

APPENDIX 10 – COMPLIANCE MATRIX

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WASHINGTON STATE DEPARTMENT OF TRANSPORTATION
ADMINISTRATIVE SERVICES CONTRACTS OFFICE

COMPLIANCE MATRIX

All capitalized terms used in this Appendix 10, but not expressly defined herein, have the respective meanings set forth in Appendix 1 of the RFP.

As part of the submitted proposal, the Vendor shall complete this table to indicate:

- (1) if the proposed solution complies with the requirements of this Request for Proposals (RFP), and
- (2) the proposed solution's functionality either (a) requires some degree of additional development to meet the requirements of the RFP or (b) does NOT require any additional development to meet the requirements of the RFP.

In the Compliance section (3), the Vendor shall mark in the "Yes" column if the Vendor's proposed solution meets (or exceeds) each and every element contained within that requirement *as written* in its entirety without any modifications, limitations or exclusions. In the same section, the Vendor shall mark in the "No" column if the Vendor's proposed solution does not meet each and every element contained within that requirement *as written* in its entirety without any modifications, limitations or exclusions.

In the Development section (4), the Vendor shall mark in the "Development Required" column if the Vendor's proposed solution requires development or configuration to meet each and every mandatory element contained within that requirement *as written* in its entirety. In the same section, the Vendor shall mark in the "No Development Required" column if the Vendor's proposed solution does NOT require development to meet each and every mandatory element contained within that requirement *as written* in its entirety. For these purposes, development or configuration shall mean the addition of functionality to an already operating Toll System solution to meet the requirements of this RFP.

For any requirement marked with a "Development Required", the Vendor shall provide an explanation and expected duration of development Work, in months, in section (5) "Vendor Comment(s)/Note(s)".

For those requirements where the proposed solution exceeds the requirement *as written*, the Vendor may specify a higher requirement level and identify that Betterment in section (5) "Vendor Comment(s)/Note(s)". Requirements cannot be *rewritten*, only a higher requirement level can be proposed. The Vendor shall identify all Betterments with "Betterment" in section (5) "Vendor Comment(s)/Note(s)". By identifying a Betterment, the Vendor agrees to replace that requirement *as written* with the higher requirement level. At its sole discretion, WSDOT may include Betterments in the Contract Documents.

For any additional reason, the vendor may also add comments or notes in section (5) "Vendor Comment (s) / Note(s)".



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
4.3	TOLL TECHNICAL REQUIREMENTS					
4.3.1.	GENERAL					
RTS-4.1						
RTS-4.2						
4.3.2.	SYSTEM ARCHITECTURE					
RTS-4.3						
RTS-4.4						
RTS-4.5						
RTS-4.6						
4.3.3.	ROADSIDE TOLL SYSTEM					
4.3.3.1.	DESCRIPTION					
RTS-4.7						
RTS-4.8						
RTS-4.9						
RTS-4.10						
RTS-4.11						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.12						
RTS-4.13						
4.3.3.2.	ZONE CONTROLLER					
4.3.3.2.1.	GENERAL					
RTS-4.14						
RTS-4.15						
RTS-4.16						
RTS-4.17						
RTS-4.18						
RTS-4.19						
4.3.3.2.2.	DATA STORAGE					
RTS-4.20						
4.3.3.2.3.	COMMUNICATIONS					
RTS-4.21						
RTS-4.22						
4.3.3.3.	VEHICLE DETECTION					
RTS-4.23						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.24						
RTS-4.25						
RTS-4.26						
RTS-4.27						
4.3.3.4.	VEHICLE CLASSIFICATION					
RTS-4.28						
RTS-4.29						
RTS-4.30						
4.3.3.5.	VEHICLE IDENTIFICATION					
RTS-4.31						
RTS-4.32						
RTS-4.33						
RTS-4.34						
4.3.3.6.	ELECTRONIC ENFORCEMENT BEACON					
RTS-4.35						
RTS-4.36						
RTS-4.37						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.38						
RTS-4.39						
RTS-4.40						
RTS-4.41						
4.3.3.7.	LICENSE PLATE IMAGE CAPTURE					
4.3.3.7.1.	GENERAL					
RTS-4.42						
RTS-4.43						
RTS-4.44						
RTS-4.45						
RTS-4.46						
4.3.3.7.2.	OPTICAL CHARACTER RECOGNITION					
RTS-4.47						
RTS-4.48						
RTS-4.49						
RTS-4.50						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.51						
RTS-4.52						
RTS-4.53						
4.3.3.7.3.	SUPPLEMENTAL LIGHTING					
RTS-4.54						
RTS-4.55						
4.3.3.8.	TOLL RATE SIGNS					
RTS-4.56						
RTS-4.57						
RTS-4.58						
RTS-4.59						
RTS-4.60						
RTS-4.61						
4.3.4.	FACILITY MANAGEMENT AND ADMINISTRATION SYSTEM					
4.3.4.1.	GENERAL					
RTS-4.62						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.63						
RTS-4.64						
RTS-4.65						
RTS-4.66						
RTS-4.67						
RTS-4.68						
RTS-4.69						
RTS-4.70						
RTS-4.71						
4.3.4.2.	TIME SYNCHRONIZATION					
RTS-4.72						
RTS-4.73						
4.3.4.3.	TRANSACTION MANAGEMENT					
4.3.4.3.1.	GENERAL					
RTS-4.74						
4.3.4.3.2.	TRANSACTION CREATION					
RTS-4.75						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.76						
RTS-4.77						
RTS-4.78						
RTS-4.79						
RTS-4.80						
RTS-4.81						
RTS-4.82						
4.3.4.3.3.	TRIP BUILDING					
RTS-4.83						
RTS-4.84						
RTS-4.85						
RTS-4.86						
RTS-4.87						
RTS-4.88						
RTS-4.89						
4.3.4.4.	TOLL RATE MANAGEMENT					
RTS-4.90						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.91						
RTS-4.92						
RTS-4.93						
4.3.4.5.	MAINTENANCE ONLINE MANAGEMENT SYSTEM					
4.3.4.5.1.	GENERAL					
RTS-4.94						
RTS-4.95						
RTS-4.96						
RTS-4.97						
RTS-4.98						
RTS-4.99						
RTS-4.100						
RTS-4.101						
RTS-4.102						
RTS-4.103						
4.3.4.5.2.	REMOTE OPERATIONS					
RTS-4.104						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.105						
RTS-4.106						
4.3.4.5.3.	SYSTEM MONITORING					
RTS-4.107						
RTS-4.108						
RTS-4.109						
RTS-4.110						
RTS-4.111						
RTS-4.112						
RTS-4.113						
RTS-4.114						
RTS-4.115						
RTS-4.116						
RTS-4.117						
RTS-4.118						
RTS-4.119						
RTS-4.120						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.121						
4.3.4.5.4.	NOTIFICATION					
RTS-4.122						
RTS-4.123						
RTS-4.124						
RTS-4.125						
RTS-4.126						
RTS-4.127						
RTS-4.128						
RTS-4.129						
RTS-4.130						
4.3.4.5.5.	SPARE PARTS MANAGEMENT					
RTS-4.131						
RTS-4.132						
RTS-4.133						
RTS-4.134						
4.3.4.5.6.	AVAILABILITY TRACKING					



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.135						
RTS-4.136						
RTS-4.137						
RTS-4.138						
RTS-4.139						
RTS-4.140						
4.3.4.6.	MEAN TIME TO RESPOND AND REPAIR					
RTS-4.141						
RTS-4.142						
4.3.4.7.	REPORTING					
RTS-4.143						
RTS-4.144						
RTS-4.145						
RTS-4.146						
RTS-4.147						
RTS-4.148						
RTS-4.149						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.150						
RTS-4.151						
RTS-4.152						
4.3.4.7.1.	DEFINED REPORTS					
RTS-4.153						
RTS-4.154						
RTS-4.155						
RTS-4.156						
RTS-4.157						
RTS-4.158						
RTS-4.159						
RTS-4.160						
4.3.4.7.2.	AD HOC REPORTS					
RTS-4.161						
RTS-4.162						
RTS-4.163						
RTS-4.164						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.165						
RTS-4.166						
RTS-4.167						
4.3.4.8.	SYSTEM TECHNOLOGY AND APPLICATION REQUIREMENTS					
RTS-4.168						
RTS-4.169						
RTS-4.170						
RTS-4.171						
RTS-4.172						
RTS-4.173						
RTS-4.174						
RTS-4.175						
RTS-4.176						
RTS-4.177						
RTS-4.178						
RTS-4.179						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
4.3.4.8.1.	DATA PROTECTION					
RTS-4.180						
RTS-4.181						
RTS-4.182						
RTS-4.183						
RTS-4.184						
4.3.4.8.2.	BACK-UP AND RECOVERY					
RTS-4.185						
RTS-4.186						
RTS-4.187						
RTS-4.188						
RTS-4.189						
RTS-4.190						
RTS-4.191						
RTS-4.192						
RTS-4.193						
RTS-4.194						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.195						
4.3.4.8.3.	DATA RETENTION					
RTS-4.196						
RTS-4.197						
RTS-4.198						
RTS-4.199						
RTS-4.200						
RTS-4.201						
RTS-4.202						
RTS-4.203						
4.3.5.	DIGITAL VIDEO AUDIT SYSTEM					
4.3.5.1.	GENERAL					
RTS-4.202						
RTS-4.205						
RTS-4.206						
RTS-4.207						
RTS-4.208						



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		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.209						
RTS-4.210						
RTS-4.211						
RTS-4.212						
RTS-4.213						
RTS-4.214						
RTS-4.215						
RTS-4.216						
RTS-4.217						
RTS-4.218						
RTS-4.219						
RTS-4.220						
4.3.6.	COMMUNICATION					
4.3.6.1.	GENERAL					
RTS-4.221						
RTS-4.222						
RTS-4.223						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.224						
RTS-4.225						
RTS-4.226						
RTS-4.227						
RTS-4.228						
RTS-4.229						
4.3.6.2.	NETWORK INFRASTRUCTURE					
RTS-4.230						
RTS-4.231						
RTS-4.232						
RTS-4.233						
RTS-4.234						
RTS-4.235						
RTS-4.236						
4.3.6.3.	NETWORK TOPOLOGY AND PROTOCOL SUPPORT					
RTS-4.237						



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		Yes	No	(a) Development Required	(b) No Development Required	
4.3.6.4.	REMOTE ACCESS PERSONNEL AUTHENTICATION					
RTS-4.238						
RTS-4.239						
RTS-4.240						
RTS-4.241						
RTS-4.242						
RTS-4.243						
RTS-4.244						
RTS-4.245						
4.3.6.5.	LAYERED SECURITY					
RTS-4.246						
RTS-4.247						
4.3.6.6.	NETWORK INFRASTRUCTURE MANAGEMENT					
RTS-4.248						
RTS-4.249						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.250						
RTS-4.251						
RTS-4.252						
RTS-4.253						
RTS-4.254						
4.3.7.	USER INTERFACES					
4.3.7.1.	GENERAL					
RTS-4.255						
RTS-4.256						
RTS-4.257						
RTS-4.258						
RTS-4.259						
4.3.7.2.	FMAS					
RTS-4.260						
RTS-4.261						
RTS-4.262						
RTS-4.263						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.264						
RTS-4.265						
RTS-4.266						
RTS-4.267						
RTS-4.268						
RTS-4.269						
RTS-4.270						
4.3.7.2.1.	SYSTEM ADMINISTRATION					
RTS-4.271						
RTS-4.272						
RTS-4.273						
4.3.7.2.2.	SYSTEM MONITORING					
RTS-4.274						
RTS-4.275						
RTS-4.276						
RTS-4.277						
RTS-4.278						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.279						
RTS-4.280						
RTS-4.281						
RTS-4.282						
RTS-4.283						
RTS-4.284						
4.3.8.	SYSTEM INTERFACES					
4.3.8.1.	CSC					
RTS-4.285						
RTS-4.286						
RTS-4.287						
RTS-4.288						
4.3.8.2.	TMC					
RTS-4.289						
RTS-4.290						
RTS-4.291						
RTS-4.292						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
4.3.8.3.	SIMMS					
RTS-4.293						
RTS-4.294						
RTS-4.295						
4.3.8.4.	DATA TRANSFER					
RTS-4.296						
RTS-4.297						
RTS-4.298						
RTS-4.299						
RTS-4.300						
RTS-4.301						
4.3.9.	PERFORMANCE REQUIREMENTS					
RTS-4.302						
RTS-4.303						
RTS-4.304						
RTS-4.305						
RTS-4.306						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.307						
RTS-4.308						
RTS-4.309						
RTS-4.310						
RTS-4.311						
RTS-4.312						
RTS-4.313						
4.4	SECURITY REQUIREMENTS					
4.4.1.	SYSTEM SECURITY					
RTS-4.314						
RTS-4.315						
RTS-4.316						
RTS-4.317						
RTS-4.318						
RTS-4.319						
RTS-4.320						
RTS-4.321						



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.322						
RTS-4.323						
RTS-4.324						
RTS-4.325						
RTS-4.326						
RTS-4.327						
RTS-4.328						
RTS-4.329						
RTS-4.330						
RTS-4.331						
RTS-4.332						
RTS-4.333						
RTS-4.334						
RTS-4.335						
RTS-4.336						
RTS-4.337						
4.4.2.	DATA SECURITY					



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(1) Section / Requirement No.	(2) Section Title	(3) Compliance		(4) Development		(5) Vendor Comment(s) / Note(s)
		Yes	No	(a) Development Required	(b) No Development Required	
RTS-4.338						
4.4.3.	PHYSICAL SECURITY					
RTS-4.339						
RTS-4.340						
RTS-4.341						



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