported 109 subcontractors with 206 subcontracts. Comparing Report No. 65 to Report No. 64 resulted in changes as follows:

- 22 Commitment changes totaling \$1,092,118.70 increase (Attachment 2)
- 26 Invoiced to Date changes totaling \$1,443,563.52 increase (Attachment 3)
- 29 Payments to Date changes totaling \$1,903,834.16 increase (Attachment 4)
- 33 DBE Amount (as Amended) changes totaling \$2,789,142.54 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 65 (July 2016) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were equal to or more than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

STP reported an increase of \$553,847.45 in DBE participation for trucking. This increase in trucking was associated with work performed by OMA Construction Inc., Buckley's Hauling, JMR Trucking, Mak's Dump Truck, Tan Trucking, Trinity Development and Savage Logistics, LLC. The trucking records were verified following the verification process, as approved by FHWA.

No new DBE subcontractors were added under this Report.

No existing DBE subcontractors received new work under this report.

The current status of the DBE Subcontracts, regarding start dates, end dates, and retainage release dates may be found in Attachment #6.

A review of payroll reports showed the total hours reported on verified Certified Payrolls were 3,755.75 hours. This was 272 hours less than the 4,027.75 hours reported by the contractor. Over-reporting of hours were 316.75 hours by Savage Logistics on three separate subcontracts. The other DBE subcontractors with over-reported hours included 21.5 hours by Sundancer and 20 hours by DHD Trucking. Offsetting some of the over-reported hours included under-reporting of 70 hours by Steelkorr and 16 hours by Neppel Electric. This over and under-reporting can be attributed to the reporting cycle that subcontractors utilize, which does not correspond to the calendar month used in Certified Payroll. The breakdown, by skill craft, may be found in Attachment #7:
DBE Labor Hours Validation

Conclusion:

STP's Report No. 65 is acceptable.

The STP Binder for Report No. 65 was helpful for validating the invoiced and payment information, as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 65
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation
6. Status of DBE Subcontracts
7. DBE Certified Payroll Hours Verification



ATTACHMENT #1

Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 65

Progress Report Period: July 1, 2016 – July 31, 2016

Submission Date: Tuesday, August 30, 2016

STP remains on target to achieve the DBE goal.

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000.00
DBE Goal:	\$96,903,280.09
Current DBE commitments:	\$115,219,437.79
DBE payments:	\$103,579,817.33
DBE participation:	\$82,428,375.92
DBE Contracts:	206
DBE Firms:	109

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP submitted our quarterly DBE action plan on July 29, 2016. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available.

STP July RFPs/RFOs

STP discusses upcoming bids with WSDOT, OMWBE, and independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings STP provides additional details and requests feedback from participants and incorporates suggestions. STP sent out the following RFPs this month:

1. South Access Drainage

July Outreach

July 7, 2016, National Association of Minority Contractors of Washington (NAMC WA)

STP attended the NAMC meeting. STP was on the agenda to speak and provided project updates and information on upcoming opportunities.

July 15, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP attended the CDE meeting and networked with minority and women owned businesses.

July 30, 2016, Tabor 100 General Membership Meeting

STP attended and provided project updates and upcoming opportunities.

Planned August Outreach

August 4, 2016, National Association of Minority Contractors of Washington (NAMC WA)

STP attended the NAMC meeting. STP was on the agenda to speak and provided project updates and information on upcoming opportunities.

August 19, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP attended the CDE meeting and networked with minority and women owned businesses.

August 27, 2016, Tabor 100 General Membership Meeting

STP attended and provided project updates and upcoming opportunities.

Planned September Outreach

September 1, 2016, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC meeting. STP is on the agenda to speak and provide project updates and information on upcoming opportunities.

September 15, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP plans to attend the CDE meeting and network with minority and women owned businesses.

September 24, 2016, Tabor 100 General Membership Meeting

STP plans to attend and provide project updates and upcoming opportunities.

September 30, 2016, Tabor 100 Annual Gala

STP sponsored a table for the Tabor 100 Annual Gala. STP management will be attending and talking with WMBE and DBE firms at the event.

Planned October Outreach

October 6, 2016, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC meeting. STP is on the agenda to speak and provide project updates and information on upcoming opportunities.

October 21, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP plans to attend the CDE meeting and network with minority and women owned businesses.

October 29, 2016, Tabor 100 General Membership Meeting

STP plans to attend and provide project updates and upcoming opportunities.

Commitments increased as of month ending 7/31/16

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	June 2016 Commitment	July 2016 Commitment	Increase
FIRST TIER			
DHD Trucking	\$ 1,321,478.02	\$ 1,330,593.38	\$ 9,115.36
Global Geophysics	\$ 1,192,096.52	\$ 1,272,881.87	\$ 80,785.35
Greylock Consulting LLC	\$ 293,837.96	\$ 294,679.09	\$ 841.13
J. Keiser & Associates	\$ 183,921.20	\$ 193,821.20	\$ 9,900.00
Meeds Environmental	\$ 60,280.00	\$ 63,020.00	\$ 2,740.00
MindSeekers, LLC	\$ 467,471.40	\$ 481,724.41	\$ 14,253.01
Oatridge Security Group Inc	\$ 6,674,841.00	\$ 6,997,825.50	\$ 322,984.50
O'Neill Service Group	\$ 1,011,586.37	\$ 1,034,830.89	\$ 23,244.52
PRR, Inc.	\$ 951,944.49	\$ 965,500.49	\$ 13,556.00
Savage Logistics, LLC	\$ 1,095,531.00	\$ 1,107,505.50	\$ 11,974.50
VENDORS			
Birch Equipment Rental & Sales, Inc.	\$ 445,617.88	\$ 450,331.78	\$ 4,713.90
Highway Specialties LLC	\$ 423,110.38	\$ 423,251.76	\$ 141.38
United Reprographics	\$ 14,525.86	\$ 15,594.35	\$ 1,068.49
LOWER TIER			
BASF - KTI Logistics (Non-DBE sub)			
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	\$ 23,589.00	\$ 24,669.00	\$ 1,080.00
CBE Strategic (Non-DBE Sub)			
LK Media (LT to CBE Strategic)	\$ 5,504.00	\$ 6,184.00	\$ 680.00
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)			
Savage Logistics, LLC (LT to Lining Precast)	\$ 2,475,740.36	\$ 2,796,007.07	\$ 320,266.71
HNTB (Non-DBE sub)			
Saez Consulting Engineers Inc. (LT to HNTB)	\$ 429,104.36	\$ 430,543.61	\$ 1,439.25
JH KELLY - FISK ELECTRIC (Non-DBE sub)			
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) #0572A	\$ 5,355,651.92	\$ 5,363,965.42	\$ 8,313.50
JH KELLY - SICE (Non-DBE sub)			
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	\$ 2,758,729.43	\$ 2,791,067.39	\$ 32,337.96
LONG PAINTING (Non-DBE sub)			
Fryer Knowles	\$ -	\$ 7,052.50	\$ 7,052.50
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)			
AAR Testing Laboratories, Inc. (LT to PSI)	\$ 5,456.25	\$ 5,895.00	\$ 438.75
US Electric (Non-DBE sub)			
Sundancer Electric (LT to US Electric)	\$ 1,307,762.25	\$ 1,532,954.14	\$ 225,191.89
	\$ 26,497,779.65	\$ 27,589,898.35	\$ 1,092,118.70

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build

Contract Amount (as amended): **1,211,291,001.17**

DBE Goal: **8%**

Prime Contractor:
Seattle Tunnel Partners

Through 7/31/16 (all numbers exclude WSST)
Total payment to Prime to date: 1,208,665,735.13
Total amount invoiced to date: 1,216,620,933.44
Amount of last payment to Prime: 12,408,708.19

Date of last payment to Prime: 7/28/2016
Total of last payment to Prime: 12,408,708.19
Total value of DBE commitments: \$ 115,219,437.79

Total value of DBE amount (as Amended): \$ 82,428,375.92

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-2012	100%	\$ 720.00	\$ 720.00	COMPLETE	\$ 720.00	\$ 720.00
Advanced Traffic Control	#D5M0024125	Traffic Control Consulting	4-May-2016	0%					
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-2014	100%	\$ 333,395.42	\$ 333,395.42		\$ 316,725.65	\$ 312,458.90
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-2013	100%	\$ 24,237.20	\$ 24,237.20	COMPLETE	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB – Furnish & Install Shades, Kitchen Cabinets	1-Aug-2014	0%	\$ 31,605.00	\$ -		\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-2012	100%	\$ 189,938.00	\$ 189,938.00		\$ 189,938.00	\$ 189,938.00
Buckley's Hauling	#D3M0023694	Trucking Roster Rotation	29-Oct-2015	100%	\$ 9,591.25	\$ 9,591.25		\$ 9,591.25	\$ 9,591.25
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-2011	100%	\$ 416,500.00	\$ 416,500.00	COMPLETE	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-2012	100%	\$ 122,645.14	\$ 122,645.14	\$ 2,400.00	\$ 122,645.14	\$ 122,053.64
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 588,688.53	\$ 588,688.53		\$ 588,688.53	\$ 588,688.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-2012	100%	\$ 1,005,698.49	\$ 1,005,698.49		\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Trucking Roster Rotation	9-Nov-2015	100%	\$ 10,827.50	\$ 10,827.50		\$ 10,827.50	\$ 10,827.50
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-2012	100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 9,511.68	\$ 1,325,044.90	\$ 1,325,044.90
Duncan Asphalt	#D2F0022253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-2014	100%	\$ 62,109.00	\$ 62,109.60		\$ 62,109.60	\$ -
OMA (LT to Duncan)	#D4M2316494	Stockpiling	N/A						\$ 7,897.50
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-2012	100%	\$ 22,345.00	\$ 22,345.00		\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 1,926,458.37	\$ 1,926,458.37		\$ 1,926,458.37	\$ 1,891,318.37
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 549,645.00	\$ 549,645.00		\$ 540,912.44	\$ 540,912.44
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -		\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 732,184.83	\$ 732,184.83		\$ 732,184.83	\$ 732,184.83
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00		\$ 1,615.00	\$ 1,615.00
Global Geophysics	#D4F0021256	Vibration Monitoring	21-May-2012	100%	\$ 1,272,881.87	\$ 1,272,881.87		\$ 1,192,096.52	\$ 1,192,096.52
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	COMPLETE	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%			COMPLETE		\$ 50,517.00
Green City Inc.	#D4M0021336	Irrigation/Landscaping NOB/S	2-Oct-2015	0%	\$ 278,400.00				
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 294,679.09	\$ 294,679.09	\$ 841.13	\$ 294,679.09	\$ 163,832.26
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%					\$ 71,940.75

On-Site Environmental Inc (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%						\$ 71,940.75
AM Test Inc (LT to On-Site)	#D2F0022787	Environmental Analytical Testing	30-Oct-2015	100%						\$ 175.00
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00		\$ 1,473,764.00		\$ 1,455,382.26
J. Keiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 193,821.20	\$ 193,821.20	\$ 9,900.00	\$ 193,821.20		\$ 162,550.00
JMR Trucking	#D3M6917511	Trucking Roster Rotation	22-Oct-2015	100%	\$ 10,053.75	\$ 10,053.75		\$ 10,053.75		\$ 10,053.75
JP Francis & Associates, Inc.	#D3M0018112	Mitigation building – plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86		\$ 50,835.32		\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00		\$ 284,310.00		\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks – Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	COMPLETE	\$ 739,114.07		\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-2012	100%			COMPLETE			\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-2012	100%			COMPLETE			\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0018072	Rebar	30-Jun-2012	100%			COMPLETE			\$ -
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05		\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%						\$ 42,257.94
Sunset Welding Inc. (Sub to Leajak; 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2012	100%			COMPLETE			\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 62,847.55	\$ 62,847.55		\$ 62,847.55		\$ 62,847.55
Mak's Dump Trucking	#D3M0019934	Trucking Roster Rotation	18-Nov-2015	100%	\$ 11,952.50	\$ 11,952.50		\$ 11,952.50		\$ 11,952.50
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	99%	\$ 1,968,580.87	\$ 1,958,627.44	\$ 405,776.21	\$ 1,860,697.04		\$ 1,683,429.93
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014							\$ -
ProUSA (LT to Marshbank)	#D3M0022332	Trucking								\$ 942.50
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	COMPLETE	\$ 10,802.00		\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Vac-truck services	18-Jul-2012	100%	\$ 190,637.86	\$ 190,637.86	\$ 10,899.82	\$ 182,436.07		\$ 182,436.07
Meeds Environmental	#D3M0021813	Filter Press	2-Apr-2015	100%	\$ 63,020.00	\$ 63,020.00	\$ 2,740.00	\$ 63,020.00		\$ 63,020.00
Michaelis Trucking & Excavating	#D3F0021632	Trucking Roster Rotation	30-Nov-2015		\$ 10,317.50	\$ 10,317.50		\$ 10,317.50		\$ 10,317.50
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 481,724.41	\$ 481,724.41	\$ 6,192.00	\$ 470,330.88		\$ 470,330.88
Neppel Electrical	#D5M0017938	Harrison Street Hangers and	31-Jul-2014	100%	\$ 473,613.72	\$ 473,613.72		\$ 449,933.02		\$ 449,933.02
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	COMPLETE	\$ 39,800.00		\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 6,997,825.50	\$ 6,997,825.50		\$ 6,674,841.00		\$ 6,661,347.00
OMA Construction Inc.	#D4M2316494	Trucking Roster Rotation	23-Oct-2015	100%	\$ 14,720.00	\$ 14,720.00		\$ 14,720.00		\$ 14,720.00
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	100%	\$ 19,608,119.77	\$ 19,596,944.97	\$ 541,287.03	\$ 19,596,944.97		\$ 16,320,002.61
Ball & Son Excavation (LT to OMA)	#D3M0022750	Trucking	3-Jul-2013	100%						\$ 7,507.50
Buckley's Hauling (LT to OMA)	#D3M0023694	Trucking	20-May-2016							\$ 1,170.00
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%						\$ 21,834.68
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%						\$ 5,200.00
Mak's Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%						\$ 279,853.23
Ponglia Trucking Inc. (LT to OMA)	#D4M0019913	Trucking	17-Jan-2013	100%						\$ 242,431.25
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%						\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%						\$ 1,040.00

Tan Trucking (LT to OMA)	#D3M0020597	Trucking								\$ 17,787.50
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%						\$ 217,977.69
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70		\$ -
O'Neill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 23,244.52	\$ 1,034,830.89		\$ 887,083.08
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 448,092.50	\$ 448,092.50		\$ 448,092.50		\$ 254,561.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%						\$ 193,530.54
Ponglia Trucking	#D4M0019913	Trucking Roster Rotation	30-Nov-2015							
Pro USA LLC	#D3M0022332	Trucking Roster Rotation	23-Oct-2015		\$ -					
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	100%	\$ 965,500.49	\$ 965,500.49	\$ 13,556.00	\$ 965,500.49		\$ 959,104.81
Quality Woodworking	#D3M0016768	Wood Benches NOB	2-Jun-2015	12%	\$ 61,534.00	\$ 7,641.00		\$ 7,258.95		\$ 7,258.95
Road Runner Transportation	#D3M0020684	Trucking Roster Rotation	30-Nov-2015	100%	\$ 7,380.00	\$ 7,380.00		\$ 7,380.00		\$ 7,380.00
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#D5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 174,926.15	\$ 174,926.15		\$ 174,926.15		\$ 174,926.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 24,038.00	\$ 1,107,505.50		\$ 1,107,505.50
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	COMPLETE	\$ 8,360.00		\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,848,973.12		\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%						\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%					COMPLETE	\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%					COMPLETE	\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88		\$ 1,439,053.52
Steelkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	89%	\$ 8,039,200.62	\$ 7,142,784.63		\$ 6,698,829.46		\$ 6,499,965.82
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,590,215.14	\$ 4,590,215.14		\$ 4,590,215.14		\$ -
Tan Trucking	#D3M0020597	Trucking Roster Rotation	6-Nov-2015	100%	\$ 11,310.00	\$ 11,310.00		\$ 11,310.00		\$ 11,310.00
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Installation	12-Dec-2013	99%	\$ 2,410,208.85	\$ 2,393,752.18		\$ 2,302,776.64		\$ 2,006,706.13
Trinity Development	#D3M7217852	Trucking	4-Dec-2015	100%	\$ 9,185.00	\$ 9,185.00		\$ 9,185.00		\$ 9,185.00
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	COMPLETE	\$ 7,762.00		\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	100%	\$ 79,250.00	\$ 79,250.00		\$ 75,287.50		\$ 75,287.50
United Professional Caulking	#D5F0016236	Sealants & Expansion Joints	3-Mar-2016	5%	\$ 3,682,039.00	\$ 174,343.50	\$ 10,484.01	\$ 165,626.31		\$ 165,626.31
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tons of material	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00		\$ 184,331.46		\$ -
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45		\$ 19,402.45		\$ 19,402.45
Yonemitsu Geological Services	#D4M0013447	Soil conditioning mapping at site	4-Dec-2014	100%	\$ 13,600.96	\$ 13,600.96		\$ 13,600.96		\$ 12,830.96
Teknograf (LT to Yonemitsu Geological)	#D2F0020449	Drafting Services	28-Apr-2015							\$ 770.00
VENDORS										
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp, etc.	NA	100%	\$ 450,331.78	\$ 450,331.78	\$ 4,987.90	\$ 445,617.88		\$ 267,370.73
Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	NA	100%	\$ 68,171.60	\$ 68,171.60		\$ 68,171.60		\$ 40,712.52
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 423,251.76	\$ 423,251.76	\$ 10,180.74	\$ 423,110.46		\$ 253,866.28
Ideal Commercial Uniforms	#D5F0023534	STP Personalized item	4-Dec-2015		\$ 5,262.99	\$ 5,262.99		\$ 5,262.99		\$ 5,262.99
Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014	100%	\$ 157,391.55	\$ 157,391.55		\$ 157,391.55		\$ 94,434.93
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 7,826.69	\$ 7,826.69		\$ 7,826.69		\$ 4,696.01
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	100%	\$ 2,615,770.99	\$ 2,615,770.99		\$ 2,615,770.99		\$ 2,126,412.23
The Bag Lady	#D2F0016394	Construction Entrance Plates & Straw bales	NA	100%	\$ 295,276.24	\$ 295,276.24		\$ 295,276.64		\$ 175,959.06

United Reprographics	#D3M0017126	Business cards & printing services	12-Jan-2015	100%	\$ 15,594.35	\$ 15,594.35	\$ 61.28	\$ 12,217.40	\$ 12,217.40
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,718,990.29	\$ 2,718,990.29		\$ 2,718,990.29	\$ 2,717,440.29
LOWER TIER	LOWER TIER								
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02
BASF (Non-DBE sub) - EASI	BASF								
JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#D3M0018112	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	6-Aug-2013	100%	\$ 2,418.13	\$ 2,418.13		\$ 2,418.13	\$ -
BASF - KTI Logistics (Non-DBE sub)	BASF								
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 24,669.00	\$ 24,669.00	\$ 1,080.00	\$ 22,509.00	\$ 22,509.00
CBE Strategic	CBE Strategic								
LK Media (LT to CBE Strategic)	#D3F0024189	Consulting Services	1-Feb-2016	100%	\$ 6,184.00	\$ 6,184.00		\$ 5,504.00	\$ 5,504.00
CROWN CORR	CROWN CORR								
Birch Equipment (LT to Crown Corr)	#D2F0022284	Rental Equipment Boom, Scis	23-Nov-2015	100%	\$ 65,254.25	\$ 65,254.25		\$ 47,744.44	\$ 28,646.66
MBI Construction (LT to Crown Corr)	#D3M0023698	Supply/Fabricate 88 Sheets	17-Sep-2015	100%	\$ 44,793.00	\$ 44,793.00		\$ 44,793.20	\$ 44,793.20
Red Arrow Logistics (LT to Crown Corr)	#D2F0018606	Logistics calling out freight	1-Oct-2015	100%	\$ 91,796.70	\$ 91,796.70		\$ 85,224.23	\$ 15,340.36
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supp	4-Sep-2013	100%	\$ 912,918.60	\$ 912,918.60		\$ 912,918.60	\$ 547,751.16
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)									
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#D2F0021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCC - FD Thomas								
AAR Testing Laboratories, Inc. (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ 19,586.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 2,796,007.07	\$ 2,796,007.07	\$ 320,266.71	\$ 2,796,007.07	\$ 1,858,316.67
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 17,464.78	\$ 17,464.78		\$ 17,464.78	\$ 15,967.28
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ 844.86
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$ 85,047.31
Hitachi Zosen USA Ltd (Non-DBE sub)	Hitachi Zosen USA Ltd								

CivilTech Engineering (LT to Hitachi)	#D4M0018825	Ground Condition Evaluation	6-Aug-2014	100%	\$ 127,804.00	\$ 127,804.00		\$ 127,804.00	\$ 35,668.16
Global GeoPhysics (LT to CivilTech - 3rd Tier under Hitachi)	#D4F0021256	Access Shaft - GPR & MASW	28-Jan-2015						\$ 11,554.00
Yonemitsu Geological Services (LT to CivilTech- 3rd Tier under Hitachi)	#D4M0013447	Access Shaft - Soil Testing	28-Jan-2015						\$ 935.00
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 937,830.53	\$ 937,830.53		\$ 931,564.98	\$ 931,564.98
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 492,750.26
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 1,053,181.53	\$ 1,053,181.53		\$ 1,037,887.89	\$ 1,037,887.89
Oneill Service Group (LT to HNTB)	#D5M9820775	Materials Approval Engineer	30-Apr-2015	100%	\$ 168,905.25	\$ 168,905.25		\$ 157,277.25	\$ 157,277.25
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Servi	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#D5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 430,543.61	\$ 430,543.61	\$ 7,533.50	\$ 422,713.38	\$ 419,250.88
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 186,757.53	\$ 186,757.53		\$ 186,383.93	\$ 186,383.93
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 117,676.00	\$ 117,676.00		\$ 114,530.10	\$ 114,530.10
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#D4F0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene)	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 128,589.62	\$ 128,589.62		\$ 123,517.12	\$ 123,517.12
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS.								
ProUSA (LT to Hos Bros)	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 129,364.00	\$ 129,364.00		\$ 129,364.00	\$ 29,379.00
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	45%	\$ 1,332,000.00	\$ 604,258.50	\$ 29,475.00	\$ 577,843.98	\$ 477,718.98
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	100%	\$ 112,640.00	\$ 112,640.00		\$ 112,640.00	\$ 112,640.00
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	99%	\$ 760,949.98	\$ 749,934.92		\$ 749,934.92	\$ 749,934.92
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	98%	\$ 250,383.81	\$ 244,589.50	\$ 29,177.65	\$ 244,589.50	\$ 244,589.50

JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1488	#D3M0018112	Mechanical	1-May-2013	100%	\$ 628,754.60	\$ 628,176.43		\$ 596,767.64	\$ 596,767.64
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1533	#D3M0018112	Mechanical	1-Aug-2013	100%	\$ 2,784,206.72	\$ 2,784,206.72		\$ 2,685,617.72	\$ 1,931,708.98
JH KELLY - FISK ELECTRIC (Non-DBE sub)									
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	99%	\$ 5,714,426.55	\$ 5,682,575.00		\$ 5,682,575.00	\$ 3,409,545.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	96%	\$ 5,363,965.42	\$ 5,165,557.99	\$ 41,313.00	\$ 5,067,815.34	\$ 3,040,689.20
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #248451	6-Jan-2016	100%	\$ 472.66	\$ 472.66		\$ 472.66	\$ 283.60
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #256256	8-Feb-2016	100%	\$ 344.47	\$ 344.47		\$ 344.47	\$ 182.68
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #270234	25-Feb-2016	57%	\$ 348,330.00	\$ 200,037.50	\$ 96,000.00	\$ 136,037.50	\$ 81,622.50
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #279119	30-Mar-2016	0%	\$ 225,819.00	\$ -		\$ -	\$ -
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #292345	9-May-2016	100%	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 1,656.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #293767	12-May-2016	100%	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 1,656.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #297235	24-May-2016	100%	\$ 3,074.88	\$ 3,074.88		\$ -	\$ -
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814	7-Nov-2013	100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25	\$ 3,777.75
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	6-Dec-2014	100%	\$ 4,627.44	\$ 4,627.44		\$ 4,627.44	\$ 2,776.46
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.03	\$ 59,187.03		\$ 59,187.03	\$ 59,187.03
JH KELLY - SICE (Non-DBE sub)									
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 4,249.04	\$ 4,249.04		\$ 4,249.04	\$ -
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Manufacturer Electrical Pane	28-Jan-2014	100%	\$ 270,800.00	\$ 270,800.00		\$ 256,500.00	\$ 256,500.00

Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Electrical contractor	1-May-2015	7%	\$ 2,791,067.39	\$ 208,678.65		\$ 173,188.65	\$ 173,188.65
LENNON CRANE (Non-DBE sub)	LENNON CRANE								
Penny Lee Trucking (LT to Lennon Crane)	#D2F2906632	Trucking	N/A	100%	\$ 2,996.50	\$ 2,996.50		\$ 2,996.50	\$ -
LONG PAINTING (Non-DBE sub)	LONG PAINTING								
Fryer Knowles (LT to Long Painting)	#D2F5010810	Painting	10-Sep-2015	100%	\$ 7,052.50	\$ 7,052.50		\$ 6,347.25	\$ -
MALCOLM (Non-DBE sub)	MALCOLM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$ 397,730.00	\$ 397,730.00		\$ 397,730.00	\$ 397,730.00
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$ 335,073.03	\$ 335,073.03		\$ 313,323.33	\$ 313,323.33
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#D1M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$178,836.06		\$169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014	100%	\$ 431,642.23	\$ 431,642.23		\$ 422,156.00	\$ 412,669.77
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 45,617.00	\$ 45,617.00		\$ 45,617.00	\$ 45,617.00
MISSION GLASS (Non-DBE sub)	MISSION GLASS								
Quality Discount Supplies (LT Mission Glass)	#D3M0700021	Office Supplies	22-Dec-2015	100%	\$ 8,379.61	\$ 8,379.61		\$ 8,379.61	\$ 1,745.02
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 193,452.50	\$ 193,452.50		\$ 193,452.50	\$ 76,421.25
JMR Trucking	#D3M6917511	Trucking							\$ -
Ponglia Trucking	#D4M0019913	Trucking							\$ 2,468.75
Tan Trucking	#D3M0020597	Trucking							\$ -
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#D1M0002341	Vac truck services	26-Jun-2014	100%	\$ 33,233.40	\$ 33,233.40		\$ 33,270.94	\$ 17,345.28
NORTHWEST ASPHALT (Non-DBE sub)	NW ASPHALT								
C&E Transport (LT to Northwest Asphalt)	#D3M0022750	Trucking	na	100%	\$ 5,168.00	\$ 5,168.00		\$ 5,168.00	\$ -
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 58,236.25	\$ 58,236.25		\$ 55,324.43	\$ -
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	\$ -
OTTO ROSENAU & ASSCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	COMPLETE	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
AAR Testing Laboratories, Inc. (LT to PSI)	#D2F4708231	Concrete testing and laboratory testing	19-Oct-2015	100%	\$ 5,895.00	\$ 5,895.00		\$ 5,895.00	\$ 5,895.00
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 29,532.50	\$ 29,532.50		\$ 29,532.50	\$ 29,532.50
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#D5M0019808	Settlement Monitoring	1-Apr-2012	82%	\$ 1,375,102.53	\$ 1,129,619.44	\$ 74,765.59	\$ 1,035,671.36	\$ 1,035,671.36
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 124,850.00	\$ 124,850.00		\$ 124,850.00	\$ 91,541.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
SOLDATA - Holocene Drilling (Non-DBE sub)	SOLDATA - HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene, 3rd tier under SolData)	#D4M0013447	Geological Engineering & Bor	8-Apr-2015	100%	\$ 49,577.44	\$ 49,577.44		\$ 49,577.44	\$ 49,577.44
US Electric (Non-DBE sub)	US ELECTRIC								
Mobile Electrical Distributors Inc. (LT to US Electric)	#D2F0017012	Electrical Supply	13-Feb-2013	100%	\$ 159,186.36	\$ 159,186.36		\$ 159,186.36	\$ 95,511.82
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneli	14-Apr-2015	100%	\$ 1,299,170.91	\$ 1,299,170.91		\$ 1,185,413.61	\$ 1,185,413.61
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneli	24-Jan-2016	100%	\$ 1,532,954.14	\$ 1,532,954.14	\$ 72,349.20	\$ 1,232,344.81	\$ 1,232,344.81

VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15	COMPLETE	\$ 9,803.15	\$ 5,881.89
					\$ 115,219,437.79	\$ 105,780,253.69	\$ 1,753,580.97	\$ 103,579,817.33	\$ 82,428,375.92

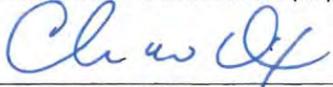
PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.
No

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.
Yes. Please see the attached summary report for commitments as of 7/31/16.

3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.
No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement
No.

AUTHORIZED SIGNATURE: 
CHRIS DIXON

TITLE: Project Manager

DATE: 8/30/2016

**ATTACHMENT # 2
DBE COMMITMENT VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Invoiced to Date	#65 Commitment	#64 Commitment	#65 - #64 Commitment Change	REMARKS
FIRST TIER										
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 1,321,478.02	\$ 9,115.36	Subcontract Agreement thru CO #8 = \$1,379,545.69
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,272,881.87	\$ 1,272,881.87	\$ 1,192,096.52	\$ 80,785.35	Equals Invoiced to Date total; Total Subcontract (through CO #11) Total Revised Agreement Amount = \$1,614,699.86
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 294,679.09	\$ 293,837.96	\$ 841.13	Equals Invoiced to Date total; Revised Subconsultant Agreement through CO #013 = \$296,381.58 (NTE)
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 193,821.20	\$ 183,921.20	\$ 9,900.00	Equals Invoiced to Date total; Subconsultant Agreement (5050-01) through CO #3 Total Revised Subcontract Amount (NTE) = \$200,571.20
Meeds Environmental	Filter Press	V	2-Apr-2015	Active	100%	\$ 63,020.00	\$ 63,020.00	\$ 60,280.00	\$ 2,740.00	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$93,598.40
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 481,724.41	\$ 467,471.40	\$ 14,253.01	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,997,825.50	\$ 6,997,825.50	\$ 6,674,841.00	\$ 322,984.50	Equals Invoiced to Date total; Subcontract (#1977-01) through CO #007 = \$7,679,199.75
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 1,011,586.37	\$ 23,244.52	Equals Invoiced to Date total; Contract and Change Orders in STP files (through #13) = \$1,291,150 (Total Not to Exceed - Unit Price Agreement)
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 965,500.49	\$ 951,944.49	\$ 13,556.00	Equals Invoiced to Date total; through CO #005 Total Revised Agreement Amount = \$884,170
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 1,095,531.00	\$ 11,974.50	Equals Invoiced to Date total; Subcontract Agreement through CO #003 = \$1,250,000
VENDORS										
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 450,331.78	\$ 450,331.78	\$ 445,617.88	\$ 4,713.90	Equals Invoiced to Date total; Purchase Order Agreement; Total PO's in STP files = \$539,675.54
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 423,251.76	\$ 423,251.76	\$ 423,110.38	\$ 141.38	Equals Invoiced to Date total; Total of Purchase Orders in STP Files = \$433,823.12
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 15,594.35	\$ 15,594.35	\$ 14,525.86	\$ 1,068.49	Equals Invoiced to Date total; Total of Subcontract and Purchase Orders = \$27,375
LOWER TIER										
BASF - KTI Logistics (Non-DBE sub)										
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 24,669.00	\$ 24,669.00	\$ 23,589.00	\$ 1,080.00	Rate Agreement for freight; Total Value = \$24,669
CBE Strategic										
LK Media (LT to CBE Strategic)	Consulting Services	V	1-Feb-2016			\$ 6,184.00	\$ 6,184.00	\$ 5,504.00	\$ 680.00	Agreement for hourly rate
FPS ENCON PRECAST, LLC										
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013	Active	100%	\$ 2,796,007.07	\$ 2,796,007.07	\$ 2,475,740.36	\$ 320,266.71	Equals Invoiced to Date total; Purchase Order #797; New Total Contract amount through CO #20 = \$5,233,280.34
HNTB (Non-DBE sub)										
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 430,543.61	\$ 430,543.61	\$ 429,104.36	\$ 1,439.25	Equals Invoiced to Date total; Subconsultant Agmt #1 (thru CO #18) and Subcontract Agmt #2 = \$440,727
JH KELLY - FISK ELECTRIC (Non-DBE sub)										
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,165,557.99	\$ 5,363,965.42	\$ 5,355,651.92	\$ 8,313.50	Total value of Purchase Order (PO801006-0572A) and Change Orders (thru #15) = \$5,363,965.42
JH KELLY - SICE (Non-DBE sub)										
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-May-2015		100%	\$ 208,678.65	\$ 2,791,067.39	\$ 2,758,729.43	\$ 32,337.96	Subcontract = \$270,800 (NTE)
LONG PAINTING (Non-DBE sub)										
Fryer Knowles (LT to Long Painting)	Painting	Need	10-Sep-2015		#DIV/0!	\$ 7,052.50	\$ 7,052.50	\$ -	\$ 7,052.50	Equals Invoiced to Date total; Subcontract = \$175,000
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,895.00	\$ 5,895.00	\$ 5,456.25	\$ 438.75	Equals Invoiced to Date total; Consultant Agreement for hourly rates in STP files
US Electric (Non-DBE sub)										
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 1,532,954.14	\$ 1,532,954.14	\$ 1,307,762.25	\$ 225,191.89	Equals Invoiced to Date total; Subcontract Agmt #1 and Subcontract Agmt #2 (thru CO #2) = \$3,512,389.76
						\$ 105,780,253.69	\$ 115,219,437.79	\$ 114,127,319.09	\$ 1,092,118.70	

**ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#64 Invoiced to Date	#65 - #64 Invoiced (for July)	Invoices (for July)	Verified work done prior to invoice date	REMARKS
FIRST TIER												
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 1,321,478.02	\$ 9,115.36	See Remarks	Yes	Invoice #'s 128:070216, 129:070916, 130:071616, 131:072316, 132:073016 in binder
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,272,881.87	\$ 1,272,881.87	\$ 1,192,096.52	\$ 80,785.35	See Remarks	Yes	Invoice #'s 1010830048, 1010830049, 1010830050 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 294,679.09	\$ 293,837.96	\$ 841.13	Inv #57:063016	Yes	Invoice in binder
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 193,821.20	\$ 183,921.20	\$ 9,900.00	Inv #111830	Yes	Invoice in binder
Meeds Environmental	Filter Press	V	2-Apr-2015	Active	100%	\$ 63,020.00	\$ 63,020.00	\$ 60,280.00	\$ 2,740.00	Inv #2436	Yes	Invoice in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 481,724.41	\$ 467,471.40	\$ 14,253.01	See Remarks	Yes	Invoice #'s 33786, 33806, 33741, 33766, 33787, 33826, 33827, 33807, 3846, 33847, 33866, 33867 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,997,825.50	\$ 6,997,825.50	\$ 6,674,841.00	\$ 322,984.50	See Remarks	Yes	Invoice #'s 2328, 2327, 2329, 2330, 2347, 2348, 2349, 2350 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 1,011,586.37	\$ 23,244.52	See Remarks	Yes	Invoice #'s 3220, 3172A in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 965,500.49	\$ 951,944.49	\$ 13,556.00	Inv #3659-65	Yes	Invoices in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 1,095,531.00	\$ 11,974.50	Inv #88:070216	Yes	Invoice in binder
VENDORS												
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp	R	NA	Active	90%	\$ 450,331.78	\$ 450,331.78	\$ 445,617.88	\$ 4,713.90	See Remarks	Yes	Invoice #'s 136419B8, 123875M2 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 423,251.76	\$ 423,251.76	\$ 423,110.38	\$ 141.38	Inv #1607372	Yes	Invoice in binder
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 15,594.35	\$ 15,594.35	\$ 14,525.86	\$ 1,068.49	See Remarks	Yes	Invoice #'s 9052444, 9052823, 9053120 in binder
LOWER TIER												
BASF - KTI Logistics (Non-DBE sub)												
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 24,669.00	\$ 24,669.00	\$ 23,589.00	\$ 1,080.00	See Remarks	Yes	Invoice #'s 160711, 160712 in binder
CBE Strategic												
LK Media (LT to CBE Strategic)	Consulting Services	V	1-Feb-2016			\$ 6,184.00	\$ 6,184.00	\$ 5,504.00	\$ 680.00	Inv #'s 4 & 5	Yes	Invoices in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013	Active	100%	\$ 2,796,007.07	\$ 2,796,007.07	\$ 2,475,740.36	\$ 320,266.71	See Remarks	Yes	Invoice #'s 16-0797-028, 029, 030, 031, 032, 033, 034, 035, 036, 037, 038, 039 in binder
HNTB (Non-DBE sub)												
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 430,543.61	\$ 430,543.61	\$ 429,104.36	\$ 1,439.25	Inv #1383-46055	Yes	Invoice in binder
JH KELLY (Non-DBE sub)												
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 604,258.50	\$ 578,908.50	\$ 25,350.00	See Remarks	Yes	Invoice #'s 418348, 418349 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)												
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,363,965.42	\$ 5,165,557.99	\$ 5,067,815.34	\$ 97,742.65	See Remarks	Yes	Invoice #'s 67901, 67939, 67959, 67904 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 200,037.50	\$ 136,037.50	\$ 64,000.00	Inv #67982	Yes	Invoice in binder
JH KELLY - SICE (Non-DBE sub)												
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Manufacturer Electrical Panel	S	28-Jan-2014		100%	\$ 270,800.00	\$ 270,800.00	\$ 270,000.00	\$ 800.00	Inv #293602	Yes	Invoice in binder
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-May-2015		100%	\$ 2,791,067.39	\$ 208,678.65	\$ 173,188.65	\$ 35,490.00	See Remarks	Yes	Invoice #'s 293654, 293653 in binder
LONG PAINTING (Non-DBE sub)												
Fryer Knowles (LT to Long Painting)	Painting	Need	10-Sep-2015		#DIV/0!	\$ 7,052.50	\$ 7,052.50	\$ 7,052.50	\$ 7,052.50	Inv #9157-B1	Yes	Invoice in binder
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)												
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,895.00	\$ 5,895.00	\$ 5,456.25	\$ 438.75	See Remarks	Yes	Invoice #'s 90531, 90532 in binder
SOLDATA - KPG (Non-DBE sub)												
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 1,129,619.44	\$ 960,905.81	\$ 168,713.63	See Remarks	Yes	Invoice #'s 1354, 1357, 1372, 1384 in binder
US Electric (Non-DBE sub)												
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 1,532,954.14	\$ 1,532,954.14	\$ 1,307,762.25	\$ 225,191.89	See Remarks	Yes	Invoice #'s 6970, 6979, 6986, 6997 in binder
						\$ 115,219,437.79	\$ 105,780,253.69	\$ 104,336,690.17	\$ 1,443,563.52			

**ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Total Payments this Report (July)	#65 Total Payments to Date	#64 Total Payments to Date	Compare Total Pymts to Date (#65 - #64)	Variance	REMARKS
FIRST TIER											
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 2,400.00	\$ 122,645.14	\$ 120,245.14	\$ 2,400.00	\$ -	Check #14209 in binder
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 9,511.68	\$ 1,325,044.90	\$ 1,315,533.22	\$ 9,511.68	\$ 0.00	Check #'s 14097, 14142, 14172, 14253, 14318 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 841.13	\$ 294,679.09	\$ 293,837.96	\$ 841.13	\$ (0.00)	Check #14316 in binder
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 9,900.00	\$ 193,821.20	\$ 183,921.20	\$ 9,900.00	\$ -	Check #14252 in binder
Marshbank	Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 405,776.21	\$ 1,860,697.04	\$ 1,454,920.83	\$ 405,776.21	\$ -	Check #14324 in binder
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 10,899.82	\$ 182,436.07	\$ 171,536.25	\$ 10,899.82	\$ -	Check #'s 14325, 14211 in binder
Meeds Environmental	Filter Press	V	2-Apr-2015	Active	100%	\$ 2,740.00	\$ 63,020.00	\$ 60,280.00	\$ 2,740.00	\$ -	Check #14332 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 6,192.00	\$ 470,330.88	\$ 464,138.88	\$ 6,192.00	\$ -	Check #'s 14188, 14237, 14280 in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 541,287.03	\$ 19,596,944.97	\$ 19,055,657.94	\$ 541,287.03	\$ 0.00	Check #14099 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 23,244.52	\$ 1,034,830.89	\$ 1,011,586.37	\$ 23,244.52	\$ -	Check #'s 14181, 14247 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 13,556.00	\$ 965,500.49	\$ 951,944.49	\$ 13,556.00	\$ -	Check #14245 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 24,038.00	\$ 1,107,505.50	\$ 1,083,467.50	\$ 24,038.00	\$ -	Check #'s 14100, 14248 in binder
United Professional Caulking	Sealants & Expansion Joints	S	3-Mar-2016			\$ 10,484.01	\$ 165,626.31	\$ 155,142.30	\$ 10,484.01	\$ -	Check #14107 in binder
VENDORS											
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 4,987.90	\$ 445,617.88	\$ 440,629.98	\$ 4,987.90	\$ (0.00)	Check #'s 14129, 14278 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 10,180.74	\$ 423,110.46	\$ 412,929.72	\$ 10,180.74	\$ (0.00)	Check #'s 14167, 14222, 14263 in binder
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 61.28	\$ 12,217.40	\$ 12,156.12	\$ 61.28	\$ 0.00	Check #14134 in binder
LOWER TIER											
BASF - KTI Logistics (Non-DBE sub)											
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 1,080.00	\$ 22,509.00	\$ 20,349.00	\$ 2,160.00	\$ (1,080.00)	Check #12937 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 320,266.71	\$ 2,796,007.07	\$ 2,475,740.36	\$ 320,266.71	\$ -	Wire Transfer #'s 160701187830, 903707010187829, 903707140038612, 903707210039739, 160727037455 in binder
HNTB (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 7,533.50	\$ 422,713.38	\$ 415,179.88	\$ 7,533.50	\$ -	Check #391752
JH KELLY (Non-DBE sub)											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 29,475.00	\$ 577,843.98	\$ 548,368.98	\$ 29,475.00	\$ -	Wire Transfer #0017265 in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)											
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014	Active	100%	\$ 29,177.65	\$ 244,589.50	\$ 215,411.85	\$ 29,177.65	\$ -	Check #382212 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 41,313.00	\$ 5,067,815.34	\$ 5,026,502.34	\$ 41,313.00	\$ -	Check #'s 55660, 56036 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016			\$ 96,000.00	\$ 136,037.50	\$ 40,037.50	\$ 96,000.00	\$ -	Check #56271 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	R	9-May-2016			\$ 2,760.00	\$ 2,760.00	\$ -	\$ 2,760.00	\$ -	Check #55660 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	R	12-May-2016			\$ 2,760.00	\$ 2,760.00	\$ -	\$ 2,760.00	\$ -	Check #56036 in binder
LONG PAINTING (Non-DBE sub)											
Fryer Knowles (LT to Long Painting)	Painting	Need	10-Sep-2015		#DIV/0!		\$ 6,347.25	\$ 6,347.25	\$ 6,347.25	\$ (6,347.25)	Check #183497 in binder
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)											
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!		\$ 5,895.00	\$ 5,456.25	\$ 438.75	\$ (438.75)	Check #250005 in binder
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 74,765.59	\$ 1,035,671.36	\$ 938,472.03	\$ 97,199.33	\$ (22,433.74)	Check #'s 4377, 4871 in binder
US Electric (Non-DBE sub)											
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 72,349.20	\$ 1,232,344.81	\$ 1,040,042.16	\$ 192,302.65	\$ (119,953.45)	Check #'s 103252, 103265, 103266 in binder
						\$ 1,753,580.97	\$ 103,579,817.33	\$ 101,675,983.17	\$ 1,903,834.16	\$ (\$181.84)	

**ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION**

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#65 Commitment	#65 Invoiced to Date	#65 Total Payments this Report (July)	#65 Total Payments to Date	#65 DBE Amount (as Amended)	#64 DBE Amount (as Amended)	#65 - #64 DBE Amount (as Amended)	Pymt to Date <=#65 DBE Amt	REMARKS
FIRST TIER														
Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 122,645.14	\$ 122,645.14	\$ 2,400.00	\$ 122,645.14	\$ 122,053.64	\$ 119,653.64	\$ 2,400.00	Yes	
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,330,593.38	\$ 1,330,593.38	\$ 9,511.68	\$ 1,325,044.90	\$ 1,325,044.90	\$ 1,315,533.22	\$ 9,511.68	Yes	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 294,679.09	\$ 294,679.09	\$ 841.13	\$ 294,679.09	\$ 163,832.26	\$ 163,131.13	\$ 701.13	Yes	
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 193,821.20	\$ 193,821.20	\$ 9,900.00	\$ 193,821.20	\$ 162,550.00	\$ 152,650.00	\$ 9,900.00	Yes	
Marshbank	Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 1,968,580.87	\$ 1,958,627.44	\$ 405,776.21	\$ 1,860,697.04	\$ 1,683,429.93	\$ 1,059,402.86	\$ 624,027.07	Yes	
Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 190,637.86	\$ 190,637.86	\$ 10,899.82	\$ 182,436.07	\$ 182,436.07	\$ 171,536.25	\$ 10,899.82	Yes	
Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 63,020.00	\$ 63,020.00	\$ 2,740.00	\$ 63,020.00	\$ 63,020.00	\$ 60,280.00	\$ 2,740.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 481,724.41	\$ 481,724.41	\$ 6,192.00	\$ 470,330.88	\$ 470,330.88	\$ 464,138.88	\$ 6,192.00	Yes	
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 19,608,119.77	\$ 19,596,944.97	\$ 541,287.03	\$ 19,596,944.97	\$ 16,320,002.61	\$ 15,816,468.16	\$ 503,534.45	Yes	
Buckley's Hauling (LT to OMA)	Trucking	V	20-May-2016							\$ 1,170.00		\$ 1,170.00	Yes	Paid by upper tier
JMR Trucking (LT to OMA)	Trucking	V	1-Dec-2012	Active	100%					\$ 5,200.00		\$ 5,200.00	Yes	Paid by upper tier
Mak's Dump Truck (LT to OMA)	Trucking	V	1-Dec-2012	Active	100%					\$ 279,853.23	\$ 269,810.73	\$ 10,042.50	Yes	Paid by upper tier
Tan Trucking (LT to OMA)	Trucking	V								\$ 17,787.50	\$ 11,190.00	\$ 6,597.50	Yes	Paid by upper tier
Trinity Development (LT to OMA)	Trucking	V	17-Oct-2012	Active	100%					\$ 217,977.69	\$ 216,872.69	\$ 1,105.00	Yes	Paid by upper tier
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,034,830.89	\$ 1,034,830.89	\$ 23,244.52	\$ 1,034,830.89	\$ 887,083.08	\$ 868,799.40	\$ 18,283.68	Yes	
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 965,500.49	\$ 965,500.49	\$ 13,556.00	\$ 965,500.49	\$ 959,104.81	\$ 945,548.81	\$ 13,556.00	Yes	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,107,505.50	\$ 1,107,505.50	\$ 24,038.00	\$ 1,107,505.50	\$ 1,107,505.50	\$ 1,083,467.50	\$ 24,038.00	Yes	
United Professional Caulking	Sealants & Expansion Joints	S	3-Mar-2016			\$ 3,682,039.00	\$ 174,343.50	\$ 10,484.01	\$ 165,626.31	\$ 165,626.31	\$ 155,142.30	\$ 10,484.01	Yes	
VENDORS														
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 450,331.78	\$ 450,331.78	\$ 4,987.90	\$ 445,617.88	\$ 267,370.73	\$ 264,377.99	\$ 2,992.74	Yes	
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMA's	R	NA	Active	99%	\$ 423,251.76	\$ 423,251.76	\$ 10,180.74	\$ 423,110.46	\$ 253,866.28	\$ 247,757.83	\$ 6,108.44	Yes	
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 15,594.35	\$ 15,594.35	\$ 61.28	\$ 12,217.40	\$ 12,217.40	\$ 12,156.12	\$ 61.28	Yes	
LOWER TIER														
BASF - KTI Logistics (Non-DBE sub)														
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 24,669.00	\$ 24,669.00	\$ 1,080.00	\$ 22,509.00	\$ 22,509.00	\$ 20,349.00	\$ 2,160.00	Yes	
HNTB (Non-DBE sub)														
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 430,543.61	\$ 430,543.61	\$ 7,533.50	\$ 422,713.38	\$ 419,250.88	\$ 411,717.38	\$ 7,533.50	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 604,258.50	\$ 29,475.00	\$ 577,843.98	\$ 477,718.98	\$ 450,493.98	\$ 27,225.00	Yes	
JH KELLY - DESERT MECHANICAL (Non-DBE sub)														
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014	Active	100%	\$ 250,383.81	\$ 244,589.50	\$ 29,177.65	\$ 244,589.50	\$ 244,589.50	\$ 215,411.85	\$ 29,177.65	Yes	
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)														
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1488	Mechanical	S	1-May-2013	4/1/2015	100%	\$ 628,754.60	\$ 628,176.43		\$ 596,767.64	\$ 596,767.64	\$ 477,473.98	\$ 119,293.66	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,363,965.42	\$ 5,165,557.99	\$ 41,313.00	\$ 5,067,815.34	\$ 3,040,689.20	\$ 3,015,901.40	\$ 24,787.80	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 200,037.50	\$ 96,000.00	\$ 136,037.50	\$ 81,622.50	\$ 24,022.50	\$ 57,600.00	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	R	9-May-2016			\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 1,656.00	\$ -	\$ 1,656.00	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	R	12-May-2016			\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 2,760.00	\$ 1,656.00	\$ -	\$ 1,656.00	Yes	
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)														
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,895.00	\$ 5,895.00		\$ 5,895.00	\$ 5,895.00	\$ 5,456.25	\$ 438.75	Yes	
SOLDATA - KPG (Non-DBE sub)														
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 1,129,619.44	\$ 74,765.59	\$ 1,035,671.36	\$ 1,035,671.36	\$ 938,472.03	\$ 97,199.33	Yes	
US Electric (Non-DBE sub)														
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 1,532,954.14	\$ 1,532,954.14	\$ 72,349.20	\$ 1,232,344.81	\$ 1,232,344.81	\$ 81,475.26	\$ 1,150,869.55	Yes	
						\$ 115,219,437.79	\$ 105,780,253.69	\$ 1,753,580.97	\$ 1,033,579,817.33	\$ 82,428,375.92	\$ 79,639,233.37	\$ 2,789,142.54		

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	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
V	601872128	OMA Construction	5/1/2014	5/15/2014	1/27/2016	None	Duncan Asphalt	
V	603499415	Advanced Traffic Control	5/4/2016	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602681276	Buckley's Hauling	5/20/2016	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
R	578062075	Mobile Electric #292345	5/9/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #293767	5/12/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #297235	5/24/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
V	601202429	AAR Testing Laboratories Inc.	11/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	PSI	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
S	601997791	Advanced Underground Utilities	10/15/2014	8/10/2015	Pending Retainage/Compliance	Pending Retainage/Compliance	STP	
V	601552304	AM Test, Inc	2/19/2015	2/21/2015	10/23/2015	None	OnSite Environmental (3rd Tier to Greylock)	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
V	603160662	Ball & Son Excavation LLC	1/3/2013	N/A - Firm is still active	N/A	None	OMA	Became DBE 2/4/16
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	601554695	Bear Wood Windows		N/A	N/A	N/A	STP	Work won't start until 2015
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	3/26/2012	8/30/2013	2/15/2015		Malcolm	Contract #1 - Closed
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13
R	601361936	Birch Equipment Rental and Sales	11/23/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602681276	Buckley's Hauling	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602408510	C&E Transport	3/21/2013	3/27/2013		None	NW Asphalt	
V	602919268	C2PM, Inc.	9/1/2011	N/I	N/I	None	STP	No Retention Held
R	600237496	Cascade Concrete Products	9/1/2013	N/A - Firm is still active	5/7/2015	None	FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602677969	DHD Trucking LLC	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	601781913	Excel Supply Co	1/13/2015	N/A - Firm is still active	Active	None	STP	Jackets & hates
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	saftey supplies
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Long Painting	CUF issue - Long will issue 2nd contract, carving out work for Fryer to complete a CUF
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	601404405	Garner Construction Inc	9/8/2014	2/2/2015	2/15/2015	None	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation

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S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls	
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC		
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP		
S	601196371	Green City Inc	1/1/2017	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
R	602556355	Highway Specialities	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	603110800	Ideal Commercial Uniforms	1/4/2015	1/4/2015	N/A	None	STP	purchase order	
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB		
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP		
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	602101301	JMR Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)		
V	602101301	JMR Trucking Inc	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP		
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical		
S	601919250	JP Francis & Assoc (plumbing)	4/10/2013	N/A - Firm is still active	NA	None	BASF	2/28/15 - pending firm's response	
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP		
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation	
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation	
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs	
S	601644136	LK Media	2/1/2016	N/A - Firm is still active	N/A	None	CBE Strategic		
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs	
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602671980	Mak's Dump Trucking	11/18/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	7/20/2012	2/15/2015		Malcolm	Contract #1 - Closed	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	603190297	MBI Construction	9/17/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr		
V	603001744	Meeds Environmental		N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602896473	Michaelis Trucking	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP		
R	578062075	Mobile Electric Distributors Inc.	11/25/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
R	578062075	Mobile Electric Distributors Inc.					VECA		
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 248451	1/6/2016	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 279119	3/30/2016	N/A - Firm is still active	N/A	None	JH Kelly		
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184	
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk		
R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk		
R	578062075	Mobile Electrical Distributors	10/20/2014	1/22/2014	5/6/2015	None	US Electric	USE 1st Contract	

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R	578062075	Mobile Electrical Distributors Inc. - 256256	2/8/2016	N/A - Firm is still active	N/A	None	JH Kelly		
R	578062075	Mobile Electrical Distributors Inc. - 270234	2/25/2016	N/A - Firm is still active	N/A	None	JH Kelly		
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
S	602120171	Neppel Electric	5/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
S	602120171	Neppel Electric	9/1/2014	2/1/2015	Pending Retainage	Pending Retainage	STP		
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held	
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc		
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14	
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601872128	OMA Construction	7/29/2013	12/1/2015	9/1/2014	9/10/2014	KPG Inc		
V	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt		
V	601872128	OMA Construction	10/23/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
V	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc		
V	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group		
V	601872128	OMA Construction - Trucking North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP		
V	601872128	OMA Construction - Trucking South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP		
V	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP		
V	602896811	O'Neill Environmental Services LLC	5/11/2015	N/A - Firm is still active	Active	N/A - Firm is still active	HNTB		
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock		
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB		
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB		
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing		
V	602326408	Pacific Geo Engineering (PGE)	4/1/2013	Active	Active	NA - Firm is still active	Professional Services Industry (PSI)		
S	601083375	Penny Lee Trucking	1/1/2013	1/30/2013	8/31/2015	None	Lennon Crane		
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	602492304	Ponglia Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)		
V	602492304	Ponglia Trucking Inc	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady		
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS		
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank		
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt		
V	603140884	Pro USA LLC	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP		
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14	
V	600410718	Quality Discount Supplies & Services	1/22/2015	1/22/2015	N/A	None	Mission Glass	purchase order	
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	601999311	Quality Woodworking	6/2/2015	Work has not started	Work has not started	Work has not started	STP		
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC		
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar		
V	602333185	Red Arrow Logistics	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr		
V	602619945	Road Runner Transportation	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris		
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB		
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc		
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI		
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP		
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)		
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley		
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14	
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB		
S	601735583	Sea-Tac Sweeping Service	11/29/2011		3/15/2012	None	STP	pending affidavit	
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		

SR 99		Bored Tunnel Alternative DB						ATTACHMENT #6	
STP		Fed Employer I.D. #27-3509698							
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes	
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP		
R/M	602216144	ST Fabrication - Purchase Orders	6/19/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected	
S	603064398	Steelkorr	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank		
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice		
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP		
S	603190024	Sundancer Electric	1/18/2016	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	Contract 2	
S	603190024	Sundancer Electric	4/13/2015	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	USE 2nd Contract	
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation	
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
S	602671547	Tan Trucking	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)		
V	603358386	Tan Trucking	11/6/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster	
V	601191630	Teknograf		N/A - Firm is still active	Active	N/A - Firm is still active	Yonemitsu Geological	Requested Contract	
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC		
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk		
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2	
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP		
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA		
V	602081190	Trinity Development Corp (TLC)	12/11/2015	N/A - Firm is still active	N/A	None	STP	Trucking Roster	
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held	
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	3/3/2016	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Sealants & Expansion Joints	
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	12/3/2014	Pending Retainage	Pending Retainage	STP	Harrison Street Expansion Joints	
V	601965843	United Reprographics	1/12/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB		
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
M	602240125	Wayron LLC #116168		N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc		
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc		
V	600279746	West Coast Printing	4/5/2011	11/12/2014	9/9/2014	None	STP	Firm closed business 11/2014	
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB		
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling		
V	601609012	Yonemitsu Geological Services		N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling (3rd Tier SolData)		
V	601609012	Yonemitsu Geological Services	12/5/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP		
Chart Key:									
Pending information									
Added this month									

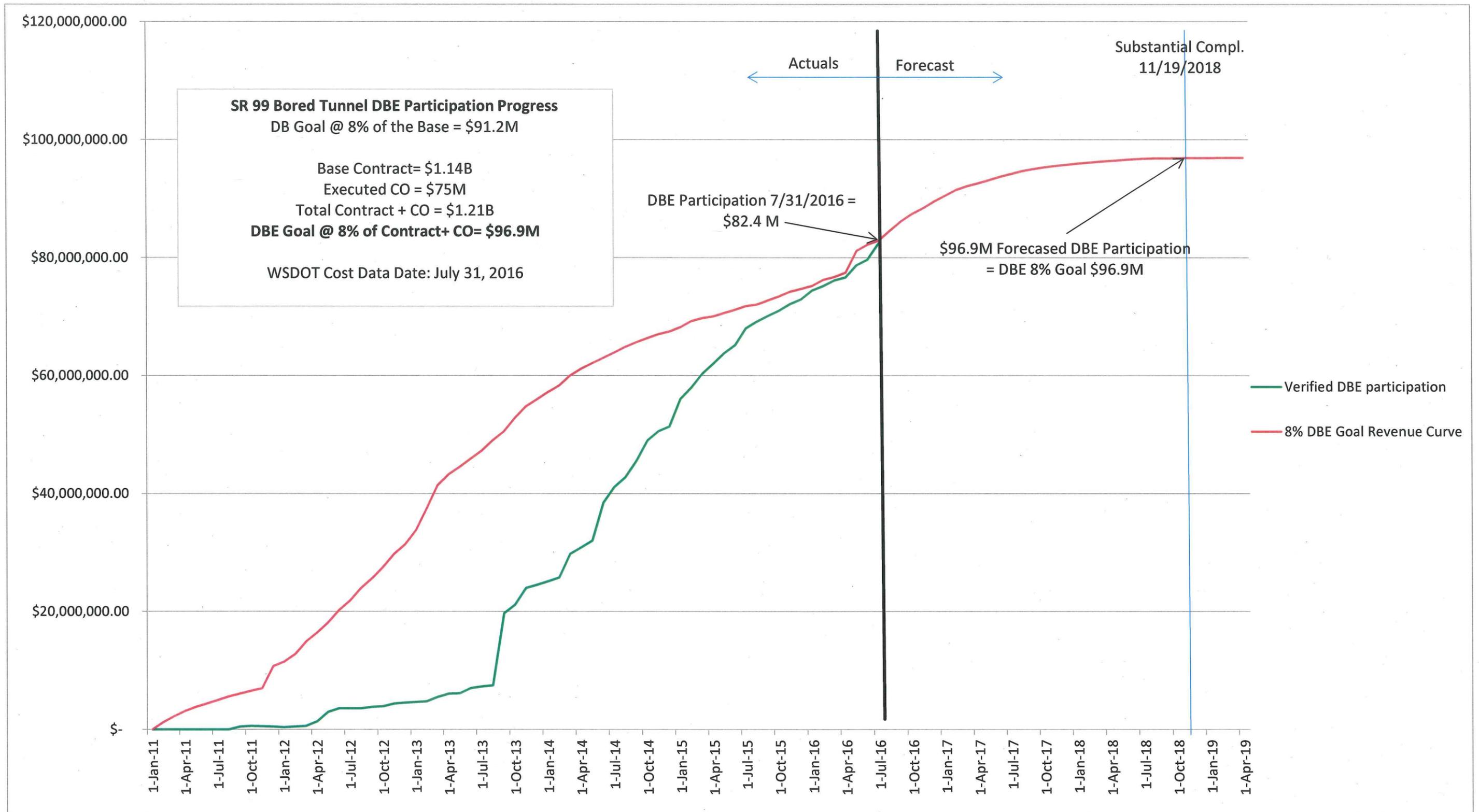
Attachment 7: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, July 2016

Contractor/Subcontractor	Prime Contract/Upper Tier	Number of JMN	Number of APPR	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	STP's Total Hours Worked	Journeyman Totals from Certified Payroll	Apprentice Hours from Certified Payroll	WSDOT Verif. of Total Hours Worked	Difference (Delta)	Month Year	Comments
DHD Trucking	STP	2	0	Street Sweeper	96	0	96	76	0	76	20	Jul-16	WSDOT verif. by calendar month.
Neppel Electric	SICE/JH Kelly	0	1	Electronic Technician	63	63	126	71	71	142	-16	Jul-16	WSDOT verif. by calendar month.
OMA - Job 1133 South Portal	STP	2	0	Truck Driver	47.5	0	47.5	47.75	0	47.75	-0.25	Jul-16	
Savage Logistics	STP	1	0	Truck Driver	197.5	0	197.5	164.5	0	164.5	33	Jul-16	WSDOT verif. by calendar month.
Savage Logistics	KTI/B ASF	1	0	Truck Driver	4.25	0	4.25	0	0	0	4.25	Jul-16	No Certified Payroll submitted.
Savage Logistics - PO# 797	Lining Precast - I	7	0	Truck Driver	1260.5	0	1260.5	981	0	981	279.5	Jul-16	WSDOT verif. by calendar month.
SteelKorr	STP	1	1	Ironworker	90	90	180	160	90	250	-70	Jul-16	WSDOT verif. by calendar month.
Sundancer Electric	US Electric	6	2	Electrician	1609.5	506.5	2116	1588	506.5	2094.5	21.5	Jul-16	
Total		20	4		3368.25	659.5	4027.75	3088.25	667.5	3755.75	272		

Exhibit C

SR 99 Bored Tunnel Design-Build Contract

DBE Goal Attainment Tracking Graphic, through July 2016





**Washington State
Department of Transportation**

Transportation Building
310 Maple Park Avenue S.E.
P.O. Box 47300
Olympia, WA 98504-7300
360-705-7000
TTY: 1-800-833-6388
www.wsdot.wa.gov

September 1, 2016

Dear Valued Partner:

The Washington State Department of Transportation values your contribution to the highway construction program in Washington state. As you may be aware, our agency emphasis areas are:

- *Practical solutions* – using a data-driven approach to manage assets and improve the performance of the multimodal transportation system at the least cost
- *Workforce development* – addressing recruitment and retention issues and succession planning for our agency's future
- *Inclusion* – making sure there are fair and equal opportunities to participate in WSDOT contracts, employment and decision making, and that every voice is heard

An important aspect of increasing inclusion is our Disadvantaged Business Enterprise Program which plays a vital role in the minority and women's contracting community. WSDOT has had challenges with this program, but thanks to collaboration with our partners and continued commitment, we continue to make programmatic enhancements to stay in compliance with Federal Highway Administration requirements.

As we continue to create a premier DBE Program, please be advised that we will be strengthening our response to violations of the rules governing the DBE Program; such as failure to abide by state and federal prompt payment laws, commercially useful function violations, and failing to meet project goals.

While we will be increasing penalties for programmatic violations, our goal is to help you be more successful as a prime contractor working with the DBE Program. WSDOT's Office of Equal Opportunity is available help you understand how to successfully navigate the DBE Program. For more information, please call the Office of Equal Opportunity at 360-705-7090 or visit: www.wsdot.wa.gov/EqualOpportunity/.

Thank you for working with WSDOT to help us embrace diversity and foster an environment of equality.

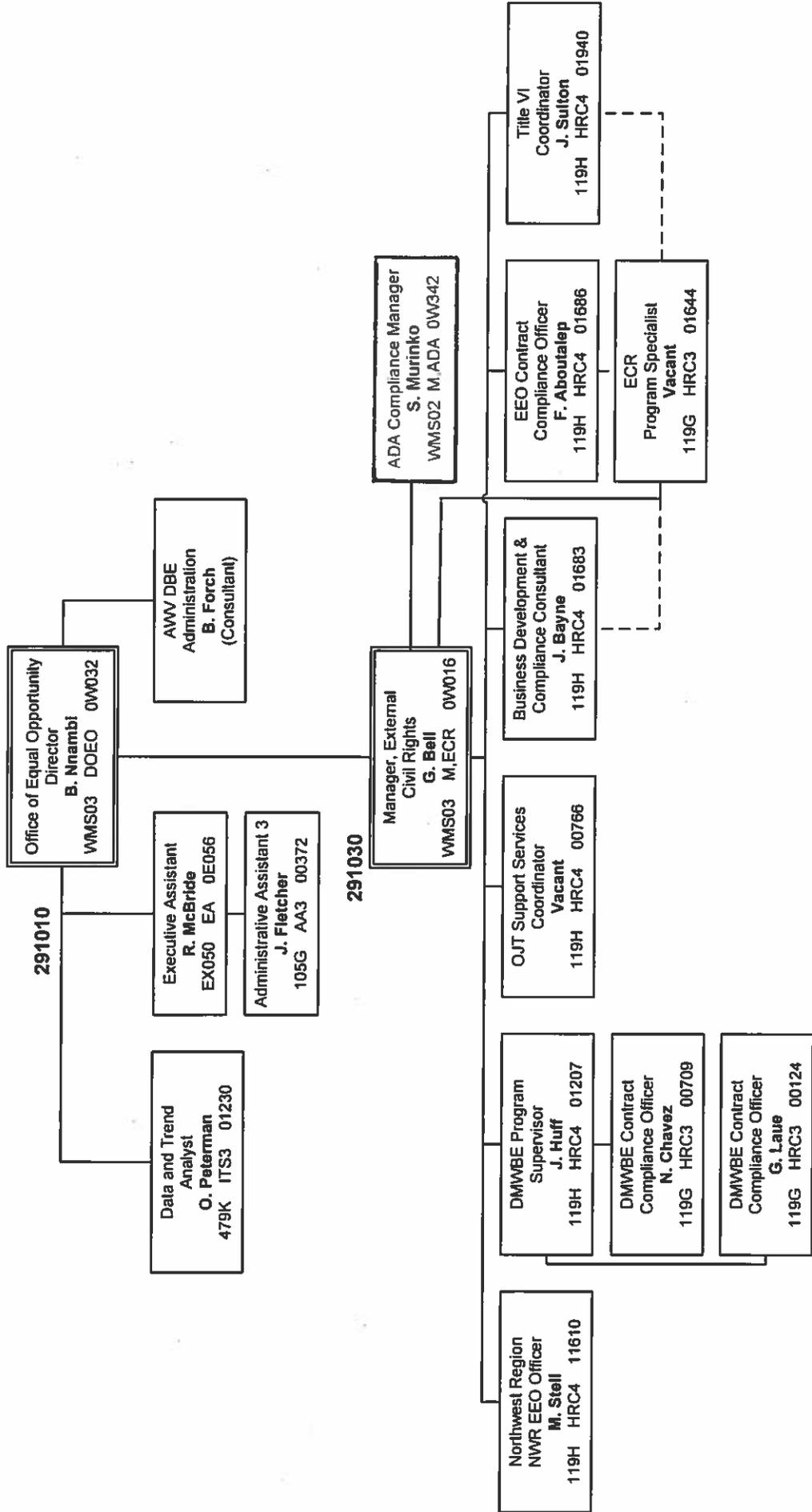
Regards,

A handwritten signature in blue ink, appearing to read 'R. Millar'.

Roger Millar, PE, AICP
Secretary of Transportation

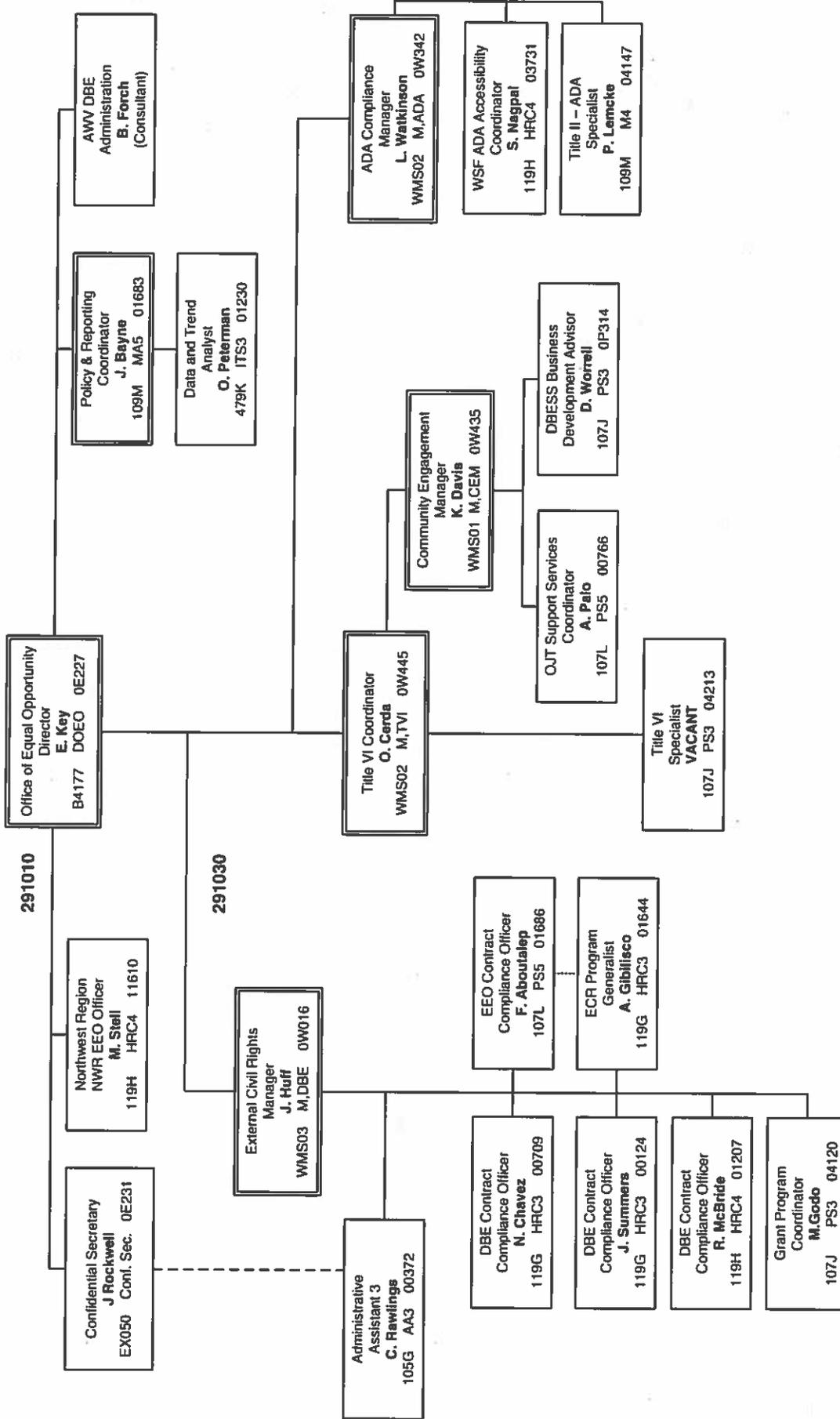
Office of Equal Opportunity

Exhibit E



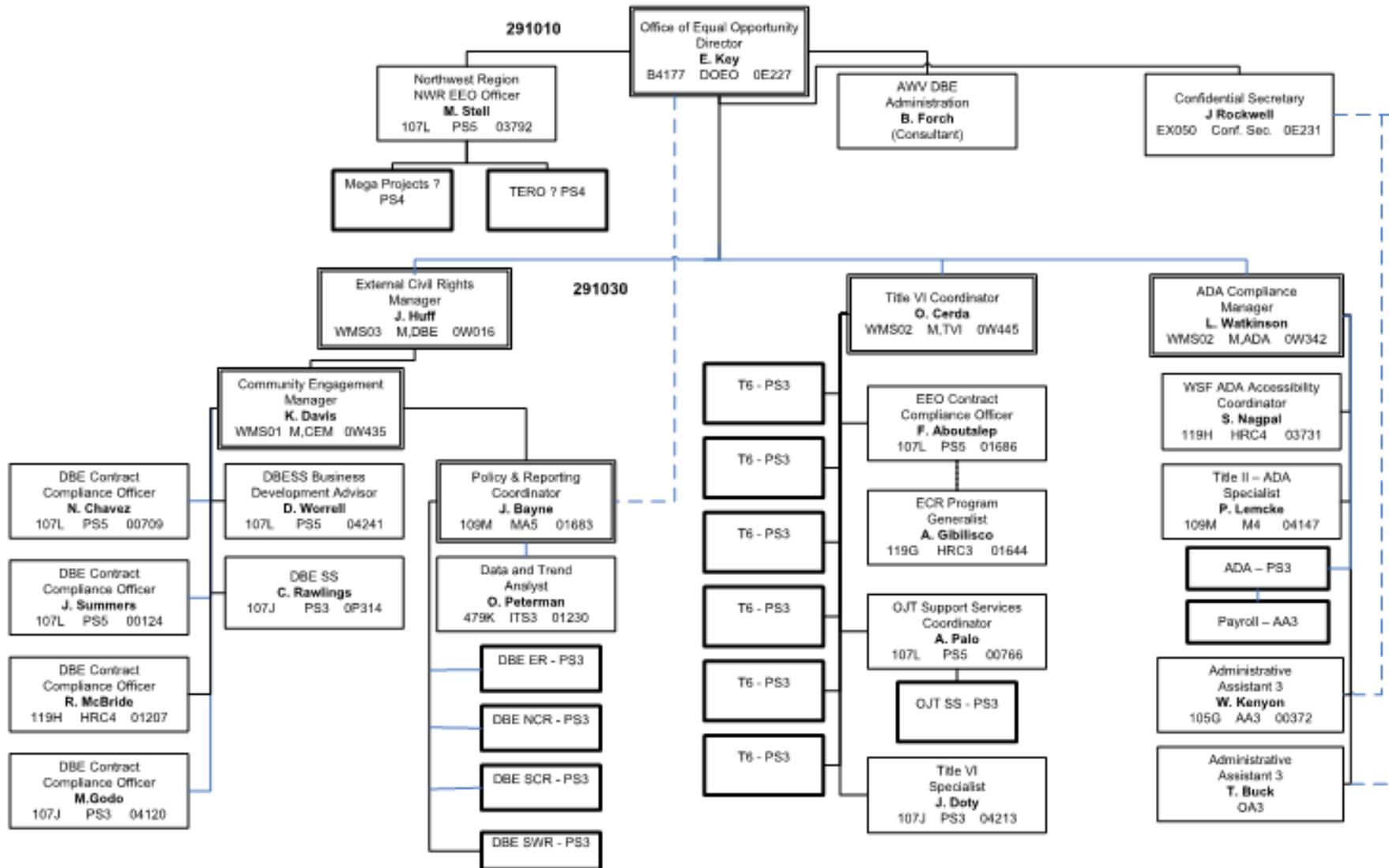
Office of Equal Opportunity

Exhibit F



Approved: Earl Key Date: 6/27/16
 Earl Key, Director, Office of Equal Opportunity

Office of Equal Opportunity



Approved _____ Date _____
Earl Key, Director, Office of Equal Opportunity

SAVE THE DATE

2016 BUSINESS AFTER HOURS

NOVEMBER 9, 2016

FROM 5 - 8 P.M.

AT THE

MUSEUM OF FLIGHT IN SEATTLE

Sound Transit, the Port of Seattle and the Washington State Department of Transportation are proud to bring you the seventh annual Business After Hours Event!

This event is for small, veteran, minority- and women-owned consulting and construction firms to network and establish meaningful relationships with prime contractors, consultants and agency staff. Join us in a casual and engaging atmosphere for an awards ceremony, networking opportunities with prime contractors and consultants, and refreshments.

More information, including registration, will be coming later this fall.



Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Notice to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

Save the Date!

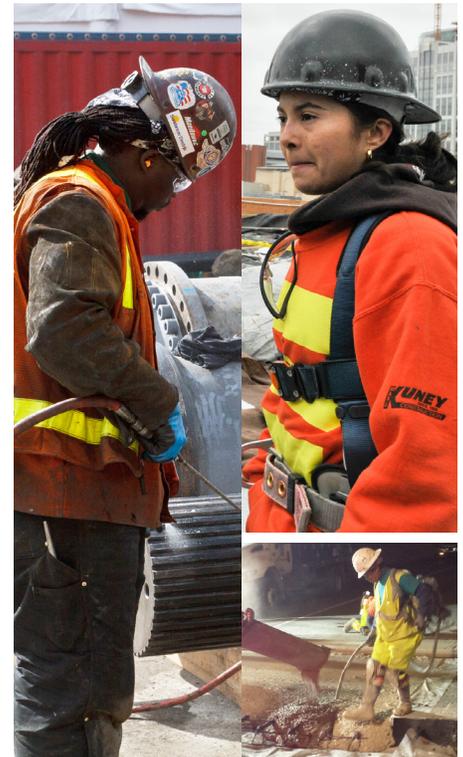


Speed Networking

5:30 – 8 p.m. Tuesday Oct. 11
Museum of Flight
9404 East Marginal Way South
Seattle, WA 98108

WSDOT IS HOSTING A SPEED NETWORKING EVENT DESIGNED
TO HELP SUB-CONTRACTORS CREATE NEW
RELATIONSHIPS WITH PRIME CONTRACTORS.

More information is available at by calling the WSDOT Office of
Equal Opportunity at 1-888-259-9143 or on the web at
www.wsdot.wa.gov/EqualOpportunity/events.htm



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DBE NEWS

SEPTEMBER
QUARTERLY EDITION

It's Not Too Late to Participate!

In April 2016, WSDOT hired Colette Holt & Associates, to conduct a [DBE Program Disparity Study](#). This study analyzes the availability versus utilization of minority and women in firms that participate in our highway construction program and the effectiveness of WSDOT's Disadvantaged Business Enterprise Program. The consultant is starting to collect personal testimony about your experiences with WSDOT and we would appreciate if you would participate. For more information on how to participate in the WSDOT Disparity Study, please email wsdot_study@mwbelaw.com or call 855-692-3529.

Seeking State Certification

WSDOT has launched a new Diverse Business Program for the Connecting Washington projects. This program will focus on state certified firms, specifically minority-, women-, small- and veteran-owned businesses. If your firm is already federally DBE certified and is interested in being state certified as a Minority or Women's Business Enterprise, please use the Office of Minority and Women's Business Enterprises new [short form](#).

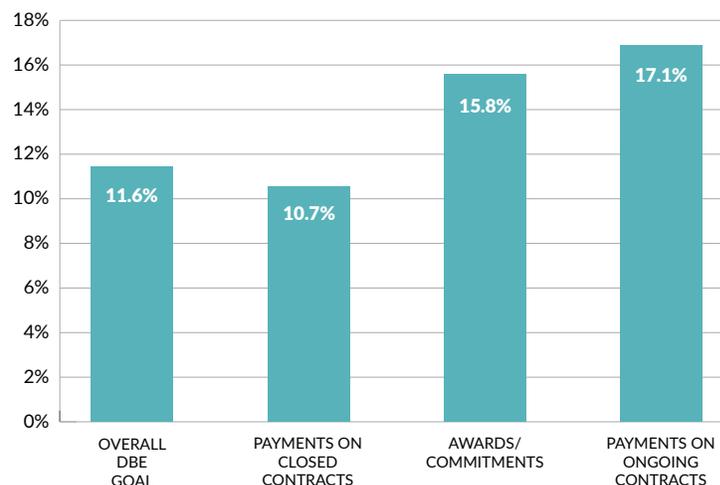
WSDOT will continue to work with the Governor's Subcabinet on Business Diversity to increase the utilization of minority- and women-owned firms. Please help us administer a more successful state program by seeking state certification today!

The Seattle Daily Journal of Commerce for DBEs

Under special arrangement with the Seattle Daily Journal of Commerce, WSDOT Office of Equal Opportunity is able to offer a discounted service rate to certified DBEs based in Washington state. If your firm is certified DBE and based in Washington state, please contact the Office of Equal Opportunity for complete details about this offer at 888-259-9143 or dbess@wsdot.wa.gov.

WSDOT's Current DBE Participation

Thus far for Federal Fiscal Year 2016, October 1, 2016 through July 31, 2016, WSDOT is meeting and exceeding its overall DBE goal of 11.6 percent in two of the three target areas:





Speed Networking

5:30 – 8 p.m.

Tuesday, October 11, 2016

Museum of Flight

9404 East Marginal Way South

Seattle, Washington

WSDOT is hosting a Speed Networking Event designed to help subcontractors create new relationships with prime contractors. For more information, please contact Khalia Davis, WSDOT's Community Engagement Manager, at DavisKh@wsdot.wa.gov or 360-705-7085.

Business After Hours

5 – 8 p.m.

Wednesday, November 9, 2016

Museum of Flight

9404 East Marginal Way South

Seattle, Washington

Sound Transit, the Port of Seattle and the Washington State Department of Transportation are proud to bring you the seventh annual Business After Hours Event! This event is for small-, veteran-, minority- and women-owned consulting and construction firms to network and establish meaningful relationships with prime contractors, consultants and agency staff. Join us in a casual and engaging atmosphere that includes an awards ceremony and networking opportunities with prime contractors and consultants. Registration will be coming later this fall!

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Proposed Small and Veteran's Business Enforceable Goals Program

Purpose:

The Washington State Department of Transportation (WSDOT) has been working with the Governor's Business Diversity Subcabinet to increase opportunities for Minority-, Women-, and Veteran-owned businesses, and small businesses.

WSDOT encourages the following economic inclusion goals for participation of the following groups on solely state funded projects:

- Minority Business Enterprises 10%
- Women Business Enterprises 6%
- Veteran Businesses 5%
- Small Businesses 5%

WSDOT implemented a voluntary M/S/V/WBE Program in February 2016 while awaiting a formal opinion from the Attorney General's Office (AGO) regarding whether it is legally permissible for a state agency to establish enforceable race-conscious and gender-conscious contract goals. Supporting documentation takes the form of a disparity study that shows socially and economically disadvantaged groups are experiencing the effects of discrimination in markets relevant to public contracting. The supporting laws are; the Equal Protection Clauses of the U.S. and Washington Constitution, Title IV of the federal Civil Rights Act, as well as Washington's laws against discrimination, including Revised Code of Washington (RCW) 49.60.400 (otherwise known as I-200).

WSDOT's 2012 DBE Program Disparity Study (final report published May 17, 2013) was created due to requirements set forth in, 49 CFR 26. The aforementioned regulation describes requirements of the USDOT's Disadvantaged Business Enterprise (DBE) Program. The WSDOT 2012 DBE Program Disparity Study analyzed both quantitative and qualitative data for solely state funded projects, and projects that included some portion of federal funding considering both quantitative and qualitative data. The study employed a statistical methodology known as a disparity index, which provided evidentiary support for establishment of DBE goals.

Proposal:

WSDOT proposes to have a fixed small business enforceable contract goal on all solely state funded projects. Small businesses, Mini-businesses and Micro-businesses as defined in RCW 39.26.010 and Veteran businesses as defined in RCW 43.60A.010 would meet the criteria for counting toward the goal. Meeting the diverse business goal may be accomplished by using any combination of qualified contractors (i.e., minority, small including mini and micro, veteran or women).

Prime contractors would receive a multiplied credit for utilizing small businesses that fall into certain categories. The multiplied credit aims to encourage the use of certain categories which will increase the size and diversity of the pool of contractors and subcontractors working with WSDOT. The categories and respective incentive/multipliers are as follows:

Proposed Small and Veteran's Business Enforceable Goals Program

- **Category A**

Small, Mini-, Micro- and Veteran businesses that have not worked with WSDOT previously will be credited at five times the actual dollars paid. For example, if a firm in this category worked on a project and was paid \$20,000 the prime contractor would receive $(\$20,000 \times 5) = \$100,000$ credit toward the contract goal.

- **Category B**

A Micro-business not qualifying for credit as defined in category A will be credited at four times the actual dollars paid. For example, if a firm in this category worked on a project and was paid \$20,000 the prime contractor would receive $(\$20,000 \times 4) = \$80,000$ credit toward the contract goal.

- **Category C**

A Mini-business not eligible for credit as defined in category A or B will be credited at three times the actual dollars paid. For example, if a firm in this category worked on a project and was paid \$20,000 the prime contractor would receive $(\$20,000 \times 3) = \$60,000$ credit toward the contract goal.

- **Category D**

Small and Veteran businesses that are not eligible for a credit as defined in categories A, B or C will be credited at one times the actual dollars paid. For example, if a firm in this category worked on a project and was paid \$20,000 the prime contractor would receive $(\$20,000 \times 1) = \$20,000$ credit toward the contract goal.

The intent of the multiplier is to encourage prime contractors to utilize subcontractors that are new to WSDOT work and level the benefit of using smaller businesses to be more on par with using the larger businesses. The WSDOT will measure programmatic accomplishments by reviewing total dollars paid and the increase in number and diversity of contractors that benefit under the program.

The small business enforceable goals program will be a condition of award for the contract. Prior to award of a contract the contractor shall submit a Small and Veteran's Business Inclusion Plan. The plan must demonstrate specifically how the contractor will meet the goal. As part of the plan a Good Faith Effort (GFE) may be submitted in lieu of participation in the event the contractor believes they are unable to meet the contract goal in whole or part. The contractor shall not be awarded the project until the plan is approved or a GFE is demonstrated.

Upon completion of the project the Contractor's attainment and/or efforts to attain the goal (GFE) will be judged and reflected in the Prime Contractor Performance Report. Failure to meet the goal or provide an acceptable GFE may lead to sanctions including suspension of a Contractor's prequalification.

Under the new Program, Commercially Useful Function (CUF) will only apply to small and veteran owned businesses in Category D, all other contractors will not be subject to CUF restrictions. The intent of this relaxation of the CUF requirement is to allow companies with little or no WSDOT experience the opportunity to operate in a protégé-mentor capacity with the prime contractor. If CUF requirements

Proposed Small and Veteran's Business Enforceable Goals Program

apply, the application of CUF would be similar to the requirement of the federal DBE program, 49 CFR 26.55.

Administering a program as proposed requires administrative efforts including outreach, mentoring, training and reporting. Normally these costs are included as part of a contractor's overhead and distributed throughout their pricing. WSDOT intends to link payment to results specifying a payment of an additional 5% of the actual dollars paid and credited toward meeting the goal for overhead reimbursement. The overhead reimbursement will only be available on construction projects. The overhead reimbursement would be calculated based on dollars paid ignoring the aforementioned multipliers and will be capped not to exceed \$100,000 in any one calendar year. No overhead reimbursement payment will be made unless and when the contractor achieves the goal. Additional payment will be paid for any participation over and above the goal within the aforementioned restrictions.

Example 1:

1. A contract has a goal of \$250,000 which is achieved on a contract completed within one calendar year.
2. The contractor achieves the goal by subcontracting and paying for \$50,000 of work to small businesses that qualify under Category A.
3. The goal attainment is calculated as $(\$50,000 \text{ paid}) \times (5 \text{ multiplier}) = \$250,000$.
4. The overhead reimbursement payment is calculated as $(\$50,000 \text{ paid}) \times 5\% = \$2,500$.
5. The payment is under the \$100,000 per year cap.
6. The total goal is attained allowing release of the \$2,500 overhead (OH) payment.

Example 2:

1. A contract has a goal of \$300,000 which is achieved on a contract completed within three calendar years.
2. The contractor achieves the goal by subcontracting \$300,000 of work to small businesses that qualify under Category D.
3. The goal attainment is calculated as $(\$300,000 \text{ paid}) \times (1 \text{ multiplier}) = \$300,000$.
4. The overhead reimbursement payment is calculated as;
 - a. Calendar year one
 - i. \$150,000 is achieved for a total to date of (50% of the goal).
 - ii. No overhead is paid because total goal is not accomplished.
 - b. Calendar year two
 - i. \$50,000 (of the goal) is achieved for a total to date of \$200,000 (66% of the goal).
 - ii. No overhead is paid because total goal is not accomplished.
 - c. Calendar year three, 3 monthly payments are made in the 3rd year
 - i. \$100,000 (of the goal) is achieved for a total to date of \$300,000 (100% of the goal).

Proposed Small and Veteran's Business Enforceable Goals Program

- ii. The overhead reimbursement payment is calculated as $\$300,000 \times 5\% = \$15,000$.
- iii. The goal is achieved allowing release of the \$15,000 OH payment.
- d. Any additional payments would warrant additional OH payment at a rate of 5%.

Meeting the contract goal is based on the dollars paid times the multipliers and therefore has no direct bearing on WSDOT's overall goal achievements. WSDOT attainment is calculated based on all paid and reported dollars that qualify under the program.

Definitions:

Per RCW 39.26.010(22): "Small business" means an in-state business, including a sole proprietorship, corporation, partnership, or other legal entity, that: (a) Certifies, under penalty of perjury, that it is owned and operated independently from all other businesses and has either: (i) Fifty or fewer employees; or (ii) A gross revenue of less than seven million dollars annually as reported on its federal income tax return or its return filed with the department of revenue over the previous three consecutive years; or (b) Is certified with the office of women and minority business enterprises under chapter 39.19 RCW.

Per RCW 39.26.010(17): "Minibusiness" means any business entity, including a sole proprietorship, corporation, partnership, or other legal entity, that: (a) Is owned and operated independently from all other businesses; and (b) has a gross revenue of less than three million dollars, but one million dollars or more annually as reported on its federal tax return or on its return filed with the department of revenue.

Per RCW 39.26.010(16): "Microbusiness" means any business entity, including a sole proprietorship, corporation, partnership, or other legal entity, that: (a) Is owned and operated independently from all other businesses; and (b) has a gross revenue of less than one million dollars annually as reported on its federal tax return or on its return filed with the department of revenue.

(7) "Veteran-owned business" means a business that is certified by the department [of Veteran's Affairs] to be at least fifty-one percent owned and controlled by: (a) A veteran as defined in RCW 41.04.007; or (b) An active or reserve member in any branch of the armed forces of the United States, including the national guard, coast guard, and armed forces reserves.